



United States Court of Appeals
District of Columbia Circuit
333 Constitution Avenue, N.W.
Washington, DC 20001-2855

A. Raymond Randolph
United States Circuit Judge

Telephone (202) 216-7425
[REDACTED]

August 17, 2007

Judge Ortrie D. Smith, Chair
Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544

Dear Judge Smith:

This is the information requested in your letter of August 9, 2007, regarding part VII of my financial disclosure form.

Line 8 – Vanguard

Lines 9, 14-16, 18, 22 – American Funds

Line 17 – Merrill Lynch

Sincerely yours,

[REDACTED]

A. Raymond Randolph
U.S. Circuit Judge

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2006

in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Randolph, Arthur R	2. Court or Organization U.S. Court of Appeals/D.C. Cir	3. Date of Report 05/15/2007
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Judge - active	5a. Report Type (check appropriate type) ☐ Nomination, Date ☐ Initial ☒ Annual ☐ Final	6. Reporting Period 01/01/2006 to 12/31/2006
7. Chambers or Office Address U.S. Court of Appeals/D.C. Cir 333 Constitution Avenue, N.W. Washington, D.C. 20001-2866	5b. ☐ Amended Report 8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	

IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.

I. POSITIONS. (Reporting individual only; see pp. 9-13 of instructions.)

☐ NONE (No reportable positions.)

POSITION	NAME OF ORGANIZATION/ENTITY
1. Distinguished Adjunct Professor of Law	George Mason University School of Law
2.	
4.	

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 DISCLOSURE OFFICE

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of instructions.)

☒ NONE (No reportable agreements.)

DATE	PARTIES AND TERMS
1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. (Reporting individual and spouse; see pp. 17-24 of instructions.)**A. Filer's Non-Investment Income**☐ NONE (No reportable non-investment income.)

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2006	George Mason University School of Law - teaching	\$ 23,500.00
2.		
3.		
4.		
5.		

B. Spouse's Non-Investment Income - If you were married during any portion of the reporting year, complete this section.

(Dollar amount not required except for honoraria.)

☐ NONE (No reportable non-investment income.)

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2005	U.S. Department of Justice
2.	
3.	
4.	
5.	

IV. REIMBURSEMENTS -- transportation, lodging, food, entertainment.

(Includes those to spouse and dependent children. See pp. 25-27 of instructions.)

☐ NONE (No reportable reimbursements.)

<u>SOURCE</u>	<u>DESCRIPTION</u>
1. Brigham Young University	Feb. 2-4 - Provo, Utah - Rex E. Lee Moot Court Competition (transportation, meals, and lodging)
2. American Bar Association	Feb. 10-12 - Chicago, IL - ABA Midyear Meeting (transportation, meals, and lodging)
3. Federalist Society	Feb. 24-25 - New York, NY - Columbia Law School Student Symposium (transportation, meals, and lodging)
4. American Bar Association	Apr. 28-30 - Elbow Beach, Bermuda - ABA Spring Meeting (transportation, meals, and lodging)

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5. George Mason Law School

June 8-11 - Monterey, CA - George Mason Law & Economics Seminar (transportation)

6. Boalt Hall School of Law

Oct. 26-30 - Berkeley, CA - Paul Mishkin Symposium (lodging)

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V. GIFTS. *(Includes those to spouse and dependent children. See pp. 28-31 of instructions.)*☒ NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children. See pp. 32-33 of instructions.)*☒ NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of the spouse and dependent children. See pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g. div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Savings Plan	A	Dividend	L	T					
2. IRA - Fidelity Adv. Growth	A	Dividend	J	T					
3. Money Market Acct. - Jt. UBS/Paine Webber	A	Dividend	K	T					
4. Money Market Acct. - IRA - UBS/Paine Webber	A	Dividend	J	T					
5. Mutual Fund - Lord Abbett Aff'd - IRA	C	Dividend	M	T					
6. Mutual Fund - Washington Mutual Investors - IRA	B	Dividend	M	T					
7. Mutual Fund - VMM U.S. Treas. Port. - IRA	A	Interest	J	T	Sell	10/15	J	A	
8. Mutual Fund - Executive Life Fund - IRA	A	Interest	J	T	Sell	10/15	J	A	
9. Mutual Fund - Growth of America - IRA	B	Dividend	M	T					
10. CitiBank Money Market	A	Interest	J	T					
11. Mutual Fund - Lord Abbett - A	A	Dividend	K	T	Sell	2/14	J	A	
12. Mutual Fund - VanKamper - Comstock	B	Dividend	L	T					
13. Money Market - UBS/Paine Webber	A	Interest	K	T					
14. Mutual Fund - Investment Co.	A	Dividend	K	T	Sell	2/14	J	A	
15. 401(K) - World Bond Fund	A	Dividend	K	T					
16. 401(K) - Growth Fund	A	Dividend	K	T					
17. 401(K) - ML Protected Fund	A	Dividend	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000 R = Cost (Real Estate Only) V = Other	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000 S = Assessment W = Estimated	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000 T = Cash Market	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)					
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value				

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of the spouse and dependent children. See pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g. div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. 401(K) - Income Fund	B	Dividend	K	T					
19. 401(K) - Pimco Bond Fund	A	Dividend	K	T					
20. 401(K) - Pimco High Income	A	Dividend	J	T					
21. 401(K) - Dur. Inc. Fund	A	Dividend	K	T					
22. 401(K) - Growth & Inc. Fund	A	Dividend	K	T					
23. 401(K) - Pimco Real Ret.	A	Dividend	J	T					
24. 401(K) - Fl. Rate Inc.	B	Dividend	K	T	Exchange	10/2	K	A	
25. 401(K) - 1st Trust Val. Line	A	Dividend	K	T					
26. Blackrock GLBL Energy	B	Dividend	K	T					
27. 401(K) - End Opp.	A	Dividend	K	T	Buy	11/20	K		
28. 401(K) - Blackrock F/R	A	Dividend	K	T	Exchange	10/2	K		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000 R = Cost (Real Estate Only) V = Other	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000 S = Assessment W = Estimated	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000 T = Cash Market	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)					
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value				

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of Report.)*

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature

Date

5/15/07

NOTE: ANY INTENTIONAL FALSIFICATION OF INFORMATION OR FAILURE TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL PENALTIES (5 U.S.C. app. § 104)

IF ANY PERSON KNOWINGLY PROVIDES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL

FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544