

FINANCIAL DISCLOSURE REPORT
FOR CALENDAR YEAR 2008

SELF INITIATED
AMENDMENT
Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

"AMENDED"

1. Person Reporting (last name, first, middle initial) PLATT, THOMAS C.	2. Court or Organization EASTERN DISTRICT OF NEW YORK	3. Date of Report 05/05/2009
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. DISTRICT JUDGE (SENIOR)	5a. Report Type (check appropriate type) ☐ Nomination, Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2008 to 12/31/2008
7. Chambers or Office Address U.S. DISTRICT COURT P.O. BOX 9014 CENTRAL ISLIP, NEW YORK 11722	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Trustee	U/W [REDACTED]
2.	
3.	
4.	
5.	

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II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

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Name of Person Reporting

PLATT, THOMAS C.

Date of Report

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.	Trustee (Commissions - [REDACTED])	\$11,583.00
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☒ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS — *transportation, lodging, food, entertainment**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. Federal Bar Council (Judges Reception)	April 3, 2008	NYC	Reception	H & T
2. Federal Bar Council (Law Day Dinner)	May 1, 2008	NYC	Dinner	H & S, T, F
3. E.D.N.Y. Court Celebration of Senior Judges	May 29, 2008	Brooklyn, NY	Program/Reception	H & S, F
4. S.D.N.Y. Court Memorial for Judge Charles L. Briant	September 23, 2008	White Plains, NY	Memorial	H & S, T
5. E.D.N.Y. Court, Robert Hei nemann's Anniversary	October 24, 2008	Brooklyn, NY	Anniversary Celebration	H & T

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Name of Person Reporting

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Date of Report

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6. Transferee Judges Conference	October 27, 2008	Palm Beach, Fla	Conference	H & S, T, F, L
7. Federal Bar Council Luncheon	November 26, 2008	NYC	Luncheon	H, F, T
8.				
9.				

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Name of Person Reporting

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1.		
2.		
3.		
4.		
5.		

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Name of Person Reporting

PLATT, THOMAS C.

Date of Report

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

1. Allstate Corp. Common	B	Dividend	K	T					
2. Black & Decker Common	A	Dividend	J	T					
3. Canadian Pacific (Ltd.) Common	A	Dividend	K	T					
4. Conoco Philips	C	Dividend	M	T					
5. Direct TV Group Inc.		None	J	T					
6. Discover Financial Services	A	Dividend	J	T					
7. Dupont de Nemours Common	A	Dividend	K	T					
8. El Paso Corp. Common	A	Dividend	K	T					
9. Encana Corp. Common	C	Dividend	L	T					
10. Exxon Mobil Common	E	Dividend	P1	T					
11. Fording CDN Coal Trust Common	A	Dividend	J	T	Sold	10/23	J	D	
12. General Electric Common	E	Dividend	N	T					
13. Halliburton Co. Common	D	Dividend	O	T					
14. Hartford Fin. Serv. Group Common	A	Dividend	J	T					
15. Host Hotels & Resorts	A	Dividend	J	T					
16. IBM Common	C	Dividend	M	T					
17. International Paper Co. Common	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000 R = Cost (Real Estate Only) V = Other	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000 S = Assessment W = Estimated	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000 T = Cash Market	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)					
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value				

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Name of Person Reporting

PLATT, THOMAS C.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. ITT Industries Common	A	Dividend	J	T					
19. Morgan Stanley Common	B	Dividend	K	T					
20. News Corp. Ltd. Preferred		None	J	T					
21. Pepsico Common	C	Dividend	M	T					
22. Progress Energy Common	A	Dividend	J	T					
23. Sears Holding		None	J	T					
24. Starwood Hotels Common	A	Dividend	J	T					
25. Sun Trust Common	A	Dividend	J	T					
26. Yum Brands Common	A	Dividend	K	T					
27. UBS (Paine Webber) Cash Fund	E	Int./Div.	P1	T					
28. Chase Bank	A	Interest	L	T					
29. US Series H Bonds	B	Interest	L	T					
30. IRAs - Chase	B	Interest	J	T					
31. * * * * *									
32. Trustee - Trust	F	Dividend	P1	T					
33. Direct TV Group Inc.									
34. Du Pont De Nemours									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
35. Exxon Mobil Corp.									
36. Genl Electric Co									
37. Intl Paper Co									
38. News Corp. Ltd.									
39. Pepco Holdings, Inc.									
40. Raytheon Co CL A									
41. Xcel Energy Inc. (formerly Nthn States Pow er Minn)									
42. UBS (Paine Webber) RMA-Govt Cash Fund									
43. U.S. Treasury Note									
44.									
45.									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of Report.)*

III. Non-Investment Income

B. Spouse's Non-Investment Income

██████ received about \$800 from the United States Courts for a painting on July 30, 2008 of SDNY Bankruptcy Court.

