

FINANCIAL DISCLOSURE REPORT

Calendar Year 2003

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (Last name, First name, Middle initial) BREEN, J. DANIEL	2. Court or Organization WESTERN DISTRICT OF TENNESSEE	3. Date of Report 04/20/2004
4. Title (Article III Judges indicate active or senior status; magistrate judges indicate full- or part-time) FULL-TIME U.S. DISTRICT JUDGE	5. ReportType (check appropriate type) ☐ Nomination, Date ☐ Initial ☒ Annual ☐ Final	6. Reporting Period 01/01/2003 to 12/31/2003
7. Chambers or Office Address 1157 FEDERAL BUILDING 167 N. MAIN MEMPHIS, TN 38103	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	

IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☐ **NONE** - (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Board of Directors	West TN Council/Boy Scouts of America
2. Board of Directors	Tennessee Bar Foundation
3. Board of Directors	Asbury Communities of Tennessee

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☒ **NONE** - (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	

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 FINANCIAL
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III. NON-INVESTMENT INCOME (Reporting individual and spouse; see pp. 17-24 of filing instructions)**A. Filer's Non-Investment Income**☒ **NONE** - (No reportable non-investment income.)DATESOURCE AND TYPEGROSS INCOME

(yours, not spouse's)

1. _____

B. Spouse's Non-Investment Income - (If you were married during any portion of the reporting year, please complete this section. (dollar amount not required except for honoraria)☒ **NONE** - (No reportable non-investment income.)DATESOURCE AND TYPE

1. _____

IV. REIMBURSEMENTS— transportation, lodging, food, entertainment.

(Includes those to spouse and dependent children. See pp. 25-27 of instructions.)

☐ **NONE** - (No such reportable reimbursements.)SOURCEDESCRIPTION

1. Tennessee Bar Association

Oct. 17 - Nashville, TN - Meeting of Committee (travel)

2. Tennessee Bar Foundation

Dec. 12 - Nashville, TN - Meeting of Board of Directors (travel)

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V. GIFTS. (Includes those to spouse and dependent children. See pp. 28-31 of instructions.)☒ **NONE** - (No such reportable gifts.)SOURCEDESCRIPTIONVALUE

1.

VI. LIABILITIES. (Includes those of spouse and dependent children. See pp. 32-34 of instructions.)☒ **NONE** - (No reportable liabilities.)CREDITORDESCRIPTIONVALUE CODE

1.

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VII. INVESTMENTS and TRUSTS— income, value, transactions (includes those of the spouse and dependent children. See pp. 34-57 of filing instructions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A -H)	(2) Type (e.g. div. rent. or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, merger, redemption)	If not exempt from disclosure			
						(2) Date: Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
☐ NONE (No reportable income, assets, or transactions)									
1. Volunteer Bank	A	Interest	J	T					
2. IRA - Fidelity Mag.	B	Dividend	K	T					
3. JANUS	A	Dividend	J	T					
4. CMA ACCT. - MERRILL LYNCH									
5. AmSouth CS	A	Dividend	J	T					
6. Idaho Power Co. CS	A	Dividend	J	T					
7. Procter & Gamble CS	B	Dividend	K	T					
8. Metro Gov't Nashville Bonds	B	Interest	K	T					
9. Chicago, IL Brd. Ed. Bonds	A	Interest	J	T					
10. Cleveland Ohio Gov't Bonds	A	Interest	J	T					
11. Cleveland Ohio Gov't Bonds	A	Interest	J	T	Partial Sale	8/3	J	A	
12. BellSouth CS	A	Dividend	J	T					
13. Coca-Cola CS	A	Dividend	J	T					
14. E.I. Dupont CS	A	Dividend	J	T					
15. Merrill Lynch Large Cap Value	A	Dividend	J	T					
16. Merrill Lynch Large Cap Core	A	Dividend	J	T	Buy	8/12	J		
17. Mass. Inv. Growth Stock Fund	A	Dividend	J	T					
18. Aim Balanced Fund	A	Dividend	J	T					

1. Income/Gain Codes:	A = \$1,000 or less	B = \$1,001-\$2,500	C = \$2,501-\$5,000	D = \$5,001-\$15,000	E = \$15,001-\$50,000
(See Columns B1 and D4)	F = \$50,001-\$100,000	G = \$100,001-\$1,000,000	H1 = \$1,000,001-\$5,000,000	H2 = More than \$5,000,000	
2. Value Codes:	J = \$15,000 or less	K = \$15,001-\$50,000	L = \$50,001-\$100,000	M = \$100,001-\$250,000	
(See Columns C1 and D3)	N = \$250,000-\$500,000	O = \$500,001-\$1,000,000	P1 = \$1,000,001-\$5,000,000	P2 = \$5,000,001-\$25,000,000	
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3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash/Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A -H)	(2) Type (e.g. div. rent. or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, merger, redemption)	If not exempt from disclosure			
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19. IRA ACCOUNT - MERRILL LYNCH									
20. Mass. Inv. Trust	A	Dividend	J	T					
21. Merrill Lynch Small Cap Value Fund	A	Dividend	J	T					
22. AIM Intern. Gov't Fund	A	Dividend	J	T					
23. American Int's Group CS	A	Dividend	J	T					
24. Biogen, Inc. - CS	A	Dividend	J	T	Buy	1/15	J		
25. Chase Manhattan - CS	A	Dividend	J	T	Sell	4/1	J	A	
26. Coca Cola - CS	A	Dividend	J	T					
27. Duke Energy	A	Dividend	J	T					
28. Exxon Mobil - CS	A	Dividend	J	T					
29. Fannie Mae - CS	A	Dividend	J	T					
30. General Electric - CS	A	Dividend	J	T					
31. Home Depot - CS	A	Dividend	J	T					
32. Household Int'l - CS	A	Dividend	J	T					
33. Idec Pharmaceuticals Corp. CS	A	Dividend	J	T	Sell	4/1	J	A	
34. Johnson & Johnson - CS	A	Dividend	J	T					
35. McDonalds - CS	A	Dividend	J	T					
36. Microsoft Corp. - CS	A	Dividend	J	T					

