

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2005

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Herndon, David R	2. Court or Organization Southern District of Illinois	3. Date of Report 05/11/2006
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. District Judge - Active	5a. Report Type (check appropriate type) ☐ Nomination, ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2005 to 12/31/2005
7. Chambers or Office Address United States Courthouse 750 Missouri Ave East St. Louis, Illinois	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Trustee	Trust #1, #2, #3, #4, and #5
2.	
3.	
4.	
5.	

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II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of instructions.)***A. Filer's Non-Investment Income**☒ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		
5.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☒ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	
5.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children. See pp. 25-27 of instructions.)*☒ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>
1.	
2.	
3.	
4.	
5.	

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V. GIFTS. *(Includes those to spouse and dependent children. See pp. 28-31 of instructions.)*☐ NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		\$ 0.0
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children. See pp. 32-34 of instructions.)*☐ NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1. MBNA	CREDIT CARD	K
2. CHRYSLER FINANCIAL	AUTO LOAN	J
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (includes those of the spouse and dependent children. See pp. 34-57 of filing instructions)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g. div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, merger, redemption)	If not exempt from disclosure			
						(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Bank of Edwardsville Direct checking account	A	Interest			closed	09/15			
2. Bank of Edwardsville Premium checking account	A	Interest	K	T					
3. Bank of Edwardsville Prime investor/checking account	A	Interest			closed	10/31			
4. Community Educ FCU 2 cash account	A	Interest	J	T					
5. Bank of America regular checking account		None	J	T					
6. Bank of America regular checking account		None	J	T					
7. IRA Account Bear Stearns Brokerage IRA account	D	Dividend	M	T					assets listed below
8. - (IRA) Royal Bank Scotland 7.25%					sell	01/04	J		
9. - (IRA) Alcoa Inc					buy	12/29	J		
10. - (IRA) Altria Group Inc					buy	04/04	J		
11. - (IRA) Albertsons Inc					buy	01/04	J		
12. - (IRA) Bank of America Corp					buy	01/04	J		see below for sale in same
13. - (IRA) Bank of America Corp					sell	12/29	J		
14. - (IRA) Barnes Group Inc					buy	01/04	J		see below for sale in same
15. - (IRA) Barnes Group Inc					sell	04/01	J	A	
16. - (IRA) Barnes Group Inc					buy	09/06	J		see below for sale in same
17. - (IRA) Barnes Group Inc					buy	09/30	J		see below for sale in same

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000 R = Cost (Real Estate Only) V = Other	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000 S = Assessment W = Estimated	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000 T = Cash Market	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)					
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value				

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g. div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, merger, redemption)	If not exempt from disclosure			
						(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. - (IRA) Barnes Group Inc					sell	12/29	J		
19. - (IRA) Bellsouth Corp					buy	01/04	J		
20. - (IRA) Bellsouth Corp					partial sale	12/29	J		
21. - (IRA) Black Hills Corp					buy	04/01	J		
22. - (IRA) Citigroup Inc					buy	01/04	J		see below for sale in same
23. - (IRA) Citigroup Inc					sell	12/29	J		
24. - (IRA) Cleco Corp Hldgs New					buy	05/26	J		see below for sale in same
25. - (IRA) Cleco Corp Hldgs New					sell	12/29	J	A	
26. - (IRA) Dime Community Bancshares					buy	01/04	J		see below for sale in same
27. - (IRA) Dime Community Bancshares					partial sale	01/12	J		
28. - (IRA) Dime Community Bancshares					sell	04/01	J		
29. - (IRA) Deluxe Corp					buy	01/04	J		see below for sale in same
30. - (IRA) Deluxe Corp					sell	12/29	J		
31. - (IRA) Energy East Corporation					buy	01/04	J		see below for sale in same
32. - (IRA) Energy East Corporation					sell	12/29	J		
33. - (IRA) Exelon Corp					buy	01/04	J		
34. - (IRA) Exelon Corp					sell	04/01	J	A	

