



**FINANCIAL DISCLOSURE REPORT
FOR CALENDAR YEAR 2009**

*Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)*

1. Person Reporting (last name, first, middle initial) Scheindlin, Shira A.	2. Court or Organization S.D.N.Y.	3. Date of Report 06/23/2010
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. District Judge/active	5a. Report Type (check appropriate type) ☐ Nomination, Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2009 to 12/31/2009
7. Chambers or Office Address USDC-SDNY 500 Pearl Street New York, N.Y. 10007	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	
IMPORTANT NOTES: <i>The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.</i>		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Director	Justice Resource Center
2. Director	Sedona Conference
3.	
4.	
5.	

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II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)*

A. Filer's Non-Investment Income

☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2009	West Services, Inc. Book Royalties	\$2757.76
2. 2009	Fordham University Law School Teaching	\$1000.00
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.
(Dollar amount not required except for honoraria.)*

☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 1-12/09	State University of New York
2.	
3.	
4.	

IV. REIMBURSEMENTS – *transportation, lodging, food, entertainment.*
(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

☐ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. Federal Bar Council	2/14/09-2/21/09	Mexico	Speaker	Travel, Food, Lodging
2. American Law Institute - American Bar Ass'n	3/26/09-3/27/09	Philadelphia, PA	Speaker	Travel, Food, Lodging
3. American Bar Association	4/17/09-4/23/09	San Francisco, CA	Speaker	Travel, Food, Lodging
4. Sedona Conference	4/29/09-5/01/09	Sedona, AZ	Speaker	Travel, Food, Lodging
5. American Bar Ass'n	9/24/09-9/25/09	Chicago, IL	Speaker	Travel, Food, Lodging
6. Cornell University	11/05/09-11/07/09	Ithaca, NY	Law School Advisory Council Meeting	Travel, Food, Lodging

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7.	Georgetown University	11/11/09-11/13/09	Washington, DC	Speaker	Travel, Food, Lodging
8.	Practicing Law Institute	12/03/09-12/07/09	San Francisco, Calif.	Speaker	Travel, Food, Lodging

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1. Bank of America	Mortgage on Rental Property #1, Brooklyn, NY	K
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "X" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Rental Prop. #1, Kings County, NY	F	Rent	P1	W					
2. Teachers Insurance Annuity Association	G	Interest	P1	T					
3. AXA Financial	A	Int./Div.	J	T	Sold (part)	12/4/09	J	A	
4. Wachovia Bank Deposit Sweep					Sold	06/30/09	J	A	
5. Wells Fargo		None			Buy	06/30/09	J		
6. Wells Fargo		None			Sold	08/03/09	J		
7. IRA #1	B	Dividend	M	T					
8. IRA Sentinal Cap Growth Fund Class A		None	K	T					
9. IRA Sentinel MidCap Growth Class A		None	K	T					
10. IRA Sentinel Intl Equity Class A		None	K	T					
11. IRA Sentinel Small Company A		None	K	T					
12. IRA Sentinel Common Stock Class A		None	K	T					
13. IRA Sentinel Gov't. Securities CI A		None	K	T					
14. IRA Sentinel Conservative Alloc. Class A		None	K	T					
15. College Retirement Equities Fund		None	P1	T					
16. Supplemental and Group Retirement Annuities	D	Interest	N	T					
17. Vanguard Int'l Mutual Fund	A	Dividend	J	T	Sold (part)	6/0/09	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and E3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-I)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-I)	(5) Identity of buyer/seller (if private transaction)
18. Pfizer	C	Dividend	L	T					
19. Brooklyn Federal S & L	A	Interest	J	T					
20. Brooklyn Federal S & L	A	Interest	J	T					
21. Chase Manhattan Bank	A	Interest	J	T					
22. Chase Manhattan Bank	A	Interest	K	T					
23. Chase Manhattan Bank	A	Interest	L	T					
24. Chase Manhattan Bank	C	Interest	M	T					
25. Chase Manhattan Bank	A	Interest	K	T					
26. Chase Manhattan Bank	A	Interest	K	T					
27. NYS Local Gov't Assist. Corp. Series C	B	Interest	K	T	Redeemed (part)	4/1/09	J		
28. Fidelity Advantage Small Cap.		None	K	T					
29. Fidelity Advantage Mid Cap	A	Dividend	K	T					
30. IRA ACCOUNT #2	B	Distribution	K	T					
31. NWD Var Ins Trust NVIT S&P Index Fund CI4		None	J	T					
32. IRA Fidelity VIP Contrafund		None	K	T					
33. IRA Dreyfus Growth & Income		None	J	T					
34. IRA DWS Variable Series One		None	J	T					

