

FINANCIAL DISCLOSURE REPORT

FOR CALENDAR YEAR 2003

Report Required by the Ethics
in Government Act of 1978,
(5 U.S.C. App. §§ 101-111)

1. Person Reporting (Last name, first middle initial) Frank Montalvo	2. Court or Organization Western District of Texas, El Paso division	3. Date of Report May 7, 2004 amended 8/13/04
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) District Judge- Active	5. Report Type (check appropriate type) ___ Nomination, Date _____ ___ Initial <u> X </u> Annual ___ Final	6. Reporting Period 1-1-03 to 12-31-03
7. Chambers or Office Address 511 East San Antonio Ave. Room 409 El Paso, TX 79901	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	

IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.

I. POSITIONS. (Reporting individual only; see pp. 9-13 of Instructions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
☐ NONE (No reportable positions.)	
1 Chairman	State of Texas Court Reporters Certification Board
2	
3	

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 FINANCIAL
 DISCLOSURE OFFICE

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of Instructions.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
☐ NONE (No reportable agreements.)	
1 2003	Bexar County, Texas _ retirement pension to begin at age 60
2	

III. NON-INVESTMENT INCOME. (Reporting individual and spouse; see pp. 17-24 of Instructions.)

	<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>GROSS INCOME</u>
A. Filer's Non-Investment Income			
	☐	NONE (No reportable non-investment income.)	
1	1/1 to 8/7/03	State of Texas	\$ 66,748.05
2	1/1 to 8/7/03	Bexar County, Texas	\$ 5,425.13
3			\$
B. Spouse's Non-Investment Income - If you were married during any portion of the reporting year, please complete this section. (dollar amount not required except for honoraria)			
	☐	NONE (No reportable non-investment income.)	
1	2003	San Antonio College	
2			

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Frank Montalvo

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IV. REIMBURSEMENTS -- transportation, lodging, food, entertainment.*(Includes those to spouse and dependent children. See pp. 25-27 of Instructions.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>
☐	NONE (No such reportable reimbursements.)	
1	Checci and Company Consulting	Transportation, lodging and food for trip to Colombia to speak at conference, 10/8 to 10/10/03.
2		
3		
4		
5		
6		
7		

V. GIFTS. *(Includes those to spouse and dependent children. See pp. 28-31 of Instructions.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
☐	NONE (No such reportable gifts.)		
1	San Antonio Bar Foundation	Investiture preparations, ceremony & reception	\$ 11,000.00
2			\$
3			\$
4			\$

VI. LIABILITIES. *(Includes those of spouse and dependent children See pp. 32-33 of Instructions.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE*</u>
☒	NONE (No reportable liabilities.)		
1			
2			
3			
4			
5			

*Value Codes:	J=\$15,000 or less	K=\$15,001-\$50,000	L=\$50,001-\$100,000	M=\$100,001-\$250,000
	N=\$250,001-\$500,000	O=\$500,001-\$1,000,000	P1=\$1,000,001-\$5,000,000	
	P2=\$5,000,001-\$25,000,000	P3=25,000,001-50,000,000	P4=50,000,001 or more	

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VII. Page 1 INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children. See pp. 34-57 of Instructions.)

A. Description of Assets (including trust assets) <i>Place "X" after each asset exempt from prior disclosure.</i>	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	If not exempt from disclosure			
	Amt. Code1 (A-H)	Type (e.g., div., rent or int.)	Value Code2 (J-P)	Value Method Code3 (Q-W)	Type (e.g., buy, sell, merger, redemption)	(2) Date: Month- Day	(3) Value Code2 (J-P)	(4) Gain Code1 (A-H)	(5) Identity of buyer/seller (if private transaction)
☐ NONE (No reportable income,									
1 Vanguard IRA Funds Held:									
2 European Stock Index	A	Dividend	J	T					
3 Health Care	A	Dividend	K	T					
4 Health Care	A	Distribut	K	T					
5 International Growth	A	Dividend	J	T					
6 Total Stock Mkt Index	A	Dividend	L	T	Rollover	9/29	K	N/A	
7 Capital Opportunity	A	Dividend	M	T	Rollover	9/29	K	N/A	
8 Wellesley Income	A	Dividend	J	T					
9 Prime Money Market	none	N/A	J	T					
10 Vanguard IRA stocks held:									
11 Agere Sys C1A	none	N/A	J	T					
12 Agere Sys Inc C1B	none	N/A	J	T					
13 Avaya Inc. Com	none	N/A	J	T					
14 Ford Motor Co. Com	A	Dividend	J	T					
15 Lucent Technologies Com	none	N/A	J	T					
16 Telecommunication Sys Cl A	none	N/A	J	T					
17 Visteon Corp. Com	A	Dividend	J	T	-				

