

AO-10
Rev. 1/2004

FINANCIAL DISCLOSURE REPORT

Calendar Year 2003

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (Last name, First name, Middle initial) BABCOCK, LEWIS T	2. Court or Organization U.S. DISTRICT COURT - COLORADO	3. Date of Report 4/12/2004
4. Title (Article III Judges indicate active or senior status; magistrate judges indicate full- or part-time) CHIEF JUDGE - ACTIVE	5. ReportType (check appropriate type) ☐ Nomination, Date ☐ Initial ☒ Annual ☐ Final	6. Reporting Period 1/1/2003 to 12/31/2003
7. Chambers or Office Address 901 - 19th STREET, A273 DENVER, CO 80294	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer: _____ Date: _____	

IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☒ NONE - (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1. _____

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☒ NONE - (No reportable agreements.)

DATE

PARTIES AND TERMS

1. _____

FINANCIAL
DISCLOSURE OFFICE

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III. NON-INVESTMENT INCOME. (Reporting individual and spouse; see pp. 17-24 of filing instructions)**A. Filer's Non-Investment Income**☒ **NONE** - (No reportable non-investment income.)

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>GROSS INCOME</u> (yours, not spouse's)
1.		
2.		

B. Spouse's Non-Investment Income - (If you were married during any portion of the reporting year, please complete this section. (dollar amount not required except for honoraria)☐ **NONE** - (No reportable non-investment income.)

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2002	Self-employed (Artist)
2. 2002	Galleries of the Southeast

IV. REIMBURSEMENTS - transportation, lodging, food, entertainment.

(Includes those to spouse and dependent children. See pp. 25-27 of instructions.)

☒ **NONE** - (No such reportable reimbursements.)

<u>SOURCE</u>	<u>DESCRIPTION</u>
1.	

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V. GIFTS. (Includes those to spouse and dependent children. See pp. 28-31 of instructions.)☒ **NONE** - (No such reportable gifts.)SOURCEDESCRIPTIONVALUE1.

VI. LIABILITIES. (Includes those of spouse and dependent children. See pp. 32-34 of instructions.)☒ **NONE** - (No reportable liabilities.)CREDITORDESCRIPTIONVALUE CODE1.

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VII. INVESTMENTS and TRUSTS – income, value, transactions (includes those of the spouse and dependent children. See pp. 34-57 of filing instructions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	If not exempt from disclosure			
	Amount Code 1 (A-H)	Type (e.g. div. rent. or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g. buy, sell, merger, redemption)	(2) Date: Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A- H)	(5) Identity of buyer/seller (if private transaction)
☐ NONE (No reportable income, assets, or transactions)									
1. Double Eagle Petroleum Mining Co. (Com.)		None	J	T					
2. Prime Energy Corp. (Com.)		None	J	T					
3. jhi, Inc. (Com.)		None	J	T					
4. Colorado Public Employees Retirement Association		None	M	T					
5. Brokeridge Account #1 (IRA)									
6. a) AIM Value Fund CI B	A	Dividend	J	T					
7. b) Hartford Fund CI B	A	Dividend	J	T					
8. c) U. S. Treasury Coupons	A	Interest	K	T					
9. Brokeridge Account #2 (IRA)									
10. a) AIM Value Fund CI B	A	Dividend	J	T					
11. Brokerage Account #2									
12. a) AIM Equity Fund Class A	A	Dividend	J	T					
13. Brokeridge Account #3									
14. a) Citibank NA	A	Interest	J	T					
15. b) AT&T Corp (Com.)	A	Dividend	J	T					
16. c) AT&T Wireless Services, Inc. (Com.)		None	J	T					
17. d) Weyth (Com.)	A	Dividend	K	T					
18. e) Avaya, Inc. (Com.)		None	J	T					

1. Income/Gain Codes:	A = \$1,000 or less	B = \$1,001-\$2,500	C = \$2,501-\$5,000	D = \$5,001-\$15,000	E = \$15,001-\$50,000
(See Columns B1 and D4)	F = \$50,001-\$100,000	G = \$100,001-\$1,000,000	H1 = \$1,000,001-\$5,000,000	H2 = More than \$5,000,000	
2. Value Codes:	J = \$15,000 or less	K = \$15,001-\$50,000	L = \$50,001-\$100,000	M = \$100,001-\$250,000	
(See Columns C1 and D3)	N = \$250,000-\$500,000	O = \$500,001-\$1,000,000	P1 = \$1,000,001-\$5,000,000	P2 = \$5,000,001-\$25,000,000	
	P3 = \$25,000,001-\$50,000,000		P4 = \$More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash/Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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	(1) Amount Code 1 (A-H)	(2) Type (e.g. div. rent. or int.)	(1) Value Code 2 (I-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, merger, redemption)	If not exempt from disclosure			
						(2) Date: Month - Day	(3) Value Code 2	(4) Gain Code 1 (A- H)	(5) Identity of buyer/seller (if private transaction)
19. f) Lucent Tech., Inc. (Com.)	A	None	J	T					
20. g) Viacom B (Com.)		None	K	T					
21. h) AIM Blue Chip B		None	J	T					
22. I) AIM New Tech Fund f/k/a Invesco		None	J	T					
23. j) AIM Value Fund CI B		None	K	T					
24. k) GNMA (9/86)	A	Interest	J	T					
25. I) GNMA (12/86)	A	Interest	J	T					
26. m) AGRA (Com.)		None	J	T					
27. n) AGRB (Com.)		None	J	T					
28. o) Comcast Corp. (Com.)		None	J	T					
29. p) AIM Premier Equity Fund Class A f/k/a Value Fund Class A	A	Dividend	K	T					
30. q) Capital World Growth & Income B	A	Dividend	K	T					
31. Invesco Growth Fund n/k/a AIM Invesco Growth Fund		None	J	T					
32. Investo Equity Fund a/k/a AIM Invesco Core Equity Fund		None	K	T					
33. Invesco Tax Free Bond Fund n/k/a AIM Invesco Free Bond Fund	A	Dividend	L	T					
34. Oppenheimer Main St. and Growth	A	Dividend	J	T					
35. Valic (IRA)	A	Interest	J	T					
36. Waddell & Reed Tot. Ret. Fund (IRA)	A	Dividend	J	T					

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						(2) Date: Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A- H)	(5) Identity of buyer/seller (if private transaction)
37. U.S. Consolidated Federal Credit Union									
38. a) CD	A	Interest	J	T					
39. b) Account #1	A	Interest	J	T					
40. c) Account #2	A	Interest	J	T					
41. USBancorp Account #1	A	Interest	J	T					
42. USBancorp Account #2	A	Interest	J	T					
43. Lot Riverside County, Calif. (Line 20, 2000 Report)		None	J	W					
44. Interest in SBBAM		None	K	W					
45. American Funds IICA	A	Dividend	K	T					

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS

(Indicate part of Report.)

None

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature

Date

4/12/04

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544