

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2008

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Bolton, Susan R.	2. Court or Organization U.S. District Court, Arizona	3. Date of Report 05/13/2009
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. District Judge - Active	5a. Report Type (check appropriate type) ☐ Nomination, ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2008 to 12/31/2008
7. Chambers or Office Address 401 W. Washington St., SPC 50 Suite 522 Phoenix, AZ 85003	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1. Secretary	Organizational Diagnostics LLC (owned company)
2.	
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☐ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1. 1989	Arizona Elected Officials Retirement Plan (pension plan from prior employment as a state court judge)
2.	
3.	

FINANCIAL DISCLOSURE REPORT

Page 2 of 11

Name of Person Reporting

Bolton, Susan R.

Date of Report

05/13/2009

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2008	Arizona Elected Officials Retirement Plan	\$50,324.00
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2008	Organizational Diagnostics, L.L.C. - earnings
2.	
3.	
4.	

IV. REIMBURSEMENTS — *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

FINANCIAL DISCLOSURE REPORT

Page 3 of 11

Name of Person Reporting

Bolton, Susan R.

Date of Report

05/13/2009

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1. Sonoma National Bank, CA	Mortgage on one-half interest in office condominium (Pt. VII line 13)	K
2. Merrill Lynch Single Premium Life	Cash value loan	L
3.		
4.		
5.		

FINANCIAL DISCLOSURE REPORT

Page 4 of 11

Name of Person Reporting

Bolton, Susan R.

Date of Report

05/13/2009

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. IBM common stock	B	Dividend	L	T					
2. Merrill Lynch Bank USA(see part VIII)									
3. Bank of America, Arizona	A	Interest	K	T					
4. Merrill Lynch Single Premium Life-Insurance		None	K	T					
5. Philadelphia Life Insurance Company-Insurance		None	K	T					
6. U.S. Savings Bonds		None	O	T					
7. Virtus Balanced Fund(formerly Phx Balanced Fund)	A	Dividend	K	T					
8. MLF Retirement Plus-Annuity		None	M	T					
9. Transamerica Landmark Annuity		None	K	T					
10. Allianz Franklin-Annuity		None	K	T					
11. Nelson II Freeway Limited Partnership		None	J	W					
12. Sasscr Interest Limited Partnership	E	Distribution			Sold	9/8	K	E	Squaw Peak Goodyear LLC
13. Office condo (1/2 interest), Phx, AZ	D	Rent	M	R					
14. Merrill Lynch Basic Retirement Plan-Profit Sharing	E	Dividend & I	N	T					
15. --Capital One CD					Buy	4/7	K		
16. --Lehman Bros. CD					Redeemed	5/19	K		
17. --Capital One Bank CD					Buy	9/29	K		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

FINANCIAL DISCLOSURE REPORT

Page 5 of 11

Name of Person Reporting

Bolton, Susan R.

Date of Report

05/13/2009

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. --Banco Pop CD									
19. --Imperial Cap. Bank CD					Buy	4/10	L		
20. --Discover Bank CD									
21. --US Treas. Inflation Note									
22. --Western Bank CD									
23. --Resolution Funding Corp. Zero Coupon Bond					Redeemed	7/15	K		
24. --BMW Bank CD									
25. Merrill Lynch Basic Retirement Plan-Money Purchase	D	Dividend & I	N	T					
26. --Sky Bank CD					Redeemed	5/12	K		
27. --Discover Bank CD					Redeemed	7/11	L		
28. --US Treasury Inflation Notes (3)									
29. --GE Money Bank CD					Buy	10/1	L		
30. --JP Morgan Chase Bank CD (formerly Washington Mutual Bank CD)									
31. --Motorola common stock									
32. --Vodafone common stock									
33. --Capital One CD					Buy	4/7	K		
34. --Blackrock Global									

1. Income Gain Codes:

A = \$1,000 or less

B = \$1,001 - \$2,500

C = \$2,501 - \$5,000

D = \$5,001 - \$15,000

E = \$15,001 - \$50,000

(See Columns B1 and D4)

F = \$50,001 - \$100,000

G = \$100,001 - \$1,000,000

H1 = \$1,000,001 - \$5,000,000

H2 = More than \$5,000,000

2. Value Codes

J = \$15,000 or less

K = \$15,001 - \$50,000

L = \$50,001 - \$100,000

M = \$100,001 - \$250,000

(See Columns C1 and D3)

N = \$250,001 - \$500,000

O = \$500,001 - \$1,000,000

P1 = \$1,000,001 - \$5,000,000

P2 = \$5,000,001 - \$25,000,000

P3 = \$25,000,001 - \$50,000,000

P4 = More than \$50,000,000

3. Value Method Codes

Q = Appraisal

R = Cost (Real Estate Only)

S = Assessment

T = Cash Market

(See Column C2)

U = Book Value

V = Other

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FINANCIAL DISCLOSURE REPORT

Page 6 of 11

Name of Person Reporting

Bolton, Susan R.

