

FINANCIAL DISCLOSURE REPORT

FOR CALENDAR YEAR 2004

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (Last name, First name, Middle initial) Herrera, Judith C	2. Court or Organization U.S. District Court, NM	3. Date of Report 5/13/2005
4. Title (Article III Judges indicate active or senior status; magistrate judges indicate full- or part-time) Active District Judge	5. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final	6. Reporting Period 1/1/2004 to 12/31/2004
7. Chambers or Office Address United States District Court P.O. Box 2085 Santa Fe, NM 87504-2085	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	

IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.

I. POSITIONS. (Reporting individual only; see p. 9-13 of filing instructions)

☐ NONE - (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1. Shareholder/President

Herrera, Long, Pound & Komer, P.A. (Until 06/16/04)

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☐ NONE - (No reportable agreements.)

DATE

PARTIES AND TERMS

1.

Will roll over profit sharing account out of law firm.

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III. NON-INVESTMENT INCOME. (Reporting individual and spouse; see pp. 17-24 of filing instructions)**A. Filer's Non-Investment Income**☐ **NONE** - (No reportable non-investment income.)

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>GROSS INCOME</u> (yours, not spouse's)
1. 2004	Herrera, Long, Pound & Komer, P.A. (Salary)	29,000.00

B. Spouse's Non-Investment Income - (If you were married during any portion of the reporting year, please complete this section. Dollar amount not required except for honoraria.)☐ **NONE** - (No reportable non-investment income.)

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2004	Las Campanas Limited Partnership (Salary)

IV. REIMBURSEMENTS - transportation, lodging, food, entertainment

(includes those to spouse and dependent children. See pp. 25-27 of instructions.)

☒ **NONE** - (No such reportable reimbursements.)

<u>SOURCE</u>	<u>DESCRIPTION</u>
1.	

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V. GIFTS. (Includes those to spouse and dependent children. See pp. 28-31 of instructions.)

☒ NONE - (No such reportable gifts.)

SOURCE

DESCRIPTION

VALUE

1.

VI. LIABILITIES. (Includes those of spouse and dependent children. See pp. 32-34 of instructions.)

☐ NONE - (No reportable liabilities.)

CREDITOR

DESCRIPTION

VALUE CODE

1. Bank of America

Office Building-Rental Property #1

O

2. Charles Schwab

Margin Account

L

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VII. INVESTMENTS and TRUSTS

— income, value, transactions (includes those of the spouse and dependent children. See pp. 34-57 of filing instructions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	If not exempt from disclosure			
	Amount Code 1 (A-H)	Type (e.g. div, rent, or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g. buy, sell, merger, redemption)	(2) Date: Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
☐ NONE (no reportable income, assets, or transactions)									
1. Rental Property #1 Santa Fe, NM	G	Rent	O	R					
2. PARTNERSHIP Int - Law Firm, SF, NM		None	K	W					
3. [REDACTED] Ptnrshp #1 Santa Fe, NM		None	P1	W					
4. Cabot Oil & Gas-Common		None	L	T					
5. Cisco Systems Inc.-Common		None			sell	09-21	K		
6. Dell Computer Corp-Common		None			sell	09-21	L	E	
7. Fidelity Natl Finl Inc.-Common	B	Dividend	L	T					
8. Intel Corp-Common		None			sell	03-05	K		
9. Janus Worldwide Fund-Mutual Fund		None			sell	03-12	J		
10. MCDATA Corp CL A		None			sell	11-29	J	A	
11. Microsoft Corp-Common	D	Dividend	L	T					
12. N B T Y Inc.-Common		None			sell	11-30	K	D	
13. Stewart Info Svcs Corp.-Common		None			sell	03-02	K	D	
14. AOL Time Warner Inc-Common		None			sell	11-05	J		
15. Avaya Inc Odd Lot Offer		None			sell	11-29	J	A	
16. Baker Hughes Inc		None			sell				
17. Caremark Rx Inc.-Common		None	K	T					
18. D S T SYSTEMS Inc.-Common		None			sell	03-02	J		

1. Income/Gain Codes:	A = \$1,000 or less (See Columns B1 and D4)	B = \$1,001-\$2,500	C = \$2,501-\$5,000	D = \$5,001-\$15,000	E = \$15,001-\$50,000
	F = \$50,001-\$100,000	G = \$100,001-\$1,000,000	H1 = \$1,000,001-\$5,000,000	H2 = More than \$5,000,000	
2. Value Codes:	J = \$15,000 or less (See Columns C1 and D3)	K = \$15,001-\$50,000	L = \$50,001-\$100,000	M = \$100,001-\$250,000	
	N = \$250,000-\$500,000	O = \$500,001-\$1,000,000	P1 = \$1,000,001-\$5,000,000	P2 = \$5,000,001-\$25,000,000	
	P3 = \$25,000,001-\$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes:	Q = Appraisal (See Column C2)	R = Cost (Real Estate Only)	S = Assessment	T = Cash/Barter	
	U = Book Value	V = Other	W = Estimated		

