

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2010

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Briscoe, Mary B.	2. Court or Organization Tenth Circuit Court of Appeals	3. Date of Report 08/10/2011
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Chief Circuit Judge - Active	5a. Report Type (check appropriate type) ☐ Nomination, ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2010 to 12/31/2010
7. Chambers or Office Address 645 Massachusetts Suite 400 Lawrence, Kansas 66044	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☐ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1. '84-presnt	Kansas Public Employees Retirement System (KPERs) - Judges' Retirement Program - vested
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2010	KS Public Employees Retirement System - Judge's Retirement Program	\$37,646.00
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2010	KU Law School - Director, Legal Aid Clinic-salary
2.	
3.	
4.	

IV. REIMBURSEMENTS – *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e.g., div., rent, or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g., buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
1. Capitol Federal - savings	D	Interest	N	T					
2. Capitol Federal - checking	A	Interest	J	T					
3. Capitol Federal - CDs	D	Interest	M	T					
4. Fidelity Annuity (formerly Vanguard Variable Annuity)		None	L	T					
5. ING-CAB-Ks Bd RegentsRet.(def.comp) (formerly Aetna)	A	Interest	M	T					
6. Municipal bond (Denham Spgs)	B	Interest	K	T					
7. Overland Park KS Impt. Bonds	B	Interest	K	T					
8. USAA-Subscr. svgs.	A	Dividend	J	T					
9. H. Davidson - stock	A	Dividend	J	T					
10. I Shares TR S&P stock	A	Dividend			Sold	06/18/10	J	A	
11. Vanguard Intermediate Term - Mun. Bond Fd		None			Sold	12/14/10	K		<Loss \$1,000
12. Johnson Co. USD 231	A	Interest	K	T					
13. Shawnee Co. KS Bond	B	Interest	K	T					
14. Johnson Co. KS School Bond (also USD 231)	A	Interest	K	T					
15. Centerpoint Energy	A	Dividend	J	T					
16. Fidelity Contra Fund		None	K	T	Sold (part)	03/12/10	J		
17.					Sold (part)	09/30/10	K		<Loss \$1,400

1. Income Gain Codes:

A = \$1,000 or less

B = \$1,001 - \$2,500

C = \$2,501 - \$5,000

D = \$5,001 - \$15,000

E = \$15,001 - \$50,000

(See Columns B1 and D4)

F = \$50,001 - \$100,000

G = \$100,001 - \$1,000,000

H1 = \$1,000,001 - \$5,000,000

H2 = More than \$5,000,000

2. Value Codes

J = \$15,000 or less

K = \$15,001 - \$50,000

L = \$50,001 - \$100,000

M = \$100,001 - \$250,000

(See Columns C1 and D3)

N = \$250,001 - \$500,000

O = \$500,001 - \$1,000,000

P1 = \$1,000,001 - \$5,000,000

P2 = \$5,000,001 - \$25,000,000

P3 = \$25,000,001 - \$50,000,000

P4 = More than \$50,000,000

3. Value Method Codes

Q = Appraisal

R = Cost (Real Estate Only)

S = Assessment

T = Cash Market

(See Column C2)

U = Book Value

V = Other

W = Estimated

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Name of Person Reporting

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. Fidelity Cash Reserves (Core Fund)	A	Interest	K	T					
19. Harbor International		None			Sold	06/30/10	K		<Loss \$100
20. Bank New York Inc.	B	Interest	K	T					
21. Discover BK Greenwood DL	A	Interest			Sold	10/18/10	K	A	
22. Harbor Bond		None			Sold	12/13/10	K		<Loss \$100
23. Fidelity Tax Exempt	A	Dividend	J	T	Sold (part)	01/19/10	L		
24.					Buy (add'l)	03/15/10	K		
25.					Buy (add'l)	05/10/10	K		
26.					Sold (part)	08/10/10	K		
27.					Buy (add'l)	10/01/10	K		
28.					Sold (part)	11/15/10	L		
29.					Sold (part)	12/15/10	J		
30. Vanguard GNMA	B	Dividend	K	T					
31. Templeton Global Bond	C	Dividend	L	T	Buy (add'l)	05/18/10	K		
32.					Buy (add'l)	11/03/10	K		
33.					Buy (add'l)	12/13/10	K		
34. Fairholme Fund	B	Dividend	K	T	Buy (add'l)	06/15/10	K		