Date of Report

05/13/2009

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35. --Resolution Funding Corp-zero coupon bond					Redeemed	7/15	K		
36. --US Treasury-zero coupon bond									
37. --Financing Corp.- zero coupon bond									
38. Merrill Lynch IRA	C	Dividend & I	N	T					
39. --ML Bank USA									
40. --US Treasury zero coupon bonds (3)									
41. --Pepsico common stock									
42. --Yum Brands common stock									
43. --Washington Mutual-CD					Redeemed	8/11	J		
44. --Wal-Mart common stock									
45. --Oppenheimer Income Trust mutual fund									
46. --US Treasury Inflation Note									
47. --Lehman Bros Bank CD					Redeemed	5/27	J		
48. --Washington Trust CD					Redeemed	9/9	K		
49. --U.S. Treasury Inflation Note									
50. --Discover Bank CD									
51. --Goldman Sachs Bank CD					Buy	9/29	K		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000 R = Cost (Real Estate Only) V = Other	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000 S = Assessment W = Estimated	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000 T = Cash Market	E = \$15,001 - \$50,000
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FINANCIAL DISCLOSURE REPORT

Page 7 of 11

Name of Person Reporting

Bolton, Susan R.

Date of Report

05/13/2009

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52. --Capital One CD					Buy	4/7	J		
53. --Capital One Bank CD					Buy	9/29	K		
54. Fidelity Equity Income Fund	A	Dividend	J	T					
55. Fidelity Magellan mutual fund	A	Dividend	J	T					
56. Fidelity Value mutual fund	A	Dividend	J	T					
57. Fidelity Asset Manager mutual fund	A	Dividend	J	T					
58. Fidelity Puritan mutual fund	A	Dividend	J	T					
59. Janus Balanced mutual fund	A	Dividend	J	T					
60. Vanguard Growth Index Fund	A	Dividend	J	T					
61. Vanguard 500 Index Fund	A	Dividend	J	T					
62. Vanguard Prime Cap Fund	A	Dividend	J	T					
63. Vanguard Life Strategy Growth Fund	A	Dividend	J	T					
64. Vanguard Profit Sharing Plan	A	Dividend & I	J	T					
65. --Total Stock Market Index Fund									
66. --Growth Index Fund									
67. Vanguard IRA	A	Dividend	J	T					
68. --Growth Index Fund									

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E = \$15,001 - \$50,000

(See Columns B1 and D4)

F = \$50,001 - \$100,000

G = \$100,001 - \$1,000,000

H1 = \$1,000,001 - \$5,000,000

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2. Value Codes

J = \$15,000 or less

K = \$15,001 - \$50,000

L = \$50,001 - \$100,000

M = \$100,001 - \$250,000

(See Columns C1 and D3)

N = \$250,001 - \$500,000

O = \$500,001 - \$1,000,000

P1 = \$1,000,001 - \$5,000,000

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3. Value Method Codes

Q = Appraisal

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FINANCIAL DISCLOSURE REPORT

Page 8 of 11

Name of Person Reporting

Bolton, Susan R.

Date of Report

05/13/2009

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69. Vanguard IRA	A	Dividend	J	T					
70. --Total Stock Market Fund									
71. Gilbert AZ Public Facility Muni Bond	A	Interest	K	T					
72. Merrillville IN Muni Bond zero coupon		None	K	T					
73. Illinois DFA zero coupon Muni Bond		None	K	T					
74. Oroville, CA (1972, \$5,000)		None	J	R					
75. Arizona Elected Officials Retirement Plan	F	pension	M	T					
76. Arizona State Retirement System		None	M	T					
77. Keyport Keyannuity		None	M	T					
78. Keyport Keyannuity		None	L	T					
79. Merrill Lynch IRA	D	Dividend & I	N	T					
80. --MLBankUSA									
81. --US Treasury zero coupon bonds (3)									
82. --US Treasury Inflation Notes (3)									
83. --Huntington National Bank CD					Buy	9/29	L		
84. --Pepsico common stock									
85. --Yum Brands common stock									

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2. Value Codes (See Columns C1 and D3)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	
3. Value Method Codes (See Column C2)					

FINANCIAL DISCLOSURE REPORT

Page 9 of 11

Name of Person Reporting

Bolton, Susan R.

Date of Report

05/13/2009

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86. --Lehman Bros. CD					Redeemed	1/22	K		
87. --Oppenheimer Income Trust mutual fund									
88. --Countrywide Bank CD					Redeemed	9/17	K		
89. --Capital One CD					Buy	4/7	K		
90. --Peninsula Bank CD					Redeemed	6/3	K		
91. --Premier Bank CD									
92. --JP Morgan Chase Bank CD (formerly Washington Mutual Bank CD)									
93. --Western Bank CD									
94. U.S. Savings Bonds		None	K	T					
95. U.S. Savings Bonds		None	K	T					
96. America Express Bank CD		None			Buy	11/26	L		
97. Western Bank CD		None			Buy	11/19	L		
98. US Savings Bonds		None			Buy	12/19	J		
99. ISA Marshall & Ilsley BK	A	Interest	J	T					
100. ISA M&T Trust Co.	A	Interest	L	T					

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2. Value Codes (See Columns C1 and D3)					
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other			

FINANCIAL DISCLOSURE REPORT

Page 10 of 11

Name of Person Reporting

Bolton, Susan R.

Date of Report

05/13/2009

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of Report.)*

Effective October 16, 2008 with the increase of FDIC insurance from \$100,000 to \$250,000 per depositor, Merrill Lynch now deposits funds formerly held in Merrill Lynch Bank NSA into Insured Savings Accounts (ISAs). Cash deposits formerly in Merrill Lynch Bank USA on Part VII, line 2 are now reported as ISA _____ on part VII, lines 99 and 100.

FINANCIAL DISCLOSURE REPORT

Page 11 of 11

Name of Person Reporting

Bolton, Susan R.

Date of Report

05/13/2009

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature

A large black rectangular redaction box covering the signature area.

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544