

FINANCIAL DISCLOSURE REPORT

Calendar Year 2004

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (Last name, First name, Middle initial) PAULEY III, WILLIAM H	2. Court or Organization SOUTHERN DISTRICT OF NEW YORK	3. Date of Report 5/13/2005
4. Title (Article III Judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. DISTRICT JUDGE (active)	5. Report Type (check appropriate type) ☐ Nomination, Date ☐ Initial ☒ Annual ☐ Final	6. Reporting Period 1/1/2004 to 12/31/2004
7. Chambers or Office Address UNITED STATES COURTHOUSE 500 PEARL STREET NEW YORK, NEW YORK 10007-1581	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	

IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☐ **NONE** - (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1. **Member of Board of Visitors**

Duke University School of Law

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☐ **NONE** - (No reportable agreements.)

DATE

PARTIES AND TERMS

1. **1998**

Continuation of payments agreement with former law partner, Franklyn H. Snitow, his law firm, Snitow Kanfer Holtzer & Millus, LLP.

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III. NON-INVESTMENT INCOME. (Reporting individual and spouse; see pp. 17-24 of filing instructions)**A. Filer's Non-Investment Income**☐ **NONE** - (No reportable non-investment income.)

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>GROSS INCOME</u> (yours, not spouse's)
1. 2004	Snitow & Pauley, a former law partnership winding-down its affairs (continuation of payments agreement described in Part II, line 1)	\$63,043
2.		

B. Spouse's Non-Investment Income - (If you were married during any portion of the reporting year, please complete this section. (dollar amount not required except for honoraria)☐ **NONE** - (No reportable non-investment income.)

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2004	Self-Employed Licensed Real Estate Sales Person

IV. REIMBURSEMENTS - transportation, lodging, food, entertainment.

(Includes those to spouse and dependent children. See pp. 25-27 of instructions.)

☐ **NONE** - (No such reportable reimbursements.)

<u>SOURCE</u>	<u>DESCRIPTION</u>
1. Duke University School of Law	October 7-9, 2004. Law School Board of Visitors Meeting. (travel, food and lodging)

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V. GIFTS. (Includes those to spouse and dependent children. See pp. 28-31 of instructions.)☒ **NONE** - (No such reportable gifts.)SOURCEDESCRIPTIONVALUE1.

_____**VI. LIABILITIES.** (Includes those of spouse and dependent children. See pp. 32-34 of instructions.)☒ **NONE** - (No reportable liabilities.)CREDITORDESCRIPTIONVALUE CODE1.

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VII. INVESTMENTS and TRUSTS — income, value, transactions (includes those of the spouse and dependent children. See pp. 34-57 of filing instructions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g. div. rent or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, merger, redemption)	If not exempt from disclosure			
						(2) Date: Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
☐ NONE (No reportable income, assets, or transactions)									
1. COMMON STOCKS									
2. EXXON/MOBIL	A	Dividend	J	T					
3. AXA AMERICAN DEPOSITORY SHARES	A	Dividend	J	T					
4. PRUDENTIAL FIN'L SRVS	A	Dividend	J	T					
5. IBM	A	Dividend	J	T					
6.									
7. MUTUAL FUNDS									
8. AIM SM CAP EQUITY FUND CL B	A	Dividend	K	T					
9. ALGER FD BAL PORTFOLIO	A	Dividend	K	T					
10. ALLIANCE DISCIPLINE VALUE CL B	A	Dividend			Conversion	12/15			
11. ALLIANCE FOCUSED GROWTH INCOME CL B	A	Dividend	K	T	Conversion	12/15	K		
12. DAVIS NY VENTURE FUND CL B	A	Dividend	K	T					
13. DRYDEN SHORT TERM CORP BOND FD CL B	A	Dividend			Sale/Exch	12/29	M		
14. DRYDEN TOTAL RETURN BOND FUND	A	Dividend	J	T	Buy/Exch	12/29	J		
15. DRYDEN SPEC MONEY MRKT FUND CL B	A	Dividend	M	T	Buy/Exch	12/29	M		
16. DRYDEN ACTIVE ALLOCATION FUND CL B	A	Dividend	K	T	Buy/Exch	12/29	K		
17. DRYDEN INTL EQTY FD CL B	A	Dividend	K	T					
18. DRYDEN STOCK INDEX FUND CL B	A	Dividend	L	T	Partial Conv	12/29	J		

