

FINANCIAL DISCLOSURE REPORT
FOR CALENDAR YEAR 2009Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) DLOTT, SUSAN J.	2. Court or Organization US SOUTHERN DISTRICT OF OHIO	3. Date of Report 4/14/2010
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) US CHIEF DIST JUDGE-ACTIVE	5a. Report Type (check appropriate type) ☐ Nomination, ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2009 to 12/31/2009
7. Chambers or Office Address US COURT HOUSE 2ND FLOOR ROOM 227 CINCINNATI OHIO 45202	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

POSITION	NAME OF ORGANIZATION/ENTITY
1. CO-PERSONAL REPRESENTATIVE	ESTATE
2.	
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE	PARTIES AND TERMS
1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☒ **NONE** *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ **NONE** *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	Please see attached letter to Committee on Financial Disclosure.
2.	
3.	
4.	

IV. REIMBURSEMENTS - *transportation, lodging, food, entertainment**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒ **NONE** *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☒ NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1. _____	_____	_____
2. _____	_____	_____
3. _____	_____	_____
4. _____	_____	_____
5. _____	_____	_____

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☒ NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1. _____	_____	_____
2. _____	_____	_____
3. _____	_____	_____
4. _____	_____	_____
5. _____	_____	_____

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. BOND-GREEN OHIO INCOME TAX REV COMM. LEARNING CENTER	D	Interest	M	T					
2. BOND-AKRON OHIO SEWER SYS REV SERIES 1998 4.6% DUE 12/1/09	C	Interest			Matured	12/01/09	L	A	
3. BOND-BLUE ASH OH SERV GARAGE & FIREHOUSE 3% DUE 11/1/10	C	Interest	M	T					
4. BOND-CINCINNATI OHIO CITY SCHL FAC CONS & IMPR 5% DUE 12/1/09	D	Interest			Matured	12/01/09	M	A	
5. BOND-FRANKLIN CO, OH HOSP REV HOLY CROSS 5% DUE 6/1/09	C	Interest			Matured	06/01/09	M	A	
6. BOND - CINCINNATI OHIO CITY SCHOOL DISTRICT 5%, DUE 12/1/15	D	Interest	M	T					
7. BOND - FAIRFIELD & UNION LOCAL SCHOOL, 3.875%, DUE 12/1/12	D	Interest	M	T					
8. CASH held in custodian at Johnson Investments (#968)		None	N	T					
9. CASH held in custodian at Johnson Investments (#8932)		None	J	T					
10. Womens capital club partnership (2.5% ownership))	A	Distribution	K	W					
11. ILLINOIS EDUC FAC AUTH R MORRIS COLLEGE 5% DUE 6/1/09	C	Interest			Matured	06/01/09	M	A	
12. BOND-LORAIN CO OH REV CATH HEALTHCARE 5.2% DUE 9/1/10	D	Interest	M	T					
13. FIFTH THIRD BANK CHECKING ACCTS	A	Interest	J	T					
14. SHS SYSCO CORP	A	Dividend			Sold	02/13/09	L	E	
15. SHS ADOBE SYSTEMS INC		None			Sold	02/04/09	K	A	
16. BOND-LAKE OH LOCAL SCH DIST GEN OBLIG 5.35% DUE 12/01/11	D	Interest	M	T					
17. SHS AKAMAI TECHNOLOGIES		None			Sold	02/12/09	K	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	
3. Value Method Codes (See Column C2)					

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18. SHS DANAHER CORPORATION	A	Dividend			Sold	02/12/09	K	A	
19. SHS CHEVRON CORP	A	Dividend	J	T	Sold (part)	08/27/09	J	C	
20. SHS EXXON MOBIL (#8932)	A	Dividend			Sold	08/27/09	J	C	
21. SHS ISHARES S&P SMALLCAP 600	A	Dividend			Sold	02/03/09	L	B	
22. SHS MICROSOFT CORP	B	Dividend	M	T					
23. SHS PEPSICO INC	C	Dividend	L	T					
24. SHS JOHNSON FIXED INCOME FUND	E	Dividend	N	T	Buy	07/29/09	M		
25. SHS BHP BILLITON LTD ADR	A	Dividend	J	T					
26. SHS CONOCOPHILLIPS	C	Dividend	L	T					
27. BOND-CLEVELAND OH WATERWORKS REV 1STMORT SERIES G 5.5% 2013	D	Interest	M	T					
28. SHS CATERPILLAR TRACTOR COMPANY	A	Dividend			Sold	10/01/09	J	A	
29. SHS DANAHER CORP (#8932)	A	Dividend			Sold	10/19/09	J	A	
30. Fifth Third Bank Stock	A	Dividend			Sold	08/13/09	K	A	
31. SHS PROCTER & GAMBLE CO	D	Dividend	M	T					
32. SHS CISCO SYSTEMS INC		None	J	T					
33. SHARES WELLPOINT INC		None			Sold	02/13/09	L	D	
34. SHARES EQUITABLE RESOURCES INC	A	Dividend	J	T	Sold (part)	02/04/09	L	E	

