

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2010

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Barbier, Carl J.	2. Court or Organization U.S. District Court, E.D. La.	3. Date of Report 05/07/2011
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. District Judge-Active	5a. Report Type (check appropriate type) ☐ Nomination, ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2010 to 12/31/2010
7. Chambers or Office Address 500 Poydras Street New Orleans, LA. 70130	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Member, Board of Directors	Loyola Law Alumni Association
2. Member, Board of Directors	Federal Bar Assoc.-New Orleans Chapter
3. Member, Past President	St. Thomas More Inn of Court (Loyola Law School)
4. Past President	New Orleans Bar Association
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☒ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income – *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☒ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS – *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

	<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.	New Orleans Bar Association	4/16-4/18/2010	Biloxi, MS	Bench Bar Conference	Food and lodging
2.	LSU Law School	3/04-3/05/2010	Baton Rouge, LA.	CLE program	Food, lodging & mileage
3.	Trial Attorneys of America	6/09-6/11/2010	Chicago, ILL	CLE program	Transportation, Food, Lodging
4.					
5.					

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☒ NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☐ NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1. Merrill Lynch	Loan Management Account	J
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e.g., div., rent, or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g., buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
1. ITT Corp Sr.Debenture	A	Interest			Redeemed	06/14/10	J	A	
2. Tenn. Gas Pipeline Debenture	B	Interest	K	T					
3. AMR Corp. (Unsec. Notes)	A	Interest	J	T					
4. NM Ford Motor Cdt Co.(Bond)	A	Interest	J	T					
5. USX-Marathon Grp 01/15/2013	B	Interest	K	T					
6. Bellsouth Corp. 12/15/2016 (Bonds)	B	Interest	K	T					
7. Anheuser Busch Notes Apr 01 2018	B	Interest	K	T					
8. Transocean Sedco Forex Notes 4/15/18	A	Interest			Sold	06/07/10	K	A	
9. Anheuser Busch Cos Inc Notes Mar 01 2019	A	Interest	K	T					
10. Penn. Electric Co. Sr. Notes 4/01/19	A	Interest	J	T					
11. Spectra Energy Capital Global Notes 10/01/2019	B	Interest	K	T					
12. Ford Holdings Inc Mar 01, 2020	B	Interest	K	T					
13. Scana Corp. Ser Mtn Apr 01 2020	A	Interest	K	T					
14. Bank of New York Co Inc Sr Sub Ser Dec 15 2020	B	Interest			Redeemed	12/15/10	K	A	
15. Halliburton Co Debentures 3/01/21	A	Interest			Sold	06/07/10	K	A	
16. El Paso Nat. Gas (Bond) Jan 15, 2022	A	Interest	J	T					
17. Gen Elec Cap Corp (Sr. Notes) Jan 15, 2022	B	Interest	K	T					

1. Income Gain Codes:
(See Columns B1 and D4)

A = \$1,000 or less
F = \$50,001 - \$100,000
J = \$15,000 or less
N = \$250,001 - \$500,000
P3 = \$25,000,001 - \$50,000,000
Q = Appraisal
U = Book Value

B = \$1,001 - \$2,500
G = \$100,001 - \$1,000,000
K = \$15,001 - \$50,000
O = \$500,001 - \$1,000,000

R = Cost (Real Estate Only)
V = Other

C = \$2,501 - \$5,000
H1 = \$1,000,001 - \$5,000,000
L = \$50,001 - \$100,000
P1 = \$1,000,001 - \$5,000,000
P4 = More than \$50,000,000
S = Assessment
W = Estimated

D = \$5,001 - \$15,000
H2 = More than \$5,000,000
M = \$100,001 - \$250,000
P2 = \$5,000,001 - \$25,000,000