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35. Brokerage Account #1									
36. Excelsior Directional Hedge Fund of Funds	D	Int./Div.	M	T					
37. ASTON Montag & Caldwell Grth FD CL1	A	Dividend	K	T					
38. Columbia NY InterMuni BD FD CL-Z		None			Sold	4/7/09	L		
39. Columbia DIV. INC FD CLZ	B	Dividend	L	T					
40. Columbia FDS SER TR Valuae and Restructuring FD CL Z	B	Dividend	M	T					
41. Columbia FDS SER TR 1 Select Opportunities FD CL Z	A	Dividend	L	T					
42. Columbia FDS SER TR 1 Select LGE CAP Grth FD CL-Z		None	M	T					
43. Columbia NY Tax Exempt RES. FD (sweep Account	A	Dividend	J	T					
44. Columbia NY Tax Exempt RES. FD (sweep Account	A	Distribution	J	T					
45. Columbia Small Cap Gth FD		None	L	T	Buy	4/8/09	K		
46. Columbia Small Cap Value FD	A	Int./Div.	L	T	Buy	4/8/09	K		
47. Janus Inveest. FD Enterprize*	A	Dividend	K	T					
48. ARTIO Int. Eq. FD LTD.		None			Sold	4/7/09	K		
49. Scottrade Acct. #1									
50. Barclay Bk MSCI India		None	J	T					
51. MSCI Pacific	A	Dividend	J	T					

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3. Value Method Codes (See Column C2)					

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52. MSCI South Korea	A	Dividend	J	T					
53. MSCI Mexico	A	Dividend	J	T					
54. MSCI Hong Kong	A	Dividend	J	T	Buy (add'l)	1/5/09	J		
55. FTSE Xinhua China	A	Dividend	J	T	Buy (add'l)	1/5/09	J		
56. SPDR Gold Trust	A	Distribution	K	T	Buy (add'l)	1/2/09	J		
57. SPDR Gold Trust	A	Distribution	K	T	Buy (add'l)	7/15/09	J		
58. FBR Focus Fund		None			Sold	8/14/09	J		
59. Brandywine Blue FD	A	Int./Div.	J	T	Buy (add'l)	1/2/09	J		
60. MSCI Taiwan		None			Sold	1/5/09	J	A	
61. Harbor Bond Fund	A	Int./Div.	J	T					
62. Long Leaf Partners Fund	A	Dividend	J	T					
63. Perritt Micro Cap FD		None	K	T					
64. M & I Marshall and Ilsley Bank (Scooptrade sweep account)		None	J	T					
65. Oakmark Select Fund	A	Int./Div.	J	T	Buy	7/9/09	J		
66. Fairholme Fund	A	Int./Div.	J	T	Buy	7/13/09	J		
67. SPDR Series trust KBWregl. B	A	Int./Div.	J	T	Buy	7/13/09	J		
68. SPDR Series Trust KBWbkETF	A	Int./Div.	J	T	Buy	7/13/09	J		

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69. PIMCO Emerging Local Bond fund	A	Int./Div.	J	T	Buy	8/19/09	J		
70. Brokerage Acct. #2									
71. Frontline LTD	A	Dividend	J	T					
72. Huaneng PWR	A	Dividend	J	T					
73. Windstream	A	Dividend	J	T					
74. Markwest	A	Dividend	J	T					
75. Ultra Financial partners		None			Sold	4/13/09	J		
76. Boyd Gaming Corp.		None			Buy	9/3/09	J		
77. Boyd Gaming Corp.		None			Sold	10/7/09	J	A	
78. SPDR Index SHS FDS INT.(IPF-ETF)	A	Int./Div.	J		Buy	8/24/09	J		
79. MSCI Hong Kong (EWH-ETF)	A	Int./Div.	J	T	Buy	8/31/09	J		
80. SPDR Index SHS FDS S & P China (GXC)	A	Int./Div.	J	T	Buy	10/15/09	J		
81. Claymore Exchange Traded Fund (TAN)	A	Int./Div.	J	T	Buy	8/27/09	J		
82. M & I Marshall Ilsley Bank (sweep Acct.)	A	Int./Div.	J	T					
83. IBM*	A	Dividend			Sold	1/12/09	J	A	

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of Report.)*

Part VII l. 43,44 , 64 and 82 . These are just sweep accounts for cash that accumulates in a brokerage account.

Part VII Line 47; On July 6, 2009 Janus Advisor Midcap Growth Fund became Janus Investment Fund Enterprize

Part VII Line 83 The sale of IBM noted on line 20 of the 2009 report was cancelled and sold on 1/12/09

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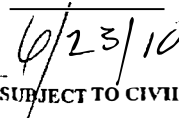
06/23/2010

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature


6/23/10

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544