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
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						(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
35. - (IRA) Exelon Corp					buy	12/29	J		
36. - (IRA) Entergy Corp New					buy	01/04	J		
37. - (IRA) Entergy Corp New					sell	04/01	J	A	
38. - (IRA) Entergy Corp New					buy	12/29	J		
39. - (IRA) Flagstar Bancorp Inc					buy	01/04	J		see below for sale in same
40. - (IRA) Flagstar Bancorp Inc					buy	06/28	J		see below for sale in same
41. - (IRA) Flagstar Bancorp Inc					sell	12/29	J		
42. - (IRA) First Horizon Natl Corp					buy	01/04	J		see below for sale in same
43. - (IRA) First Horizon Natl Corp					sell	12/29	J		
44. - (IRA) FPL Group Inc					buy	01/04	J		
45. - (IRA) FPL Group Inc					stock split	03/21			
46. - (IRA) General Electric					buy	12/29	J		
47. - (IRA) Genuine Parts Co					buy	12/29	J		
48. - (IRA) Hudson United Bancorp					buy	04/01	J		see below for sale in same
49. - (IRA) Hudson United Bancorp					sell	12/29	J	B	
50. - (IRA) Jefferson Pilot Corp					buy	01/04	J		see below for sale in same
51. - (IRA) Jefferson Pilot Corp					sell	12/29	J	A	

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2. Value Codes (See Columns C1 and D3)					
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value				

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52. - (IRA) Fractional Kinder Morgan Mgmt					spin off	02/08	J		dividend pd fractional shs
53. - (IRA) Fractional Kinder Morgan Mgmt					spin off	05/04	J		dividend of fractional shares
54. - (IRA) Fractional Kinder Morgan Mgmt					spin off	08/10	J		no \$ value until fraction =1 sh
55. - (IRA) Fractional Kinder Morgan Mgmt					spinoff	11/14	J		dividend paid in fractional shs
56. - (IRA) Kinder Morgan Mgmt					partial sale	01/04	K	A	
57. - (IRA) Kinder Morgan Inc Kans					buy	12/29	J		
58. - (IRA) Kerr McGee Corp					buy	04/01	J		see below for sale in same
59. - (IRA) Kerr McGee Corp					partial sale	05/24	J	A	
60. - (IRA) Kerr McGee Corp					sell	05/26	J		
61. - (IRA) Kimberly Clark Corp					buy	12/29	J		
62. - (IRA) Lubrizol Corp					buy	04/01	J		
63. - (IRA) Marathon Oil Corp					buy	01/04	J		see below for sale in same
64. - (IRA) Marathon Oil Corp					sell	04/01	J	B	
65. - (IRA) May Department Stores					buy	01/04	J		merged into Federated
66. - (IRA) Federated Dept Stores					exchange	09/01	J		see below for sale in same
67. - (IRA) Federated Dept Stores					sell	09/06	J		
68. - (IRA) Maytag Corp					buy	04/01	J		see below for sale in same

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69. - (IRA) Maytag Corp					partial sale	09/30	J	A	
70. - (IRA) Maytag Corp					sell	12/29	J	B	
71. - (IRA) New York Community Bancorp Inc					buy	04/01	J		
72. - (IRA) National City Corp					buy	01/04	J		see below for sale in same
73. - (IRA) National City Corp					sell	12/29	J		
74. - (IRA) New Jersey Resources Corp					buy	01/04	J		see below for sale in same
75. - (IRA) New Jersey Resources Corp					sell	12/29	J		
76. - (IRA) Northeast Utilities					buy	01/04	J		see below for sale in same
77. - (IRA) Northeast Utilities					sell	12/29	J	A	
78. - (IRA) North Fork Bancorporation Inc					buy	12/29	J		
79. - (IRA) Oneok Inc New					buy	04/01	J		see below for sale in same
80. - (IRA) Oneok Inc New					sell	12/29	J		
81. - (IRA) PPL Corporation					buy	01/04	J		
82. - (IRA) PPL Corporation					stock split	08/30			
83. - (IRA) Pinnacle West Capital Corp					buy	01/04	J		see below for sale in same
84. - (IRA) Pinnacle West Capital Corp					sell	04/01	J		
85. - (IRA) Pitney Bowes Inc					buy	12/29	J		

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86. - (IRA) Regions Financial Corp					buy	12/29	J		
87. - (IRA) Smucker J M Company					buy	12/29	J		
88. - (IRA) Sara Lee Corp					buy	01/04	J		see below for sale in same
89. - (IRA) Sara Lee Corp					buy	09/30	J		see below for sale in same
90. - (IRA) Sara Lee Corp					sell	12/29	J		
91. - (IRA) Southwest Gas Corp					buy	12/29	J		
92. - (IRA) Standex International Corp					buy	04/01	J		see below for sale in same
93. - (IRA) Standex International Corp					sell	12/29	J	A	
94. - (IRA) Suntrust Banks Inc					buy	12/29	J		
95. - (IRA) Susquehanna Bancshares Inc-PA					buy	12/29	J		
96. - (IRA) Universal Corp					buy	01/04	J		see below for sale in same
97. - (IRA) Universal Corp					sell	04/01	J		
98. - (IRA) Waste Management Inc Del					buy	12/29	J		
99. - (IRA) Washington Mutual Inc					buy	01/04	J		
100. - (IRA) Washington Mutual Inc					sell	04/01	J		
101. - (IRA) Washington Mutual Inc					buy	12/29	J		
102. - (IRA) XL Capital LTD-CI A					buy	12/29	J		

