

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2010

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Rainey, John D.	2. Court or Organization U.S. District Court, Texas	3. Date of Report 04/29/2011
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. District Judge-Active	5a. Report Type (check appropriate type) ☐ Nomination, Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2010 to 12/31/2010
7. Chambers or Office Address 312 S. Main Room 406 Victoria, TX 77901	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. _____	_____
2. _____	_____
3. _____	_____
4. _____	_____
5. _____	_____

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. _____	_____
2. _____	_____
3. _____	_____

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS – *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*☐NONE *(No reportable gifts.)*

<u>SOURCE</u>		<u>DESCRIPTION</u>	<u>VALUE</u>
1.	Federal Bar Association	Lodging	\$400.00
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*☐NONE *(No reportable liabilities.)*

<u>CREDITOR</u>		<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	Trust Texas Bank, Victoria, TX	Edwards Co. land	M
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e.g., div., rent, or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g., buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
1. Summit Energy (Y)									
2. Cimarron Corp. (Y)									
3. 1st Victoria National Bank (IRA)	A	Interest	L	T					
4. State Farm Insurance	A	Interest	L	T					
5. American International Group		None			Sold	08/24/10	J		
6. General Electric	A	Dividend			Sold	08/24/10	K		
7. Weingarten Rlty, Inc.	A	Dividend			Sold	08/24/10	K	B	
8. 1st Victoria National Bank, Victoria, TX (CD)	A	Interest			Redeemed	03/16/10	K	A	
9. Wells Fargo, Vtictoria, TX	A	Interest	L	T					
10. Verizon Communications	A	Dividend			Sold	08/24/10	J		
11. Enterprise Products Partners LP	A	Interest			Sold	08/24/10	K	E	
12. The Saint Joe Co.		None			Sold	08/24/10	J		
13. Prosperity Bank, Victoria, TX (CD)	A	Interest			Redeemed	01/27/10	K	A	
14. Wells Fargo Bank,Victoria, TX (CD)	B	Interest	L	T					
15. Land, Edwards County, TX		None	O	W					
16. Fidelity Brokerage Services	B	Interest	J	T					
17. Fidelity Brokerage Services IRA	C	Int./Div.	M	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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18. -Blackrock Fundamental Growth					Sold	09/17/10	K		
19. -Blackrock Global Allocation Fund, Inc.					Sold (part)	09/17/10	L		
20. -Blackrock Global Allocation Fund, Inc.					Sold (part)	11/03/10	K		
21. -Blackrock Global Dynamic Equity Fund					Sold	09/17/10	K		
22. -Investment Company of America					Sold	09/17/10	K		
23. -Money Market									
24. -Cohen & Steers Intl. Realty Inc.(X)					Buy	09/17/10	J		
25. -T. Rowe Price Cap Appreciation (X)					Buy	09/17/10	K		
26. -Aberdeen Emerging Markets Instl. Fd (X)					Buy	09/20/10	K		
27. -RS Global Natural Resources (X)					Buy	09/20/10	J		
28. -Tocqueville Gold Fund (X)					Buy	09/20/10	J		
29. -Keeley Small Cap Value Class A (X)					Buy	09/21/10	J		
30. -Thornburg Intl Value C1 Instl (X)					Buy	09/21/10	J		
31. -Hussman Strategic Growth (X)					Buy	09/21/10	K		
32. -American Century Equity Income (X)					Buy	11/03/10	J		
33. -Harbor International Institutional Fd (X)					Buy	11/03/10	K		
34. Trust Texas Bank (CD)	B	Interest	L	T					

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35. T. Rowe Price Cap Appreciation (X)	A	Dividend	K	T	Buy	09/17/10	J		
36. Harbor International Institutional Fd (X)	A	Dividend	K	T	Buy	09/17/10	J		
37. RS Global Resources CI A (X)		None	J	T	Buy	09/17/10	J		
38. Arbitrage Fund Class R (X)	A	Dividend	K	T	Buy	09/20/10	K		
39. IShares TR MSCI Emerging Mkts (X)	A	Dividend	J	T	Buy	09/21/10	J		
40. Keeley Small Cap VAlue Class A (X)		None	J	T	Buy	09/21/10	J		
41. Matthews Pacific Tiger Fund (X)	A	Dividend	J	T	Buy	10/06/10	J		
42.									
43.									
44.									
45.									
46.									
47.									

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **John D. Rainey**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544