

COPY

AMENDED

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2006

AO 10
Rev. 1/2007

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) ROSEN, GERALD E	2. Court or Organization U.S. DISTRICT COURT	3. Date of Report 08/15/2007
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. DISTRICT JUDGE	5a. Report Type (check appropriate type) ☐ Nomination, ☐ Initial ☒ Annual ☐ Final	6. Reporting Period 01/01/2006 to 12/31/2006
7. Chambers or Office Address ROOM 730 Theodore Levin Cthse 231 W. LAFAYETTE DETROIT, MICHIGAN 48226-2799	5b. ☐ Amended 8. On the basis of this report, the Commission has recommended: modification of the Code of Conduct with application of the Code of Conduct Reviewing Officer: [REDACTED] Date: 8/15/07	
IMPORTANT NOTES: The instructions accompanying this report require you to check the NONE box for each part where you have no reportable information. Sign on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Board of Directors	Focus:HOPE, Detroit, Michigan
2. Board of Advisors	George Washington University Law School, Washington, D.C.
3. Board of Directors	Wayne County Mediation Tribunal, Deetroit, Michigan
4. Advisory Board	Wayne State University Law School, Detroit, Michigan
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of instructions.)

☐ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. 1995	The Rutter Group - Publication Agreement dated November 1995. Royalties to be shared by authors in an amount to be determined upon publication.
2. 1996	The West Group - Publication Agreement dated January 1996. Royalties to be shared by authors in an amount to be determined upon publication.
3. 2005	The Rutter Group - Publication Agreement dated November 2005. Royalties to be shared by authors in an amount to be determined upon publication.

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Name of Person Reporting

ROSEN, GERALD E

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08/15/2007

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 01/06-6/06	Adjunct Professor at Wayne State Law School - compensation for teaching evidence	\$ 7692.00
2. 02/06	Royalties from Michigan Practice Guide - West Group	\$ 66.38
3. 03/06	Royalties from Federal Civil Trials and Evidence - West Group	\$ 4,417.62
4. 9/26/06	Royalties from Federal Civil Trials & Evidence	\$ 12,339.89
5. 10/06	Royalties from Federal Civil Procedure, California Edition	\$ 5,000.00
6. 5/06-8/06	Adjunct Professor at Cooley Law School - compensation for teaching evidence -summer session	\$ 7,000.00
7. 9/06-12/06	Adjunct Professor at Cooley Law School, compensation for teaching evidence - fall and winter session	\$ 10,000.00

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☒ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	
5.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children. See pp. 25-27 of instructions.)*☐ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>
1. 1/9/06-1/11/06 - Transferee Judges Conference - West Palm Beach, Fla.	Transferee Judges Conference for Judges. Expenses reimbursed airfare, hotel, meals and transportation.
2. 2/16/-2/27-06 - William Mitchell College of Law	Attend and speak at Seminar at William Mitchell University in St. Paul/Minneapolis.

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Name of Person Reporting	Date of Report
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	Expenses reimbursed, airfare, meals, parking.
3. 2/23-2/24/06 - George Washington University Law School	Attend and Judge National Security Law Moot Court Competition. Expenses reimbursed airfare, car rental, meals, parking
4. 3/16-3/17-06 - Pepperdine University Law School	Travel to Malibu, California to speak at Judicial Clerkship Institute. Expenses reimbursed, airfare, meals, parking,
5. 05/03/06-05/05/06 - FJC	Travel to Arlinton, VA to attend National Workshop for Judges. Expenses reimbursed airfare, meals, taxi,
6. 5/23/-5/25/06 - Rutter Group Seminar, San Francisco, California	Travel to San Francisco to speak at Seminar. Expenses reimbursed meals, taxi, parking, airfare, mileage
7. 5/25-5/27/06 - Rutter Group Seminar, Seattle, Washington	Travel to Seattle Washington to speak at Seminar. Expenses incurred meals, parking, taxi, airfare, mileage
8. 6/14/2006 - Rutter Group Seminar - Detroit, Michigan	Spoke at Rutter Group Seminar - expenses reimbursed meals
9. 6/14/2006 - Rutter Group Seminar - Cincinnati, Ohio	Travel to Cincinnati, Ohio to speak at Rutter Group Seminar. Expenses reimbursed airfare, mileage, parking and meals
10. 08/13-18/06 - FREE	Travel to Bozeman, MT to attend Seminar Strategic Thinking. Expenses reimbursed were air fare.
11. 10/11/06 - Investiture of Judge Ludington - Bay City	Travel to Bay City to attend investiture of Judge Ludington. Expenses reimbursed mileage
12. 10/17-10/19/06 - MDL Conference	Travel to West Palm Beach, Fla to attend MDL Conference. Expenses reimbursed airfare, hotel, mileage, taxi, meals
13. 10/12-10/13-06 - Southern Methodist University	Travel to Dallas, Texas to speak at SMU's 14th Annual Corporate Counsel Symposium. Expenses reimbursed include meals, airfare and parking
14. 10/20/06 - George Washington University Law School	Travel to Washington, DC to give speech at George Washington University School of Law. Expenses reimbursed mileage, parking, meals
15.	
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V. GIFTS. *(Includes those to spouse and dependent children. See pp. 28-31 of instructions.)*☒ NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children. See pp. 32-33 of instructions.)*☒ NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.		
2.		
3.		
4.		
5.		