1. Income/Gain Codes:	A = \$1,000 or less	B = \$1,001-\$2,500	C = \$2,501-\$5,000	D = \$5,001-\$15,000	E = \$15,001-\$50,000
(See Columns B1 and D4)	F = \$50,001-\$100,000	G = \$100,001-\$1,000,000	H1 = \$1,000,001-\$5,000,000	H2 = More than \$5,000,000	
2. Value Codes:	J = \$15,000 or less	K = \$15,001-\$50,000	L = \$50,001-\$100,000	M = \$100,001-\$250,000	
(See Columns C1 and D3)	N = \$250,000-\$500,000	O = \$500,001-\$1,000,000	P1 = \$1,000,001-\$5,000,000	P2 = \$5,000,001-\$25,000,000	
	P3 = \$25,000,001-\$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash/Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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VII INVESTMENTS and TRUSTS – income, value, transactions (includes those of the spouse and dependent children. See pp. 34-57 of filing instructions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g. div. rent or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, merger, redemption)	If not exempt from disclosure			
						(2) Date: Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
19. DRYDEN STOCK INDEX FUND CL A	A	Dividend	J	T	Conversion	12/29	J		
20. DRYDEN SM CAP CORE EQTY FD CL B	A	Dividend	K	T					
21. FEDERATED WORLD INVT. SRVS GLOBAL FIN'L SRVS CL B	A	Dividend			Conversion	1/7	J		
22. FEDERATED INTERNATIONAL VALUE FUND CL B	A	Dividend	J	T	Conversion	1/7	J		
23. GOLDMAN SACHS TRUST MID CAP EQUITY FUND CL B	A	Dividend			Conversion	6/15			
24. GOLDMAN SACHS MID CAP VALUE FUND CL B	A	Dividend	K	T	Conversion	6/15			
25. J. HANCOCK US GLOBAL LEADERS GROWTH CL B	A	Dividend	J	T					
26. J. HANCOCK US GLOBAL LEADERS GROWTH CL A	A	Dividend	J	T					
27. JENNISON NATURAL RESOURCES FUND CL A	A	Distribution	K	T					
28. JENNISON FIN SERVS FD CL B	A	Dividend	L	T	Partial Exch	2/20	K		
29. JENNISON FIN SERVS FD CL A	A	Dividend	K	T					
30. JENNISON EQTY OPP CL A	A	Dividend	K	T					
31. JENNISON UTILITY FUND CL B	A	Dividend	K	T					
32. JENNISON SMALL CO FUND CL B	A	Dividend	K	T	Partial exch	9/13			
33. JENINSON SMALL CO. FUND CLASS A	A	Dividend	J	T	Exchange	9/13	J		
34. STRATEGIC PARTNERS FOCUSED VALUE FUND CL B	A	Dividend	K	T	Partial Conv	1/07	J		
35. STRATEGIC PARTNERS FOCUSED VALUE FUND CL A	A	Dividend	J	T	Conversion	1/07	J		
36. PUTNAM HEALTH SCI TRUST CL B	A	Dividend	K	T					