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes	P3 = \$25,000,001 - \$50,000,000	R = Cost (Real Estate Only)	P4 = More than \$50,000,000	S = Assessment	T = Cash Market
(See Column C2)	Q = Appraisal	V = Other	W = Estimated		
	U = Book Value				

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35. SHARES GENERAL ELECTRIC COMPANY	C	Dividend	K	T	Sold (part)	10/01/09	K	E	
36. SHS EXXON MOBIL CORPORATION	C	Dividend	L	T	Sold (part)	02/04/09	M	G	
37. SHARES ROYAL DUTCH PETROLEUM COMPANY (NETHERLANDS)	A	Dividend			Sold	02/13/09	K	E	
38. SHS JOHNSON & JOHNSON	C	Dividend	M	T					
39. - SHARES NOVARTIS AG ADR	A	Dividend	K	T					
40. LAKEWOOD OHIO GEN 5.0% DUE 12/1/14 DATED 5/26/05	D	Interest	M	T					
41. GAHANNA OHIO GEN 5.0% DUE 12/1/18 DATED 9/1/05	D	Interest	M	T					
42. JACKSON OHIO LOCAL SCHOOL DIST 5.0% DUE 12/1/16 DATED 4/21/0	D	Interest	M	T					
43. MENTOR OHIO GEN 5.0% DUE 12/1/15 DATED 10/1/05	C	Interest	M	T					
44. UNION COUNTY OHIO 4.5% DUE 12/1/14 DATED 7/1/05	D	Interest	M	T					
45. UNIVERSITY OF CINCINNATI GEN 4.0% DUE 6/1/12 DATED 3/1/05	C	Interest	M	T					
46. OCCIDENTAL PETROLEUM CORPORATION	A	Dividend			Sold	03/06/09	J	B	
47. STRYKER CORPORATION	A	Dividend			Sold	10/19/09	K	A	
48. BOND - OHIO HOUSING FINANCY AGENCY MORT REV, 3.95%, DUE 9/1/15	C	Interest	M	T					
49. OHIO STATE DEPT OF ADMIN SERVICES COP, 5%, DUE 9/1/11	D	Interest	M	T					
50. PICKERINTON OHIO LOCAL SCHOOL, 4.125%, DUE 12/1/16	C	Interest	L	T					
51. STATE OF OHIO INFRASTRUCTURE IMP, 4%, DUE 2/1/13	C	Interest	M	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	
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52. 3M COMPANY SHARES	B	Dividend	K	T	Sold (part)	08/24/09	K	E	
53. SHS AKAMAI TECH (#8932)		None			Sold	10/19/09	J	A	
54. BB&T COMPANY SHARES	A	Dividend			Sold	05/07/09	J	A	
55. CINCINNATI FINANCIAL CORP SHARES	C	Dividend	L	T					
56. DOMINION RESOURCES SHARES	C	Dividend	L	T					
57. EMERSON ELECTRIC COMPANY	B	Dividend	L	T					
58. THORNBURG INTERNATIONAL VALUE FUND	B	Dividend	L	T	Sold (part)	02/04/09	L	A	
59. COMCAST CORP CLASS A SPECIAL	A	Dividend	J	T					
60. GILEAD SCIENCES INC		None			Sold	10/19/09	J	B	
61. ROCHE HOLDINGS LIMITED - ADR	A	Dividend	J	T					
62. UNITED HEALTHCARE CORP	A	Dividend			Sold	10/01/09	J	A	
63. SHS KELLOGG COMPANY	A	Dividend	J	T					
64. SHS KRAFT FOODS INC	A	Dividend			Sold	06/30/09	J	A	
65. BOND - STATE OF OHIO BLDG AUTH REV, 5%, DUE 10/1/17	D	Interest	M	T					
66. SHS US BANCORP	A	Dividend	J	T					
67. OHIO MUNI ELECTRIC GENERATION AGENCY REV CALLABLE 2-15-14	D	Interest	M	T					
68. BECTON, DICKINSON AND COMPANY	A	Dividend	J	T					

