

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2009

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) O'Neill, Lawrence J	2. Court or Organization Eastern District of California	3. Date of Report 04/12/2010
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Article III Judge (active)	5a. Report Type (check appropriate type) ☐ Nomination, Date ☐ Initial ☒ Annual ☐ Final 5b. ☒ Amended Report	6. Reporting Period 01/01/2009 to 12/31/2009
7. Chambers or Office Address United States Courthouse 2500 Tulare Street Fresno, California 93721	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of instructions.)

☐ NONE (No reportable positions.)

POSITION	NAME OF ORGANIZATION/ENTITY
1. Trustee	Trust #1
2. Board of Director	Federal Bar Association
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of instructions.)

☐ NONE (No reportable agreements.)

DATE	PARTIES AND TERMS
1. 1999	State of California Judges Retirement Plan (pension commences at age 62)
2.	
3.	

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Name of Person Reporting

O'Neill, Lawrence J

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of instructions.)***A. Filer's Non-Investment Income**☒ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		
5.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.
(Dollar amount not required except for honoraria.)*☒ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	
5.	

IV. REIMBURSEMENTS - *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children. See pp. 25-27 of instructions.)*☒ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>
1.	
2.	
3.	
4.	
5.	

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Name of Person Reporting

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04/12/2010

V. GIFTS. *(Includes those to spouse and dependent children. See pp. 28-31 of instructions.)*☒ NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children. See pp. 32-34 of instructions.)*☒ NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.		
2.		
3.		
4.		
5.		

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Name of Person Reporting
O'Neill, Lawrence J

Date of Report
04/12/2010

VII. INVESTMENTS and TRUSTS – income, value, transactions (includes those of the spouse and dependent children. See pp. 34-57 of filing instructions)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g. div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, merger, redemption)	If not exempt from disclosure			
						(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Prudential Fin Inc (Schwab acct)	C	Interest	L	T					
2. Metropolitan West Strategic Income (Schwab) IRA	B	Dividend	K	T	sell	04/16	K		
3. North Track Geneva Growth Fund (Schwab) IRA	A	Interest	J	T					
4. RS Global Natural Resources Fund (Schwab) IRA	B	Interest	K	T					
5. Kensington Strategic Realty Fund (Schwab) IRA	A	Distribution	J	T					
6. Dodge and Cox Stock Fund (Schwab)	C	Dividend	L	T					
7. Artisan Intl Small Cap Fund (Schwab)	B	Interest	K	T					
8. American Fund New World (Schwab)(IRA)	A	Interest	J	T					
9. i-Shares Russell 1000 Value Index Fund (Schwab)	A	Interest	K	T	sell	01/26	K		
10. Schwab US Treasury Money fund (IRA)	A	Interest	K	T					
11. Longleaf Partners Fund (Schwab) (IRA)	C	Interest	J	T	sell	01/26	J		
12. Wells Fargo Bank Trust #1	A	Interest	J	T					
13. American Fund New World (Schwab) IRA	B	Dividend	K	T	sell	01/30	J		
14. RS Global Natural (Schwab) IRA	A	Interest	J	T	buy	01/30			
15. First Eagle Overseas (Schwab)	A	Interest	J	T	buy	01/30	J		
16. American Funds New World (Schwab) IRA	A	Dividend	J	T	buy	01/26	J		
17. Kensington Select Income (Schwab) IRA	A	Dividend	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A - \$1,000 or less F - \$50,001 - \$100,000	B - \$1,001 - \$2,500 G - \$100,001 - \$1,000,000	C - \$2,501 - \$5,000 H1 - \$1,000,001 - \$5,000,000	D - \$5,001 - \$15,000 I12 - More than \$5,000,000	E - \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J - \$15,000 or less N - \$250,001 - \$500,000	K - \$15,001 - \$50,000 O - \$500,001 - \$1,000,000	L - \$50,001 - \$100,000 P1 - \$1,000,001 - \$5,000,000	M - \$100,001 - \$250,000 P2 - \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	P3 - \$25,000,001 - \$50,000,000 Q - Appraisal U - Book Value	R - Cow (Real Estate Only) V - Other	P4 - More than \$50,000,000 S - Assesment W - Estimated	T - Cash Market	

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Name of Person Reporting
O'Neill, Lawrence J