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VII. INVESTMENTS and TRUSTS

A. Description of Assets (including trust assets)	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	If not exempt from disclosure			
	Amount Code 1 (A-H)	Type (e.g. div. rent. or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g. buy, sell, merger, redemption)	(2) Date: Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
37. PUTNAM SM CAP CL B	A	Dividend			Exchange	2/20	K		
38. PUTNAM SM CAP VALUE CL A	A	Dividend	K	T	Exchange	2/20	K		
39. SELIG LASALLE DIVIDEND REAL ESTATE FUND CL B	A	Dividend	K	T					
40. SELIG INCOME FUND CL B	A	Dividend	K	T					
41. SELIG SM CAP VALUE FD CL B	A	Dividend	K	T					
42. SELIG FRONTIER FUND CL B	A	Dividend	K	T					
43.									
44. ANNUITIES									
45. DISCOVERY PLUS	B	Interest	L	T					
46.									
47. CASH AND CASH EQUIVALENTS									
48. JP MORGAN CHASE	A	Interest	L	T					
49. U.S. TREASURY BILLS	D	Interest	N	T					
50. DREYFUS LIQUID ASSETS, INC.	A	Dividend	J	T					
51. U.S. SAVINGS BONDS	A	Interest	J	T					
52. AXA FIN'L LIFE INS (Cash Surrender Value)	A	Dividend	L	T					
53. AXA FIN'L LIFE INS (Cash Surrender Value)	A	Dividend	K	T					
54. PRU MONEY MARKET ACCOUNT	A	Interest			Conversion	9/4	J		

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55. WACHOVIA MONEY MARKET ACCOUNT	A	Interest	J	T	Conversion	9/4	J		
56. PRU TAX FREE MONEY MARKET ACCOUNT	B	Interest			Conversion	9/4	K		
57. EVERGREEN MUNICIPAL MONEY MARKET FUND	A	Interest	K	T	Conversion	9/4	K		
58. NEW YORK STATE COLLEGE TUITION SAVINGS FUND PROGRAM	B	Interest	L	T	Buy	12/22	J		
59.									
60. RETIREMENT ACCOUNTS									
61. 401K PLAN - ING	A	Dividend	K	T					
62. IRA SCUDDER	A	Interest	K	T					
63. ALGER CAPITAL APPRECIATION FD CL B	A	Interest	J	T					
64. JENNISON GROWTH CL A	A	Interest	J	T					
65. JENNISON GROWTH CL B	A	Interest	J	T					
66. JENNISON TECH FUND CL B	A	Interest	J	T					
67. DAVIS NY VENTURE FUND CL A	A	Dividend	J	T					
68. GOLDMAN SACHS TRUST FUND CAP GRWTH PORTFOLIO CL A	A	Dividend	J	T					
69. JENNISON HLTH SCI FD CL A	A	Distribution	J	T					
70. JENNISON HLTH SCI FD CL B	A	Distribution	J	T					
71. STRATEGIC PARTNERS FOCUSED GROWTH FUND CL B	A	Distribution	J	T					
72. STRATEGIC PARTNERS NEW ERA GRWTH FD CL B	A	Distribution	M	T					