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VII. INVESTMENTS and TRUSTS

income, value, transactions (includes those of the spouse and dependent children. See pp. 34-37 of filing instructions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transaction during reporting period				
	(1)	(2)	(1)	(2)	(1)	If not exempt from disclosure			
	Asset Code 1 (A-H)	Type (e.g. div. rent or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g. buy, sell, exchange, redemption)	(2) Date: Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
19. Gulfterra Energy Ptnrs-Common		None			sell	04-05	J	B	
20. Johnson & Johnson-Common		None			sell	03-17	J	D	
21. Latin Amera Discovery FD		None			sell	var	J	C	
22. Lowes Companies Inc.-Common		None	J	T					
23. M G I C Investment Corp-Common		None			sell	03-02	J	B	
24. Pfizer Incorporated-Common		None			sell	11-02	J		
25. Herrera, Long, Pound & Komer, PA, Profit Sharing Plan	A	Distribution	K	T					
26. Las Campanas Limited Partnership, 401(k)		None	K	T					
27. Las Campanas Limited Partnership, Profit Sharing Plan	A	Distribution	J	T					
28. Intl Game Technology	A	Dividend	L	T					
29. NW Mutual Life Ins.		None	K	T					
30. NW Mutual Life Ins.		None	K	T					
31. NW Mutual Life Ins.		None	K	T					
32. NW Mutual Variable Extra Ord Life: Aggressive Growth Stock		None	J	T					
33. NW Mutual Variable Extra Ordinary Life: Small Cap Growth		None	J	T					
34. NW Mutual Variable Extra Ordinary Life: Aggressive Growth		None	J	T					
35. NW Mutual Variable Extra Ordinary Life: Small Cap Growth		None	J	T					
36. NW Mutual Variable Extra Ordinary Life: Aggressive Growth		None	J	T					

1. Income/Gain Codes:	A = \$1,000 or less (See Columns B1 and B4)	B = \$1,001-\$2,500	C = \$2,501-\$5,000	D = \$5,001-\$15,000	E = \$15,001-\$50,000
	F = \$50,001-\$100,000	G = \$100,001-\$1,000,000	HJ = \$1,000,001-\$5,000,000	H2 = More than \$5,000,000	
2. Value Codes:	J = \$15,000 or less (See Columns C1 and B3)	K = \$15,001-\$50,000	L = \$50,001-\$100,000	M = \$100,001-\$250,000	
	N = \$250,000-\$500,000	O = \$500,001-\$1,000,000	P1 = \$1,000,001-\$5,000,000	P2 = \$5,000,001-\$25,000,000	
	P3 = \$25,000,001-\$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes:	Q = Appraisal (See Column C2)	R = Cost (Real Estate Only)	S = Assessment	T = Cash/Market	
	U = Book Value	V = Other	W = Estimated		

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VII. INVESTMENTS and TRUSTS — income, value, transactions (includes those of the spouse and dependent children. See pp. 34-57 of filing instructions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Costs & value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g. div. rent or int.)	(1) Value Code 2 (I-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, transfer, redemption)	If not exempt from disclosure			
						(2) Date: Month - Day	(3) Value Code 2 (I-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
37. NW Mutual Variable Extra Ordinary Life: Small Cap Growth		None	J	T					
38. Hanover CAP MTG HLDS-Common	A	Dividend			buy/sell	09-13	J		
39. IBM-Common		None			buy/sell	09-21	K		
40. MBNA-Common		None			buy/sell	11-02	K		
41. Berkshire Hathaway CL B-Common		None	L	T					
42. Energy Partners LTD-Common		None	J	T					
43. GEHL Company-Common		None	K	T					
44. Healthcare SVC Group Inc-Common		None	K	T					
45. Symantec Corp-Common		None	K	T					
46. Exxon Mobil Corp-Common	A	Dividend	K	T					

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2. Value Codes:	F = \$50,001-\$100,000 J = \$15,000 or less N = \$250,000-\$500,000 P3 = \$25,000,001-\$50,000,000	K = \$15,001-\$50,000 O = \$500,001-\$1,000,000	L = \$50,001-\$100,000 P1 = \$1,000,001-\$5,000,000 P5 = \$5,000,001-\$25,000,000	M = \$100,001-\$250,000 P2 = \$5,000,001-\$25,000,000	
3. Value Method Codes	Q = Appraisal (See Column C2) U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessed W = Estimated	T = Cash/Market	

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS

(Indicate part of Report.)

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature

Date

5/13/05

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544