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103. - (IRA) Strong Ultra Short Term Income Fund					sell	01/04	K		
104. - (IRA) Standard & Poors Depository S P D R S					sell	01/04	K	B	
105. - (IRA) Dreyfus General Money Market Account									
106. - (IRA) Cohan & Steers Total Return					sell	01/04	K	C	
107. - (IRA) Flaherty & Crumbine pfd Income Fd					sell	01/04	J	B	
108. - (IRA) Salomon Brothers 2008 Worldwide Dollar					sell	01/04	K	B	
109. Bear Stearns Brokerage Account									assets listed below
110. - Dreyfus General Money Market Fund (B)	A	Interest	J	T					
111. - Ohio St Pub FACS copmmn Hghr Ed mun bd 11/01/2010	A	Interest			redeemed	11/01	K	A	
112. - Cohen & Steers Total Return Realty FD	B	Dividend	J	T	partial sale	08/22	J	A	
113. - Flaherty & Crumrine PFD Income Opportunity FD	A	Dividend			sell	05/02	J		
114. - Salomon Brothers 2008 Worldwide Fd	B	Dividend	J	T					
115. TRUST #1 Bear Stearns Brokerage Account	G	div & int	P1	T					trust assets listed below
116. - Dreyfus General Money Market Fund									
117. - Baltimore MD Convention Ctr Rev Rfdg BEO OID mun bond					redeemed	09/01	L		
118. - Chicago IL Motor Fuel Tax Rev Rfdg									
119. - Clear Lake City Tex Wtr Auth GO Rfdg mun bond									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	P3 = \$25,000,001 - \$50,000,000 Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	P4 = More than \$50,000,000 S = Assessment W = Estimated	T = Cash Market	

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Herndon, David R

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05/11/2006

VII. INVESTMENTS and TRUSTS -- income, value, transactions (includes those of the spouse and dependent children. See pp. 34-57 of filing instructions)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g. div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, merger, redemption)	If not exempt from disclosure			
						(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120. - Dallas TX Indpt Sch Dist GO Rfdg Psf Gtd 8/15/02					redeemed	09/15	L		
121. - Deschutes Cnty Ore Sch Dist					buy	08/10	M		
122. - Eagle Garfield & Routt CNTYS colo sch dist									
123. - FL St Div Bd Fin Dept Rev Genl Svcs Rev Env Protn mun									
124. - Fort Bend TEX Indpt Sch Dist 02/15/2006 mun bond									
125. - Hawaii State GO Rfdg Ser Co 03/01/2008									
126. - Norfolk VA G/O RFDG & Cap Impt dtd 6/15/98 5%					buy	03/16	M		
127. - Hoffman Estates IL Tax Inc 11/15/2009					redeemed	08/01	L		
128. - IL Dev Fin Auth Pollution Rev Rfdg Comwlth EdBEO mun									
129. - Lewis Cnty Wash Pub Util Dist									
130. - Long Island Pwr Auth									
131. - MO St Health & Edl Facs Rev Rfdg StLU BEO Oid mun bond									
132. - Puerto Rico Muni Fin Agy Rev Fa Ser 99A BEO mun bond									
133. - Puerto Rico Mun Fin Agy GO Ser A 8/01/2011									
134. - Wayne Charter Cnty Mich Airport Rev Detroit Metro BEO mun									
135. - Abbott Laboratories cmn					sell	03/14	K	D	
136. - Acco Brands (Spinoff of Fortune Brands Inc)					spin-off	08/17	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000 Q = Appraisal U = Book Value	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000 R = Cost (Real Estate Only) V = Other	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000 S = Assessment W = Estimated	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000 T = Cash Market	E = \$15,001 - \$50,000
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Name of Person Reporting