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (includes those of the spouse and dependent children. See pp. 34-57 of filing instructions)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g. div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, merger, redemption)	If not exempt from disclosure			
						(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. Longleaf Partners Fund IRA (Schwab)	A	Interest	K	T					
19. Clovis Community Bank	A	Interest	J	T					
20. Argonaut Corp, Livermore, California 1985 \$84,200 Trust #1	D	Distribution	M	R					
21. Columbia Housing IX Ltd prtner 1988 \$25,767 (trust #1)	B	Distribution	K	R					
22. Wells Fargo Bank, acct #2	A	Interest	J	T					
23. Desert Funding, Inc. Mortgage Note (Trust #1)	D	Interest	M	T					
24. Macwest Riverside Housing Inv, LLC		None	M	U					
25. California State University Revenue Muni Bond	A	Interest	K	T					
26. Dallas/Ft. Worth, Texas Intl Muni Bond	B	Interest	K	T					
27. Oregon Go Elderly/Disabled muni bond	A	Interest	J	T					
28. American Fund Am Cap Fund Schwabb	A	Dividend	K	T	sell	01/26	K		
29. Puerto Rico Electric Power Muni bond	A	Interest	K	T					
30. San Francisco Intl Airport muni Bond	A	Interest	J	T					
31. St. Louis MO Airport muni bond	A	Interest	J	T					
32. Permanent Portfolio (Schwab)	A	Dividend	K	T	sell	05/01			
33. Bank of America Sub Not corporate bonds	B	Interest	K	T					
34. General Electric Cap Corporate bond	A	Interest	J	T					

1. Income Gain Codes: (See Columns B1 and D3)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P1 = \$25,000,001 - \$50,000,000 Q = Appraisal U = Book Value	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000 R = Cost (Real Estate Only) V = Other	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000 S = Assessment W = Estimated	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000 T = Cash Market	E = \$15,001 - \$50,000
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Name of Person Reporting
O'Neill, Lawrence J

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VII. INVESTMENTS and TRUSTS – Income, value, transactions (includes those of the spouse and dependent children. See pp. 34-57 of filing instructions)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g. div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, merger, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
35. GTE North Inc bond	A	Interest	J	T					
36. Key Bank corporate bond	B	Interest	K	T					
37. Laclede Gas Co 1st mortgage	B	Interest	J	T					
38. Pacific Bell corp bond	B	Interest	K	T					
39. Providence Gas Co bond	A	Interest	J	T					
40. Prudential Finl Inc corporate bond	B	Interest	K	T					
41. Southwestern Bell Te. Co corporate bond	B	Interest	K	T					
42. Bank of America stock	A	Dividend	J	T	sell	06/17	J		
43. American Funds, Fund Inv F.	B	Dividend	J	T	sell	01/30	J		
44. American Funds, Fund Inv F-1	A	Dividend	K	T	buy	01/26	K		
45. Capital World Growth and Income mutual fund	A	Interest	J	T					
46. Pureto Rico Convention Center bonds	B	Interest	K	T					
47. Contra Costa Community College bonds	A	Interest	J	T					
48. Encinitas Ca Public Library Construction Bonds	A	Interest	J	T					
49. Schwab Govt Money Fund: IRA--Schwabb	A	Interest	K	T					
50. Morgan Stanley CD (Schwab)	B	Interest	K	T					
51. Schwab Govt Money Fund: Schwabb	D	Interest	M	T					

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000 J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000 Q ~Appraisal U ~Book Value	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000 K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000 R ~Cost (Real Estate Only) V ~Other	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000 L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$50,000,000 S ~Assessment W ~Estimated	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000 M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000 T ~Cash Market	E ~\$15,001 - \$50,000
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Name of Person Reporting
O'Neill, Lawrence J