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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69. CHESAPEAKE ENERGY CORPORATION	A	Dividend	J	T					
70. COVANCE, INC		None			Sold	07/27/09	L	A	
71. GAMESTOP CORPORATION		None	J	T	Buy	01/06/09	J		
72. KIMBERLY-CLARK CORPORATION	A	Dividend			Sold	06/04/09	J	A	
73. NESTLE A SPONSERED ADR	B	Dividend	L	T					
74. PRINCIPAL FINANCIAL GROUP		None			Sold	10/19/09	J	A	
75. SAFEWAY INCORPORATED	B	Dividend	L	T	Buy	02/04/09	L		
76. GOLDMAN SACHS GROUP INC	A	Dividend	K	T					
77. GS FINL SQ MMKT INSTL	A	Dividend			Sold	03/05/09	L	A	
78. INTUITIVE SURGICAL INC		None			Sold	06/11/09	K	A	
79. MANTOWOC COMPANY INC	A	Dividend	J	T					
80. MINDRAY MEDICAL INTL LTD	A	Dividend	J	T					
81. PEPSICO INCORPORATED	A	Dividend	J	T	Sold (part)	10/19/09	J	B	
82. PETROLEO BRASILEIRO - SA	A	Dividend	K	T					
83. POLO RALPH LAUREN CORP	A	Dividend	K	T					
84. TATA MOTORS LTD - SPNS ADR	A	Dividend	K	T					
85. GREATER CLEVELAND OHIO REGIONAL TRANSPORTATION AUTHORITY GNE	D	Interest	M	T					

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86. MASON OHIO GEN 4% DUE 12/021/20	D	Interest	M	T					
87. NORTH OLMSTED OHIO GEN. 5.250% DUE 12/1/20	D	Interest	M	T					
88. OHIO STATE HOUSING FINANCE AGENCY REV 3.7% DUE 12/1/16	C	Interest	L	T					
89. BANK OF NEW YORK MELLON CORP	A	Dividend			Sold	10/01/09	L	A	
90. FPL GROUP INC	B	Dividend	L	T					
91. JOHNSON DISCIPLINED MID-CAP FUND		None			Sold	02/03/09	M	A	
92. VANGUARD MONEY MARKET	D	Dividend	O	T	Buy	12/02/09	N		
93. Beavercreek Ohio city school district 4.75%	C	Interest	M	T	Buy	06/12/09	M		
94. DUBLIN OHIO CITY SCHOOL DISTRICT FAC. 4.0%	D	Interest	M	T	Buy	02/18/09	M		
95. KENTON CTY. KY SCHOOL DISTRICT FINANCE 4.5%	C	Interest	M	T	Buy	02/17/09	M		
96. KENTUCKY STATE PROP AND BLDG. COMMISSION 5.0%		None	M	T	Buy	10/28/09	M		
97. RISING SUN IN. SCHOOL BLDG. CORP. 3.8%	C	Interest	M	T	Buy	12/16/09	M		
98. WALGREEN COMPANY	A	Dividend	L	T	Sold (part)	10/19/09	K	E	
99. XTO Energy	A	Dividend			Sold	02/03/09	K	A	
100. GAMESTOP CORPORATION		None			Buy	01/06/09	K		
101. GAMESTOP CORPORATION		None			Sold	12/23/09	K	A	
102. TYCO INTERNATIONAL LTD	A	Distribution							