1	Income/Gain Codes: (See Col. B1, D4)	A=\$1,000 or less F=\$50,001-\$100,000	B=\$1,001-\$2,500 G=\$100,001-\$1,000,000	C=\$2,501-\$5,000 H1=\$1,000,001-\$5,000,000	D=\$5,001-\$15,000 H2=More than \$5,000,000	E=\$15,001-\$50,000
2	Value Codes: (See Col. C1, D3)	J=\$15,000 or less N=\$250,001-\$500,000 P3=\$25,000,001-\$50,000,000	K=\$15,001-\$50,000 O=\$500,001-\$1,000,000	L=\$50,001-\$100,000 P1=\$1,000,001-\$5,000,000 P4=More than \$50,000,000	M=\$100,001-\$250,000 P2=\$5,000,001-\$25,000,000	
3	Value Method Codes: (See Col. C2)	Q=Appraisal U=Book value	R=Cost (real estate only) V=Other	S=Assessment W=Estimated	T=Cash/Market	

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VII. Page 2 INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children. See pp. 34-57 of Instructions.)

A. Description of Assets (including trust assets) <i>Place "(X)" after each asset exempt from prior disclosure.</i>	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	If not exempt from disclosure			
	Amt. Code1 (A-H)	Type (e.g., div., rent or int.)	Value Code2 (J-P)	Value Method Code (Q-W)	Type (e.g., buy, sell, merger, redemption)	(2) Date: Month- Day	(3) Value Code2 (J-P)	(4) Gain Code1 (A-H)	(5) Identity of buyer/seller (if private transaction)
☐ NONE (No reportable income, assets, or transactions)									
18 Texas Saver 401k:									
19 Fidelity US Bond Index	A	Dividend	J	T					
20 ATT Wireless Svcs	none	N/A	J	T					
21 Agere Sys Cl B	none	N/A			Sale/transfer	12/08	J	loss	
22 Amerindo Tech Fund	none	N/A	J	T					
23 Dreyfus Midcap Value Fund	none	N/A	J	T					
24 Janus Specialty Equity	none	N/A	J	T					
25 Lucent Technologies	none	N/A	J	T					
26 Northern Midcap Growth	none	N/A	J	T					
27 Northern Technology	none	N/A	J	T					
28 SBC Communications	A	Dividend	J	T					
29 Schwab Total Stk. Index	A	Dividend	J	T					
30 Telecomm. Sys Cl A	none	N/A	J	T					
31 Schwab Govt. Money Fd.	A	Dividend	J	T	from 21 above	12/08	J		
32 IRA accounts [REDACTED]:									
33 Fidelity Independence Fund IRA	A	Dividend	K	T	from 34 below	4/14	J		
34 Safeco Equity Fund IRA (X)	A	Dividend			sale/transfer	4/09	J	loss	
1 Income/Gain Codes: A=\$1,000 or less (See Col. B1, D4) F=\$50,001-\$100,000	B=\$1,001-\$2,500 G=\$100,001-\$1,000,000		C=\$2,501-\$5,000 H=\$5,001-\$15,000 I=\$15,001-\$50,000 J=\$50,001-\$100,000 K=\$100,001-\$500,000 L=\$500,001-\$1,000,000 M=\$1,000,001-\$5,000,000 N=\$5,000,001-\$25,000,000 O=\$25,000,001-\$50,000,000		D=\$5,001-\$15,000 H2=More than \$5,000,000 E=\$15,001-\$50,000				
2 Value Codes: J=\$15,000 or less (See Col. C1, D3) N=\$250,001-\$500,000 P=\$500,001-\$1,000,000 Q=\$1,000,001-\$5,000,000 R=\$5,000,001-\$25,000,000 S=\$25,000,001-\$50,000,000	K=\$15,001-\$50,000 O=\$500,001-\$1,000,000 P=\$1,000,001-\$5,000,000 Q=\$5,000,001-\$25,000,000 R=\$25,000,001-\$50,000,000		L=\$50,001-\$100,000 P1=\$1,000,001-\$5,000,000 P2=\$5,000,001-\$25,000,000 P3=\$25,000,001-\$50,000,000		M=\$100,001-\$250,000 P2=\$5,000,001-\$25,000,000 P4=More than \$50,000,000				
3 Value Method Codes: Q=Appr i al (See Col. C2) U=Book value	R=Cost (real estate only) V=Other		S=Assessment W=Estimated		T=Cas /Market				

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VII. Page 3 INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children. See pp. 34-57 of Instructions.)

