

UNITED STATES DISTRICT COURT
WESTERN DISTRICT OF KENTUCKY

CHAMBERS OF
THOMAS B. RUSSELL
UNITED STATES DISTRICT JUDGE

FEDERAL BUILDING
PADUCAH, KY 42001
(270) 415-6430
[REDACTED]

July 28, 2008

Honorable Ortrie D. Smith, Chair
Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544

Re: Calendar Year 2007 Filing Amendment

Dear Judge Smith and Committee Members:

Thank you for your letter of June 20, 2008. My report dated May 9, 2007 should be amended as follows:

1. The date of the report should be **May 9, 2008**
2. In Part VII, page 8, lines 73-79, Column D(1), I inadvertently left Column D(3) blank. The value code for each of lines 73-79 is amended to "J."

I enclose three copies of this letter/amendment and thank you for bringing this omission to my attention.

Sincerely,

[REDACTED]
Thomas B. Russell, Judge
United States District Court

TBR:kph

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2007

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Russell, Thomas B	2. Court or Organization United States District Court -	3. Date of Report 05/09/2007
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Article III - Active	5a. Report Type (check appropriate type) ☐ Nomination, Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2007 to 12/31/2007
7. Chambers or Office Address 501 Broadway, Federal Building Paducah, KY 42001	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Partner	First Investors of Paducah (Investment Club) See additional information
2.	
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

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FINANCIAL DISCLOSURE REPORT

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☒ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2005	Rotary Club of Paducah ●
2.	
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*☐ NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.	Waiver of Membership Dues	American College of Trial Lawyers	\$ 300.0
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*☒ NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.			
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

1. Checking Account - Paducah Bank	A	Interest	J	T					
2. Checking Account - Regions Bank	A	Interest	J	T					
3. IRA, UBS(See Sec. VIII)	A	Dividend	L	T					
4. AmerFDS Cap. World Gr. Inc.									
5. American Mutual Funds									
6. Amer. Funds Investments Co. of America									
7. Amer. Funds, Washington Mutual Investors									
8. IRA, UBS (See Sec VIII)	A	Dividend	K	T					
9. AmerFDS Capital World Growth									
10. Amer. Funds, Investment Co. of America									
11. Savings Acct. Regions Bank	A	Interest	J	T			L		
12. Common Stock, Regions Bank	A	Dividend	K	T					
13. IRA -Regions Bank (See Sec. VIII)	A	Div/Int	N	T					
14. Regions Trust Money Market									
15. Federal Farm Credit Bank									
16. Federal Home Loan Bank									
17. Allianz CCM Capital - Mutual Fund									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

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18. American Century Equity Income - Mutual Fund									
19. Federated Kaufmann CL - Mutual Fund									
20. Goldman Sachs Mid Cap - Mutual Fund									
21. Fidelity Diversified Intl Fund - Mutual Fund									
22. Pimco Fund					Sell	2007	J	A	
23. First Investors of Paducah (See Sec. VIII)	A	Dividend	K	T					
24. US Banc (common)	A	Dividend							
25. IBM (common)	A	Dividend							
26. Abbott Lab (common)	A	Dividend							
27. Cisco Sys (common)	A	Dividend							
28. Intel (common)	A	Dividend			Partial Sale	5/11	J	A	
29. Schlumberge (common)	A	Dividend							
30. Simon P (common)	A	Dividend							
31. Anadarko (common)	A	Dividend							
32. Sanifo Aver (common)	A	Dividend							
33. Loews (common)	A	Dividend							
34. Lowes Co. (common)	A	Dividend							

1. Income Gain Codes:

(See Columns B1 and D4)

2. Value Codes

(See Columns C1 and D3)

3. Value Method Codes

(See Column C2)

A = \$1,000 or less

F = \$50,001 - \$100,000

J = \$15,000 or less

N = \$250,001 - \$500,000

P3 = \$25,000,001 - \$50,000,000

Q = Appraisal

U = Book Value

B = \$1,001 - \$2,500

G = \$100,001 - \$1,000,000

K = \$15,001 - \$50,000

O = \$500,001 - \$1,000,000

R = Cost (Real Estate Only)