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04/12/2010

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of the spouse and dependent children. See pp. 34-57 of filing instructions)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g. div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, merger, redemption)	If not exempt from disclosure			
						(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
52. Vanguard Ca Interm Term Tax Exempt FD (Schwab)	C	Interest	L	T	buy	01/26	K		
53. Vanguard Primecap Core Fund	B	Interest	K	T	buy	04/17	K		
54. TCW Total Return Bond (schwab) IRA	C	Interest	K	T	buy	04/17	K		
55. TCW Total Return Bond (schwab) IRA	C	Interest	K	T	sell	12/10			
56. JP Morgan Highbridge Stat Mkt Neutral Select CL	B	Dividend	K	T	buy	08/05	K		
57. Loomis Sayles Bond Cl (Schwab) (IRA)	B	Dividend	K	T	buy	08/05	K		
58. Tweedy Brown Global Fund (schwab--IRA)	B	Dividend	K	T					
59. Vanguard GNMA Fund Investor Share (IRA)	B	Dividend	K	T					
60. Schwab Ca Muni Money Fd Sweep shares	A	Interest	J	T					
61. Pimco All Asset Fund, Instit class Schwab	B	Interest	K	T					
62. SCH Ca Muni Money Fd shares (Schwab)	D	Interest	M	T					
63. San Francisco Calif City/County Public Utility bonds	A	Dividend	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000 Q = Appraisal U = Book Value	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000 R = Cost (Real Estate Only) V = Other	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000 S = Assessed W = Estimated	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000 T = Cash Market	E = \$15,001 - \$50,000
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Name of Person Reporting
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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of Report.)*

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature

NOTE: ANY IN
AND CRIMINAL

Date

April 12, 2010

IF DOES NOT FILE OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL

FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2009

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) O'Neill, Lawrence J	2. Court or Organization Eastern District of California	3. Date of Report 03/01/2010
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Article III Judge (active)	5a. Report Type (check appropriate type) ☐ Nomination, ☐ Initial ☒ Annual ☐ Final 5b. ☒ Amended Report	6. Reporting Period 01/01/2009 to 12/31/2009
7. Chambers or Office Address United States Courthouse 2500 Tulare Street Fresno, California 93721	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of instructions.)

☐ NONE (No reportable positions.)

POSITION	NAME OF ORGANIZATION/ENTITY
1. Trustee	Trust #1
2. Board of Director	Federal Bar Association
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of instructions.)

☐ NONE (No reportable agreements.)

DATE	PARTIES AND TERMS
1. 1999	State of California Judges Retirement Plan (pension commences at age 62)
2.	
3.	

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DISCLOSURE OFFICE

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03/01/2010

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of instructions.)*

A. Filer's Non-Investment Income

☒ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		
5.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*
(Dollar amount not required except for honoraria.)

☒ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	
5.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.*
(Includes those to spouse and dependent children. See pp. 25-27 of instructions.)

☒ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>
1.	
2.	
3.	
4.	
5.	

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Date of Report

03/01/2010

V. GIFTS. *(Includes those to spouse and dependent children. See pp. 28-31 of instructions.)*

☒ NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children. See pp. 32-34 of instructions.)*

☒ NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.			
2.			
3.			
4.			
5.			

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O'Neill, Lawrence J

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
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2. Metropolitan West Strategic Income (Schwab) IRA	B	Dividend	K	T	sell	04/16	K		
3. North Track Geneva Growth Fund (Schwab) IRA	A	Interest	J	T					
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8. American Fund New World (Schwab) IRA	A	Interest	J	T					
9. I-Shares Russell 1000 Value Index Fund (Schwab)	A	Interest	K	T	sell	01/26	K		
10. Schwab US Treasury Money fund (IRA)	A	interest	K	T					
11. Longleaf Partners Fund (Schwab) (IRA)	C	Interest	J	T	sell	01/26	J		
12. Wells Fargo Bank Trust #1	A	Interest	J	T					
13. American Fund New World (Schwab) IRA	B	Dividend	K	T	sell	01/30	J		
14. RS Global Natural (Schwab) IRA	A	Interest	J	T	buy	01/30			
15. First Eagle Overseas (Schwab)	A	Interest	J	T	buy	01/30	J		
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1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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Name of Person Reporting

O'Neill, Lawrence J

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24. Macwest Riverside Housing Inv. LLC		None	M	U					
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30. San Francisco Intl Airport muni Bond	A	Interest	J	T					
31. St. Louis MO Airport muni bond	A	Interest	J	T					
32. Permanent Portfolio (Schwab)	A	Dividend	K	T	sell	05/01			
33. Bank of America Sub Not corporate bonds	B	Interest	K	T					
34. General Electric Cap Corporate bond	A	Interest	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	P3 = \$25,000,001 - \$50,000,000 Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	P4 = More than \$50,000,000 S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