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset except from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
103. UNITED HEALTHCARE CORP	B	Distribution							
104. ALLSTATE CORPORATION	A	Dividend			Sold	10/01/09	J	A	
105. APPLIED MATERIALS, INC.	A	Dividend	J	T	Buy	07/01/09	J		
106. NORFOLK SOUTHERN CORPORATION	A	Dividend	J	T	Buy	06/30/09	J		
107. POTASH CORPORATION OF SASKATCHEWAN, INC	A	Dividend	J	T	Buy	06/30/09	J		
108. BOEING COMPANY	A	Dividend			Sold	06/17/09	J	A	
109. TARGET CORPORATION	A	Dividend			Sold	04/20/09	J	A	
110. FREEPORT MCMORAN COPPER & GOLD		None			Buy	01/06/09	J		
111. FREEPORT MCMORAN COPPER & GOLD		None			Sold	08/27/09	J	D	
112. AMERICAN INTERNATIONAL GROUP, INC.	A	Distribution							
113. JOHNSON FIXED INCOME FUND	C	Dividend	J	T	Buy	07/29/09	J		
114. FIRST AMERICAN TREASURY OBLIGATIONS FUND	A	Interest	J	T	Buy	07/29/09	J		
115. AMERICAN EXPRESS	A	Dividend			Sold	06/11/09	K	D	
116. ARCHER DANIELS MIDLAND	A	Dividend	K	T					
117. BP PLC SPONS ADR	A	Dividend	J	T					
118. DPL INC	A	Dividend	K	T	Buy	03/05/09	K		
119. EVERGREEN MONEY MARKET	A	Dividend			Sold	12/01/09	K	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Date of Report

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset except from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120. GS FINL SQ MMKT INSTL		None	L	T	Buy	01/02/09	L		
121. APPLE INC		None	L	T	Buy	06/11/09	J		
122. AMDOCS LTD		None	K	T					
123. HASBRO INC	A	Dividend	K	T	Buy	01/15/09	K		
124. INDUSTRIAL SECTOR SPDR	A	Dividend	K	T					
125. ISHARES MSCI EAFE INDEX	A	Dividend	K	T					
126. ISHARES TR MSCI EMERGING	A	Dividend	K	T					
127. KROGER COMPANY COMMON	A	Dividend	J	T	Buy	03/05/09	J		
128. MEDTRONIC INC	A	Dividend	K	T					
129. NYSE EURONEXT	B	Dividend	K	T	Buy	01/15/09	K		
130. PETMED EXPRESS INC	A	Dividend	K	T					
131. SCHLUMBERGER LTD	A	Dividend	K	T					
132. PORTFOLIO RECOVERY ASSOCIATE		None	J	T					
133. SUNCOR ENERGY INC	A	Dividend	K	T					
134. WYETH	A	Dividend			Sold	06/11/09	K	A	
135. HOLOGIC		None			Sold	06/11/09	K	A	
136. AT&T INC.		None	K	T	Buy	11/05/09	K		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS — income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset except from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137. FEDERATED MUNICIPAL CASH TRUST	C	Interest			Sold	12/31/09	J	A	
138. WELLS FARGO MONEY MARKET	A	Dividend	J	T	Buy	6/30/09	J		
139. VANGUARD SHORT TERM INVESTMENT GRADE FUND	A	Dividend	N	T	Buy	7/29/09	N		
140.									
141.									
142.									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	
3. Value Method Codes (See Column C2)					

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of Report.)***PART VII**

LISTED STOCKS ARE COMMON SHARES UNLESS OTHERWISE NOTED.

ALL WOMEN'S CLUB INVESTMENTS ARE REPORTED AT THE AGGREGATE VALUE. JUDGE DLOTT OWNS 2.5%.

LINES 8 AND 9 ARE CASH ACCOUNTS HELD IN INVESTMENT ACCOUNTS FOR FUTURE PURCHASES.