A. Description of Assets (including trust assets) <i>Place "(X)" after each asset exempt from prior disclosure.</i>	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	If not exempt from disclosure			
	Amt. Code1 (A-H)	Type (e.g., div., rent or int.)	Value Code2 (J-P)	Value Method Code (Q-W)	Type (e.g., buy, sell, merger, redemption)	(2) Date: Month- Day	(3) Value Code2 (J-P)	(4) Gain Code1 (A-H)	(5) Identity of buyer/seller (if private transaction)
☐ NONE (No reportable income, assets, or transactions)									
35 Texas Tomorrow Fund	B	Dividend	K	T					
36 Bexar County 457 Plan:									
37 Janus Aspen	A	Dividend	J	T					
38 Compt. Judiciary 457 plan:									
39 ING Solomon Brothers	A	Dividend			Sale/transfer to 41 & 42	4/11	J	loss	
40 Janus Aspen	A	Dividend			Sale/transfer to 41 & 42	4/11	J	loss	
41 Fidelity US Bond Index Fd	A	Dividend	J	T	from 39 & 40 above	4/11	J		
42 Vanguard Inst. Index Fd	A	Dividend	J	T	from 39 & 40 above	4/11	J		
43 Teachers Ret. System [REDACTED]	A	Interest	J	T					
44 Deleted duplicate entry of line 35									
45 Retirement System of Texas	D	Interest			Redem/Roll	9/29	L	E	
46									
47									
48									
49									
50									

1	Income/Gain Codes: (See Col. B1, D4)	A=\$1,000 or less F=\$50,001-\$100,000	B=\$1,001-\$2,500 G=\$100,001-\$1,000,000	C=\$2,501-\$5,000 H1=\$1,000,001-\$5,000,000	D=\$5,001-\$15,000 H2=More than \$5,000,000	E=\$15,001-\$50,000
2	Value Codes: (See Col. C1, D3)	J=\$15,000 or less N=\$250,001-\$500,000 P3=\$25,000,001-\$50,000,000	K=\$15,001-\$50,000 O=\$500,001-\$1,000,000	L=\$50,001-\$100,000 P1=\$1,000,001-\$5,000,000 P4=More than \$5,000,000	M=\$100,001-\$250,000 P2=\$5,000,001-\$25,000,000	
3	Value Method Codes: (See Col. C2)	Q=Appraisal U=Book value	R=Cost (real estate only) V=Other	S=Assessment W=Estimated	T=C h/Market	

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VII. Page 4 INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children. See pp. 34-57 of Instructions.)

A. Description of Assets (including trust assets) <i>Place "(X)" after each asset exempt from prior disclosure.</i>	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	If not exempt from disclosure			
	Amt. Code1 (A-H)	Type (e.g., div., rent or int.)	Value Code2 (J-P)	Value Method Code (Q-W)	Type (e.g., buy, sell, merger, redemption)	(2) Date: Month- Day	(3) Value Code2 (J-P)	(4) Gain Code1 (A-H)	(5) Identity of buyer/seller (if private transaction)
☐ NONE (No reportable income, assets, or transactions)									
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1	Income/Gain Codes: A=\$1,000 or less (See Col. B1, D4) F=\$50,001-\$100,000	B=\$1,001-\$2,500 G=\$100,001-\$1,000,000	C=\$2,501-\$5,000 H1=\$1,000,001-\$5,000,000	D=\$5,001-\$15,000 H2=More than \$5,000,000	E=\$15,001-\$50,000
2	Value Codes: J=\$15,000 or less (See Col. C1, D3) N=\$250,001-\$500,000 P3=\$25,000,001-\$50,000,000	K=\$15,001-\$50,000 O=\$500,001-\$1,000,000	L=\$50,001-\$100,000 P1=\$1,000,001-\$5,000,000 P4=More than \$5,000,000	M=\$100,001-\$250,000 P2=\$5,000,001-\$25,000,000	
3	Value Method Codes: Q=Appraisal (See Col. C2) U=Book value	R=Cost (real estate only) V=Other	S=Assessment W=Estimated	T=Cash/Market	