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37. Pfizer Inc. CS	A	Dividend	J	T					
38. Triad Hospitals - CS	A	Dividend	J	T					
39. Wal-Mart Stores - CS	A	Dividend	J	T					
40. IRA- MERRILL LYNCH									
41. Lord Abbett Mid-Cap Value	A	Dividend	J	T					
42. MFS Value Fd.	A	Dividend	J	T					
43. Merrill Lynch Small Cap Value Fd.	A	Dividend	J	T					
44. Merrill Lynch Small Cap Value Fund	B	Dividend	J	T	Buy	8/13	J		
45. Merrill Lynch Large Cap Value Fund	A	Dividend	J	T					
46. AIM Intern. Gov't	A	Dividend	J	T					
47. Lord Abbett Bond Debenture Fd.	A	Dividend	J	T					
48. Merrill Lynch Global Allocation	A	Dividend	J	T	Buy	11/10	J		
49. MFS Gov. Secs. Fund	A	Dividend	J	T	Sell	8/12	K	A	
50. Fed. Hm. Ln. Mtg. Corp.	A	Dividend	J	T	Buy	8/13	J		
51. Mass Inv. Trust Fd.	B	Interest	J	T					
52. MFS Value Fd.	A	Dividend	J	T					
53. Merrill Lynch Small Cap Value Fd.	A	Dividend	J	T					
54. Alliance Bernstein Value Fd.	A	Dividend	J	T					

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55. MFS Total Return Fd.	A	Dividend	J	T					
56. Evergreen Sel Adjustable Rate Fd.	A	Dividend	J	T	Buy	8/12	J		
57. Abbott Labs	A	Dividend	J	T					
58. Allstate Corp.	A	Dividend	J	T					
59. Allstate Corp.	A	Dividend	J	T	Buy	3/13	J		
60. AT&T Corp.	A	Dividend	J	T					
61. Archer Daniels Midland	A	Dividend	J	T					
62. Archer Daniels Midland	A	Dividend	J	T	Buy	3/13	J		
63. Burlington & Santa Fe Railroad	A	Dividend	J	T					
64. Burlington & Santa Fe Railroad	A	Dividend	J	T	Buy	3/13	J		
65. Beckman Coulter Inc.	A	Dividend	J	T					
66. Beckman Coulter Inc.	A	Dividend	J	T	Buy	3/13	J		
67. Baxter International, Inc.	A	Dividend	J	T					
68. Boeing Company	A	Dividend	J	T					
69. Boeing Company	A	Dividend	J	T	Buy	3/13	J		
70. Comcast Corp.	A	Dividend	J	T	Sell	3/13	J	A	
71. Chevron Texaco Corp.	A	Dividend	J	T					
72. ConacoPhillips	A	Dividend	J	T					

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73. Conaco Phillips	A	Dividend	J	T	Buy	1/29	J		
74. Conagra Foods Inc.	A	Dividend	J	T					
75. Energy East Corp.	A	Dividend	J	T					
76. Energy East Corp.	A	Dividend	J	T	Buy	3/13	J		
77. Exxon Mobile Corp.	A	Dividend	J	T					
78. FPL Group, Inc.	A	Dividend	J	T					
79. FleetBoston Fin'l Corp.	A	Dividend	J	T	Sell	3/31	J	A	
80. Hartford Fin'l Services Group	A	Dividend	J	T					
81. Hartford Fin'l Services Group	A	Dividend	J	T	Buy	3/13	J		
82. Hewlett Packard Co.	A	Dividend	J	T					
83. Huntington Bancshares, Inc.	A	Dividend	J	T					
84. Ingersoll Rand Co.	A	Dividend	J	T					
85. International Business Machine, Inc.	A	Dividend	J	T					
86. Kimberly Clark	A	Dividend	J	T					
87. Kimberly Clark.	A	Dividend	J	T	Buy	3/13	J		
88. Limited Brands Inc.	A	Dividend	J	T					
89. Limited Brands Inc.	A	Dividend	J	T	Buy	3/13	J		
90. Marshall & Hissley Co.	A	Dividend	J	T					

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91. Marshall & Ilsley Co.	A	Dividend	J	T	Buy	3/13	J		
92. Mattel Inc.	A	Dividend	J	T					
93. Merck & Co. Inc.	A	Dividend	J	T					
94. Merck & Co. Inc.	A	Dividend	J	T	Buy	9/26	J		
95. J.C. Penney Co.	A	Dividend	J	T					
96. SBC Communications Inc.	A	Dividend	J	T					
97. SBC Communications Inc.		Dividend	J	T	Buy	3/13	J		
98. Union Pacific Corp.	A	Dividend	J	T					
99. Verizon Communications	A	Dividend	J	T					
100. Washington Mutual Inc.	A	Dividend	J	T					
101. Weyerhaeuser Co.	A	Dividend	J	T					
102. Weyerhaeuser Co.	A	Dividend	J	T	Buy	2/5	J		
103. Xerox Corp.	A	Dividend	J	T					
104. Wachovia Corp.	A	Dividend	J	T					
105. Wyeth	A	Dividend	J	T					
106. Merrill Lynch Money Market Fd.	A	Interest	K	T					
107. Merrill Lynch Retirement Reserves	A	Interest	J	T					

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS (Indicate part of Report.)

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature

Date

April 20, 2004

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544