Herndon, David R

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (includes those of the spouse and dependent children. See pp. 34-57 of filing instructions)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g. div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, merger, redemption)	If not exempt from disclosure			
						(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137. - Acco Brands					sell	08/23	J	A	
138. - American Express									
139. - Ameriprise Financial (Spinoff of Amer Express)					spin-off	10/03	J		see below for sale in same
140. - Ameriprise Financial					sell	10/04	J	B	
141. - American International Group					sell	03/22	K		
142. - Amgen Inc					buy	03/14	K		
143. - Automatic Data Processing Inc					partial sale	03/14	J	B	
144. - Automatic Data Processing Inc					sell	06/08	K	D	
145. - Bed Bath & Beyond Inc					buy	03/14	K		
146. - Citigroup Inc cmn									
147. - Cisco Systems Inc. cmn					partial sale	03/14	J	B	
148. - Cisco Systems Inc. cmn					sell	10/27	K	D	
149. - Cohan & Steers Total Return Realty Fund									
150. - Enterprise Products Partners LP									
151. - EMC Corp-Mass					sell	01/25	K	E	
152. - Exxon Mobil					partial sale	03/14	K	D	
153. - Exxon Mobil Call Jan 05					buy	01/21	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000 R = Cost (Real Estate Only) V = Other	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000 S = Assessment W = Estimated	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000 T = Cash Market	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)					
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value				

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (includes those of the spouse and dependent children. See pp. 34-57 of filing instructions)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g. div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, merger, redemption)	If not exempt from disclosure			
						(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
154. - Exxon Mobil Call Jan 05					expired	01/22	J		
155. - Fortune Brands Inc					buy	03/14	K		
156. - Fiserv Inc					buy	01/21	K		
157. - G2 Absolute Return Fund					buy	04/30	N		
158. - General Electric Co									
159. - Home Depot Inc cmn									
160. - Intel Corp cmn					partial sale	03/14	J	A	
161. - Ishares S&P Smallcap 600 Barra Value Index fd IJS					buy	03/14	L		
162. - Ishares Trust S&P Midcap 400 Index Fd IJH									
163. - Ishares Trust S&P Midcap 400 Index Fd IJH					buy	03/14	L		
164. - Ishares MSCI Pacific Ex-Japan Index fd EPP					buy	10/26	K		
165. - Ishares Trust MSCI Emerging Markets Index Fd EEM					buy	03/14	K		
166. - Ishares Inc MSCI Japan Index Fund symbol EWJ									
167. - Ishares Trust Div Index Fund symbol DVY									
168. - Kinder Morgan Energy Partners									
169. - Linear Technology Corp					buy	06/08	K		
170. - MBNA Corp cmn					sell	07/20	K	D	

1. Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	P3 =\$25,000,001 - \$50,000,000 Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	P4 =More than \$50,000,000 S =Assessment W =Estimated	T =Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (includes those of the spouse and dependent children. See pp. 34-57 of filing instructions)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g. div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, merger, redemption)	If not exempt from disclosure			
						(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
171. - Mariner Advisors Fund (See Part VIII)					sell	01/01	O	D	
172. - MetLife Inc.									
173. - Microsoft Corp cmn									
174. - Morgan Stanley					buy	07/20	K		
175. - Plains All American Pipeline LTD Partnership									
176. - Office Depot					partial sale	03/14	K	C	
177. - Office Depot					sell	09/16	K	E	
178. - Select Sector SPDR Amex Utilities Index symbol XLU					partial sale	03/14	J	B	
179. - Sector SPDR Trust int Energy symbol XLE					buy	03/14	K		
180. - Select Sector SPDR Fund -Health Care-symbol XLV									
181. - Sector Spdr Trust-Consumer Staples-XLP									
182. - Select Sector SPDR Fund Int Materials symbol XLB					buy	03/14	K		
183. - Salomon Brothers 2008 Worldwide FD									
184. - Symantec Corporation					buy	10/27	K		
185. - Teppco Partners LP									
186. - Target Corp					partial sale	03/14	K	C	
187. - United Technologies Corp									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	P3 = \$25,000,001 - \$50,000,000 Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	P4 = More than \$50,000,000 S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (includes those of the spouse and dependent children. See pp. 34-57 of filing instructions)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g. div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, merger, redemption)	If not exempt from disclosure			
						(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
188. - Valero LP									
189. - Walgreen Co					partial sale	03/14	J	C	
190. TRUST #2 Bear Sterns Brokerage Account	D	Dividend	N	T					assets detailed below
191. -Dreyfus General Money Market Fund B									
192. -Abbott Laboratories					sell	03/11	J		
193. -Acco Brands Corp (Spinoff of Fortune Brands Inc)					spin-off	08/17	J		see below for sale in same
194. -Acco Brands Corp					sell	08/23	J	A	
195. -American Express Company									
196. -American International Group					sell	03/22	J	A	
197. -Ameriprise Financial (Spinoff of Amer Express)					spin-off	10/03	J		see below for sale in same
198. -Ameriprise Financial					sell	10-04	J	A	
199. -Amgen Inc					buy	03/11	J		
200. -Automatic Data Processing Inc					sell	06/08	J	B	
201. -Bed Bath & Beyond Inc					buy	03/11	J		
202. -Central Garden & Pet Co					buy	12/27	J		
203. -Citigroup Inc									
204. -Cisco Systems Inc					sell	10/27	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000 R = Cost (Real Estate Only) V = Other	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000 S = Assessment W = Estimated	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000 T = Cash Market	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)					
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value				