FINANCIAL DISCLOSURE REPORT

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VII. Page 5 INVESTMENTS and TRUSTS – income, value, transactions *(Includes those of spouse and dependent children. See pp. 34-57 of Instructions.)*

A. Description of Assets (including trust assets) <i>Place "(X)" after each asset exempt from prior disclosure.</i>	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	If not exempt from disclosure			
	Amt. Code1 (A-H)	Type (e.g., div., rent or int.)	Value Code2 (J-P)	Value Method Code (Q-W)	Type (e.g., buy, sell, merger, redemption)	(2) Date: Month- Day	(3) Value Code2 (J-P)	(4) Gain Code1 (A-H)	(5) Identity of buyer/seller (if private transaction)
☐ NONE (No reportable income, assets, or transactions)									
67									
68									

1	Income/Gain Codes: (See Col. B1, D4)	A=\$1,000 or less F=\$50,001-\$100,000	B=\$1,001-\$2,500 G=\$100,001-\$1,000,000	C=\$2,501-\$5,000 H1=\$1,000,001-\$5,000,000	D=\$5,001-\$15,000 H2=More than \$5,000,000	E=\$15,001-\$50,000
2	Value Codes: (See Col. C1, D3)	J=\$15,000 or less N=\$250,001-\$500,000 P3=\$25,000,001-\$50,000,000	K=\$15,001-\$50,000 O=\$500,001-\$1,000,000	L=\$50,001-\$100,000 P1=\$1,000,001-\$5,000,000 P4=More than \$50,000,000	M=\$100,001-\$250,000 P2=\$5,000,001-\$25,000,000	
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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS (Indicate part of Report.)

Regarding section IV, Reimbursements: Checci and Company Consulting is the administrator of a grant from the United States Agency for International Development to the Republic of Colombia for the improvement of the judicial system in Colombia. I was invited and traveled to Colombia to speak at the "Primer Encuentro Nacional entre la Justicia Formal y la Conciliación en Equidad".

Regarding section V, Gifts: Cash donations were made by various sponsors and given to the San Antonio Bar Foundation. The San Antonio Bar Foundation planned and paid all expenses attributable to the Investiture ceremony. This was a joint ceremony held for another newly appointed district judge and me. The amount listed is approximately one-half of monies received by the San Antonio Bar Foundation.

Regarding section VII, Investments and trusts: Retirement System of Texas (item 45) was redeemed upon termination of employment with the state of Texas, with some proceeds rolled over into the funds identified in items 6 and 7 of the same section.

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app., § 501 et. seq., 5 U.S.C. § 7353 and Judicial Conference regulations.

Signature

Date

8/13/04

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. App., § 104.)

FILING INSTRUCTIONS:

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the
United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544

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FOR CALENDAR YEAR 2003

Report Required by the Ethics
in Government Act of 1978,
(5 U.S.C. App. §§101-111)

1. Person Reporting (Last name, first, middle initial) Frank Montalvo		2. Court or Organization Western District of Texas, El Paso division		3. Date of Report May 7, 2004	
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) District Judge- Active		5. Report Type (check appropriate type) ____ Nomination, Date _____ ____ Initial <u>X</u> Annual ____ Final		6. Reporting Period 1-1-03 to 12-31-03	
7. Chambers or Office Address 511 East San Antonio Ave. Room 409 El Paso, TX 79901		8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____			

IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts,
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I. POSITIONS. (Reporting individual only; see pp. 9-13 of Instructions.)

POSITION	NAME OF ORGANIZATION/ENTITY
☐ NONE (No reportable positions.)	
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2	
3	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of Instructions.)

DATE	PARTIES AND TERMS
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DATE	SOURCE AND TYPE	GROSS INCOME
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2		

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1	Checci and Company Consulting	Transportation, lodging and food for trip to Colombia to speak at conference.
2		
3		
4		
5		
6		
7		

V. GIFTS. *(Includes those to spouse and dependent children. See pp. 28-31 of Instructions.)*SOURCEDESCRIPTIONVALUE☐ **NONE** (No such reportable gifts.)

1	San Antonio Bar Foundation	Investiture preparations, ceremony & reception	\$ 11,000.00
2			\$
3			\$
4			\$

VI. LIABILITIES. *(Includes those of spouse and dependent children. See pp. 32-33 of Instructions.)*CREDITORDESCRIPTIONVALUE CODE*☒ **NONE** (No reportable liabilities.)