V. Gifts

Forbes Magazine annual subscription - a gift from Mr. Steve Forbes - value \$59.95.

VII. Investments and Trusts

Line 11 - C1 and C2 should be blank.

Lines 33-45: A couple of years ago, Committee rep agreed with me, trust assets need only be disclosed - no further details required.

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Date of Report

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature

05/12/09

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544

FINANCIAL DISCLOSURE REPORT
FOR CALENDAR YEAR 2008Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) PLATT, THOMAS C.	2. Court or Organization EASTERN DISTRICT OF NEW YORK	3. Date of Report 05/05/2009
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. DISTRICT JUDGE (SENIOR)	5a. Report Type (check appropriate type) ☐ Nomination, Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2008 to 12/31/2008
7. Chambers or Office Address U.S. DISTRICT COURT P.O. BOX 9014 CENTRAL ISLIP, NEW YORK 11722	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)POSITIONNAME OF ORGANIZATION/ENTITY

1. Trustee

U/W [REDACTED]

2.

3.

4.

5.

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)DATEPARTIES AND TERMS

1.

2.

3.

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Name of Person Reporting

PLATT, THOMAS C.

Date of Report

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III. NON-INVESTMENT INCOME. (Reporting individual and spouse; see pp. 17-24 of filing instructions.)

A. Filer's Non-Investment Income

☐ NONE (No reportable non-investment income.)

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.	Trustee (Commissions - [REDACTED])	\$11,583.00
2.		
3.		
4.		

B. Spouse's Non-Investment Income - If you were married during any portion of the reporting year, complete this section.

(Dollar amount not required except for honoraria.)

☒ NONE (No reportable non-investment income.)

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS -- transportation, lodging, food, entertainment.

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

☐ NONE (No reportable reimbursements.)

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. Federal Bar Council (Judges Reception)	April 3, 2008	NYC	Reception	H & T
2. Federal Bar Council (Law Day Dinner)	May 1, 2008	NYC	Dinner	H & S, T, F
3. E.D.N.Y. Court Celebration of Senior Judges	May 29, 2008	Brooklyn, NY	Program/Reception	H & S, F
4. S.D.N.Y. Court Memorial for Judge Charles L. Bricant	September 23, 2008	White Plains, NY	Memorial	H & S, T
5. E.D.N.Y. Court, Robert Hei nemann's Anniversary	October 24, 2008	Brooklyn, NY	Anniversary Celebration	H & T

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6. Transferee Judges Conference	October 27, 2008	Palm Beach, Fla	Conference	H & S, T, F, L
7. Federal Bar Council Luncheon	November 26, 2008	NYC	Luncheon	H, F, T
8.				
9.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1. _____	_____	_____
2. _____	_____	_____
3. _____	_____	_____
4. _____	_____	_____
5. _____	_____	_____

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1. _____	_____	_____
2. _____	_____	_____
3. _____	_____	_____
4. _____	_____	_____
5. _____	_____	_____

FINANCIAL DISCLOSURE REPORT

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

1. Allstate Corp. Common	B	Dividend	K	T					
2. Black & Decker Common	A	Dividend	J	T					
3. Canadian Pacific (Ltd.) Common	A	Dividend	K	T					
4. Conoco Philips	C	Dividend	M	T					
5. Direct TV Group Inc.		None	J	T					
6. Discover Financial Services	A	Dividend	J	T					
7. Dupont de Nemours Common	A	Dividend	K	T					
8. El Paso Corp. Common	A	Dividend	K	T					
9. Encana Corp. Common	C	Dividend	L	T					
10. Exxon Mobil Common	E	Dividend	P1	T					
11. Fording CDN Coal Trust Common	A	Dividend	J	T	Sold	10/23	J	D	
12. General Electric Common	E	Dividend	N	T					
13. Halliburton Co. Common	D	Dividend	O	T					
14. Hartford Fin. Serv. Group Common	A	Dividend	J	T					
15. Host Hotels & Resorts	A	Dividend	J	T					
16. IBM Common	C	Dividend	M	T					
17. International Paper Co. Common	A	Dividend	J	T					

1. Income Gain Codes:

(See Columns B1 and D4)

2. Value Codes

(See Columns C1 and D3)