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\$500,000,000,000,000,001-\$1,000,000,000,000,000,000 P25 = \$1,000,000,000,000,000,001-\$5,000,000,000,000,000,000 P26 = \$5,000,000,000,000,000,001-\$25,000,000,000,000,000,000 P27 = \$25,000,000,000,000,000,001-\$50,000,000,000,000,000,000 P28 = \$50,000,000,000,000,000,001-\$100,000,000,000,000,000,000 P29 = \$100,000,000,000,000,000,001-\$500,000,000,000,000,000,000 P30 = \$500,000,000,000,000,000,001-\$1,000,000,000,000,000,000,000 P31 = \$1,000,000,000,000,000,000,001-\$5,000,000,000,000,000,000,000 P32 = \$5,000,000,000,000,000,000,001-\$25,000,000,000,000,000,000,000 P33 = \$25,000,000,000,000,000,000,001-\$50,000,000,000,000,000,000,000 P34 = \$50,000,000,000,000,000,000,001-\$100,000,000,000,000,000,000,000 P35 = \$100,000,000,000,000,000,000,001-\$500,000,000,000,000,000,000,000 P36 = \$500,000,000,000,000,000,000,001-\$1,000,000,000,000,000,000,000,000 P37 = \$1,000,000,000,000,000,000,000,001-\$5,000,000,000,000,000,000,000,000 P38 = \$5,000,000,000,000,000,000,000,001-\$25,000,000,000,000,000,000,000,000 P39 = \$25,000,000,000,000,000,000,000,001-\$50,000,000,000,000,000,000,000,000 P40 = \$50,000,000,000,000,000,000,000,001-\$100,000,000,000,000,000,000,000,000 P41 = \$100,000,000,000,000,000,000,000,001-\$500,000,000,000,000,000,000,000,000 P42 = \$500,000,000,000,000,000,000,000,001-\$1,000,000,000,000,000,000,000,000,000 P43 = \$1,000,000,000,000,000,000,000,000,001-\$5,000,000,000,000,000,000,000,000,000 P44 = \$5,000,000,000,000,000,000,000,000,001-\$25,000,000,000,000,000,000,000,000,000 P45 = \$25,000,000,000,000,000,000,000,000,001-\$50,000,000,000,000,000,000,000,000,000 P46 = \$50,000,000,000,000,000,000,000,000,001-\$100,000,000,000,000,000,000,000,000,000 P47 = \$100,000,000,000,000,000,000,000,000,001-\$500,000,000,000,000,000,000,000,000,000 P48 = \$500,000,000,000,000,000,000,000,000,001-\$1,000,000,000,000,000,000,000,000,000,000 P49 = \$1,000,000,000,000,000,000,000,000,000,001-\$5,000,000,000,000,000,000,000,000,000,000 P50 = \$5,000,000,000,000,000,000,000,000,000,001-\$25,000,000,000,000,000,000,000,000,000,000 P51 = \$25,000,000,000,000,000,000,000,000,000,001-\$50,000,000,000,000,000,000,000,000,000,000 P52 = \$50,000,000,000,000,000,000,000,000,000,001-\$100,000,000,000,000,000,000,000,000,000,000 P53 = \$100,000,000,000,000,000,000,000,000,000,001-\$500,000,000,000,000,000,000,000,000,000,000 P54 = \$500,000,000,000,000,000,000,000,000,000,001-\$1,000,000,000,000,000,000,000,000,000,000,000 P55 = \$1,000,000,000,000,000,000,000,000,000,000,001-\$5,000,000,000,000,000,000,000,000,000,000,000 P56 = \$5,000,000,000,000,000,000,000,000,000,000,001-\$25,000,000,000,000,000,000,000,000,000,000,000 P57 = \$25,000,000,000,000,000,000,000,000,000,000,001-\$50,000,000,000,000,000,000,000,000,000,000,000 P58 = \$50,000,000,000,000,000,000,000,000,000,000,001-\$100,000,000,000,000,000,000,000,000,000,000,000 P59 = \$100,000,000,000,000,000,000,000,000,000,000,001-\$500,000,000,000,000,000,000,000,000,000,000,000 P60 = \$500,000,000,000,000,000,000,000,000,000,000,001-\$1,000,000,000,000,000,000,000,000,000,000,000,000 P61 = \$1,000,000,000,000,000,000,000,000,000,000,000,001-\$5,000,000,000,000,000,000,000,000,000,000,000,000 P62 = \$5,000,000,000,000,000,000,000,000,000,000,000,001-\$25,000,000,000,000,000,000,000,000,000,000,000,000 P63 = \$25,000,000,000,000,000,000,000,000,000,000,000,001-\$50,000,000,000,000,000,000,000,000,000,000,000,000 P64 = \$50,000,000,000,000,000,000,000,000,000,000,000,001-\$100,000,000,000,000,000,000,000,000,000,000,000,000 P65 = \$100,000,000,000,000,000,000,000,000,000,000,000,001-\$500,000,000,000,000,000,000,000,000,000,000,000,000 P66 = \$500,000,000,000,000,000,000,000,000,000,000,000,001-\$1,000,000,000,000,000,000,000,000,000,000,000,000,000 P67 = \$1,000,000,000,000,000,000,000,000,000,000,000,000,001-\$5,000,000,000,000,000,000,000,000,000,000,000,000,000 P68 = \$5,000,000,000,000,000,000,000,000,000,000,000,000,001-\$25,000,000,000,000,000,000,000,000,000,000,000,000,000 P69 = \$25,000,000,000,000,000,000,000,000,000,000,000,000,001-\$50,000,000,000,000,000,000,000,000,000,000,000,000,000 P70 = \$50,000,000,000,000,000,000,000,000,000,000,000,000,001-\$100,000,000,000,000,000,000,000,000,000,000,000,000,000 