



United States District Court

Southern District of Ohio

85 Marconi Boulevard

Columbus 43215

Chambers of
James E. Graham
District Judge

August 24, 2005

The Honorable Mary M. Lisi
Chair
Judicial Conference of the United States
Committee On Financial Disclosure
One Columbus Circle, N.E.
Washington, DC 20544

RE: Calendar Year 2004 Filing

Dear Judge Lisi:

Enclosed hereto are three (3) copies of my amended 2004 Form AO-10. This amended submission, as you are aware, is the result of a letter I received from your Committee dated July 29, 2005. That letter (copy attached) indicated it was necessary for me to correct the report I originally submitted.

I sincerely apologize for the inconvenience this has caused you and the Committee.

Judge

JLG:wks
Enclosures

FINANCIAL
DISCLOSURE OFFICE

2005 AUG 30 A 10:58

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AO-10
Rev. 1/2004

AMENDED
FINANCIAL DISCLOSURE REPORT
FOR CALENDAR YEAR 2004

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (Last name, First name, Middle initial) Graham, James L.	2. Court or Organization U.S. District Court, SD/OH	3. Date of report 8/23/2005
4. Title (Article III Judges indicate active or senior status; magistrate judges indicate full- or part-time) U. S. District Judge - Senior	5. Report Type (check appropriate type) ☐ Nomination, Date 9/26/1986 ☐ Initial ☒ Annual ☐ Final	6. Reporting Period 1/1/2004 to 12/31/2004
7. Chambers or Office Address 169 Joseph P. Kinneary U.S. Co 85 Marconi Boulevard Columbus, Ohio 43215	8. On the basis of the information contained in this Report and any amendments pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	

IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part
where you have no reportable information. Sign on last page.

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☐ NONE - (No reportable positions)

POSITION

NAME OF ORGANIZATION/ENTITY

1. General Partner

Partnership

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☒ NONE - (No reportable agreements)

DATE

PARTIES AND TERMS

1.

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Name of Person Reporting

Graham, James L

Date of Report

8/23/2005

III. NON-INVESTMENT INCOME. (Reporting individual and spouse; see pp. 17-24 of filing instructions)

A. Filer's Non-Investment Income

☒ **NONE** - (No reportable non-investment income.)

DATE

SOURCE AND TYPE

GROSS INCOME

(yours, not spouse's)

1. _____

B. Spouse's Non-Investment Income - (If you were married during any portion of the reporting year, please complete this section. Dollar amount not required except for honoraria.)

☒ **NONE** - (No reportable non-investment income.)

DATE

SOURCE AND TYPE

1. _____

IV. REIMBURSEMENTS -- transportation, lodging, food, entertainment.

(Includes those to spouse and dependent children. See pp. 25-27 of instructions.)

☒ **NONE** - (No such reportable reimbursements.)

SOURCE

DESCRIPTION

1. _____

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Graham, James L

Date of Report

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V. GIFTS. (Includes those to spouse and dependent children. See pp. 28-31 of instructions.)

☒ **NONE** - (No such reportable gifts.)

SOURCE

DESCRIPTION

VALUE

1.

VI. LIABILITIES. (Includes those of spouse and dependent children. See pp. 32-34 of instructions.)

☐ **NONE** - (No reportable liabilities.)

CREDITOR

DESCRIPTION

VALUE CODE

1. National City Mortgage Co. Columbus, OH

Mortgage on Rental Property - item 2 - Part VII

L

2. Farm Credit Services of Mid-America, ACA - Louisville, KY

Mortgage on Farm Land - owned by [REDACTED] Partnership - Item 3 - Part VII

L

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Name of Person Reporting

Graham, James L.

Date of Report

8/23/2005

VII. INVESTMENTS and TRUSTS -- income, value, transactions (includes those of the spouse and dependent children. See pp. 34-57 of filing instructions.)