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Name of Person Reporting

ROSEN, GERALD E

Date of Report

08/15/2007

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of the spouse and dependent children. See pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g. div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Comerica Bank - Detroit	A	Interest	J	T					
2. Comerca Bank - Detroit	A	Interest	J	T					
3. National City - Detroit	A	Interest	K	T					
4. National City - Detroit	A	Interest	J	T					
5. Transcanada		None	K	T					
6. Cytec Corp	D	None	J	T					
7. UBSPW Cash Fund	A	Dividend	J	T					
8. RS Emerging Growth		None			Sell	1/12	J	A	
9. Eldorado Gold		None	J	T	Buy	1/13	J		
10. RS Emergin Growth		None			Sell	1/12	J	B	
11. Eldorado Gold		None	J	T	Buy	12/13	J		
12. Fomento Economico	E	None	K	T					
13. Rentech		None	J	T	Buy	1/12	J		
14. Raytheon	A	Dividend	J	T					
15. RMA Money Fund	A	Dividend	J	T					
16. Bear Stearns S & P		None			Sell	1/12	J		
17. MFS Value Fund		None			Sell	1/12	L	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000 Q = Appraisal U = Book Value	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000 R = Cost (Real Estate Only) V = Other	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000 S = Assessment W = Estimated	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000 T = Cash Market	E = \$15,001 - \$50,000
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ROSEN, GERALD E

Date of Report
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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
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18. Putman Int'l Growth		None			Sell	1/12	K	D	
19. Seligman Global Growth		None			Sell	1/12	K		
20. UBSPW Ret Money Fund		None	J	T					
21. Activision Inc.		None	K	T					
22. Bear Stearns S&P		None			Sell	1/12	J	A	
23. RS Emerging Growth		None			Sell	1/13	J	A	
24. State Street LG Cap		None		T	Sell	1/12	J		
25. National Grid PLC		None	J	T					
26. UBSPW Ret Money FD		None	J	T					
27. Robert W. Baird & Co. Inc. JDR									
28. Cisco Systems, Inc.	A	Dividend	J	T					
29. Intel Corp		None	J	T					
30. 529 Plan									
31. Putnam 529 College Fund		None	K	T					
32. Trust No. 1									
33. -Haslett Mi Public Schools	B	Dividend	K	T					
34. -Genessee City Mi Bldg.	B	Dividend	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000 R = Cost (Real Estate Only) V = Other	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000 S = Assessment W = Estimated	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000 T = Cash Market	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)					
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value				

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Name of Person Reporting

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35. - RMA Money Fund	A	Dividend	J	T					
36. Advantage Energy		None	J	T	Buy	1/12	J		
37. Groupe Danone		None	K	T					
38. - Agree Realty Corp.		None	J	T					
39. - Agrium Inc.		None	K	T					
40. -El Paso Electric Co.		None	J	T					
41. - MDU Resources Group		None	J	T					
42. Goldcorp (a/k/a Wheaton River Minerals)		None	J	T					
43. - Natural Resource Part		None	J	T					
44. Trust No 1									
45. Primewest Energy Trust	A	Dividend	J	T					
46. Provident Energy Trust	B	Dividend	K	T					
47. Sirius Satellite		None			Sell	1/12	J		
48. GER - IRA									
49. Kinross Gold		None	J	T	Buy	1/12	J		
50. Nestle's		None	J	T	Buy	1/12	J		
51. Rentech		None	J	T	Buy	1/13	J		

1. Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000 Q =Appraisal U =Book Value	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000 R =Cost (Real Estate Only) V =Other	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000 S =Assessment W =Estimated	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000 T =Cash Market	E =\$15,001 - \$50,000
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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of the spouse and dependent children. See pp. 34-60 of filing instructions.)

