

FINANCIAL DISCLOSURE REPORT

FOR CALENDAR YEAR 2003

Report Required by the Ethics
in Government Act of 1978,
(5 U.S.C. App. §§101-111)

1. Person Reporting (Last name, first, middle initial) Tacha, Deanell R.		2. Court or Organization United States Court of Appeals Tenth Circuit		3. Date of Report April 30, 2004
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Chief Circuit Judge (Active)		5. Report Type (check appropriate type) ____ Nomination, Date _____ ____ Initial ☒ Annual ____ Final		6. Reporting Period 1/1/03-12/31/03
7. Chambers or Office Address 643 Massachusetts St., Suite 301 Lawrence, KS 66044-2292		8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____		

IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the **NONE** box for each part where you have no reportable information. Sign on last page.

I. POSITIONS. (Reporting individual only; see pp. 9-13 of Instructions.)

POSITION

NAME OF ORGANIZATION/ENTITY

☐

NONE (No reportable positions.)

1 See Attachment #1

2

3

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of Instructions.)

DATE

PARTIES AND TERMS

☒

NONE (No reportable agreements.)

1

2

III. NON-INVESTMENT INCOME. (Reporting individual and spouse; see pp. 17-24 of Instructions.)

DATE

SOURCE AND TYPE

GROSS INCOME

A. Filer's Non-Investment Income

☐

NONE (No reportable non-investment income.)

1 See Attachment #2

\$

2

\$

3

\$

B. Spouse's Non-Investment Income - If you were married during any portion of the reporting year, please complete this section. (dollar amount not required except for honoraria)

☐

NONE (No reportable non-investment income.)

1

2

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FINANCIAL DISCLOSURE REPORT

Name of Person Reporting

Tacha, Deanell R.

Date of Report

April 30, 2004

IV. REIMBURSEMENTS -- transportation, lodging, food, entertainment.

(Includes those to spouse and dependent children. See pp. 25-27 of Instructions.)

SOURCEDESCRIPTION☐

NONE (No such reportable reimbursements.)

1

See Attachment #3

2

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V. GIFTS. (Includes those to spouse and dependent children. See pp. 28-31 of Instructions.)SOURCEDESCRIPTIONVALUE☒

NONE (No such reportable gifts.)

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\$

3

\$

4

\$

VI. LIABILITIES. (Includes those of spouse and dependent children. See pp. 32-33 of Instructions.)CREDITORDESCRIPTIONVALUE CODE*☐

NONE (No reportable liabilities.)

1

See Attachment #4

2

3

4

5

*Value Codes: J=\$15,000 or less K=\$15,001-\$50,000 L=\$50,001-\$100,000 M=\$100,001-\$250,000
N=\$250,001-\$500,000 O=\$500,001-\$1,000,000 P1=\$1,000,001-\$5,000,000
P2=\$5,000,001-\$25,000,000 P3=25,000,001-50,000,000 P4=50,000,001 or more

FINANCIAL DISCLOSURE REPORT

Name of Person Reporting

Tacha, Deanell R.

Date of Report

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VII. Page 1 INVESTMENTS and TRUSTS -- income, value, transactions (includes those of spouse and dependent children. See pp. 34-57 of instructions.)

A. Description of Assets (including trust assets) <i>Place "(X)" after each asset exempt from prior disclosure.</i>	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	If not exempt from disclosure			
	Amt. Code1 (A-I)	Type (e.g., div, rent or int.)	Value Code2 (J-P)	Value Method Code3 (Q-W)	Type (e.g., buy, sell, merger, redemption)	(2) Date Month- Day	(3) Value Code2 (J-P)	(4) Gain Code1 (A-H)	(5) Identity of buyer/seller (if private transaction)
☐ NONE (No reportable income,									
1 See Attachment #5									
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1	Income/Gain Codes: (See Col. B1, D4)	A=\$1,000 or less F=\$50,001-\$100,000	B=\$1,001-\$2,500 G=\$100,001-\$1,000,000	C=\$2,501-\$5,000 H1=\$1,000,001-\$5,000,000	D=\$5,001-\$15,000 H2=More than \$5,000,000	E=\$15,001-\$50,000
2	Value Codes: (See Col. C1, D3)	J=\$15,000 or less N=\$250,001-\$500,000 P3=\$25,000,001-\$50,000,000	K=\$15,001-\$50,000 O=\$500,001-\$1,000,000	L=\$50,001-\$100,000 P1=\$1,000,001-\$5,000,000 P4=More than \$5,000,000	M=\$100,001-\$250,000 P2=\$5,000,001-\$25,000,000	
3	Value Method Codes: (See Col. C2)	Q=Appraisal U=Book value	R=Cost (real estate only) V=Other	S=Assessment W=Estimated	T=Cash/Market	

FINANCIAL DISCLOSURE REPORT

Name of Person Reporting

Tacha, Deanell R.

Date of Report

April 30, 2004

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS (Indicate part of Report.)

K. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app., § 501 et. seq., 5 U.S.C. § 7353 and Judicial Conference regulations.

Signature

Date

4/30/04

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. App., § 104.)