1			
2			
3			
4			
5			

*Value Codes: J=\$15,000 or less

K=\$15,001-\$50,000

L=\$50,001-\$100,000

M=\$100,001-\$250,000

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☐ NONE (No reportable income,									
1 Vanguard IRA Funds Held:									
2 European Stock Index	A	Dividend	J	T					
3 Health Care	A	Dividend	K	T					
4 Health Care	A	Distribut	K	T					
5 International Growth	A	Dividend	J	T					
6 Total Stock Mkt Index	A	Dividend	L	T	Rollover	9/29	K	N/A	
7 Capital Opportunity	A	Dividend	M	T	Rollover	9/29	K	N/A	
8 Wellesley Income	A	Dividend	J	T					
9 Prime Money Market	none	N/A	J	T					
10 Vanguard IRA stocks held:									
11 Agere Sys C1A	none	N/A	J	T					
12 Agere Sys Inc C1B	none	N/A	J	T					
13 Avaya Inc. Com	none	N/A	J	T					
14 Ford Motor Co. Com	A	Dividend	J	T					
15 Lucent Technologies Com	none	N/A	J	T					
16 Telecommunication Sys Cl A	none	N/A	J	T					
17 Visteon Corp. Com	A	Dividend	J	T					

1	Income/Gain Codes: A=\$1,000 or less (See Col. B1, D4)	B=\$1,001-\$2,500 F=\$50,001-\$100,000	C=\$2,501-\$5,000 G=\$100,001-\$1,000,000	D=\$5,001-\$15,000 H1=\$1,000,001-\$5,000,000	E=\$15,001-\$50,000 H2=More than \$5,000,000
2	Value Codes: J=\$15,000 or less (See Col. C1, D3)	K=\$15,001-\$50,000 N=\$250,001-\$500,000 P3=\$25,000,001-\$50,000,000	L=\$50,001-\$100,000 O=\$500,001-\$1,000,000 P4=More than \$50,000,000	M=\$100,001-\$250,000 P1=\$1,000,001-\$5,000,000 P2=\$5,000,001-\$25,000,000	
3	Value Method Codes: Q=Appraisal (See Col. C2)	R=Cost (real estate only) U=Book value	S=Assessment V=Other	W=Estimated	T=Cash/Market

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Date of Report

May 7, 2004

VII. Page 2 INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children. See pp. 34-57 of Instructions.)

A. Description of Assets (including trust assets) <i>Place "(X)" after each asset exempt from prior disclosure.</i>	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	If not exempt from disclosure			
	Amt. Code1 (A-H)	Type (e.g., div., rent or int.)	Value Code2 (J-P)	Value Method Code (Q-W)	Type (e.g., buy, sell, merger, redemption)	(2) Date: Month- Day	(3) Value Code2 (J-P)	(4) Gain Code1 (A-H)	(5) Identity of buyer/seller (if private transaction)
☐ NONE (No reportable income, assets, or transactions)									
18 Texas Saver 401k:									
19 Fidelity US Bond Index	A	Dividend	J	T					
20 ATT Wireless Svcs	none	N/A	J	T					
21 Agere Sys Cl B	none	N/A	J	T	Sale/transfer	12/08	J	loss	
22 Amerindo Tech Fund	none	N/A	J	T					
23 Dreyfus Midcap Value Fund	none	N/A	J	T					
24 Janus Specialty Equity	none	N/A	J	T					
25 Lucent Technologies	none	N/A	J	T					
26 Northern Midcap Growth	none	N/A	J	T					
27 Northern Technology	none	N/A	J	T					
28 SBC Communications	A	Dividend	J	T					
29 Schwab Total Stk. Index	A	Dividend	J	T					
30 Telecomm. Sys Cl A	none	N/A	J	T					
31 Schwab Govt. Money Fd.	A	Dividend	J	T	from 21 above				
32 IRA accounts (spouse):									
33 Fidelity Independence Fund IRA	A	Dividend	K	T	from 34 below				
34 Safeco Equity Fund IRA	A	Dividend	J	T	transferred to 33 above	4/22	J	loss	
1 Income/Gain Codes: A=\$1,000 or less (See Col. B1, D4) F=\$50,001-\$100,000	B=\$1,001-\$2,500 G=\$100,001-\$1,000,000	C=\$2,501-\$5,000 H1=\$1,000,001-\$5,000,000	D=\$5,001-\$15,000 H2=More than \$5,000,000	E=\$15,001-\$50,000					
2 Value Codes: J=\$15,000 or less (See Col. C1, D3) N=\$250,001-\$500,000 P3=\$25,000,001-\$50,000,000	K=\$15,001-\$50,000 O=\$500,001-\$1,000,000	L=\$50,001-\$100,000 P1=\$1,000,001-\$5,000,000 P4=More than \$50,000,000	M=\$100,001-\$250,000 P2=\$5,000,001-\$25,000,000						
3 Value Method Codes: Q=Appraisal (See Col. C2) U=Book value	R=Cost (real estate only) V=Other	S=Assessment W=Estimated	T=Cash/Market						