LINES 102,103, AND 112 ARE DISTRIBUTIONS OF SECURITIES LITIGATION SETTLEMENTS FROM INVESTMENTS HELD PRIOR TO THIS YEAR

LINE 109 TARGET WAS SOLD IN THE CURRENT YEAR IN ENTIRETY AND WAS INCLUDED ON LINE 19 IN THE PRIOR YEAR

LINE 135 SHARES OF HOLOGICS ARE THE TOTAL COMBINED FROM THE PRIOR YEAR LINES 56 AND 124 AND 192

LINE 91 JOHNSON MIDCAP WAS COMBINED DUE TO SALE IN THE CURRENT YEAR AND WAS SHOWN ON LINES 60 & 182 IN THE PRIOR YEAR

LINE 128 MEDTRONICS WAS SHOWN ON LINE 71 IN PRIOR YEAR - MOVED FOR EASIER DISPLAY

LINE 99 XTO ENERGY WAS ON LINE 84 MOVED IN GROUPING FOR EASE OF ENTRY

LINE 104 ALLSTATE CORPORATION WAS SHOWN ON LINE 85 IN PRIOR YEAR MOVED IN GROUPING FOR EASE OF REPORTING

LINE 122 AMDOCS WAS SHOWN ON LINE 120 IN PRIOR YEAR MOVED IN GROUPING FOR EASE OF REPORTING

LINE 133 SUNCOR WAS SHOWN ON LINE 121 IN PRIOR YEAR MOVED IN GROUPING FOR EASE OF REPORTING

LINE 117 BP PLC ADS WAS SHOWN ON LINE 122 IN PRIOR YEAR MOVED IN GROUPING FOR EASE OF REPORTING

LINE 130 PET MED EXPRESS WAS SHOWN ON LINE 126 IN PRIOR YEAR MOVED IN GROUPING FOR EASE OF REPORTING

LINE 114 FIRST AMERICAN TREASURY OBLIGATION FUNDS WAS SHOWN ON LINE 128 IN PRIOR YEAR MOVED IN GROUPING FOR EASE OF REPORTING

LINE 115 AMERICAN EXPRESS CREDIT CORP WAS SHOWN ON LINE 130 IN PRIOR YEAR MOVED IN GROUPING FOR EASE OF REPORTING SALE

LINE 131 SCHLUMBERGER WAS SHOWN ON LINE 131 IN PRIOR YEAR MOVED IN GROUPING FOR EASE OF REPORTING

LINE 134 ISHARES WYETH WAS SHOWN ON LINE 132 IN PRIOR YEAR MOVED IN GROUPING FOR EASE OF REPORTING

LINE 125 ISHARES MSCI EAFE INDEX WAS SHOWN ON LINE 133 IN PRIOR YEAR MOVED IN GROUPING FOR EASE OF REPORTING

LINE 126 ISHARES TR MSCI EMERGING MARKET WAS SHOWN ON LINE 136 IN PRIOR YEAR MOVED IN GROUPING FOR EASE OF REPORTING

LINE 132 PORTFOLIO RECOVERY ASSOCIATES WAS SHOWN ON LINE 138 IN PRIOR YEAR MOVED IN GROUPING FOR EASE OF REPORTING

LINE 121 APPLE INC WAS SHOWN ON LINE 143 IN PRIOR YEAR MOVED IN GROUPING FOR EASE OF REPORTING

LINE 76 GOLDMAN SACHS IS THE TOTAL COMBINED FROM THE PRIOR YEAR LINES 145 AND 162

LINE 19 CHEVRON CORP IS THE TOTAL COMBINED FROM THE PRIOR YEAR LINES 153 AND 31

LINE 78 INTUITIVE SURGICAL IS THE TOTAL COMBINED FROM THE PRIOR YEAR LINES 165 AND 166

LAST YEAR COACH INC LINES 190 AND 106 AMOUNTS - THE SALE WAS REPORTED ON LINE 106 FOR BOTH LOTS THEREFORE COACH WAS REMOVED FROM THE SCHEDULE

LINE 108 BOEING INC WAS SHOWN ON LINE 193 IN PRIOR YEAR MOVED IN GROUPING FOR EASE OF REPORTING

LINE 99 XTO ENERGY WAS SHOWN ON LINE 84 IN PRIOR YEAR MOVED IN GROUPING FOR EASE OF REPORTING

LINE 32 CISCO SYSTEMS IS THE TOTAL COMBINED FROM THE PRIOR YEAR LINES 73 AND 59

LINE 98 WALGREENS WAS SHOWN ON LINE 40 IN PRIOR YEAR MOVED IN GROUPING FOR EASE OF REPORTING

LINE 100 & 101 GAMESTOP WAS BOUGHT AND SOLD IN ONE YEAR AND THERE WAS NO ROOM TO REPORT THE BUY AND SELL ON ONE LINE

LINE 110 & 111 FREEPORT MCMORAN COPPER AND GOLD WAS BOUGHT AND SOLD IN ONE YEAR AND THERE WAS NO ROOM TO REPORT THE BUY AND SELL ON ONE LINE

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of Report)*

PART I POSITIONS JUDGE DLOTT SERVES AS CO REPRESENTATIVE OF [REDACTED] ESTATE - SHE HAS NO INTEREST IN THE TRUST AND DOES NOT MANAGE OR CONTROL THE ASSETS OF THE TRUST -SO THERE IS NOTHING REPORTED ON INVESTMENT TAB IN ACCORDANCE WITH DIRECTION FROM PRIOR YEAR

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544