

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2006

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Cedarbaum,, Miriam G	2. Court or Organization US District Court -SDNY	3. Date of Report 05/01/2007
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) US District Judge - Senior	5a. Report Type (check appropriate type) ☐ Nomination, Date ☐ Initial ☒ Annual ☐ Final	6. Reporting Period 01/01/2006 to 12/31/2006
7. Chambers or Office Address US Court House 500 Pearl Street New York, NY 10007	5b. ☐ Amended Report	
8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Trustee	Barnard College
2. Executor	E/O [REDACTED]
3. Trustee	Trust U/W/O [REDACTED]
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2006	Davis Polk & Wardwell Pension	\$ 9,769
2. 2006	Museum of Modern Art Pension Trust-Pension	\$ 1,746
3. 2006	Carter Ledyard & Milburn LLP-Other income from spouse's former law partnership	\$ 31,500
4.		
5.		
6.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2006	Carter, Ledyard & Milburn - Law Partnership
2.	
3.	
4.	
5.	

IV. REIMBURSEMENTS – *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children. See pp. 25-27 of instructions.)*☐ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>
1. Federal Bar Council 2006 Fall Bench and Bar Retreat	Oct. 27-29 2006- Lenox, MA, Moderator for program; "When Does Cooperation by Company Jeopardize the Rights of Employees?" (food and lodging)
2.	
3.	

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V. GIFTS. *(Includes those to spouse and dependent children. See pp. 28-31 of instructions.)*☒ NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children. See pp. 32-33 of instructions.)*☒ NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of the spouse and dependent children. See pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g. div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. City Univ NY					Transferred	8/04	L		See Note in Part VIII
2. Bank of New York Brokerage Cash Equivalents					Transferred	7/31	N		See Note in Part VIII
3. Fidelity IRA	E	Int./Div.			Transferred	8/04	P1		See Note in Part VIII
4. -Ginnie Mae Mutual Fund					Sell	8/04	M	G	
5. -Independence Fund					Sell	8/04	N	F	
6. -Interim Government Income Fund					Sell	8/04	O	G	
7. -Cash Reserves					Sell	8/04	N		
8. HSBC IRAS	C	Interest	L	T					
9. Jamestown, NY					Transferred	8/04	L		See Note in Part VIII
10. Metropolitan Trans Auth					Transferred	8/04	L		See Note in Part VIII
11. Metropolitan Trans Auth					Transferred	8/04	M		See Note in Part VIII
12. Nassau County, NY Bonds	B	Interest	K	T					See Note in Part VIII
13. City of New York Bonds					Transferred	8/04	M		See Note in Part VIII
14. New York State G. O. Bonds	C	Interest	L	T					
15. NY NY Mun WTR Fin Auth	B	Interest	K	T					
16. NY NY Mun WTR Fin Auth	B	Interest	K	T					
17. NY NY Mun WTR Fin Auth					Transferred	8/04	K		See Note Part VIII

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	T = Cash Market
3. Value Method Codes (See Column C2)	P3 = \$25,000,001 - \$50,000,000 Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	P4 = More than \$50,000,000 S = Assessment W = Estimated		

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g. div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. NYC Health & Hospital					Transferred	8/04	L		See Note in Part VIII
19. NYC Transitional Fin Auth	A	Interest			Sell	1/10	K		
20. Port of Authority of NY & NJ					Transferred	8/04	L		See Note in Part VIII
21. NY ST Housing Auth					Partial Sale	2/09	K		See Note in Part VIII
22. NY Dorm					Transferred	8/04	L		See Note in Part VIII
23. NYS Twy Auth					Transferred	8/04	L		See Note in Part VIII
24. Puerto Rico Commonwealth					Transferred	8/04	L		See Note in Part VIII
25. William Floyd UN					Transferred	8/04	L		See Note in Part VIII
26. HSBC Deposit Accounts	D	Int./Div.	N	T					
27. Bank of New York	A	Interest			Closed				
28. New York Trans Fin Auth	B	Interest	K	T					
29. Michigan Clean Water Srf	B	Interest		T	Sell	2/10	K		
30. NYS UDC PIT	B	Interest	K	T					
31. Florida G. O.	A	Interest		T	Sell	3/13	K		
32. NY Thruway Fuel TX MBIA	B	Interest	K	T					
33. Long Island Pwr, NY	B	Interest	K	T					See Note in Part VIII
34. NY Environ	B	Interest	K	T					

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2. Value Codes (See Columns C1 and D3)					
3. Value Method Codes (See Column C2)	Q=Appraisal U=Book Value				

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35. NY Environmntl PIT FGIC	B	Interest	K	T					
36. NYS Thruway SVC CTRCT	B	Interest	K	T					
37. NY UDC Per Inc TX FGIC	B	Interest	K	T					
38. Virgin Island PFA Rum Tax	B	Interest	K	T					
39. NY ST Thruway Svc CT	B	Interest	K	T					
40. Mich Hosp Ascension Health	A	Interest		T	Sell	3/13	J		
41. Houston, TX Combined Utility	B	Interest		T	Sell	3/13	K		
42. Seacoast, FL Wtr. Swr. FGIC	B	Interest		T	Sell	3/13	K		
43. New York TWY Fuel TX AMBAC	B	Interest	K	T					
44. NY Dorm Mental Health FGIC	B	Interest	J	T					
45. Indianapolis Ind Loc Pub Impt	B	Interest	K	T	Buy	2/15	K		
46. Brokerage # 1 - Trust UWOL [REDACTED]									
47. -Cash & Equivalents	B	Interest	L	T	Transferred	8/04	N		See Note in Part VIII
48. -RHJ International Common	A	Dividend	L	T	Buy	11/09	L		
49. -American Express Common	A	Dividend	L	T	Buy	9/28	L		
50. -Berkshire Hathaway Common	A	Dividend	L	T	Buy	9/28	L		
51. -Discovery Hldg Corp Common	A	Dividend	L	T	Buy	9/28	L		