A. Description of Assets (including trust assets) Place "X" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Annual Code 1 (A-J)	(2) Type (e.g. div. rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, merger, redemption)	If not exempt from disclosure			
						(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
NONE (No reportable income, assets, or transactions)									
1. Apartment Buildings-Gahanna, Oh-Acq.- 04/05/02- Cost \$416,450	E	Rent	O	R					
2. Rental Home - Dublin, OH-Acq.- 01/05/00-Cost \$255,180	A	Rent	N	R					
3. Graham Family Partnership - Farm Land ([REDACTED] acres)	D	Rent	O	Q					
4. HNB - Checking & Savings Accounts	A	Interest	J	T					
5. Gold and Silver Coins		None	K	T					
6. The following assets are held Merrill Lynch in									
7. Brokerage # 1 account:									
8. Merrill Lynch Bank - Money Market Fund	B	Interest	M	T					
9. Managed Muny Portfolio, Inc. Closed End Fund	C	Dividend	L	T					
10. Southwest Loc. Sch. Dist. Oh. Ham. Cty. - 4.1% Due 12/01/05	B	Interest	K	T					
11. Lorain Ohio Swr Sys - 5.1% Due 04/01/06	B	Interest	K	T					
12. Ohio St. Hwy Cap Impt - 4.25% Due 05/01/06	A	Interest	K	T					
13. Franklin Cty, OH Riverside Meth Hosp - 5.6% Due 05/15/06	C	Interest	L	T					
14. Ohio HSG Res Mtg Rev - 4.9% Due 09/01/06	B	Interest	K	T					
15. Ohio HSG Res Mtg Rev - 4.9% Due 09/01/06	A	Interest			Redemption	09/01	J		
16. Ohio HSG Res Mtg. Rev.-4.9% Due 09/01/06	A	Interest			Redemption	03/01	J		
17. Ohio HSG Res Mtg Rev - 3.45% Due 09/01/06	B	Interest	L	T					
18. Ohio HSG Res Mtg Rev - 3.45% Due 09/01/06	A	Interest			Redemption	03/01	J		

1. Income/Gain Codes:	A = \$1,000 or less (See Columns B1 and D4)	B = \$1,001-\$3,500	C = \$3,501-\$5,000	D = \$5,001-\$15,000	E = \$15,001-\$50,000
2. Value Codes:	F = \$50,001-\$100,000 (See Columns C1 and D3)	G = \$100,001-\$1,000,000	H1 = \$1,000,001-\$5,000,000	H2 = More than \$5,000,000	I = \$100,001-\$250,000
3. Value Method Codes:	J = \$15,000 or less (See Column C2)	K = \$15,001-\$50,000	L = \$50,001-\$100,000	M = \$100,001-\$250,000	N = \$250,001-\$500,000
	P3 = \$25,000,001-\$50,000,000	Q = Appraised	R = Cost (Real Estate Only)	S = Assessment	T = Cash/Market
	U = Book Value	V = Other	W = Estimated		

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Name of Person Reporting
Graham, James L.

Date of Report
12/31/05

VII. INVESTMENTS and TRUSTS -- income, value, transactions (includes those of the spouse and dependent children. See pp. 34-37 of filing instructions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from public disclosure	B. Incident during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g. div, rent or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, merger, redemption)	If not exempt from disclosure			
						(2) Date: Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
19. Ohio HSG Mtg Rev Sec C - 4.7% Due 09/01/06	B	Interest	K	T					
20. Ohio HSG Mtg Rev Sec C - 4.7% Due 09/01/06	A	Interest			Redemption	09/02	J		
21. Ohio HFA Res Mtg GNMA - 5.55% Due 09/01/07	A	Interest	J	T					
22. Ohio St WTR CEV Auth - 5.25% Due 06/01/11	C	Interest	L	T					
23. Portage Cty Oh MBIA - 5.25% Due 12/01/17	C	Interest	L	T					
24. Ohio St Bldg Auth Adult Corr - 5.25% Due 10/01/18	B	Interest	L	T					
25. Cuyahoga Cty Oh Hosp Rev - 3.9% Due 01/01/04	A	Interest			Redemption	01/02	K		
26. Miamisburg Mun Golf Course - 4.3% Due 12/01/04	B	Interest			Redemption	12/01	K		
27. South Euclid Oh Impr - 4.25% Due 12/01/04	A	Interest			Redemption	12/01	K		
28. Ohio ST PUB FCS CMM Higher ED - 5% Due 05/01/05	B	Interest	K	T					
29. Dayton Oh Good Samaritan Hosp - 6% Due 1/01/05	A	Interest			Redemption	09/01	J		
30. Cleveland Oh Cty SD Impr - 6% Due 12/01/06	B	Interest	K	T					
31. Capital One Bank CD - 2.1% Due 06/22/05	B	Interest	L	T					
32. Toledo OH CAP IMPR BD - 4% Due 12/01/18	B	Interest	L	T					
33. Newark Oh WTR SYS IMPT - 6.25% Due 12/01/07	C	Interest	K	T					
34. The following assets are held by Merrill Lynch in IRA #1									
35. account:									
36. Merrill Lynch Bank USA Money Market Fund	B	Dividend	M	T					

