

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2008

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Rendell, Marjorie O.	2. Court or Organization USCA - 3CA - Philadelphia	3. Date of Report 05/14/2009
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Circuit Court Judge (Active)	5a. Report Type (check appropriate type) ☐ Nomination, ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2008 to 12/31/2008
7. Chambers or Office Address U.S. Court of Appeals 601 Market Street, Room 21613 Philadelphia, PA 19106-1598	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	

IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Member, Board of Directors; Chair through March 2008	Avenue of the Arts, Inc.
2. Director	Regional Performing Arts Center
3. Member, Board of Overseers; Chair through December 2008	School of Nursing, University of Pennsylvania
4. Board member	Penn Medicine
5. Executrix	Estate [REDACTED]

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II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☒ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2008	Philadelphia Sports Media L.P. - TV Sports Commentator
2. 2008	Commonwealth of Pennsylvania - earnings for services as Governor
3. 2008	City of Philadelphia - pension
4. 2008	University of Pennsylvania - Instructor
5. 2008	Harrah's Chester Casino & Racetrack; gambling winnings

IV. REIMBURSEMENTS — *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. First Amendment Center	05/13/08	Newseum - Wash, DC	Conference	Hotel, meals, transportation
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*☐ NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1. honorary golf membership - resigned 10/23/08 -- See VIII	Torresdale-Frankford Country Club	\$0.00
2. honorary golf membership - resigned 10/23/08 -- See VIII	West Shore Country Club	\$0.00
3. honorary golf membership - resigned 10/23/08 -- See VIII	Blue Ridge Country Club	\$0.00
4. Philadelphia Orchestra	Academy Ball tickets	\$560.00
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*☐ NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1. Cape Savings Bank, Cape May, NJ	Mortgage on rental property	N
2. Marriott Ownership Resorts, Inc.	Loan on time share units	L
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS — income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Schwab Adv Cash Reserv Prem & Cash	A	Dividend	K	T					
2. Federated 1-3 year US Gov't Sec.	C	Dividend	M	T					
3. Adams Harkness Sm. Cap	A	Dividend	K	T	Buy (add'l)	08/28	K		
4. Brandywine	A	Dividend	M	T					
5. DFA one year fixed	C	Dividend	M	T					
6. DFA U.S. Micro Cap 9-10 Small (formerly 9-10 Small)	A	Dividend	J	T					
7. DFA US Large Cap Value	A	Dividend	K	T					
8. DFA Emerging Mkts Core Equity	A	Dividend	J	T					
9. DFA Intl Value	B	Dividend	K	T					
10. DFA US Small Cap Value	A	Dividend	K	T					
11. Dodge & Cox stock	B	Dividend	K	T					
12. Neuberger Berman Genesis (formerly Fasc iano-See VIII)	B	Dividend			Sold	10/30	K	A	
13. First Eagle Overseas	B	Dividend	K	T					
14. Hotchkis & Wiley Large Cap Value	A	Dividend	K	T					
15. Janus Fund	A	Dividend	K	T					
16. Janus Overseas Fund	B	Dividend	L	T	PartSell	11/02	J	C	
17. Royce Opportunity	A	Dividend	K	T	Part-Sell	10/26	K	E	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	
3. Value Method Codes (See Column C2)					

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Rendell, Marjorie O.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. Royce Value Plus	A	Dividend	J	T					
19. Morgan Stanley Emerging Mkts.	B	Dividend	J	T					
20. Morgan Stanley Int's Equity	D	Dividend	L	T					
21. William Blair Lg Cap Growth	A	Dividend	K	T					
22. Hotchkis & Wiley Mid-Cap Value	A	Dividend	K	T					
23. Stoneridge Small Cap		None			Sold	08/28	K	A	
24. Third Avenue Intl Value	A	Dividend	K	T					
25. Thornburg Intl Value	A	Dividend	J	T					
26. Westcore Intl Frontier	A	Dividend	K	T					
27. Artio Int'l Equity Fund (formerly Julius Baer Int'l Equity)	A	Dividend	K	T					
28. Westcore Mid-Cap Oppty Fd	A	Dividend	K	T					
29. Westcore MIDCO Growth	B	Dividend	K	T					
30. Turner Small Cap Equity	A	Dividend	K	T	Buy	10/30	K		
31. Schwab Adv Cash Reserv Prem & Cash (fo rmerly MM & cash)	A	Dividend	J	T					
32. FirstEagle SoGen Global Class I (formerly SoGen Int'l)	B	Dividend	K	T					
33. William Blair Growth	A	Dividend	J	T					
34. Thornberg Value Fund	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A=\$1,000 or less F=\$50,001 - \$100,000 J=\$15,000 or less N=\$250,001 - \$500,000 P3=\$25,000,001 - \$50,000,000	B=\$1,001 - \$2,500 G=\$100,001 - \$1,000,000 K=\$15,001 - \$50,000 O=\$500,001 - \$1,000,000	C=\$2,501 - \$5,000 H1=\$1,000,001 - \$5,000,000 L=\$50,001 - \$100,000 P1=\$1,000,001 - \$5,000,000 P4=More than \$50,000,000	D=\$5,001 - \$15,000 H2=More than \$5,000,000 M=\$100,001 - \$250,000 P2=\$5,000,001 - \$25,000,000	E=\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	Q=Appraisal U=Book Value	R=Cost (Real Estate Only) V=Other	S=Assessment W=Estimated	T=Cash Market	
3. Value Method Codes (See Column C2)					

