

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2009

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Gajarsa, Arthur J.	2. Court or Organization U.S. Court of Appeals for the Federal Circuit	3. Date of Report 07/15/2010
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. Appeals Judge - Active	5a. Report Type (check appropriate type) ☐ Nomination, Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2009 to 12/31/2009
7. Chambers or Office Address 717 Madison Place, N.W. Washington, D.C. 20439	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	

IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the **NONE** box for each part where you have no reportable information. Sign on last page.

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ **NONE** (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Board of Trustees	Rensselaer Institute
2. Board of Visitors	Georgetown University
3.	
4.	
5.	

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II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ **NONE** (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. (Reporting individual and spouse; see pp. 17-24 of filing instructions.)

A. Filer's Non-Investment Income

☐ NONE (No reportable non-investment income.)

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2009	PRESIDENT & DIRECTORS OF GEORGETOWN COLLEGE FOR GEORGETOWN UNIVERSITY - W-2 WAGES	\$7,700.00
2.		
3.		
4.		

B. Spouse's Non-Investment Income - If you were married during any portion of the reporting year, complete this section.

(Dollar amount not required except for honoraria.)

☒ NONE (No reportable non-investment income.)

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS -- transportation, lodging, food, entertainment.

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

☐ NONE (No reportable reimbursements.)

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. NEW YORK INTELLECTUAL PROPERTY LAW ASSOCIATION	03/27/2009	NEW YORK, NY	PANELIST	TRANSPORTATION, MEALS
2. FEDERAL CIRCUIT BAR ASSOCIATION	06/18/09 -06/20/09	WHITE SULPHUR SPRINGS, WV	PANELIST	TRANSPORTATION, MEALS, LODGING
3. WORLD RESEARCH GROUP	07/28/09 - 07/29/09	NEW YORK, NY	KEYNOTE SPEAKER	TRANSPORTATION, MEALS, LODGING
4. STATE BAR OF GEORGIA	11/11/09 - 11/15/09	SANTO DOMINGO, D.R.	PANELIST	TRANSPORTATION, MEALS, LODGING
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☒ NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☐ NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1. MORGAN STANLEY SMITH BARNEY	PORTFOLIO CREDIT LINE	M
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. COIN COLLECTION		None	L	W					
2. BANK OF AMERICA	A	Interest	K	T					
3. ROCKYWOLD DEEPHAVEN CAMPS	A	Dividend	K	T					
4. SPECIAL SITUATIONS LIFE SCIENCES FUND LP	B	Int./Div.	M	U	Redeemed (part)	12/31/09	M		
5. ORTEC THERAPEUTICS INC		None	K	T					
6. CHASE PACKAGING CORP TEX		None	K	T					
7. EAGLE BANCORP INC MD		None	K	T					
8. HOLOGIC INC		None	J	T					
9. INSULET CORP		None	L	T					
10. CONSOLIDATED EDISON	C	Dividend	L	T					
11. FEDERAL REALTY INVESTMENT TRUST	B	Dividend			Sold	09/01/09	K	E	
12. NSTAR	B	Dividend	K	T					
13. UDR INC	A	Dividend	K	T					
14. UNIVERSAL HEALTH REALTY INCOME TRUST	D	Dividend	M	T					
15. MASSMUTUAL CORPORATE INVESTORS	D	Dividend	L	T					
16. MASS MUTUAL PARTICIPATION INVS	D	Dividend	M	T					
17. EATON VANCE GREATER INDIA FUND		None	M	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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18. CITI CORP TRUST BANK "ACCOUNT"	A	Interest							
19. CITIBANK NA SOUTH DAKOTA BNK DEPO PRGM "ACCOUNT"	A	Interest	J	T					
20. ANADIGICS INC		None	K	T					
21. APPLE INC - LONG CALL EXP 01/17/09		None			Sold	01/21/09	J		
22. CORNING INC	A	Dividend	K	T					
23. EASTMAN KODAK CALLS LT OPTION EXP 1/17/09		None			Sold	01/21/09	J		
24. ENERGY CONVERSION DEVICES INC		None			Sold	12/28/09	J		
25. NATIONSHEALTH INC		None			Sold	07/17/09	J		
26. RUMSON-FAIR HAVEN BK & TRUST CO		None	K	T					
27. TGC INDUSTRIES INC		None	L	T	Sold (part)	05/18/09	K	E	
28. POWERSHARES EXCHANGE - TRADED FD	A	Dividend	J	T					
29. CPI CAPITAL NORTH AMERICA SER A		None	L	T	Buy (add'l)	06/22/09	J		
30. CAPITOL PRIVATE OPP LP	C	Int./Div.	M	T	Buy (add'l)	02/17/09	J		
31. CAPITOL PRIVATE OPP LP		None			Buy (add'l)	04/01/09	K		
32. CAPITOL PRIVATE OPP LP		None			Buy (add'l)	08/11/09	K		
33. CALL JUNIPER NETWORKS MAY 09		None			Buy	04/29/09	J		
34. CALL JUNIPER NETWORKS MAY 09		None			Sold	05/06/09	J	B	