1. Income/Gain Codes:	A = \$1,000 or less	B = \$1,001-\$2,500	C = \$2,501-\$5,000	D = \$5,001-\$15,000	E = \$15,001-\$50,000
(See Columns B1 and D4)	F = \$50,001-\$100,000	G = \$100,001-\$1,000,000	H1 = \$1,000,001-\$5,000,000	H2 = More than \$5,000,000	
2. Value Codes:	J = \$15,000 or less	K = \$15,001-\$50,000	L = \$50,001-\$100,000	M = \$100,001-\$250,000	
(See Columns C1 and D3)	N = \$250,001-\$500,000	O = \$500,001-\$1,000,000	P1 = \$1,000,001-\$5,000,000	P2 = \$5,000,001-\$25,000,000	
	P3 = \$25,000,001-\$50,000,000		P4 = \$50,000,001-\$100,000,000		
3. Value Method Codes:	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessed Value	T = Cash/Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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Name of Person Reporting
Graham, James L.

Date of Report
8/23/2005

VII. INVESTMENTS and TRUSTS -- income, value, transactions (includes those of the spouse and dependent children. See pp. 31-57 of filing instructions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	If not exempt from disclosure			
	Amount Code 1 (A-H)	Type (e.g. div. rent. or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g. buy, sell, merger, redemption)	(2) Date: Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
37. Astropower, Inc.		None	J	T					
38. Ballard Power Systems, Inc.		None	J	T					
39. Capstone Turbine Corp.		None	J	T					
40. Electrosources, Inc.		None	J	T					
41. Energy Conversion Devices, Inc.		None	J	T					
42. Plug Power, Inc.		None	J	T					
43. Red Hat, Inc.		None	J	T					
44. VA Software Corp (Formerly VA Linux Systems, Inc.)		None	J	T					
45. NASDAQ 100 Mutual Fund	A	Dividend	J	T					
46. Provident National Bank CD - Due 01/12/04	C	Interest			Redemption	01/02	L		
47. Capital One F.S.B. Va CD - Due 11/29/04	C	Interest			Redemption	11/29	L		
48. First Bank USA CD - Due 03/01/05	B	Interest	K	T					
49. Daimler Chrysler NA Note - Due 05/15/05	C	Interest	L	T					
50. G.M. Accept Corp Note - Due 11/15/05	B	Interest	K	T					
51. Capital One F.S.B. CD - Due 05/30/06	A	Interest	K	T					
52. MBNA American Bank CD - Due 05/30/06	A	Interest	K	T					
53. Western Bank of P.R. CD - Due 03/12/04	B	Interest			Redemption	03/12	L		
54. G.M. Accept Corp Note - Due 01/15/05	B	Interest	K	T					

1. Income/Gain Codes:	A = \$1,000 or less	B = \$1,001-\$2,500	C = \$2,501-\$5,000	D = \$5,001-\$15,000	E = \$15,001-\$50,000
(See Columns B1 and D4)	F = \$50,001-\$100,000	G = \$100,001-\$1,000,000	H = \$1,000,001-\$5,000,000	H2 = More than \$5,000,000	
2. Value Codes:	J = \$15,000 or less	K = \$15,001-\$50,000	L = \$50,001-\$100,000	M = \$100,001-\$250,000	
(See Columns C1 and D3)	N = \$250,001-\$500,000	O = \$500,001-\$1,000,000	P1 = \$1,000,001-\$5,000,000	P2 = \$5,000,001-\$25,000,000	
	P3 = \$25,000,001-\$50,000,000		P4 = \$50,000,001-\$100,000,000		
3. Value Method Codes:	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessed	T = Cash/Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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Name of Person Reporting

Graham, James L.