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
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35. Schwab Adv Cash Reserv Prem & Cash	A	Dividend	J	T					
36. DFA One Yr Fixed	A	Dividend	J	T					
37. DFA Emerging Markets Core Equity	A	Dividend	J	T					
38. DFA Intl Value	A	Dividend	J	T					
39. Hotchkis & Wiley Large Cap Value	A	Dividend	J	T					
40. Federated 1-3 Yr. U.S. Gov't Sec.	A	Dividend	K	T					
41. Artio Int'l Equity Fund (formerly Julius Baer Int'l Equity)	A	Dividend	J	T					
42. Morgan Stanley Int'l Equity	A	Dividend	J	T					
43. Neuberger Berman Genesis	A	Dividend			Sold	10/30	J	A	
44. Royce Opportunity Fund	A	Dividend	J	T					
45. Third Ave Intl Value	B	Dividend	J	T					
46. Turner Core Growth	A	Dividend	J	T					
47. Turner Small Cap Equity	A	Dividend	J		Buy	10/30	J		
48. Westcore Mid Cap Opportunity	A	Dividend	J	T					
49. Westcore Midco Growth	A	Dividend	J	T					
50. Schwab Adv Cash Reserv Prem & Cash (for MM & Cash)	A	Dividend	J	T					
51. Brandywine Fund	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
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52. Stoneridge Small Cap		None			Sold	08/28	J	A	
53. Dodge & Cox stock	A	Dividend	J	T					
54. Morgan Stanley Int'l Equity	B	Dividend	J	T					
55. Adams Harkness Small Cap Growth	A	Dividend	J	T	Buy	08/28	J		
56. Beckman Coulter	A	Dividend	K	T					
57. Coca Cola	B	Dividend	K	T					
58. Federal Nat'l Mortgage Assoc.	A	Dividend	J	T					
59. General Electric - common stock	B	Dividend	K	T					
60. McDonalds Corp.	A	Dividend	K	T					
61. GlaxoSmithKline (formerly SmithKlineBeecham)	C	Dividend	K	T					
62. Wal-Mart Stores, Inc.	A	Dividend	K	T					
63. Baron Growth	A	Dividend	K	T					
64. Brandywine	A	Dividend			Sold	12/24	K		
65. DFA Emerging Markets Core Equity	A	Dividend	L	T					
66. DFA Tax Managed Intl Value	B	Dividend	K	T					
67. DFA Tax Mgd US Targeted Value (formerly Small Cap Value)	A	Dividend	J	T					
68. DFA Tax Mgd US Mktwide Value	A	Dividend	K	T					

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69. DFA US Micro Cap (formerly 9-10 Micro	A	Dividend	J	T					
70. Dodge & Cox Stock	B	Dividend	K	T					
71. First Eagle Overseas CL1	B	Dividend	K	T					
72. Hotchkis & Wiley Large Cap Value	A	Dividend	J	T					
73. Hotchkis & Wiley Mid Cap Value	A	Dividend	J	T					
74. Janus Fund	A	Dividend	K	T					
75. Janus Mid Cap Value	B	Dividend	K	T					
76. Janus Overseas Fund	B	Dividend	K	T					
77. Artio Int'l Equity Fund (formerly Julius Baer Intl Equity)	A	Dividend	K	T					
78. Morgan Stanley Int'l Equity	C	Dividend	L	T					
79. Neuberger Berman Genesis	A	Dividend			Sold	10/30	J	A	
80. Royce Opportunity Fund	A	Dividend	J	T					
81. Schwab Money Market & Cash	A	Dividend	L	T					
82. Sound Shore	C	Dividend	L	T	PartSell	01/19	K	D	
83. Third Avenue Intl Value	A	Dividend	K	T					
84. Turner Core Growth	A	Dividend	K	T					
85. Turner Small Cap Equity	A	Dividend	J	T	Buy	10/30	K		

