

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2006

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Duffey, Jr., William S	2. Court or Organization Northern District of Georgia	3. Date of Report 05/13/2007
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) District Court - Active	5a. Report Type (check appropriate type) ☐ Nomination, Date ☐ Initial ☒ Annual ☐ Final	6. Reporting Period 01/01/2006 to 12/31/2006
7. Chambers or Office Address 1721 United States Courthouse 75 Spring Street, S.W. Atlanta, GA 30303-3309	5b. ☐ Amended Report	
8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of instructions.)

☒ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. _____	_____
2. _____	_____
3. _____	_____
4. _____	_____
5. _____	_____

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. _____	_____
2. _____	_____
3. _____	_____

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of instructions.)***A. Filer's Non-Investment Income**☒ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		
5.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2006	Random House, Inc. - Royalties from copyrights
2. 2006	Holtzbrink Publishing Holdings, LP - royalties from copyrights
3. 2006	Penguin Group (USA) Inc. - royalties from copyrights
4. 2006	Self-employed writer
5.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children. See pp. 25-27 of instructions.)*☐ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>
1. Pepperdine University School of Law	March 17-19, Malibu, CA, Speech at The Judicial Clerkship Institute (Airfare, Gasoline, Rental Car, Parking)
2. FBI Academy	January 19-20, Quantico, VA, Speech at Drug Enforcement Administration Office of Training (Airfare, Hotel, Mileage, Parking, Per diem)
3. FBI Undercover School	August 9-11, Hollywood, FL, Speech at FBI Undercover Program (Airfare, Hotel, Parking)
4.	

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V. GIFTS. *(Includes those to spouse and dependent children. See pp. 28-31 of instructions.)*☒ NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children. See pp. 32-33 of instructions.)*☒ NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of the spouse and dependent children. See pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g. div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Brokerage Account #2									
2. -MS Bank Deposit Program (Formerly Active Assets Money Trust	A	Interest	J	T					
3.	A	Dividend							
4. -American LTD Term Tax-Ex Bond	A	Interest	K	T					
5. -Franklin Mutual Shares Fund	A	Dividend	L	T					
6.	C	Distribution							
7. -Morgan Stanley Asia Pacific	A	Dividend	K	T					
8. -Troup Co Facs BE	A	Interest	K	T					
9. -AAtlanta WTR-A BE	B	Interest	K	T					
10. -Van Kampen Emerging Market Fund	D	Distribution	M	T	Partial Sale	01-09	K	E	
11.	A	Dividend							
12. -Van Kampen Equity Growth Fund		None	L	T					
13. -Ishares Goldman Sachs Tec Ind	A	Dividend	K	T					
14.	A	Distribution							
15. -Vanguard European Vipers	A	Dividend	J	T					
16. -Powershares Exchange Tr FD	A	Dividend	K	T	Buy	01-09	K		
17. IRA #1	C	Dividend	N	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000 R = Cost (Real Estate Only) V = Other	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000 S = Assessment W = Estimated	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000 T = Cash Market	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)					
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value				

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of the spouse and dependent children. See pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g. div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18.	B	Interest							
19. -Liquid Asset Fund									
20. -Health Care Sel Sect Spdr									
21. -Ishares MSCI Eafe Fund									
22. -Powershares Hi Yld Eq Div									
23. -Putnam Premier Income TR SBI					Sell	03-21	K	A	
24. -Utilities Sel Sect Spdr Fund									
25. -Government National Mortgage Assn Pool 7.5%									
26. -FHR 2920 WG 5.25%					Partial Sale	12-15	J		
27. -Federal National Mtg Assn					Partial Sale	11-25	J		
28. -MS International Small Cap									
29. -MS Special Value Fund B					Partial Sale	3-21	K	D	
30. -MS International Fund									
31. -MS Special Value Fund A					Buy	12-01	J		
32. -Fed Home Ln Bk 5.00%					Buy	03-21	K		
33. IRA #2	D	Dividend	P1	T					
34.	D	Interest							

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	P3 = \$25,000,001 - \$50,000,000 Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	P4 = More than \$50,000,000 S = Assessment W = Estimated	T = Cash Market	

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35. -MS Bank Deposit Program (Formerly Liquid Asset Fund)									
36. -Blackrock LTD Duration Inc.					Sell	09-11	K		
37. -Eaton Vance LTD Duration Inc.									
38. -Ishares DJ Basic Materials									
39. -Ishares DJ Healthcare									
40. -Ishares DJ Financial									
41. -Ishares DJ Consumer Cyclical									
42. -Ishares S&P Global Energy									
43. -Morgan Stanley Emerg Mkts Fund									
44. -Nuveen Qual PFD Income Fund					Sell	09-11	K	B	
45. -Powershares Dynamic Pharmaceutical									
46. -Powershares Exchange TR FD									
47. -The Technology Sel Sec SPDR FD									
48. -US Treasury Zero Coupon, 5/07									
49. -US Treasury Note 4.75% 11/15/08									
50. -US Treasury Zero Coupon 2/09									
51. -US Treasury note 6%, due 8/09									