Date of Report

8/23/2005

VII. INVESTMENTS and TRUSTS -- income, value, transactions (includes those of the spouse and dependent children. See pp 34-57 of filing instructions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure.	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	If not exempt from disclosure			
	Amount Code 1 (A-H)	Type (e.g. div, rent, or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g. buy, sell, merger, redemption)	(2) Date: Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
55. Ford Motor Credit Note - Due 02/22/05	C	Interest	K	T					
56. Ford Motor Credit Note - Due 06/20/06	B	Interest	K	T					
57. G.M. Accept Corp Note - Due 06/15/06	B	Interest	K	T					
58. G.M. Accept Corp. - 5.0% Due 09/15/09	B	Interest	K	T	Buy	09/02	L		
59. Ford Motor Credit Corp. - 5.0% Due 09/21/09	B	Interest	K	T	Buy	09/03	L		
60. The following assets are held by Merrill Lynch in IRA # 2									
61. account:									
62. Merrill Lynch Bank USA Money Market Fund	A	Interest	K	T					
63. Lehman Brothers Bank FSB CD - Due 06/21/04	B	Interest	K	T					
64. The following assets are held by Merrill Lynch in Brokerage									
65. # 2 account:									
66. Merrill Lynch Bank USA Money Market Fund	A	Dividend	J	T					
67. Eaton Vance OH Tax Exempt Mutual Fund	B	Dividend	K	T					
68. The following assets are held by Fifth Third Securities, Inc									
69. in IRA # 2 account:									
70. AIM Constellation Mutual Fund	A	Dividend	J	T					
71. The following assets are held by Merrill Lynch in Brokerage									
72. # 3 account:									

1. Income/Gain Codes:	A = \$1,000 or less	B = \$1,001-\$2,900	C = \$2,901-\$5,000	D = \$5,001-\$15,000	E = \$15,001-\$50,000
(See Columns B1 and B4)	F = \$50,001-\$100,000	G = \$100,001-\$1,000,000	H1 = \$1,000,001-\$5,000,000	H2 = More than \$5,000,000	
2. Value Codes:	J = \$15,000 or less	K = \$15,001-\$50,000	L = \$50,001-\$100,000	M = \$100,001-\$250,000	
(See Columns C1 and D3)	N = \$250,001-\$500,000	O = \$500,001-\$1,000,000	P1 = \$1,000,001-\$5,000,000	P2 = \$5,000,001-\$25,000,000	
	P3 = \$25,000,001-\$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes:	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash/Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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Name of Person Reporting
Graham, James L.

Date of Report
8/23/2005

VII. INVESTMENTS and TRUSTS -- income, value, transactions (includes those of the spouse and dependent children. See pp. 34-57 of filing instructions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	If not exempt from disclosure			
	Amount Code 1 (A-H)	Type (e.g. div. rent, or int.)	Value code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g. buy, sell, merger, redemption)	(2) Date: Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
73. Merrill Lynch Bank USA Money Market Fund	A	Dividend	J	T					
74. Notes Receivable-Benevolent Landlord	B	Interest	K	T					

1. Income/Gain Codes:	A = \$1,000 or less (See Columns B1 and D4)	B = \$1,001-\$2,500	C = \$2,501-\$5,000	D = \$5,001-\$15,000	E = \$15,001-\$50,000
2. Value Codes:	F = \$50,001-\$100,000	G = \$100,001-\$1,000,000	H1 = \$1,000,001-\$5,000,000	H2 = More than \$5,000,000	
	J = \$15,000 or less (See Columns C1 and D3)	K = \$15,001-\$50,000	L = \$50,001-\$100,000	M = \$100,001-\$250,000	
	N = \$250,000-\$500,000	O = \$500,001-\$1,000,000	P1 = \$1,000,001-\$5,000,000	P2 = \$5,000,001-\$25,000,000	
	P3 = \$25,000,001-\$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes:	Q = Appraisal (See Column C2)	R = Cust (Real Estate Only)	S = Assessment	T = Cost/Market	
	U = Book Value	V = Other	W = Estimated		

AMENDED

FINANCIAL DISCLOSURE REPORT

Name of Person Reporting

Graham, James L.

Date of Report

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS

(Indicate part of Report.)

Part VII, page 1, Line 3: The date of the appraisal was June 30, 1992 with a valuation date of December 22, 1991.

AMENDED

FINANCIAL DISCLOSURE REPORT

Name of Person Reporting

Graham, James L.

Date of Report

8/23/2005

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children; if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature

Date

August 24, 2005

NOTE: ANY PERSON WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544