T = Cash Market

E = \$15,001 - \$50,000

3. Value Method Codes
(See Column C2)

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	Amount Code 1 (A-H)	Type (e.g., div., rent, or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g., buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
18. Coca Cola Ent. Debentures Feb 01 2022	B	Interest	K	T					
19. USX Corp May 15, 2022	B	Interest	K	T					
20. Coca Cola Ent. Inc. Debentures Sep 15 2022	C	Interest	L	T					
21. USX Marathon Grp Debentures Mar 01 2023	C	Interest	L	T					
22. Chesapeake & Potomoc Tel Co Debentures May 01 2023	B	Interest	K	T					
23. Anheuser Busch Cos Inc Bonds May 15 2023	B	Interest	K	T					
24. U.S. West Comm. June 15, 2023	B	Interest	K	T					
25. Gen Motors Corp Global Bds July 15 2023		None	J	T					
26. USX Marathon Group July 15, 2023	B	Interest	K	T					
27. Southern Union Co. Feb 01, 2024	A	Interest	J	T					
28. Time Warner Cos Inc Feb 01, 2024	B	Interest	K	T					
29. Entergy La. LLC Nov. 01, 2024	A	Interest	J	T	Buy	02/19/10	J		
30. Union Carbide Deben 6/1/25	A	Interest	J	T					
31. BellSouth Telecommunications Oct 01, 2025	A	Interest	J	T	Buy	04/12/10	J		
32. Citizens Utilities Co Nov 01, 2025	C	Interest	K	T					
33. Ford Motor Co. Nov 15, 2025	B	Interest	K	T					
34. Burlington Resources Inc Feb 15, 2026	B	Interest	K	T					

1. Income Gain Codes:

(See Columns B1 and D4)

2. Value Codes

(See Columns C1 and D3)

3. Value Method Codes

(See Column C2)

A = \$1,000 or less

F = \$50,001 - \$100,000

J = \$15,000 or less

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P3 = \$25,000,001 - \$50,000,000

Q = Appraisal

U = Book Value

B = \$1,001 - \$2,500

G = \$100,001 - \$1,000,000

K = \$15,001 - \$50,000

O = \$500,001 - \$1,000,000

R = Cost (Real Estate Only)

V = Other

C = \$2,501 - \$5,000

H1 = \$1,000,001 - \$5,000,000

L = \$50,001 - \$100,000

P1 = \$1,000,001 - \$5,000,000

P4 = More than \$50,000,000

S = Assessment

W = Estimated

D = \$5,001 - \$15,000

H2 = More than \$5,000,000

M = \$100,001 - \$250,000

P2 = \$5,000,001 - \$25,000,000

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e.g., div., rent, or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g., buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
35. Petro Canada Jun 15, 2026	A	Interest	J	T	Buy	03/15/10	J		
36. Indiana Bell Tel Debentures Aug 15 2026	D	Interest	M	T					
37. Bristol Meyers Squibb Nov 15 2026	B	Interest	K	T					
38. Hydro Quebec Deb Ser MBIA Jan 15, 2027	B	Interest	K	T					
39. Phillip Morris Cos Inc Jan. 15, 2027	B	Interest	K	T					
40. Eli Lilly & Co March 15, 2027	A	Interest	K	T					
41. Wal-Mart Stores, Inc Apr 05 2027	B	Interest	K	T					
42. GTE California Inc May 15 2027	C	Interest	L	T					
43. Hershey Foods Corp Aug 15, 2027	A	Interest	J	T					
44. Seagull Energy Corp Sr. Notes 9/15/27	C	Interest	K	T					
45. Puget Sound Energy Inc. Dec 01, 2027	B	Interest	K	T	Buy	12/10/10	K		
46. Pioneer Natural Resource Jan 15, 2028	B	Interest	K	T					
47. Sears Roebuck Acceptance Jan. 15, 2028	B	Interest	K	T					
48. GTE Florida Feb. 01, 2028	D	Interest	M	T	Buy (add'l)	07/01/10	K		
49. GTE North Inc. Feb. 15, 2028	A	Interest	J	T	Buy	07/02/10	K		
50. KN Energy Inc. Mar. 01, 2028	A	Interest	J	T					
51. Occidental Petroleum Apr 01 2028	B	Interest	K	T					

1. Income Gain Codes:

(See Columns B1 and D4)

2. Value Codes

(See Columns C1 and D3)

3. Value Method Codes

(See Column C2)