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3. Value Method Codes (See Column C2)					

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35. CALL SYNAPTICS APRIL 09		None			Buy	03/10/09	J		
36. CALL SYNAPTICS APRIL 09		None			Sold	03/31/09	J	C	
37. CALL VALUECLICK MAY 09		None			Buy	05/01/09	J		
38. CALL VALUECLICK MAY 09		None			Sold	05/12/09	J		
39. MORGAN STANLEY SMITH BARNEY IRA -20-12	A	Int./Div.	J	T					
40. - CITI BANK NA BANK DEPOSIT PROGRAM "ACCOUNT"									
41. -CITIBANK NA SOUTH DAKOTA BNK DEPO PRGM "ACCOUNT"									
42. - BANK OF AMERICA CORP									
43. MORGAN STANLEY SMITH BARNEY IRA - 80-16	E	Int./Div.	L	T					
44. - CITIBANK NA BANK DEPOSIT PROGRAM "ACCOUNT"					Closed	10/28/09	J		
45. -CITIBANK NA SOUTH DAKOTA BNK DEPO PRGM "ACCOUNT"					Closed	10/13/09	L		
46. -DIANA SHIPPING INC					Transferred (to line 77)	10/13/09			
47. - ANADIGICS INC					Transferred (to line 72)	10/13/09			
48. -CITASE PACKAGING CORP TEX					Transferred (to line 76)	10/13/09			
49. - ENERGY CONVERSION DEVICES INC					Transferred (to line 79)	10/13/09			
50. -HEALTH CARE REIT INC					Transferred (to line 80)	10/13/09			
51. - INTERMEC INC					Transferred (to line 83)	10/13/09			

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52. - INTRAOP MEDICAL CORP					Transferred (to line 84)	10/13/09			
53. - MOTOROLA INC					Transferred (to line 88)	10/13/09			
54. - RUMSON-FAIR HAVEN BK & TRUST CO					Transferred (to line 91)	10/13/09			
55. - SOHU.COM INC					Sold	10/16/09	K	E	
56. - TGC INDUSTRIES INC					Transferred (to line 100)	10/13/09			
57. - TIME WARNER INC NEW					Transferred (to line 102)	10/13/09			
58. - UNIVERSAL HEALTH REALTY INCOME TRUST					Transferred (to line 103)	10/13/09			
59. - MASS MUTUAL PARTICIPATION INVS					Transferred (to line 87)	10/13/09			
60. -CVCI CAYMEN OFF II CLA									
61. -VALE S.A. ADR (FORMERLY COMPANHIA VALE DI RIO ADR DOCE)					Transferred (to line 104)	10/13/09			
62. -DISCOVER FINANCIAL SERVICES					Transferred (to line 78)	10/13/09			
63. -IDEARC INC					Transferred (to line 81)	10/13/09			
64. -INSULET CORP					Transferred (to line 82)	10/13/09			
65. -LEGG MASON INC					Transferred (to line 85)	10/13/09			
66. -MERRILL LYNCH & CO INC					Merged (with line 67)	01/02/09			
67. -BANK OF AMERICA CORP (MERGER WITH MERRILL LYNCH)					Transferred (to line 74)	10/13/09			
68. -QWEST COMMUNICATIONS INTL					Sold	05/05/09	J	A	