P71 = \$100,000,000,000,000,000,000,000,000,000,000,000,000,001-\$500,000,000,000,000,000,000,000,000,000,000,000,000,000 P72 = \$500,000,000,000,000,000,000,000,000,000,000,000,000,001-\$1,000,000,000,000,000,000,000,000,000,000,000,000,000,000 P73 = \$1,000,000,000,000,000,000,000,000,000,000,000,000,000,001-\$5,000,000,000,000,000,000,000,000,000,000,000,000,000,000 P74 = \$5,000,000,000,000,000,000,000,000,000,000,000,000,000,001-\$25,000,000,000,000,000,000,000,000,000,000,000,000,000,000 P75 = \$25,000,000,000,000,000,000,000,000,000,000,000,000,000,001-\$50,000,000,000,000,000,000,000,000,000,000,000,000,000,000 P76 = \$50,000,000,000,000,000,000,000,000,000,000,000,000,000,001-\$100,000,000,000,000,000,000,000,000,000,000,000,000,000,000 P77 = \$100,000,000,000,000,000,000,000,000,000,000,000,000,000,001-\$500,000,000,000,000,000,000,000,000,000,000,000,000,000,000 P78 = \$500,000,000,000,000,000,000,000,000,000,000,000,000,000,001-\$1,000,000,000,000,000,000,000,000,000,000,000,000,000,000,000 P79 = \$1,000,000,000,000,000,000,000,000,000,000,000,000,000,000,001-\$5,000,000,000,000,000,000,000,000,000,000,000,000,000,000,000 P80 = \$5,000,000,000,000,000,000,000,000,000,000,000,000,000,000,001-\$25,000,000,000,000,000,000,000,000,000,000,000,000,000,000,000 P81 = \$25,000,000,000,000,000,000,000,000,000,000,000,000,000,000,001-\$50,000,000,000,000,000,000,000,000,000,000,000,000,000,000,000 P82 = \$50,000,000,000,000,000,000,000,000,000,000,000,000,000,000,001-\$100,000,000,000,000,000,000,000,000,000,000,000,000,000,000,000 P83 = \$100,000,000,000,000,000,000,000,000,000,000,000,000,000,000,001-\$500,000,000,000,000,000,000,000,000,000,000,000,000,000,000,000 P84 = \$500,000,000,000,000,000,000,000,000,000,000,000,000,000,000,001-\$1,000,000,000,000,000,000,000,000,000,000,000,000,000,000,000,000 P85 = \$1,000,000,000,000,000,000,000,000,000,000,000,000,000,000,000,001-\$5,000,000,000,000,000,000,000,000,000,000,000,000,000,000,000,000 P86 = \$5,000,000,000,000,000,000,000,000,000,000,000,000,000,000,000,001-\$25,000,000,000,000,000,000,000,000,000,000,000,000,000,000,000,000 P87 = \$25,000,000,000,000,000,000,000,000,000,000,000,000,000,000,000,001-\$50,000,000,000,000,000,000,000,000,000,000,000,000,000,000,000,000 P88 = \$50,000,000,000,000,000,000,000,000,000,000,000,000,000,000,000,001-\$100,000,000,000,000,000,000,000,000,000,000,000,000,000,000,000,000 P89 = \$100,000,000,000,000,000,000,000,000,000,000,000,000,000,000,000,001-\$500,000,000,000,000,000,000,000,000,000,000,000,000,000,000,000,000 P90 = \$500,000,000,000,000,000,000,000,000,000,000,000,000,000,000,000,001-\$1,000,000,000,000,000,000,000,000,000,000,000,000,000,000,000,000,000 P91 = \$1,000,000,000,000,000,000,000,000,000,000,000,000,000,000,000,000,001-\$5,000,000,000,000,000,000,000,000,000,000,000,000,000,000,000,000,000 P92 = \$5,000,000,000,000,000,000,000,000,000,000,000,000,000,000,000,000,001-\$25,000,000,000,000,000,000,000,000,000,000,000,000,000,000,000,000,000 P93 = \$25,000,000,000,000,000,000,000,000,000,000,000,000,000,000,000,000,001-\$50,000,000,000,000,000,000,000,000,000,000,000,000,000,000,000,000,000 P94 = \$50,000,000,000,000,000,000,000,000,000,000,000,000,000,000,000,000,001-\$100,000,000,000,000,000,000,000,000,000,000,000,000,000,000,000,000,000 P95 = \$100,000,000,000,000,000,000,000,000,000,000,000,000,000,000,000,000,001-\$500,000,000,000,000,000,000,000,000,000,000,000,000,000,000,000,000,000 P96 = \$500,000,000,000,000,000,000,000,000,000,000,000,000,000,000,000,000,001-\$1,000,000,000,000,000,000,000,000,000,000,000,000,000,000,000,000,000,000 P97 = \$1,000,000,000,000,000,000,000,000,000,000,000,000,000,000,000,000,000,001-\$5,000,000,000,000,000,000,000,000,000,000,000,000,000,000,000,000,000,000 P98 = \$5,000,000,000,000,000,000,000,000,000,000,000,000,000,000,000,000,000,001-\$25,000,000,000,000,000,000,000,000,000,000,000,000,000,000,000,000,000,000 P99 = \$25,000,000,000,000,000,000,000,000,000,000,000,000,000,000,000,000,000,001-\$50,000,000,000,000,000,000,000,000,000,000,000,000,000,000,000,000,000,000 P100 = \$50,000,000,000,000,000,000,000,000,000,000,000,000,000,000,000,000,000,001-\$100,000,000,000,000,000,000,000,000,000,000,000,000,000,000,000,000,000,000