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F = \$50,001 - \$100,000

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P4 = More than \$50,000,000

S = Assessment

W = Estimated

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	(1) Amount	(2) Type (e.g., div., rent, or int.)	(1) Value	(2) Value	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value	(4) Gain	(5) Identity of buyer/seller (if private transaction)
	Code 1 (A-H)		Code 2 (J-P)	Code 3 (Q-W)			Code 2 (J-P)	Code 1 (A-H)	
52. Bell Atlantic Corp Apr 15, 2028	B	Interest	K	T					
53. GTE Corporation Apr 15, 2028	C	Interest	L	T					
54. Heinz (HJ) Co July 15 2028	C	Interest	K	T	Buy (add'l)	01/19/10	K		
55. United Utilities PLC Aug 15, 2028	A	Interest	J	T	Buy	10/12/10	J		
56. Petro Canada Deben 11/15/28	A	Interest	J	T					
57. Bell Atlantic Penn Dec 01, 2028	B	Interest	K	T					
58. Duke Energy Corp Dec. 01, 2028	B	Interest	K	T					
59. Burlington Resources Mar 01, 2029	B	Interest	K	T					
60. Conoco Inc Apr 15, 2029	B	Interest	K	T					
61. Norfolk Southern Corp May 17, 2029	B	Interest	K	T					
62. Amerada Hess Corp Bonds Oct 01 2029	C	Interest	L	T					
63. Dow Chemical Co Nov 01, 2029	B	Interest	K	T					
64. New England Tel & Tel Co. Nov 15 2029	B	Interest	K	T	Buy (add'l)	07/08/10	J		
65. New Jersey Bell Tel Co Nov 15, 2029	B	Interest	K	T					
66. Apache Fin Canada Corp Dec 15, 2029	B	Interest	K	T					
67. Wal Mart Stores Bonds Feb 15 2030	C	Interest	L	T					
68. Burlington North Santa Fe Aug 15, 2030	A	Interest	K	T	Buy	08/04/10	K		

1. Income Gain Codes:

(See Columns B1 and D4)

2. Value Codes

(See Columns C1 and D3)

3. Value Method Codes

(See Column C2)

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	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e.g., div., rent, or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g., buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
69. Bell Telephone Co PA Dec 15, 2030	A	Interest	J	T	Buy	07/02/10	J		
70. Dominion Res Cap TR III Jan 15, 2031	B	Interest	K	T					
71. Amerada Hess Corp Aug 15, 2031	C	Interest	K	T	Buy	01/06/10	K		
72. Texaco Capital Inc Aug 01, 2032	A	Interest	J	T					
73. Florida Power Corp Mar 01, 2033	B	Interest	K	T					
74. Wisconsin Electric Power May 15, 2033	A	Interest	J	T	\$				
75. Florida Power & Light Apr 01, 2034	A	Interest	J	T					
76. United Airlines Escrow (Deben)		None			Redeemed	11/05/10	J	A	
77. United Contl Hldgs Inc. (formerly UAL Corp New (common stk)		None	J	T					
78. Merrill Lynch BIF (CMA) Tax-Exempt Fund	A	Dividend	J	T					
79. Orleans Par La Phwide Sch Dist Sept 01 10 (muni bond)	A	Interest			Redeemed	09/01/10	J	A	
80. Shreveport La Wtr & Swr Rev Ser B Dec 01 10 (muni bond)	B	Interest			Redeemed	12/01/10	K	A	
81. New Orleans La EHA HTL Rv Jan 15 11 (muni bond)	A	Interest	J	T					
82. La. Pub. Facs Auth Bonds 07/01/2027	A	Interest			Sold	09/30/10	J	A	
83. Orleans Par La Sch Brd Sep 01 12 (muni bond)	A	Interest			Redeemed	09/01/10	J	A	
84. La. Pub Facs AT RV Hlth Facs A Ref Jun 01 13 (muni bond)	A	Interest	J	T					
85. Shreveport La Wtr-Swr Rv Ser B Dec 01 13 (muni bond)	A	Interest	J	T					

1. Income Gain Codes:
(See Columns B1 and D4)

A = \$1,000 or less
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2. Value Codes
(See Columns C1 and D3)