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69. -MASSMUTUAL CORPORATE INVS INC					Transferred (to line 86)	10/13/09			
70. AMERITRADE IRA	D	Int./Div.	O	T					
71. -CASH INSURED DEPOSIT "ACCOUNT"					Open	10/13/09	L		
72. -ANADIGICS INC					Transferred (from line 47)	10/13/09			
73. -AOL INC (SPIN-OFF FROM TIME WARNER, INC.)					Spinoff (from line 102)	12/10/09	J		
74. -BANK OF AMERICA CORP (FORMERLY MERRILL LYNCH LINE 66)					Transferred (from line 67)	10/13/09			
75. -BANK OF AMERICA CORP					Buy (add'l)	03/25/09	J		
76. -CHASE PACKAGING CORPORATION CM					Transferred (from line 48)	10/13/09			
77. -DIANA SHIPPING INC					Transferred (from line 46)	10/13/09			
78. -DISCOVER FINL SVCS					Transferred (from line 62)	10/13/09			
79. -ENERGY CONVERSION DEVICES IN					Transferred (from line 49)	10/13/09			
80. -HEALTH CARE REIT INC					Transferred (from line 50)	10/13/09			
81. -IDEARC INC					Transferred (from line 63)	10/13/09			
82. -INSULET CORPORATION					Transferred (from line 64)	10/13/09			
83. -INTERMEC INC					Transferred (from line 51)	10/13/09			
84. -INTRAOP MEDICAL CORP					Transferred (from line 52)	10/13/09			
85. -LEGG MASON INC					Transferred (from line 65)	10/13/09			

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86. -MASSMUTUAL CORPORATE INVS IN					Transferred (from line 69)	10/13/09			
87. -MASSMUTUAL PARTICIPATION INV SH BEN INT					Transferred (from line 59)	10/13/09			
88. -MOTOROLA INC					Transferred (from line 53)	10/13/09			
89. -ROSETTA STONE, INC					Buy	05/06/09	K		
90. -ROSETTA STONE, INC					Buy (add'l)	05/13/09	J		
91. -RUMSON-FAIR HAVEN BANK & TRUST					Transferred (from line 54)	10/13/09			
92. -STEC INC					Buy	09/02/09	J		
93. -STEC INC					Buy (add'l)	09/14/09	J		
94. -STEC INC					Buy (add'l)	09/18/09	J		
95. -STEC INC					Buy (add'l)	09/21/09	J		
96. -STEC INC					Buy (add'l)	10/19/09	J		
97. -STEC INC					Buy (add'l)	10/28/09	J		
98. -STEC INC					Buy (add'l)	11/04/09	J		
99. -STEC INC					Buy (add'l)	11/06/09	J		
100. -TGC INDS INC					Transferred (from line 56)	10/13/09			
101. -TIME WARNER CABLE INC					Spinoff (from line 102)	03/17/09	J		
102. -TIME WARNER INC					Transferred (from line 57)	10/13/09			

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103. -UNIVERSAL HEALTH REALTY INCM T SH BEN INT					Transferred (from line 58)	10/13/09			
104. -VALE S.A. ADR					Transferred (from line 61)	10/13/09			
105.									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of Report.)*

LINES 18 IS A CASH EQUIVALENT FUND WITHIN MORGAN STANLEY SMITH BARNEY. CASH IS MOVED INTO AND OUT OF THIS FUND IN A MANNER SIMILAR TO A BANK ACCOUNT. AT 12/31/2009 THERE WERE NO FUNDS IN THIS ACCOUNT, BUT THE ACCOUNT REMAINS AVAILABLE.

MOTOROLA INC - 2008 FDR LINES 34 AND 35 REPORTED PARTIAL SALES IN 2008, WITH THE COMBINED AMOUNT RESULTING IN A COMPLETE DISPOSITION OF THIS STOCK IN 2008.

CITICORP TRUST BANK, FSB (CASH EQUIVILANT) (2008 LINE 64) WAS DISPOSED OF IN 2008 AND DOES NOT APPEAR ON THE 2009 FDR.

LINES 43 AND 70 - ALL SECURITIES FROM THE MORGAN STANLEY SMITH BARNEY (MSSB) IRA 80-16 (EXCEPT FOR CYCLOPS CAYMAN OFF II CLA - LINE 60) WERE TRANSFERRED INTO THE AMERITRADE IRA ACCOUNT ON 10/13/2009. THE CASH WAS ALSO TRANSFERRED BY CLOSING THE MSSB ACCOUNT BALANCES (LINES 44 AND 45) AND OPENING A CASH ACCOUNT WITHIN THE AMERITRADE IRA (LINE 71.)

LINES 66, 67 AND 74 - MERRILL LYNCH WAS MERGED INTO BANK OF AMERICA ON 1/2/2009. BANK OF AMERICA STOCK WAS RECEIVED IN EXCHANGE FOR THE MERRILL LYNCH STOCK. THE BANK OF AMERICA STOCK WAS THEN TRANSFERRED FROM THE MSSB IRA 80-16 INTO THE AMERITRADE IRA ON 10/13/2009.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544