

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2009

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) KRAVITCH, PHYLLIS A.	2. Court or Organization U.S. Court of Appeals Eleventh Circuit	3. Date of Report 4/27/10
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) United States Circuit Judge (Senior Status)	5a. Report Type (check appropriate type) ☐ Nomination, ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 1/01/09 to 12/31/09
7. Chambers or Office Address 56 Forsyth Street, N.W. Atlanta, GA 30303	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. _____	_____
2. _____	_____
3. _____	_____
4. _____	_____
5. _____	_____

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. _____	_____
2. _____	_____
3. _____	_____

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Kravitch, Phyllis A.

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

KRAVITCH, PHYLLIS A.

Date of Report

4/27/10**III. NON-INVESTMENT INCOME.** *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☒ **NONE** *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☒ **NONE** *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS – *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒ **NONE** *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
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5.				

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

KRAVITCH, PHYLLIS A.

Date of Report

4/27/10**V. GIFTS.** *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*☒ **NONE** *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1. _____	_____	_____
2. _____	_____	_____
3. _____	_____	_____
4. _____	_____	_____
5. _____	_____	_____

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*☒ **NONE** *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1. _____	_____	_____
2. _____	_____	_____
3. _____	_____	_____
4. _____	_____	_____
5. _____	_____	_____

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

KRAVITCH, PHYLLIS A.

Date of Report

4/27/10

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm dd yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
COMMON STOCK									
1. AT&T	C	Div.	L	T					
2. Bank of America	A	Div.	K	T	Part sale	2/13/09	J	Loss J	
3. BP PLC	A	Div.	J	T					
4. Coca-Cola	B	Div.	L	T					
5. Comcast	A	Div.	J	T					
6. Con Ed.	B	Div.	K	T					
7. Eastman Chem.	A	Div.	J	T					
8. Exxon-Mobil	C	Div.	M	T					
9. Fairpoint Communications	A	None	J	T					
10. Franklin Income	C	Div.	K	T	Bought	7/20/09	K		
11. General Electric	A	Div.	J	T					
12. Glaxo	A	Div.	J	T					
13. Home Depot	A	Div.	J	T					
14. IBM	B	Div.	L	T					
15. Idearc	A	Div.	J	T					
16. Intel	A	Div.	J	T					
17. John Hancock Inc. Sec.	C	Div.	K	T					

1. Income Gain Codes
(See Columns B1 and D4)

2. Value Codes
(See Columns C1 and D3)

3. Value Method Codes
(See Column C2)

A: \$1,000 or less

B: \$5,000 - \$100,000

C: \$15,000 or less

D: \$25,000 - \$500,000

E: \$25,000,000 - \$50,000,000

F: Appraisal

G: Book Value

H: \$1,001 - \$2,500

I: \$100,001 - \$1,000,000

J: \$15,001 - \$50,000

K: \$500,001 - \$1,000,000

L: Cost (Real Estate Only)

M: Other

N: \$2,501 - \$5,000

O: \$1,000,001 - \$5,000,000

P: \$50,001 - \$100,000

Q: \$1,000,001 - \$5,000,000

R: More than \$5,000,000

S: Assessment

T: Estimated

U: \$5,001 - \$15,000

V: More than \$15,000,000

W: \$100,001 - \$250,000

X: \$250,001 - \$500,000

Y: Cash Market

Z: \$15,001 - \$50,000

FINANCIAL DISCLOSURE REPORT

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KRAVITCH, PHYLLIS A.

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4/27/10

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "X" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
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COMMON STOCK cont'd									
1. Merck	A	Div.	J	T					
2. Pfizer	C	Div.	M	T					
3. Proctor/Gamble	A	Div.	J	T					
4. Sun Trust Bank	A	Div.	K	T					
5. 3 M (MMM)	A	Div.	K	T					
6. Verizon	B	Div.	K	T					
7. Nuveen Ins. Municipal Opportunity	B	Div.	K	T					
8. Nuveen GA Premium Inc. Municipal	B	Div.	K	T					
9. Vodaphone	A	Div.	J	T					
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17.									

1. Income Grain Codes
(See Columns B1 and D4)

A \$1,000 or less
F \$50,001 - \$100,000
I \$15,001 or less
N \$250,001 - \$500,000
P1 \$25,000,001 - \$50,000,000

H \$1,001 - \$2,500
G \$100,001 - \$1,000,000
K \$15,001 - \$50,000
O \$500,001 - \$1,000,000

C \$2,501 - \$5,000
H1 \$1,000,001 - \$5,000,000
I \$50,001 - \$100,000
P1 \$1,000,001 - \$5,000,000
P4 More than \$50,000,000
S Assessment
W Estimated

D \$5,001 - \$15,000
H2 More than \$5,000,000
M \$100,001 - \$250,000
P2 \$5,000,001 - \$25,000,000

