

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2006

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Grabner, Susan P	2. Court or Organization U.S. Court of Appeals/9th Cir	3. Date of Report 05/04/2007
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. Circuit Judge- Active	5a. Report Type (check appropriate type) ☐ Nomination, Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2006 to 12/31/2006
7. Chambers or Office Address The Pioneer Courthouse 700 SW Sixth Ave Portland OR 97204	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of instructions.)

☐ NONE (No reportable positions.)

POSITION	NAME OF ORGANIZATION/ENTITY
1. Trustee	Trust #1
2.	
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of instructions.)

☐ NONE (No reportable agreements.)

DATE	PARTIES AND TERMS
1. 1988	Oregon Public Employee's Retirement Systems (PERS) with former employer when I was a state court judge. (Statutorily defined benefits; no control)
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of instructions.)***A. Filer's Non-Investment Income**☒ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		
5.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2006	Portland General (retirement income)
2.	
3.	
4.	
5.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children. See pp. 25-27 of instructions.)*☐ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>
1. Southern Oregon Federal Bar Association	Spring 2006 CLE in Klamath Falls, OR , May 18 - 20, lodging and meals
2.	
3.	
4.	
5.	

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V. GIFTS. *(Includes those to spouse and dependent children. See pp. 28-31 of instructions.)*☒ NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children. See pp. 32-33 of instructions.)*☒ NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of the spouse and dependent children. See pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g. div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Charles Schwab Money Market Fund/Cash Reserves #1	A	Dividend	J	T					See comments in Part VIII
2. Charles Schwab Money Market Fund/Cash Reserves #2	A	Dividend	J	T					See comments in Part VIII
3. Charles Schwab Money Market Fund/Cash Reserves #3	A	Dividend	J	T					See comments in Part VIII
4. Oregon Savings Growth Plan		None	K	T					
5. PERS account (See description in Part II (I))		None	N	W					
6. Family Farm LLC	D	Distribution	M	Q					Appraisal dated 5/28/98
7. IRA #1	E	Dividend							Reported in the aggregate
8. --Vanguard Short-Term Bond Index					Sell part	01/31	L		Gain not reported to us
9. --Vanguard Short-Term Bond Index			L	T	Buy	07/21	L		
10. --Fidelity/Vanguard Intermediate Bond Index Fund			M	T					
11. --Vanguard Index 500			M	T					
12. --Vanguard Mid Cap Index			M	T					
13. --Vanguard Small Cap Growth Index					Sell	06/01	L		Gain not reported to us
14. --Vanguard Total Stock Market Index			M	T	Buy	06/01	L		
15. --Vanguard Total Market Bond Index					Buy	01/31	L		
16. --Vanguard Total Market Bond Index					Sell	07/21	L		Gain not reported to us
17. IRA #2	E	Dividend							Reported in the

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	P3 = \$25,000,001 - \$50,000,000 Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	P4 = More than \$50,000,000 S = Assessment W = Estimated	T = Cash Market	

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									aggregate
18. --Vanguard Short Term Bond Index Fund			K	T					
19. --Enron Common Stock			J	T					
20. --Schwab Money Market/Cash Reserves					Sell part	04/24	L		Gain not reported to us
21. --Schwab Cash Reserves					Buy	06/05	L		
22. --Schwab Cash Reserves					Sell part	06/08	K		Gain not reported to us
23. --Schwab Cash Reserves					Buy	06/20	K		
24. --Schwab Cash Reserves					Buy	07/01	K		
25. --Schwab Cash Reserves			L	T	Sell part	09/26	K		Gain not reported to us
26. --Vanguard Mid Cap Index Fund					Sell part	06/01	K		Gain not reported to us
27. --Vanguard Mid Cap Index Fund			M	T	Sell part	07/21	K		Gain not reported to us
28. --Vanguard Index 500			N	T					
29. --Vanguard Intermediate Term Bond Index			N	T					
30. --Harding Lovenger Emerging Markets Fund					Sell part	06/01	K		Gain not reported to us
31. --Harding Lovenger Emerging Markets Fund					Sell	06/16	K		Gain not reported to us
32. --Vanguard Developed Markets Index Fund			N	T					
33. --Schwab Core Equity Fund			L	T					

1. Income Gain Codes:

(See Columns B1 and D4)

2. Value Codes

(See Columns C1 and D3)

3. Value Method Codes

(See Column C2)

A = \$1,000 or less

F = \$50,001 - \$100,000

J = \$15,000 or less

N = \$250,001 - \$500,000

P3 = \$25,000,001 - \$50,000,000

Q = Appraisal

U = Book Value

B = \$1,001 - \$2,500

G = \$100,001 - \$1,000,000

K = \$15,001 - \$50,000

O = \$500,001 - \$1,000,000

R = Cost (Real Estate Only)

V = Other

C = \$2,501 - \$5,000

H1 = \$1,000,001 - \$5,000,000

L = \$50,001 - \$100,000

P1 = \$1,000,001 - \$5,000,000

P4 = More than \$50,000,000

S = Assessment

W = Estimated

D = \$5,001 - \$15,000

H2 = More than \$5,000,000

M = \$100,001 - \$250,000

P2 = \$5,000,001 - \$25,000,000

T = Cash Market

E = \$15,001 - \$50,000

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34. --Schwab Yield Plus			L	T	Buy	06/07	K		
35. IRA #3	A	Dividend							
36. --Spartan International Index Fund			K	T					
37. Trust #1									
38. --Vanguard Short Term Bond Index Fund	A	Dividend	K	T	Sell part	01/05	J		Gain not reported to us
39. --Vanguard Mid Cap Index	A	Dividend			Sell	05/31	K		Loss
40. --Vanguard Index 500	A	Dividend			Sell	02/22	K	B	
41. --Vanguard Intermediate Term Bond Fund	A	Dividend			Sell	06/08	K		Loss
42. --Schwab Yield Plus	A	Dividend	K	T	Buy	06/09	K		
43. --Schwab Cash Reserves/Money Market	A	Dividend	J	T					
44. American Century Select	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000 Q = Appraisal U = Book Value	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000 R = Cost (Real Estate Only) V = Other	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000 S = Assessment W = Estimated	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000 T = Cash Market	E = \$15,001 - \$50,000
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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of Report.)*

Part VII, lines 1-3: The Charles Schwab money market funds are cash-equivalent funds. For clarity of reporting, I do not distinguish between Charles Schwab's "regular" and "Value Advantage" money market funds. Each [REDACTED] fund is listed separately.

Part VII - Lines 1-3, 20-25, 43 - Charles Schwab changed the "Schwab Money Market Fund" to "Schwab Cash Reserve Sweep Shares Fund" on April 24, 2006. Both terms appear in this report, with the latter shortened to "Schwab Cash Reserves" for ease of reference.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

[REDACTED]

Date

May 7, 2007

NO [REDACTED] ALFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL
AND [REDACTED] (5 U.S.C. app. § 104)

FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544