3. Value Method Codes

(See Column C2)

A = \$1,000 or less

F = \$50,001 - \$100,000

J = \$15,000 or less

N = \$250,001 - \$500,000

P3 = \$25,000,001 - \$50,000,000

Q = Appraisal

U = Book Value

B = \$1,001 - \$2,500

G = \$100,001 - \$1,000,000

K = \$15,001 - \$50,000

O = \$500,001 - \$1,000,000

R = Cost (Real Estate Only)

V = Other

C = \$2,501 - \$5,000

H1 = \$1,000,001 - \$5,000,000

L = \$50,001 - \$100,000

P1 = \$1,000,001 - \$5,000,000

P4 = More than \$50,000,000

S = Assessment

W = Estimated

D = \$5,001 - \$15,000

H2 = More than \$5,000,000

M = \$100,001 - \$250,000

P2 = \$5,000,001 - \$25,000,000

T = Cash Market

E = \$15,001 - \$50,000

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24. Starwood Hotels Common	A	Dividend	J	T					
25. Sun Trust Common	A	Dividend	J	T					
26. Yum Brands Common	A	Dividend	K	T					
27. UBS (Paine Webber) Cash Fund	E	Int./Div.	P1	T					
28. Chase Bank	A	Interest	L	T					
29. US Series H Bonds	B	Interest	L	T					
30. IRAs - Chase	B	Interest	J	T					
31. * * * * *									
32. Trustee - Trust Aunt	F	Dividend	P1	T					
33. Direct TV Group Inc.									
34. Du Pont De Nemours									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000 R = Cost (Real Estate Only) V = Other	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000 S = Assessment W = Estimated	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000 T = Cash Market	E = \$15,001 - \$50,000
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35. Exxon Mobil Corp.									
36. Genl Electric Co									
37. Intl Paper Co									
38. News Corp. Ltd.									
39. Pepco Holdings, Inc.									
40. Raytheon Co CL A									
41. Xcel Energy Inc. (formerly Nthn States Pow er Minn)									
42. UBS (Paine Webber) RMA-Govt Cash Fund									
43. U.S. Treasury Note									
44.									
45.									

1. Income Gain Codes:

(See Columns B1 and D4)

2. Value Codes

(See Columns C1 and D3)

3. Value Method Codes

(See Column C2)

A = \$1,000 or less

F = \$50,001 - \$100,000

J = \$15,000 or less

N = \$250,001 - \$500,000

P3 = \$25,000,001 - \$50,000,000

Q = Appraisal

U = Book Value

B = \$1,001 - \$2,500

G = \$100,001 - \$1,000,000

K = \$15,001 - \$50,000

O = \$500,001 - \$1,000,000

R = Cost (Real Estate Only)

V = Other

C = \$2,501 - \$5,000

H1 = \$1,000,001 - \$5,000,000

L = \$50,001 - \$100,000

P1 = \$1,000,001 - \$5,000,000

P4 = More than \$50,000,000

S = Assessment

W = Estimated

D = \$5,001 - \$15,000

H2 = More than \$5,000,000

M = \$100,001 - \$250,000

P2 = \$5,000,001 - \$25,000,000

T = Cash Market

E = \$15,001 - \$50,000

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

PLATT, THOMAS C.

Date of Report

05/05/2009

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of Report.)*

FINANCIAL DISCLOSURE REPORT

Page 9 of 9

Name of Person Reporting

PLATT, THOMAS C.

Date of Report

05/05/2009

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature _____

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544

June 17, 2009

The Honorable Bobby R. Baldock, Chair
Judicial Conference of the United States
Committee on Financial Disclosure
One Columbus Circle, N.E.
Washington, D.C. 20544

Re: Calendar Year 2008 Filing

Dear Judge Baldock:

In reply to your letter dated June 11, 2009, about three or four years ago in a telephone conversation with the representative of your Committee, he raised the question of including the trust of which I was a Trustee for the benefit of [REDACTED] and it was agreed that it would be sufficient if I identified the person [REDACTED] who created the Trust and listed the corporate securities held by the Trust without disclosure of any values, transactions or other details; the purpose being to enable a litigant to move to recuse me from the case involving a listed corporation.. Your representative, after consulting with his Committee, agreed that nothing further would be required and for that reason I have not furnished any additional information. I will, in accordance with your suggestion, eliminate the [REDACTED] and designate the Trust as Trust Number One from now on.

If I can be of any further assistance, please let me know.

Sincerely yours,

[REDACTED]
THOMAS C. PLATT
United States District Judge

TCP:tp