E \$15,001 - \$50,000

3. Value Method Codes
(See Column C2)

Q Appraisal
U Book Value

R Cost (Real Estate Only)
V Other

L Cash Market

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BONDS									
1. GA. State Tollway Auth.	A	Int.	J	T					
2. Atlanta Water Rev. Sv.	A	Int.	J	T	Part Redeemed	5/1/09	J		
3. GA State Rd. Twy at Fd.	A	Int.	K	T	Bought	2/19/09	K		
4. Fulton Co. GA. Dev. Auth.	A	Int.	K	T	Bought	9/17/09	K		
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1. Income Gain Codes

(See Columns B3 and D4)

2. Value Codes

(See Columns C1 and D3)

3. Value Method Codes

(See Column C2)

A - \$1,000 or less

F - \$50,001 - \$100,000

J - \$15,000 or less

N - \$250,001 - \$500,000

P3 - \$25,000,001 - \$50,000,000

Q - Appraisal

U - Book Value

B - \$1,001 - \$2,500

G - \$700,001 - \$1,000,000

K - \$15,001 - \$50,000

O - \$500,001 - \$1,000,000

R - Cost (Real Estate Only)

V - Other

C - \$2,501 - \$5,000

H1 - \$1,000,001 - \$5,000,000

I - \$50,001 - \$100,000

P1 - \$1,000,001 - \$5,000,000

P4 - More than \$50,000,000

S - Assessment

W - Estimated

D - \$5,001 - \$15,000

H2 - More than \$5,000,000

M - \$100,001 - \$250,000

P2 - \$5,000,001 - \$25,000,000

T - Cash Market

E - \$15,001 - \$50,000

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FUNDS IN BANK									
1. Bank of America(accounts)	B	Int.	M	T					
2. Sun Trust Bank (CD)	A	Int.	K	T					
3. Merrill Lynch Money Market	A	Int.	M	T					
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1. Income Gain Codes

(See Columns B1 and D4)

2. Value Codes

(See Columns C1 and D3)

3. Value Method Codes

(See Column C2)

A - \$1,000 or less

F - \$50,001 - \$100,000

J - \$10,000 or less

N - \$250,001 - \$500,000

P1 - \$25,000,001 - \$50,000,000

Q - Appraisal

U - Book Value

B - \$1,001 - \$2,500

G - \$100,001 - \$1,000,000

K - \$15,001 - \$50,000

O - \$500,001 - \$1,000,000

R - Cost (Real Estate Only)

V - Other

C - \$2,501 - \$5,000

H1 - \$1,000,001 - \$5,000,000

L - \$50,001 - \$100,000

P1 - \$1,000,001 - \$5,000,000

P4 - More than \$5,000,000

S - Assessment

W - Estimated

D - \$5,001 - \$15,000

H2 - More than \$5,000,000

M - \$100,001 - \$250,000

P2 - \$5,000,001 - \$25,000,000

T - Cash Market

I - \$15,001 - \$50,000

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GOVERNMENT SECURITIES

1. U.S. Treasury Notes	A	Int.	K	T	Part Redeemed	9/15/09	J		
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2. Other Government Securities
(See instructions for line 2.)

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FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

KRAVITCH, PHYLLIS A.

Date of Report

4/27/10

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "X" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-I)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm dd yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-I)	(5) Identity of buyer/seller (if private transaction)

INSURANCE

1	Equitable Insurance Co. (X)	A	Int.	J	T	"Whole Life"			
2									
3									
4									
5									
6									
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Do not check any of the following boxes if the asset is a qualified plan, IRA, or other tax-deferred account.

1. If the asset is a qualified plan, IRA, or other tax-deferred account, check the appropriate box below.

A. If the asset is a qualified plan, IRA, or other tax-deferred account, check the appropriate box below.

B. If the asset is a qualified plan, IRA, or other tax-deferred account, check the appropriate box below.

C. If the asset is a qualified plan, IRA, or other tax-deferred account, check the appropriate box below.

D. If the asset is a qualified plan, IRA, or other tax-deferred account, check the appropriate box below.

E. If the asset is a qualified plan, IRA, or other tax-deferred account, check the appropriate box below.

FINANCIAL DISCLOSURE REPORT

Page 10 of 11

Name of Person Reporting

KRAVITCH, PHYLLIS A.

Date of Report

4/27/10**VIII. ADDITIONAL INFORMATION OR EXPLANATIONS.** *(Indicate part of Report.)*

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

KRAVITCH, PHYLLIS A.

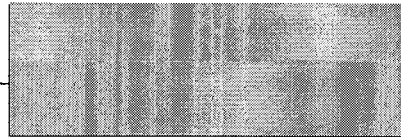
Date of Report

4/27/10**IX. CERTIFICATION.**

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature _____



NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544