FINANCIAL DISCLOSURE REPORT

Name of Person Reporting

Frank Montalvo

Date of Report

May 7, 2004

VII. Page 3 INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children. See pp. 34-57 of Instructions.)

A. Description of Assets (including trust assets) <i>Place "(X)" after each asset exempt from prior disclosure.</i>	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	If not exempt from disclosure			
	Amt. Code1 (A-H)	Type (e.g., div., rent or int.)	Value Code2 (J-P)	Value Method Code (Q-W)	Type (e.g., buy, sell, merger, redemption)	(2) Date: Month- Day	(3) Value Code2 (J-P)	(4) Gain Code1 (A-H)	(5) Identity of buyer/seller (if private transaction)
☐ NONE (No reportable income, assets, or transactions)									
35 Texas Tomorrow Fund	B	Dividend	K	T					
36 Bexar County 457 Plan:									
37 Janus Aspen	A	Dividend	J	T					
38 Compt. Judiciary 457 plan:									
39 ING Solomon Brothers	A	Dividend	J	T	Sale/transfer to 41 & 42	4/11	J	loss	
40 Janus Aspen	A	Dividend	J	T	Sale/transfer to 41 & 42	4/11	J	loss	
41 Fidelity US Bond Index Fd	A	Dividend	J	T	from 39 & 40 above				
42 Vanguard Inst. Index Fd	A	Dividend	J	T	from 39 & 40 above				
43 Teachers Ret. System (spouse)	A	Interest	J	T					
44 Texas Tomorrow Fund	B	Dividend	K	T					
45 Retirement System of Texas	D	Interest	L	T	Redem/Roll	L	E		
46									
47									
48									
49									
50									

1	Income/Gain Codes: (See Col. B1, D4)	A=\$1,000 or less F=\$50,001-\$100,000	B=\$1,001-\$2,500 G=\$100,001-\$1,000,000	C=\$2,501-\$5,000 H1=\$1,000,001-\$5,000,000	D=\$5,001-\$15,000 H2=More than \$5,000,000	E=\$15,001-\$50,000
2	Value Codes: (See Col. C1, D3)	J=\$15,000 or less N=\$250,001-\$500,000 P3=\$25,000,001-\$50,000,000	K=\$15,001-\$50,000 O=\$500,001-\$1,000,000	L=\$50,001-\$100,000 P1=\$1,000,001-\$5,000,000 P4=More than \$50,000,000	M=\$100,001-\$250,000 P2=\$5,000,001-\$25,000,000	
3	Value Method Codes: (See Col. C2)	Q=Appraisal U=Book value	R=Cost (real estate only) V=Other	S=Assessment W=Estimated	T=Cash/Market	

FINANCIAL DISCLOSURE REPORT

Name of Person Reporting

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS (Indicate part of Report.)

Regarding section IV, Reimbursements: Checci and Company Consulting is the administrator of a grant from the United States Agency for International Development to the Republic of Colombia for the improvement of the judicial system in Colombia. I was invited and traveled to Colombia to speak at the "Primer Encuentro Nacional entre la Justicia Formal y la Conciliación en Equidad".

Regarding section V, Gifts: Cash donations were made by various sponsors and given to the San Antonio Bar Foundation. The San Antonio Bar Foundation planned and paid all expenses attributable to the Investiture ceremony. This was a joint ceremony held for another newly appointed district judge and me. The amount listed is approximately one-half of monies received by the San Antonio Bar Foundation.

Regarding section VII, Investments and trusts: Retirement System of Texas (item 45) was redeemed upon termination of employment with the state of Texas, with some proceeds rolled over into the funds identified in items 6 and 7 of the same section.

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that all information regarding honoraria and the acceptance of gifts which have been reported are in compliance with 28 U.S.C. § 7353 and Judicial Conference regulations.

Signature

Date

May 7, 2003

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. App., § 104.)

FILING INSTRUCTIONS:

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the
United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544