J = \$15,000 or less
N = \$250,001 - \$500,000
P3 = \$25,000,001 - \$50,000,000

3. Value Method Codes
(See Column C2)

Q = Appraisal
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86. Terrebonne Prh Hsp Svc Dt No. 1 Hsp Rv Rf Apr0117(muni bond)	A	Interest			Redeemed	11/03/10	J	A	
87. Jeff Parish La Sch Brd Sls-Tax Rv Mar 01 20 (muni bonds)	A	Interest	J	T					
88. Bossier Parish La Sales & Use Tax Jul 01 21	B	Interest	K	T					
89. Orleans Prsh La Prhwd Sch Dist Ser A Sep 01 21 (muni bd)	B	Interest			Redeemed	09/01/10	K	A	
90. St. Tammany Prshwide 03/01/24 (muni bonds)	B	Interest	K	T					
91. East BR Prsh LA Rec-Pk May 01 24 (muni bond)	A	Interest	J	T					
92. Monroe La Sales & Use Tax Rev Ser A Jul 01 27	A	Interest	J	T					
93. Ernest N Morial-New Orleans La Exhb Hall AT Jul 15 27 (muni)	A	Interest	J	T					
94. Louisiana LCL Gvt Enviro FC CDA RV Tech Jul 01 28	B	Interest	K	T					
95. La St Gas & Fuels Tax Rev Ser A Jun 01 32	A	Interest	J	T					
96. Calcasieu Parish La Pub Tr AT STD LSE REV May 01 33 (muni)	B	Interest	K	T					
97. Louisiana Univ-Agric CLG 07/01/33	B	Interest	K	T					
98. Louisiana Pub Facs Auth Rev Ochsner Ser A May 15, 2038	C	Interest	L	T	Buy	09/21/10	L		
99. Merrill Lynch Bank USA RASP (money market)	A	Interest	J	T					
100. Cooper Tire & Rubber Co Dec 15 2019 (Bond)	A	Interest	J	T					
101. Phillip Morris Cos Inc Jan 15, 2027 (Bonds)	B	Interest	K	T					
102. GTE North Inc. Bond Feb. 15, 2028	A	Interest	J	T					

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(See Columns B1 and D4)

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Q=Appraisal
U=Book Value

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K=\$15,001 - \$50,000
O=\$500,001 - \$1,000,000
R=Cost (Real Estate Only)
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C=\$2,501 - \$5,000
H1=\$1,000,001 - \$5,000,000
L=\$50,001 - \$100,000
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P4=More than \$50,000,000
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3. Value Method Codes
(See Column C2)

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	Amount Code 1 (A-H)	Type (e.g., div., rent, or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g., buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
103. Aegon NV PFD	B	Interest	K	T					
104. Citigroup Capital XI Trust Pfd Secs Cum Sept27 34 (Bonds)	A	Interest	J	T					
105. Dominion Resources June 15, 2079	A	Interest	J	T	Buy	04/08/10	J		
106. Florida Pwr & Lt Cap TR Mar 15 2044	A	Interest	J	T					
107. FPL Group Capital, Inc. 10/01/66	A	Interest			Sold	12/16/10	J	A	
108. Nextera Energy Capital H Pfd stk	A	Interest	J	T	Buy	12/16/10	J		
109. Georgia Power Cap TR VII Jan 15 2044	A	Interest	J	T					
110. Xcel Energy Inc Jr. Sub Notes Jan 1 2068	A	Interest	J	T					
111. Brandywine Fund (stock mutual fund)		None			Sold	10/14/10	M	A	
112. Vanguard Ttl Stk Mkt Index Fund	D	Dividend	N	T	Buy (add'l)	11/08/10	L		
113. Vanguard Prime MM Fund	A	Dividend	J	T	Sold (part)	01/27/10	J	A	
114. Capital One Bank-- checking/savings	A	Interest	K	T					

1. Income Gain Codes:

A = \$1,000 or less

B = \$1,001 - \$2,500

C = \$2,501 - \$5,000

D = \$5,001 - \$15,000

E = \$15,001 - \$50,000

(See Columns B1 and D4)

F = \$50,001 - \$100,000

G = \$100,001 - \$1,000,000

H1 = \$1,000,001 - \$5,000,000

H2 = More than \$5,000,000

2. Value Codes

J = \$15,000 or less

K = \$15,001 - \$50,000

L = \$50,001 - \$100,000

M = \$100,001 - \$250,000

(See Columns C1 and D3)

N = \$250,001 - \$500,000

O = \$500,001 - \$1,000,000

P1 = \$1,000,001 - \$5,000,000

P2 = \$5,000,001 - \$25,000,000

P3 = \$25,000,001 - \$50,000,000

P4 = More than \$50,000,000

3. Value Method Codes

Q = Appraisal

R = Cost (Real Estate Only)

S = Assessment

T = Cash Market

(See Column C2)

U = Book Value

V = Other

W = Estimated

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Barbier, Carl J.

Date of Report

05/07/2011

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

PART VII, line 77 On Oct. 15, 2010, UAL Corp Common Stk exchanged for United Contl Hldgs Inc.

PART VII, line 78 Merrill Lynch tax exempt fund, formerly referred to as CMA, now BIF.....same fund

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Barbier, Carl J.

Date of Report

05/07/2011

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Carl J. Barbier**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544