3. Value Method Codes: Q = Appraisal R = Cost (Real Estate Only) S = Assessment T = Cash/Market
V = Other W = Estimated

FINANCIAL DISCLOSURE REPORT

Page 5 of 5

Name of Person Reporting
PAULEY III, WILLIAM H

Date of Report
5/13/2005

VII. INVESTMENTS and TRUSTS - income, value, transactions (includes those of the spouse and dependent children. See pp. 34-57 of filing instructions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	If not exempt from disclosure			
	Amount Code 1 (A-H)	Type (e.g. div. rent. or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g. buy, sell, merger, redemption)	(2) Date: Month- Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
73. SELIG COMM & INFO FD CL A	A	Distribution	J	T					
74. COMMAND MONEY MKT FDS	B	Interest	K	T	Exchange	03/10	M		
75. U.S. THRIFT SAVINGS PLAN (GOVT SECURITIES FUND)	C	Interest	M	T	Exchange	03/10	M		
76. UTS GOVT SEC EQUITY TRUST		None	J	T					
77.									
78.									
79.									

1. Income/Gain Codes:	A = \$1,000 or less	B = \$1,001-\$2,500	C = \$2,501-\$5,000	D = \$5,001-\$15,000	E = \$15,001-\$50,000
(See Columns B1 and D4)	F = \$50,001-\$100,000	G = \$100,001-\$1,000,000	H1 = \$1,000,001-\$5,000,000	H2 = More than \$5,000,000	
2. Value Codes:	J = \$15,000 or less	K = \$15,001-\$50,000	L = \$50,001-\$100,000	M = \$100,001-\$250,000	
(See Columns C1 and D3)	N = \$250,000-\$500,000	O = \$500,001-\$1,000,000	P1 = \$1,000,001-\$5,000,000	P2 = \$5,000,001-\$25,000,000	
	P3 = \$25,000,001-\$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes:	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash/Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

FINANCIAL DISCLOSURE REPORT

Name of Person Reporting

Date of Report

PAULEY III, WILLIAM H

5/13/2005

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS

(Indicate part of Report.)

None.

FINANCIAL DISCLOSURE REPORT

Name of Person Reporting

PAULEY III, WILLIAM H

Date of Report

5/13/2005

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature

Date

May 13 2005

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544