V = Other

C = \$2,501 - \$5,000

H1 = \$1,000,001 - \$5,000,000

L = \$50,001 - \$100,000

P1 = \$1,000,001 - \$5,000,000

P4 = More than \$50,000,000

S = Assessment

W = Estimated

D = \$5,001 - \$15,000

H2 = More than \$5,000,000

M = \$100,001 - \$250,000

P2 = \$5,000,001 - \$25,000,000

T = Cash Market

E = \$15,001 - \$50,000

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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35. Fastenal (common)	A	Dividend							
36. Microsoft (common)	A	Dividend							
37. Regions Bank (common)	A	Dividend			Partial Sell	6/01	K	D	
38. Coke (common)	A	Dividend							
39. Johnson (common)	A	Dividend							
40. Minnesota (common)	A	Dividend							
41. BB & T Corp. (common)	A	Dividend							
42. Proctor (common)	A	Dividend							
43. Home Depo (common)	A	Dividend			Sell	5/18	J	A	
44. CSI (common)	A	Dividend							
45. Pfizer (common)	A	Dividend			Sell	12/12	J	A	
46. Exxon Mobil (common)	A	Dividend	J						
47. Oracle (common)	A	Dividend							
48. Texas Instruments (common)	A	Dividend					J		
49. Comcast (common)	A	Dividend							
50. Walgreen (common)	A	Dividend							
51. Adobe Sys (common)	A	Dividend							

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52. B.P., PLC (common)	A	Dividend			Partial Sell	10/29	J	A	
53. Hospira (common)	A	Dividend							
54. Ebay (common)	A	Dividend					J	A	
55. Texas Inds (common)	A	Dividend							
56. Fortune (common)	A	Dividend			Sell	5/18	J	A	
57. Eagle (common)	A	Dividend			Sell	5/18	J	A	
58. Chaparral (common)	A	Dividend			Sell	9/17	J	A	
59. Acco	A	Dividend	J	T	Sell	5/18	J	A	
60. Grupo									
61. Select Comfort					Sell	5/18	J	A	
62. Apple(common)									
63. Kaucx(common)									
64. Ishares(IWM)(common)									
65. Nabors(common)					Sell	11/30	J	A	
66. BHP(common)									
67. Best Buy(common)									
68. Bunge(common)					Sell	5/25	J	A	

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69. Empire Res(common)					Sell	5/25	J	A	
70. Helix(common)									
71. Streamline(common)					Sell	5/25	J	A	
72. Allscripts(common)									
73. Buffalo					Buy	8/1			
74. Plexus					Buy	3/1			
75. SLF					Buy	5/11			
76. Portfolio					Buy	7/26			
77. SPDR					Buy	4/30			
78. Citigroup					Buy	11/30			
79. Trinity					Buy	11/30			

1. Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	E =\$15,001 - \$50,000
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3. Value Method Codes (See Column C2)					

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of Report.)*

I. POSITIONS

In October of 1996, I became a member of an investment club known as First Investors of Paducah, which is organized as a partnership. There are 15 partners divided into 7 teams. Each team invests their portion. I only have control over the investment of my team, which is invested in 6 companies. I do have a 1/15 interest in all investments.

VII. INVESTMENTS AND TRUSTS

(No. 3 - 7) IRA- UBS

I am not consulted, nor do I participate in the management, nor selection of the mutual funds or investments.

(No. 8 -10) IRA - UBS

I am not consulted, nor do I participate in the management, nor selection of the mutual funds or investments.

(No. 13 - 22) IRA - Regions Bank

I have an IRA with Regions Bank. Other than making an allocation choice between bonds, stocks, money markets, etc., I have no control over the IRA account. I am not consulted nor do I give advice on the individual investments.

(No. 23-79) First Investors of Paducah is an investment club organized as a partnership. There are 15 partners divided into 7 teams. Each team makes an independent investment of their portion. I have no control over the investments of the other 6 teams. I do have a 1/15 interest in all of the investments. Items 23 through 79 represent the stock investments of the club.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature

A large black rectangular redaction box covering the signature of the reporting person.

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544