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52. Rogers Communication		None	J	T	Buy	1/12	J		
53. LDR -IRA									
54. Coca Cola FEMSA		None	J	T	Buy	2/21	J		
55. Diageo		None	J	T	Buy	1/13	J		
56. Ensc0 Int'l		None	J	T	Buy	1/12	J		
57. Forest Oil		None	J	T	Buy	1/12	J		
58. Foundation Coal		None	J	T	Buy	1/12	J		
59. Lockheed Martin		None	J	T	Buy	2/21	J		
60. Mariner Energy		None	J	T	Buy	1/12	J		
61. Norfolk Southern		None	J	T	Buy	1/12	J		
62. Rentech		None	J	T	Buy	1/12	J		
63. Satyam Computer		None	J	T	Buy	1/13	J		
64. Shoppers Drug Mart		None	J	T	Buy	1/13	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of Report.)*

1. Outside earned income shown in Part III A was computed in accordance with the guidance in Section 3(b) of the Regulations of the Judicial Conference of the United States Under Title VI of the Ethics Reform Act of 1989 Concerning Outside Earned Income, Honoraria and Outside Employment.

Section 3(b) states the following:

(b) "Outside earned income" means all wages, salaries, commissions, professional fees, and payments and compensation of any kind for services rendered or to be rendered by the covered senior employee, less the ordinary and necessary expenses paid or incurred in producing the income, provided, however, that the following shall not constitute outside earned income.

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ROSEN, GERALD E

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08/15/2007

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature _____ Date _____

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2006

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) ROSEN, GERALD E	2. Court or Organization U.S. DISTRICT COURT	3. Date of Report 05/14/2007
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. DISTRICT JUDGE	5a. Report Type (check appropriate type) ☐ Nomination, ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2006 to 12/31/2006
7. Chambers or Office Address ROOM 730 Theodore Levin Cthse 231 W. LAFAYETTE DETROIT, MICHIGAN 48226-2799	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
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B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☒ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
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2.	
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<u>SOURCE</u>	<u>DESCRIPTION</u>
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2. 2/16/-2/27-06 - William Mitchell College of Law	Attend and speak at Seminar at William Mitchell University in St. Paul/Minneapolis.

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Name of Person Reporting

ROSEN, GERALD E

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	Expenses reimbursed, airfare, meals, parking.
3. 2/23-2/24/06 - George Washington University Law School	Attend and Judge National Security Law Moot Court Competition. Expenses reimbursed airfare, car rental, meals, parking
4. 3/16-3/17/06 - Pepperdine University Law School	Travel to Malibu, California to speak at Judicial Clerkship Institute. Expenses reimbursed, airfare, meals, parking,
5. 05/03/06-05/05/06 - FJC	Travel to Arlinvton, VA to attend National Workshop for Judges. Expenses reimbursed airfare, meals, taxi,
6. 5/23/-5/25/06 - Rutter Group Seminar, San Francisco, California	Travel to San Francisco to speak at Seminar. Expenses reimbursed meals, taxi, parking, airfare, mileage
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15.	
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<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children. See pp. 32-33 of instructions.)*☒ NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.		
2.		
3.		
4.		
5.		

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Name of Person Reporting

ROSEN, GERALD E

Date of Report

05/14/2007

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of the spouse and dependent children. See pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g. div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Comerica Bank - Detroit	A	Interest		T					
2. Comerca Bank - Detroit	A	Interest		T					
3. National City - Detroit	A	Interest							
4. National City - Detroit	A	Interest							
5. Transcanada		None	K	T					
6. Cytec Corp	D	None	J	T					
7. UBSPW Cash Fund	A	Dividend	J	T					
8. RS Emerging Growth		None			Sell	1/12	J	A	
9. Eldorado Gold					Buy	1/13	J		
10. RS Emergin Growth		None			Sell	1/12	J	B	
11. Eldorado Gold					Buy	12/13	J		
12. Fomento Economico	E	None	K	T					
13. Rentech					Buy	1/12	J		
14. Raytheon	A	Dividend	J	T					
15. RMA Money Fund	A	Dividend	J	T					
16. Bear Stearns S & P		None			Sell	1/12	J		
17. MFS Value Fund		None			Sell	1/12	L	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000 R = Cost (Real Estate Only) V = Other	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000 S = Assessment W = Estimated	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000 T = Cash Market	E = \$15,001 - \$50,000
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Name of Person Reporting

