

FINANCIAL DISCLOSURE REPORT
FOR CALENDAR YEAR 2008Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. App. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Ellis, III, Thomas S.	2. Court or Organization U.S. District Court, E.D. Va.	3. Date of Report 06/11/2009
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Senior U.S. District Judge	5a. Report Type (check appropriate type) ☐ Nomination, Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2008 to 12/31/2008
7. Chambers or Office Address 401 Courthouse Square 9th Floor Alexandria, VA 22314-5799	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1. Advisory Board Member

Dean's Intellectual Property Law Advisory Board, George Washington University

2. Member

Judicial Conference Advisory Committee on Appellate Rules (and Sealing Subcommittee)

3. Member

Judicial Advisory Council on International Family Abduction

4.

5.

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.

2.

3.

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☒ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income -- *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2008	Charlottesville City Public Schools - salary
2. 2008	Albemarle County Public Schools - salary
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. Villanova University School of Law	2/1/2008 to 2/2/2008	Villanova, PA	Seminar (speaker)	food/lodging/travel
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1. _____	_____	_____
2. _____	_____	_____
3. _____	_____	_____
4. _____	_____	_____
5. _____	_____	_____

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1. _____	_____	_____
2. _____	_____	_____
3. _____	_____	_____
4. _____	_____	_____
5. _____	_____	_____

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

Description of Assets (including trust assets)	Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Sensor		None	J	T					
2. Wachovia Bank Accounts	A	Interest	K	T					
3. IRA #1 (formerly listed as IRA #2)									
4. Calamos Growth Fd A		None	J	T					
5. Cambiar Opportunity Fd		None	J	T					
6. FMI FDS Focus Fd		None	J	T					
7. Goldman Sachs Tr Finl Sq Mmkt I	A	Dividend	J	T					
8. Hotchkiss & Wiley Mid Cap Value I		None	J	T					
9. Julius Baer Int'l Equity I	A	Dividend	J	T					
10. Kinetics Sm Cap Opportunities I		None	J	T					
11. Kinetics Paradigm Fd - I		None	J	T	Sold (part)	10/15	J	A	
12. Legg Mason Value Trust		None	K	T	Sold (part)	10/15	J	A	
13. Royce Total Return Fd CLW		None	J	T					
14. TCW Fds Select Equities Fd I		None	J	T	Sold (part)	10/15	J	A	
15. Alger Sm Cap		None			Sold	10/15	J	A	
16. Blackrock Lg Cap Value Fd		None	J	T	Sold (part)	10/15	J	A	
17. Columbia Fds Tr Marsico 21st Century Fd CLA		None	J	T	Sold (part)	10/15	J	A	