O'Neill, Lawrence J

Date of Report

03/01/2010

VII. INVESTMENTS and TRUSTS -- income, value, transactions (includes those of the spouse and dependent children. See pp. 34-57 of filing instructions)



NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g. div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, merger, redemption)	If not exempt from disclosure			
						(2) Date Month Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
35. GTE North Inc bond	A	Interest	J	T					
36. Key Bank corporate bond	B	Interest	K	T					
37. Laclede Gas Co 1st mortgage	B	Interest	J	T					
38. Pacific Bell corp bond	B	Interest	K	T					
39. Providence Gas Co bond	A	Interest	J	T					
40. Prudential Finl Inc corporate bond	B	Interest	K	T					
41. Southwestern Bell Te. Co corporate bond	B	Interest	K	T					
42. Bank of America stock	A	Dividend	J	T	sell	06/17	J		
43. American Funds, Fund Inv F,	B	Dividend	J	T	sell	01/30	J		
44. American Funds, Fund Inv F-1	A	Dividend	K	T	buy	01/26	K		
45. Capital World Growth and Income mutual fund	A	Interest	J	T					
46. Puerto Rico Convention Center bonds	B	Interest	K	T					
47. Contra Costa Community College bonds	A	Interest	J	T					
48. Encinitas Ca Public Library Construction Bonds	A	Interest	J	T					
49. Schwab Govt Money Fund: IRA--Schwabb	A	Interest	K	T					
50. Morgan Stanley CD (Schwab)	B	Interest	K	T					
51. Schwab Govt Money Fund: Schwabb	D	Interest	M	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting
O'Neill, Lawrence J

Date of Report
03/01/2010

VII. INVESTMENTS and TRUSTS -- income, value, transactions (includes those of the spouse and dependent children. See pp. 34-57 of filing instructions)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, merger, redemption)	If not exempt from disclosure			
						(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
52. Vanguard Ca Intern Tenn Tax Exempt FD (Schwab)	C	Interest	L	T	buy	01/26	K		
53. Vanguard Prmeicap Core Fund	B	Interest	K	T	buy	04/17			
54. TCW Total Return Bond (schwab) IRA	C	Interest	K	T	buy	04/17			
55. TCW Total Return Bond (schwab) IRA	C	Interest	K	T	sell	12/10			
56. JP Morgan Highbridge Stat Mkt Neutral Select CL	B	Dividend	K	T	buy	08/05	K		
57. Loomis Sayles Bond CI (Schwab) (IRA)	B	Dividend	K	T	buy	08/05			
58. Tweedy Brown Global Fund (schwab--IRA)	B	Dividend	K	T					
59. Vanguard GNMA Fund Investor Share (IRA)	B	Dividend	K	T					
60. Schwab Ca Muni Money Fd Sweep shares	A	Interest	J	T					
61. Pimco All Asset Fund, Instit class Schwab	B	Interest	K	T					
62. SCH Ca Muni Money Fd shares (Schwab)	D	Interest	M	T					
63. San Francisco Calif City/County Public Utility bonds	A	Dividend	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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FINANCIAL DISCLOSURE REPORT
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Name of Person Reporting
O'Neill, Lawrence J

Date of Report
03/01/2010

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of Report.)*

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

O'Neill, Lawrence J

Date of Report

03/01/2010

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature



Date

3-1-10

NOTE: ANY INDIVIDUAL WHO
AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

S OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL

FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544

AO 10
Rev. 1/2006

**FINANCIAL DISCLOSURE REPORT
FOR CALENDAR YEAR 2009**

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) O'Neill, Lawrence J	2. Court or Organization Eastern District of California	3. Date of Report 01/13/2010
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Article III Judge (active)	5a. Report Type (check appropriate type) ☐ Nomination ☐ Initial ☒ Annual ☐ Final 5b. ☒ Amended Report	6. Reporting Period 01/01/2009 to 12/31/2009
7. Chambers or Office Address United States Courthouse 2500 Tulare Street Fresno, California 93721	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Trustee	Trust #1
2. Board of Director	Federal Bar Association
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of instructions.)

☐ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. 1999	State of California Judges Retirement Plan (pension commences at age 62)
2.	
3.	

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O'Neill, Lawrence J.