FILING INSTRUCTIONS:

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the
United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544

ATTACHMENT 1

FINANCIAL DISCLOSURE REPORT (Cont'd) Tacha, Deanell R. April 30, 2004

I. POSITIONS.

<u>Position</u>	<u>Name of Organization/Entity</u>
Board of Trustees	Saint Paul School of Theology
Board of Directors	Kansas Health Foundation
Adjunct Faculty	Duke University School of Law
Board of Trustees	Kansas University Endowment Association
Board of Trustees, Treasurer	American Inns of Court
Stockholder & Manager	Reece Family Liability Corporation
Stockholder	Reece Family Agricultural Liability Corp.
Chair	Kansas Territorial Sesquicentennial Commission
Chair	Lawrence/Douglas County Heritage Area Committee

ATTACHMENT 2

FINANCIAL DISCLOSURE REPORT (Cont'd) Tacha, Deanell R. April 30, 2004

III. NON-INVESTMENT INCOME.

<u>Date</u>	<u>Source and Type</u>	<u>Gross Income</u>
	Bureau of Lectures and Concert Artists	Salary
11/17-11/18/03	Duke University School of Law, teaching fee	\$4,265.00

ATTACHMENT 3

FINANCIAL DISCLOSURE REPORT (Cont'd) Tacha, Deanell R. April 30, 2004

IV. REIMBURSEMENTS AND GIFTS.

<u>Source</u>	<u>Description</u>
Ohio State University College of Law Speech Columbus, OH April 15-16, 2003	Air fare, ground transportation, lodging, meals
University of Oklahoma College of Law Commencement Speaker Norman, OK May 10-11, 2003	Air fare, ground transportation, lodging, meals
ABA Annual Meeting Section of Litigation-Speaker San Francisco, CA August 8-10, 2003	Ground transportation, air fare, lodging
American Inns of Court Board Meeting Washington, D.C. October 18-20, 2002	Ground transportation, air fare, lodging, meals
Federal Bar Association Cleveland Chapter Cleveland, OH November 5-7, 2003	Ground transportation, air fare, lodging, meals

ATTACHMENT 4

FINANCIAL DISCLOSURE REPORT (Cont'd) Tacha, Deanell R. April 30, 2004

VI. LIABILITIES

<u>Creditor</u>	<u>Description</u>	<u>Value Code*</u>
University National Bank	Business Loan	L
Sallie Mae	[REDACTED] Student Loans	L
Emprise Bank	Real Estate Loan	M

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Q=\$500,001-\$1,000,000	P1=\$1,000,001-\$5,000,000	P2=\$5,000,001-\$25,000,000		
P3=\$25,000,001-\$50,000,000	P4=\$50,000,001 or more			

ATTACHMENT 5

FINANCIAL DISCLOSURE REPORT (Cont'd) Tacha, Deanell R. April 30, 2004

VII. INVESTMENTS and TRUSTS -- income, value, transactions

A. Description of Assets (including trust assets)	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amt. Code1 (A-H)	(2) Type (e.g., div., rent or int.)	(1) Value Code2 (J-P)	(2) Value Method Code3 (Q-W)	(1) Type (e.g., buy, sell, merger, redemption)	If (2) Date: Month- Day	net (3) Value Code2 (J-P)	exempt (4) Gain Code1 (A-H)	from disclosure (5) Identity of buyer/seller (if private transaction)
<i>Place "(X)" after each asset exempt from prior disclosure</i>									
1 Putnam Vista Funds - IRA	B	Div.	L	T					
2 Putnam Voyager Funds - IRA	B	Div.	L	T					
3 TIAA Retirement Fund	D	Div.	M	W					
4 Trust of [REDACTED] - Value and assets unknown to me-- no income, beneficiary upon their death									
5 Putnam Global Growth IRA Fund	B	Div.	K	T					
6 Putnam Global Growth IRA Funds	B	Div.	K	T					
7 Bureau of Lectures & Concert Artists (sole stockholder)	A- except [REDACTED] salary	Int.	M	W					
8 Real Estate-rental home Lawrence, Douglas County, KS						SOLD - one half SOLD by partner- ship	1/3/02 7/19/02	K K	Rob Farhar, Jeff Harfield Justin Blick, Holly Robertson
9 Morgan Stanley Dean Witter American Value		None	J	T	Exchange Redemp- tion	10/28/03	J		Dean Witter
10 MSDW Equity Fund		None	J	T	Buy	6/30/98	J		Dean Witter
11 MSDW Pacific Growth Fund		None	J	T	Buy	1/13/00	J		Dean Witter
12 MSDW International Fund		None	J	T	Exchange Redemp- tion	10/28/03	J		Dean Witter

ATTACHMENT 5 (Cont'd)

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Place "(X)" after each asset exempt from prior disclosure									
13 Reece Family, L.C.		None	M	T					
14 Real Estate-Dec. Co	B	Rent	K	T					
15 Reece Family Agriculture, L.C.		None	K	T					
16 MSDW Special Growth Fund		None	J	T	10/28/03	Exchange Purchase	J		Dean Witter
17 MSDW Value Fund		None	J	T	10/28/03	Exchange Purchase	J		Dean Witter
18 MSDW Growth Fund		None	J	T	10/28/03	Buy	J		Dean Witter
19 MSDW Capital Opportunities Fund		None	J	T	10/28/03	Buy	J		Dean Witter
20 MSDW International Value Equity Fund		None	J	T	10/28/03	Buy	J		Dean Witter
21 MSDW Value Fund		None	J	T	10/28/03	Buy	J		Dean Witter
22 MSDW Small-Mid Special Value Fund		None	J	T	10/28/03	Buy	J		Dean Witter
23 MSDW Pacific Growth Fund		None	J	T	10/28/03	Buy	J		Dean Witter

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