1. Income Gain Codes:
(See Columns B1 and D4)

A = \$1,000 or less
F = \$50,001 - \$100,000

B = \$1,001 - \$2,500
G = \$100,001 - \$1,000,000

C = \$2,501 - \$5,000
H1 = \$1,000,001 - \$5,000,000

D = \$5,001 - \$15,000
H2 = More than \$5,000,000

E = \$15,001 - \$50,000

2. Value Codes
(See Columns C1 and D3)

J = \$15,000 or less
N = \$250,001 - \$500,000
P3 = \$25,000,001 - \$50,000,000

K = \$15,001 - \$50,000
O = \$500,001 - \$1,000,000

L = \$50,001 - \$100,000
P1 = \$1,000,001 - \$5,000,000

M = \$100,001 - \$250,000
P2 = \$5,000,001 - \$25,000,000

3. Value Method Codes
(See Column C2)

Q = Appraisal
U = Book Value

R = Cost (Real Estate Only)
V = Other

P4 = More than \$50,000,000
S = Assessment
W = Estimated

T = Cash Market

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. Dodge & Cox Int'l Stock Fd		None	J	T	Sold (part)	10/15	J	A	
19. DWS Dreman Sm Cap Value CLS		None	J	T					
20. Fidelity Advisor Ser l Lev Co Stock Fd		None	J	T					
21. Quantitative Grp Fds Foreign Value Fd Ord SHS		None	J	T	Sold (part)	10/15	J	A	
22. RS Invt TR Global Natural Res Fd CLA	A	Dividend	J	T	Buy	10/16	J		
23. Artio Int'l Equity Fd CL 1		None	J	T	Buy	1/3	J		
24. Security EQ Fd Mid Cap Value Ser CL A		None	J	T	Buy	10/15	J		
25. T Rowe Price Mid Cap Growth Fd		None	J	T	Buy	10/15	J		
26. Touchstone Large Cap Growth Fd CL 1		None	J	T	Buy	10/15	J		
27. Wells Fargo Fds Tr Small Cap Growth Fd Admin CL		None	J	T	Buy	10/15	J		
28. Delaware Grp Advisor Fds Inc US Growth Port Instl CL		None	J	T	Buy	10/16	J		
29. John Hancock Classic Value Fd CL 1		None	J	T	Buy	10/16	J		
30. Harbor Int'l Instl CL		None	J	T	Buy	10/16	J		
31. Oppenheimer Int'l Growth Fd CL Y		None	J	T	Buy	10/16	J		
32. IRA #2 (formerly listed as IRA #3)									
33. Baron Select Partners	A	Dividend	J	T	Sold (part)	1/3	J	A	
34. Baron Select Partners					Sold (part)	8/22	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
35. Blackrock Large Cap Val A		None	J	T	Sold (part)	1/3	J	A	
36. Bridgeway Ultra-Small Co		None	J	T	Sold (part)	1/3	J	A	
37. Bridgeway Ultra-Small Co					Sold (part)	8/22	J	A	
38. Touchstone Inst'l Fds Tr Sands Cap Instl G rowth	A	Dividend	J	T	Sold (part)	1/3	J	B	
39. Touchstone Inst'l Fds Tr Sands Cap Instl G rowth					Sold (part)	8/22	J	A	
40. Diamond Hill Sm Cap Fd A		None	J	T	Sold (part)	1/3	J	A	
41. Dodge & Cox Int'l Stock Fd	A	Dividend	J	T	Sold (part)	1/3	J	A	
42. Dodge & Cox Int'l Stock Fd					Sold (part)	8/22	J	A	
43. Hotchkiss & Wiley Cor Val I		None	J	T	Sold (part)	1/3	J	A	
44. Hotchkiss & Wiley Cor Val					Sold (part)	8/22	J	A	
45. Janus Mid Cap Val Fd Inst		None	J	T	Sold (part)	1/3	J	A	
46. Janus Mid Cap Val Fd Inst					Sold (part)	8/22	J	A	
47. Julius Baer Int'l Equity II	A	Dividend	J	T	Sold (part)	1/3	J	B	
48. Julius Baer Int'l Equity II					Sold (part)	8/22	J	A	
49. Kinetics S/C Opp Inst'l	A	Dividend	J	T	Sold (part)	1/3	J	A	
50. Munder M/C Core Growth Y		None	J	T	Sold (part)	1/3	J	A	
51. Munder M/C Core Growth Y					Sold (part)	8/22	J	A	

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52. NUVN Trdwns Int'l Val R	A	Dividend	J	T	Sold (part)	1/3	J	A	
53. Natwde Mut S/C Fd CL A		None	J	T	Sold (part)	1/3	J	A	
54. Pioneer-Cullen Value Y		None	J	T	Sold (part)	1/3	J	A	
55. Pioneer-Cullen Value Y					Sold (part)	8/22	J	A	
56. Sunamer Foc SC Growth CL A		None	J	T	Sold (part)	1/3	J	A	
57. CRM Mid Cap Val Inst'l		None	J	T	Sold (part)	1/3	J	A	
58. CRM Mid Cap Val Inst'l					Sold (part)	8/22	J	A	
59. Wells Fargo Adv End SL-I		None	J	T	Sold (part)	1/3	J	A	
60. GS Finl Sq Mmkt Inst'l	A	Dividend	J	T					
61. Keeley Small Cap Value CLA		None	J	T	Sold (part)	1/3	J	A	
62. Keeley Small Cap Value CLA					Sold (part)	8/22	J	A	
63. RS Invt TR Global Natural Res Fd CLA	A	Dividend	J	T	Sold (part)	1/3	J	A	
64. SSGA Fds Int'l Stock SEL		None	J	T	Sold (part)	1/3	J	A	
65. SSGA Fds Int'l Stock SEL					Sold (part)	1/22	J	A	
66. ABRDN Small Cap Fd A		None	J	T	Buy	8/22	J		
67. TIAA-Cref Inst'l Int'l Eq Fd		None	J	T	Buy	1/23	J		
68. Touchstone Large Cap Growth Fd CL I		None	J	T	Buy	8/22	J		

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	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
69. Managers Times Sq M/C GRW-PR		None	J	T	Buy	8/25	J		
70. American Centy Mut Fds American Centy Vista P		None	J	T	Buy	8/25	J		
71. Blackrock Fds Intl Opp Instl CL B		None	J	T	Buy	8/25	J		
72. Cambiar Opp Fd		None	J	T	Buy	8/25	J		
73. RS Invstmt Fds Emerging Mkts Fd A		None	J	T	Buy	8/25	J		
74. John Hancock Classic Value Fd CL 1		None	J	T	Buy	8/25	J		
75. Harbor Int'l Inst'l CL		None	J	T	Buy	8/25	J		
76. Hartford Int'l Growth Fd		None	J	T	Buy	8/25	J		
77. Hotchkiss & Wiley Fds Mid Cap Value Fd CL 1		None	J	T	Buy	8/25	J		
78. Legg Mason Value Trust TR Inc Nav Value Trust		None	J	T	Buy	8/25	J		
79. Neuberger & Birman Small Cap Growth Fd In		None	J	T	Buy	8/25	J		
80. Security Equity Fd Mid Cap Value Ser CL A		None	J	T	Buy	8/25	J		
81. Satuit Capital Micro Cap Fd		None	J	T	Buy	8/25	J		
82. Wells Fargo FDS TR Small Cap Growth Fd Adm		None	J	T	Buy	1/20	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	
3. Value Method Codes (See Column C2)					

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of Report.)*

"IRA #2" from 2007 report has been renamed "IRA #1"

"IRA #3" from 2007 report has been renamed "IRA #2"

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

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NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY
AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

REPORT MAY BE SUBJECT TO CIVIL

FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544