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86. Westcore Mid Cap Value	A	Dividend	K	T					
87. Westcore Midco Growth	B	Dividend	K	T					
88. Westcore Intl Frontier Fund	A	Dividend	J	T					
89. William Blair Large Cap Growth	A	Dividend	K	T					
90. William Blair Mid Cap	A	Dividend	K	T	Buy	12/24	K		
91. Federated Short Term Muni Bond Fund (transaction 1 of 2)	C	Dividend	L	T	Sold (part)	04/22	J	A	
92. Federated Short Term Muni Bond (transaction 2 of 2)	C	Dividend	L	T	Sold (part)	11/05	K	A	
93. Schroder Short Term Muni Bond Fund (transaction 1 of 3)	D	Dividend	M	T	Sold (part)	06/20	J	A	
94. Schroder Short Term Muni Bond Fund (transaction 2 of 3)	D	Dividend	M	T	Matured (part)	11/25	J	A	
95. Schroder Short Term Muni Bond Fund (transaction 3 of 3)	D	Dividend	M	T	Matured (part)	12/29	K	A	
96. Rental property in Ocean City, NJ (2000 - \$645,000)	E	Rent	P1	S					
97. DFA One Year Fixed SEE VIII	B	Dividend	L	T					
98. Federated US Govt 1-3 yr	A	Dividend			Sold	12/18	K	A	
99. Merck & Co Inc	A	Dividend	J	T					
100. Pfizer Inc	C	Dividend	L	T					
101. Brandywine Fund	A	Dividend	K	T					
102. DFE Emerging Markets Eq	A	Dividend	J	T					

1. Income Gain Codes:

(See Columns B1 and D4)

2. Value Codes

(See Columns C1 and D3)

3. Value Method Codes

(See Column C2)

A = \$1,000 or less

F = \$50,001 - \$100,000

J = \$15,000 or less

N = \$250,001 - \$500,000

P3 = \$25,000,001 - \$50,000,000

Q = Appraisal

U = Book Value

B = \$1,001 - \$2,500

G = \$100,001 - \$1,000,000

K = \$15,001 - \$50,000

O = \$500,001 - \$1,000,000

R = Cost (Real Estate Only)

V = Other

C = \$2,501 - \$5,000

H1 = \$1,000,001 - \$5,000,000

L = \$50,001 - \$100,000

P1 = \$1,000,001 - \$5,000,000

P4 = More than \$50,000,000

S = Assessment

W = Estimated

D = \$5,001 - \$15,000

H2 = More than \$5,000,000

M = \$100,001 - \$250,000

P2 = \$5,000,001 - \$25,000,000

T = Cash Market

E = \$15,001 - \$50,000

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103. DFA Tax Managed Intl Value	A	Dividend			Sold	12/17	J	A	
104. DFA Intl Value	A	Dividend	J	T					
105. DFA Tax Managed US Markets	A	Dividend	J	T					
106. DFA US Small Cap Value	A	Dividend	J	T					
107. DFA US Micro Cap	A	Dividend	J	T					
108. First Eagle Overseas CL 1	B	Dividend	J	T					
109. Hotchkis & Wiley Large Cap Value	A	Dividend	J	T					
110. Hotchkis & Wiley Mid Cap Value	A	Dividend	J	T					
111. Janus Fund	A	Dividend	K	T					
112. Janus Overseas	A	Dividend	K	T					
113. Morgan Stanley Inst Intl Equity	A	Dividend	J	T					
114. Neuberger Berman Fasciano	A	Dividend			Sold	10/30	J	A	
115. Royce Opportunity Fund	A	Dividend	J	T					
116. Sound Shore Fund	A	Dividend	J	T					
117. Third Ave Intl Value	A	Dividend	J	T					
118. Westcore Intl Frontier Retail	A	Dividend	J	T					
119. Westcore Mid Cap Value Retail	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	
3. Value Method Codes (See Column C2)					

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Name of Person Reporting

Rendell, Marjorie O.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

120. Schwab Adv Cash Reserve Prem & Cash	A	Dividend	L	T					
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1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	
3. Value Method Codes (See Column C2)					

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Rendell, Marjorie O.

Date of Report

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of Report.)*

Part V. GIFTS

On October 23, 2009, 2008, pursuant to Pub. L. No. 110-402, I submitted a formal letter of resignation from honorary golf memberships with Torresdale-Frankford Country Club, West Shore Country Club, and Blue Ridge Country Club.

Part VII. INVESTMENTS AND TRUSTS

Reorganization/change of name:

Due to a reorganization and resultant change of name, all Neuberger Berman Fasciano entries that appeared in the 2007 Report now appear in the 2008 Report as Neuberger Berman Genesis.

Multiple transactions:

Notations have been made in Column A to reflect that during 2008 there were multiple transactions as to two assets. See lines 91-92 and lines 93, 94, 95.

Assets of Decedent's Estate:

The items listed in Section VII at lines #97 through 120 are investment assets of the Estate [REDACTED], of which I am the Executrix.

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Name of Person Reporting

Rendell, Marjorie O.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature

A large black rectangular redaction box covering the signature area.

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR CONCEALS INFORMATION REQUIRED TO BE REPORTED IS SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544