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	T = Cash Market
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52. -US Treasury Bond Inflation Index 3%					Sell	09-21	L		
53. -FNMA Bond 3.25%, 11/07									
54. -FHLB Bond 4.75%, 9/09									
55. -Fed Home Ln Mtg Corp					Sell	11-20	K	A	
56. -Government National Mortgage Assn. 8%, 11/24					Partial Sale	12-20	J	A	
57. -MS Special Value Fund B									
58. -MS Spectrum Select (Dean Witter Spectrum Select)									
59. -MS DW Spectrum Currency									
60. -MS Charter Campbell									
61. -MSDW Charter DWFCM LP									
62. -MS Charter Graham									
63. -Fidelity Adv Growth Opp					Transferred	08-01	K		
64. -Ishares Lehman 1-3 YR TSY BD					Buy	09-11	K		
65. -Putnam Premier Income TR SBI					Buy	09-11	K		
66. -FHR 3237 CB 7/36					Buy	12-05	K		
67. -Federal National Mtg Assn					Buy	09-21	L		
68. Roth IRA #1	A	Dividend	J	T					

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69. -Van Kampen Growth & Income C									
70. Roth IRA #2	A	Dividend	J	T					
71. -Van Kampen Growth & Income C									
72. Brokerage #4									
73. -MS Focus Growth Fd C (Formerly American Opportunities Fund)		None	K	T	Partial Sale	01-03	J		
74. -Dividend Growth Securities B	A	Dividend	J	T					
75.	C	Distribution							
76. -Van Kampen Select Growth Fund		None			Sell	01-03	J		
77. -US Government Money Market Trust	A	Dividend	J	T					
78. NW Mutual Extra Ordinary Life		None	J						
79. NW Mutual Estate CompLife		None	L						
80. NW Mutual 65 Life		None	L						
81. K & S Investments	A	Interest	J	U					
82.	A	Dividend							
83.	B	Distribution							
84. C & B Capital I, L.P. *	A	Dividend	K	U					
85.	A	Interest							

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86.		D Distribution							
87. C & B Capital II, L.P. **		None							
88. Betsy Byars, Inc.		None	M	W					
89. Gold coins		None	J	T					
90. Brokerage Account #1									
91. -MS Bank Deposit Program (Formerly Active Assets Fund)	A	Interest	J	T					
92. -Ishares MSCI EAFE Fund	A	Dividend	K	T					
93. -Ishares MSCI Emerging Markets	A	Dividend	K	T	Partial Sale	01-06	J	C	
94.					Partial Sale	01-10	J	C	
95. -Ishares DJ Consumer Cyclical	A	Dividend	K	T					
96.	A	Distribution							
97. -Ishares DJ Consumer Noncyclical	A	Dividend	K	T					
98. -Ishares DJ Financial	B	Dividend	L	T					
99. -Ishares DJ Healthcare	A	Dividend	L	T					
100. -Ishares DJ Industrial	A	Dividend	L	T	Partial Sale	04-28	J	C	
101. -Standard & Poors Midcap 400	A	Dividend	L	T					
102. -Intertational Value Equity Fund	E	Distribution	M	T	Partial Sale	03-02	K	D	

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103.	B	Dividend							
104. -Warren Co Go BE	A	Interest			Sell	06-01	K		
105. -MS Equally Weighted S & P 500	C	Distribution	L	T					
106.	A	Dividend							
107. -Georgia St General Obligation Ser D	D	Interest	M	T	Buy	04-01	M		

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of Report.)*

*Ownership interest percentage is 0.26121%. During the reporting period, C&B Capital I, L.P. held interests in the following companies:

Payerpath, Inc.
BSC Ventures, LLC
Cypress Care, Inc.
Central Security Group, Inc.
Appforge, Inc.
VCG, Inc.
S.P.I. Dynamics, Inc.
Global Care, Inc.
NuBridges, Inc.
Alliance Surgery, Inc.
Alimera Sciences, Inc.
Focus Receivables Management, Inc.

**Ownership interest percentage is 0.74340%. During the reporting period, C&B Capital II, L.P. held interests in the following companies:

MR Default Services, Inc.
SnagAJob.com, L.P.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature



Date

May 14, 2007

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY MISFILES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544