1. Income Gain Codes:

(See Columns B1 and D4)

2. Value Codes

(See Columns C1 and D3)

3. Value Method Codes

(See Column C2)

A = \$1,000 or less

F = \$50,001 - \$100,000

J = \$15,000 or less

N = \$250,001 - \$500,000

P3 = \$25,000,001 - \$50,000,000

Q = Appraisal

U = Book Value

B = \$1,001 - \$2,500

G = \$100,001 - \$1,000,000

K = \$15,001 - \$50,000

O = \$500,001 - \$1,000,000

R = Cost (Real Estate Only)

V = Other

C = \$2,501 - \$5,000

H1 = \$1,000,001 - \$5,000,000

L = \$50,001 - \$100,000

P1 = \$1,000,001 - \$5,000,000

P4 = More than \$50,000,000

S = Assessment

W = Estimated

D = \$5,001 - \$15,000

H2 = More than \$5,000,000

M = \$100,001 - \$250,000

P2 = \$5,000,001 - \$25,000,000

T = Cash Market

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	Amount Code 1 (A-H)	Type (e.g., div., rent, or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g., buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
35. Royce Premier Fund	A	Dividend	K	T					
36. Power Shares DB Commodity Ind.		None			Sold	05/17/10	K		
37. Fidelity Focused High Inc	C	Interest	K	T	Sold (part)	12/14/10	K		
38. SPDR Gold Tr. Gold		None	K	T					
39. Lizard Emerging Mkt.	A	Dividend	K	T					
40. Fpra Lizard Ret. Emg. Mkt.	A	Dividend			Sold	03/12/10	J		
41. Fidelity GNMA Bond	B	Dividend	L	T	Buy (add'l)	09/22/10	L		
42. T.Rowe Pr Health Sciences		None			Buy	01/15/10	L		
43.					Sold	06/18/10	L		<Loss \$1,000
44. Fidelity Vip Disciplined Sm Cap	B	Dividend	K	T					
45. Overland Park, KS Go Intl Bnds	B	Interest	K	T					
46. Lenexa, KS Go Ref Bnds	B	Interest	K	T					
47. Arbitrage Fund	B	Dividend	L	T					
48. SPDR S&P Oil & Gas	A	Dividend			Sold	05/21/10	K		
49. Artio Global High		None			Sold	06/29/10	K		<Loss \$780
50. Jennison 20/20		None			Buy	01/15/10	L		
51.					Sold (part)	03/12/10	K		

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(See Columns B1 and D4)

F = \$50,001 - \$100,000

G = \$100,001 - \$1,000,000

H1 = \$1,000,001 - \$5,000,000

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2. Value Codes

J = \$15,000 or less

K = \$15,001 - \$50,000

L = \$50,001 - \$100,000

M = \$100,001 - \$250,000

(See Columns C1 and D3)

N = \$250,001 - \$500,000

O = \$500,001 - \$1,000,000

P1 = \$1,000,001 - \$5,000,000

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52.					Sold	05/07/10	K		<Loss \$4,000
53. Nuveen Tradewinds	B	Interest	L	T	Buy	06/15/10	K		
54.					Buy (add'l)	12/15/10	K		
55. Fpra Pimco Pvit	A	Interest			Buy	03/12/10	K		
56.					Sold	12/14/10	K	A	
57. Fidelity Floating Rate	A	Interest	L	T	Buy	12/14/10	L		
58. Fidelity Focused High Income	C	Interest	L	T	Buy	12/14/10	L		
59.					Sold (part)	12/14/10	K		
60. Harbor Fund Adm.	C	Interest	L	T	Buy	11/12/10	L		
61. Intrepid Small Cap	A	Interest			Buy	05/12/10	K		
62.					Sold	11/01/10	K		

1. Income Gain Codes:

(See Columns B1 and D4)

2. Value Codes

(See Columns C1 and D3)

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Mary B. Briscoe**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544