ROSEN, GERALD E

Date of Report

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of the spouse and dependent children. See pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g. div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. Putman Int'l Growth		None			Sell	1/12	K	D	
19. Seligman Global Growth		None			Sell	1/12	K		
20. UBSPW Ret Money Fund		None	J	T					
21. Activision Inc.		None	K	T					
22. Bear Stearns S&P		None			Sell	1/12	J	A	
23. RS Emerging Growth		None			Sell	1/13	J	A	
24. State Street LG Cap		None		T	Sell	1/12	J		
25. National Grid PLC	B	None	J	T					
26. UBSPW Ret Money FD		None	J	T					
27. Robert W. Baird & Co. Inc. JDR									
28. Cisco Systems, Inc.	A	Dividend	J	T					
29. Intel Corp	A	None	J	T					
30. 529 Plan									
31. Putnam 529 College Fund		None	K	T					
32. Trust No. 1									
33. -Haslett Mi Public Schools	B	Dividend	K	T					
34. - Genessee City Mi Bldg.	B	Dividend	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000 Q = Appraisal U = Book Value	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000 R = Cost (Real Estate Only) V = Other	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000 S = Assessment W = Estimated	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000 T = Cash Market	E = \$15,001 - \$50,000
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Name of Person Reporting

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Date of Report

05/14/2007

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of the spouse and dependent children. See pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g. div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
35. - RMA Money Fund	A	Dividend	J	T					
36. Advantage Energy					Buy	1/12	J		
37. Groupe Danone		None	K	T					
38. - Agree Realty Corp.		None	J	T					
39. - Agrium Inc.		None	K	T					
40. -El Paso Electric Co.		None	J	T					
41. - MDU Resources Group		None	J	T					
42. Goldcorp (a/k/a Wheaton River Minerals)		None	J	T					
43. - Natural Resource Part		None	J	T					
44. Trust No 1									
45. Primewest Energy Trust	A	Dividend	J	T					
46. Provident Energy Trust	B	Dividend	K	T					
47. Sirius Satellite		None			Sell	1/12	J		
48. GER - IRA									
49. Kinross Gold			J		Buy	1/12	J		
50. Nestle's			J		Buy	1/12	J		
51. Rentech					Buy	1/13	J		

1. Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000 R =Cost (Real Estate Only) V =Other	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000 S =Assessment W =Estimated	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000 T =Cash Market	E =\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)					
3. Value Method Codes (See Column C2)	Q =Appraisal U =Book Value				

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Name of Person Reporting

ROSEN, GERALD E

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of the spouse and dependent children. See pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g. div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
52. Rogers Communication			J		Buy	1/12	J		
53. LDR -IRA									
54. Coca Cola FEMSA			J		Buy	2/21	J		
55. Diageo			J		Buy	1/13	J		
56. Ensco Int'l					Buy	1/12	J		
57. Forest Oil					Buy	1/12	J		
58. Foundation Coal					Buy	1/12	J		
59. Lockheed Martin			J		Buy	2/21	J		
60. Mariner Energy					Buy	1/12	J		
61. Norfolk Southern			J		Buy	1/12	J		
62. Rentech					Buy	1/12	J		
63. Satyam Computer			J		Buy	1/13	J		
64. Shoppers Drug Mart			J		Buy	1/13	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000 Q = Appraisal U = Book Value	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000 R = Cost (Real Estate Only) V = Other	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000 S = Assessment W = Estimated	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000 T = Cash Market	E = \$15,001 - \$50,000
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Date of Report

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of Report.)*

1. Outside earned income shown in Part III A. was computed in accordance with the guidance in Section 3(b) of the Regulations of the Judicial Conference of the United States Under Title VI of the Ethics Reform Act of 1989 Concerning Outside Earned Income, Honoraria and Outside Employment.

Section 3(b) states the following:

(b) "Outside earned income" means all wages, salaries, commissions, professional fees, and payments and compensation of any kind for services rendered or to be rendered by the covered senior employee, less the ordinary and necessary expenses paid or incurred in producing the income, provided, however, that the following shall not constitute outside earned income.

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Name of Person Reporting

ROSEN, GERALD E

Date of Report

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature

Date

14 May '07

NOTE: ANY PERSON WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL PENALTIES (5 U.S.C. app. § 104)

FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544