1. Income Gain Codes: (See Columns B1 and D4)	A=\$1,000 or less F=\$50,001 - \$100,000 J=\$15,000 or less N=\$250,001 - \$500,000 P3=\$25,000,001 - \$50,000,000	B=\$1,001 - \$2,500 G=\$100,001 - \$1,000,000 K=\$15,001 - \$50,000 O=\$500,001 - \$1,000,000 R=Cost (Real Estate Only) V=Other	C=\$2,501 - \$5,000 H1=\$1,000,001 - \$5,000,000 L=\$50,001 - \$100,000 P1=\$1,000,001 - \$5,000,000 P4=More than \$50,000,000 S=Assessment W=Estimated	D=\$5,001 - \$15,000 H2=More than \$5,000,000 M=\$100,001 - \$250,000 P2=\$5,000,001 - \$25,000,000 T=Cash Market	E=\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)					
3. Value Method Codes (See Column C2)	Q=Appraisal U=Book Value				

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52. -Onex Corp Common	A	Dividend	L	T	Buy	9/28	L		
53. -City Univ NY	B	Interest	L	T	Transferred	8/04	L		See Note in Part VIII
54. -Jamestown, NY	B	Interest	L	T	Transferred	8/04	L		See Note in Part VIII
55. -Metropolitan Trans Auth	C	Interest	L	T	Transferred	8/04	L		See Note in Part VIII
56. -Metropolitan Trans Auth	D	Interest	M	T	Transferred	8/04	M		See Note in Part VIII
57. -City of New York Bonds	D	Interest	M	T	Transferred	8/04	M		See Note in Part VIII
58. -NY NY Mun WTR Fin Auth	B	Interest	K	T	Transferred	8/04	K		See Note in Part VIII
59. -NYC Health & Hospital	B	Interest	L	T	Transferred	8/04	L		See Note in Part VIII
60. -Port of Authority of NY & NJ	B	Interest	L	T	Transferred	8/04	L		See Note in Part VIII
61. -NY ST Housing Auth	C	Interest	L	T	Transferred	8/04	L		See Note in Part VIII
62. -NY Dorm	B	Interest	L	T	Transferred	8/04	L		See Note in Part VIII
63. -NYS Twy Auth	B	Interest	L	T	Transferred	8/04	L		See Note in Part VIII
64. -Puerto Rico Commonwealth	C	Interest	L	T	Transferred	8/04	L		See Note in Part VIII
65. -William Floyd UN	B	Interest	L	T	Transferred	8/04	L		See Note in Part VIII
66. First Manhattan Co. Rollover IRA									
67. -Cash & Equivalents	E	Int./Div.	P1	T	Transferred	8/4	P1		See Note in Part VIII
68. -RHJ International Common	A	Dividend	L	T	Buy	11/09	L		

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69. -American Express Common	A	Dividend	L	T	Buy	9/28	L		
70. -Berkshire Hathaway Common	A	Dividend	L	T	Buy	9/28	L		
71. -Discovery Hldg Common	A	Dividend	L	T	Buy	9/28	L		
72. -Onex Corp Common	A	Dividend	L	T	Buy	9/28	L		
73. US Trust Account (X)		None	J	T					
74. Byram Hills NY Cent Sch Dist	B	Interest	K	T	Buy	1/9	L		
75. Byram Hills NY Cent Sch Dist					Sell	9/28	K		
76. Estate [REDACTED] Cash & Equivalents	A	Dividend	K	T	Transferred	7/31	K		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000 Q = Appraisal U = Book Value	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000 R = Cost (Real Estate Only) V = Other	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000 S = Assessment W = Estimated	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000 T = Cash Market	E = \$15,001 - \$50,000
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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of Report.)*

- 1) VII, page 5, line 11 - In prior year (line 10), Income should have been D and Value should have been M.
- 2) VII, page 5, line 12 - In prior year (line 11) Income should have been B and Value should have been K.
- 3) VII, page 6, line 33 - In prior year (line 35) Income should have been B.
- 4) VII, page 6, line 21 - Balance remaining after partial sale transferred to Trust UWO [REDACTED] (page 8, line 61).
- 5) VII, pages 5 and 6, lines 1, 9-11, 13, 17, 18, 20, 22-25 - Assets transferred to Trust UWO [REDACTED] (page 8, lines 53-60, 62-65).
- 6) VII, pages 5, line 2 - Cash transferred to Trust UWO [REDACTED] (page 7, line 47) and the Estate [REDACTED] (page 9, line 76).
- 7) VII, page 5, line 3 - Rolled over to First Manhattan IRA (page 9, line 67).

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature

Date

May 10, 2007

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544