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

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01/13/2010

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of instructions.)***A. Filer's Non-Investment Income**☒ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		
5.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☒ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	
5.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children. See pp. 25-27 of instructions.)*☒ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>
1.	
2.	
3.	
4.	
5.	

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Page 3 of 9

Name of Person Reporting
O'Neill, Lawrence J

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01/13/2010

V. GIFTS. *(Includes those to spouse and dependent children. See pp. 28-31 of instructions.)*

☒ NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children. See pp. 32-34 of instructions.)*

☒ NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.			
2.			
3.			
4.			
5.			

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

O'Neill, Lawrence J

Date of Report

01/13/2010

VII. INVESTMENTS and TRUSTS -- income, value, transactions (includes those of the spouse and dependent children. See pp. 34-57 of filing instructions)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period			D. Transactions during reporting period			
	(1) Amount Code 1 (A-H)	(2) Type (e.g. div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, merger, redemption)	If not exempt from disclosure			
						(2) Date Month Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Prudential Fin Inc (Schwab acct)	C	Interest	L	T					
2. Metropolitan West Strategic Income (Schwab) IRA	B	Dividend	K	T	sell	04/16	K		
3. North Track Geneva Growth Fund (Schwab) IRA	A	Interest	J	T					
4. RS Global Natural Resources Fund (Schwab) IRA	B	Interest	K	T					
5. Kensington Strategic Realty Fund (Schwab) IRA	A	Distribution	J	T					
6. Dodge and Cox Stock Fund (Schwab)	C	Dividend	L	T					
7. Artisan Intl Small Cap Fund (Schwab)	B	Interest	K	T					
8. American Fund New World (Schwab)(IRA)	A	Interest	J	T					
9. I-Shares Russell 1000 Value Index Fund (Schwab)	A	Interest	K	T	sell	01/26	K		
10. Schwab US Treasury Money fund (IRA)	A	Interest	K	T					
11. Longleaf Partners Fund (Schwab) (IRA)	C	Interest	J	T	sell	01/26	J		
12. Wells Fargo Bank Trust #1	A	Interest	J	T					
13. American Fund New World (Schwab) IRA	B	Dividend	K	T	sell	01/30	J		
14. RS Global Natural (Schwab) IRA	A	Interest	J	T	buy	01/30			
15. First Eagle Overseas (Schwab)	A	Interest	J	T	buy	01/30	J		
16. American Funds New World (Schwab) IRA	A	Dividend	J	T	buy	01/26	J		
17. Kensington Select Income (Schwab) IRA	A	Dividend	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000 Q = Appraisal U = Book Value	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000 R = Cost (Real Estate Only) V = Other	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000 S = Assessment W = Estimated	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000 T = Cash Market	E = \$15,001 - \$50,000
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Name of Person Reporting

O'Neill, Lawrence J

Date of Report

01/13/2010

VII. INVESTMENTS and TRUSTS -- income, value, transactions (includes those of the spouse and dependent children. See pp. 34-57 of filing instructions)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		(1) Type (e.g. buy, sell, merger, redemption)	D. Transactions during reporting period			
	(1) Amount Code 1 (A-H)	(2) Type (e.g. div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)		If not exempt from disclosure			
						(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. Lingleaf Partners Fund IRA (Schwab)	A	Interest	K	T					
19. Clovis Community Bank	A	Interest	J	T					
20. Argonaut Corp, Livermore, California 1985 \$84,200 Trust #1	D	Distribution	M	R					
21. Columbia Housing IX Ltd prtnr 1988 \$25,767 (trust #1)	B	Distribution	K	R					
22. Wells Fargo Bank, acct #2	A	Interest	J	T					
23. Desert Funding, Inc. Mortgage Note (Trust #1)	D	Interest	M	T					
24. Macwest Riverside Housing Inv, LLC		None	M	U					
25. California State University Revenue Muni Bond	A	Interest	K	T					
26. Dallas/Ft. Worth, Texas Intl Muni Bond	B	Interest	K	T					
27. Oregon Go Elderly/Disabled muni bond	A	Interest	J	T					
28. American Fund Am Cap Fund Schwab	A	Dividend	K	T	sell	01/26	K		
29. Puerto Rico Electric Power Muni bond	A	Interest	K	T					
30. San Francisco Intl Airport muni Bond	A	Interest	J	T					
31. St. Louis MO Airport muni bond	A	Interest	J	T					
32. Permanent Portfolio (Schwab)	A	Dividend	K	T	sell	05/01			
33. Bank of America Sub Not corporate bonds	B	Interest	K	T					
34. General Electric Cap Corporate bond	A	Interest	J	T					

1. Income Gain Codes (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000 Q = Appraisal U = Book Value	H = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000 R = Cost (Real Estate Only) V = Other	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 F4 = More than \$50,000,000 S = Assessment W = Estimated	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000 T = Cash Market	E = \$15,001 - \$50,000
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Name of Person Reporting

O'Neill, Lawrence J

Date of Report

01/13/2010

VII. INVESTMENTS and TRUSTS -- income, value, transactions (includes those of the spouse and dependent children. See pp. 34-57 of filing instructions)



NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount	(2) Type (e.g. div., rent, or int.)	(1) Value	(2) Value	(1) Type (e.g. buy, sell, merger, redemption)	If not exempt from disclosure			
	Code 1 (A-H)		Code 2 (J-P)	Method Code 3 (Q-W)		(2) Date Month Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
35. GTE North Inc bond	A	Interest	J	T					
36. Key Bank corporate bond	B	Interest	K	T					
37. Laclede Gas Co 1st mortgage	B	Interest	J	T					
38. Pacific Bell corp bond	B	Interest	K	T					
39. Providence Gas Co bond	A	Interest	J	T					
40. Prudential Finl Inc corporate bond	B	Interest	K	T					
41. Southwestern Bell Te. Co corporate bond	B	Interest	K	T					
42. Bank of America stock	A	Dividend	J	T	sell	06/17	J		
43. American Funds. Fund Inv F	B	Dividend	J	T	sell	01/30	J		
44. American Funds. Fund Inv F-1	A	Dividend	K	T	buy	01/26	K		
45. Capital World Growth and Income mutual fund	A	Interest	J	T					
46. Puerto Rico Convention Center bonds	B	Interest	K	T					
47. Contra Costa Community College bonds	A	Interest	J	T					
48. Encinitas Ca Public Library Construction Bonds	A	Interest	J	T					
49. Schwab Govt Money Fund: IRA--Schwab	A	Interest	K	T					
50. Morgan Stanley CD (Schwab)	B	Interest	K	T					
51. Schwab Govt Money Fund: Schwab	D	Interest	M	T					

1. Income Gain Codes:

(See Columns B1 and D4)

2. Value Codes

(See Columns C1 and D3)

3. Value Method Codes

(See Column C7)

A = \$1,000 or less

F = \$50,001 - \$100,000

J = \$15,000 or less

N = \$250,001 - \$500,000

P3 = \$25,000,001 - \$50,000,000

Q = Appraisal

U = Book Value

B = \$1,001 - \$2,500

G = \$100,001 - \$1,000,000

K = \$15,001 - \$50,000

O = \$500,001 - \$1,000,000

R = Cost (Real Estate Only)

V = Other

C = \$2,501 - \$5,000

H1 = \$1,000,001 - \$5,000,000

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P1 = \$1,000,001 - \$5,000,000

P4 = More than \$50,000,000

S = Assessment

W = Estimated

D = \$5,001 - \$15,000

H2 = More than \$5,000,000

M = \$100,001 - \$250,000

P2 = \$5,000,001 - \$25,000,000

T = Cash Market

E = \$15,001 - \$50,000

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

O'Neill, Lawrence J

Date of Report

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (includes those of the spouse and dependent children. See pp. 34-57 of filing instructions)



NONE (No reportable income, assets, or transactions.)

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	(1)	(2)	(1)	(2)	(1)	If not exempt from disclosure			
	Amount Code 1 (A-H)	Type (e.g. div., rent, or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g. buy, sell, merger, redemption)	(2) Date Month Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
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56. JP Morgan Highbridge Stat Mkt Neutral Select CL	B	Dividend	K	T	buy	08/05	K		
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2. Value Codes (See Columns C1 and D3)					
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value				

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

O'Neill, Lawrence J

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of Report.)*

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

O'Neill, Lawrence J

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature

NOTE: ANY DISCLOSURE
AND CRIMINAL RECORDS (5 U.S.C. app. § 104)

Date

January 14, 2010

FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL

FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544