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (includes those of the spouse and dependent children. See pp. 34-57 of filing instructions)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g. div., rent, or opt.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, merger, redemption)	If not exempt from disclosure			
						(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
205. -Coca Cola Co					sell	12/09	J		
206. -EMC Corp-Mass					sell	01/25	J		
207. -Exxon Mobil Corp									
208. -Exxon Mobil Corp					partial sale	03/11	J	C	
209. -Fiserv Inc					buy	01/25	J		
210. -Fortune Brands Inc					buy	03/11	J		
211. -General Electric Co									
212. -Ishares Trust Midcap 400 Index Fund symbol IJH									
213. -Ishares Trust Midcap 400 Index Fund symbol IJH					buy	03/11	K		
214. -Ishares Trust Midcap 400 Index Fund symbol IJH					buy	10/26	J		
215. -Ishares MSCI Emerging Markets Index Fund EEM					buy	10/26	J		
216. -Ishares Tr Sel Div Index Fund symbol DVY									
217. -Ishares Tr Sel Div Index Fund symbol DVY					buy	03/11	K		
218. -Ishares Tr Sel Div Index Fund symbol DVY					buy	10/26	J		
219. -Ishares Inc MSCI Japan Index Fd symbol EWJ									
220. -Ishares Inc MSCI Japan Index Fd symbol EWJ					buy	10/21	J		
221. -Ishares MSCI Pacific ex-Japan Index Fd symbol EPP					buy	10-21	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	P3 = \$25,000,001 - \$50,000,000 Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	P4 = More than \$50,000,000 S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (includes those of the spouse and dependent children. See pp. 34-57 of filing instructions)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g. div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, merger, redemption)	If not exempt from disclosure			
						(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
222. -Ishares Trust S&P Small Cap 600 Barra symbol IJS					buy	03/11	J		
223. -Home Depot									
224. -Intel Corp									
225. -Intel Corp					partial sale	03/11	J		
226. -Kinder Morgan Energy Partners LTD partnership									
227. -Linear Technology					buy	06/08	J		
228. -MBNA Corp					sell	07/20	J	A	
229. -Metlife									
230. -Microsoft Corp									
231. -Morgan Stanley					buy	07/20	J		
232. -Office Depot Inc					partial sale	03/11	J	A	
233. -Office Depot Inc					sell	09/16	J	C	
234. -Pfizer Inc					sell	10/21	J		
235. -Select Sector SPDR Amex Utilities symbol XLU									
236. -Sector SPDR Trust Energy symbol XLE					buy	03/11	J		
237. -Select Sector SPDR Health Care symbol XLV					buy	03/11	J		
238. -Select Sector SPDR Materials symbol XLB					buy	03/11	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g. div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, merger, redemption)	If not exempt from disclosure			
						(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
239. -Symantec Corporation					buy	10/27	J		
240. -Target Corp					buy	10/26	J		
241. -United Technologies Corp									
242. -Walgreens Co									
243. -Walgreens Co					partial sale	03/11	J	A	
244. TRUST #3 Bear Sterns Securities Corp	D	Dividend	N	T					assets detailed below
245. -Dreyfus General Money Market Fund CI B									
246. -Abbott Laboratories					sell	03/11	J		
247. -Acco Brands Corp (Spinoff of Fortune Brands Inc)					spin-off	08/17	J		see below for sale in same
248. -Acco Brands Corp					sell	08/23	J	A	
249. -American Express Company									
250. -American International Group					sell	03/22	J		
251. -Ameriprise Financial (Spinoff of Amer Express)					spin-off	10/03	J		see below for sale in same
252. -Ameriprise Financial					sell	10-04	J	A	
253. -Amgen Inc					buy	03/11	J		
254. -Automatic Data Processing Inc.					sell	06/08	J	B	
255. -Bed Bath & Beyond Inc					buy	03/11	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	P3 = \$25,000,001 - \$50,000,000 Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	P4 = More than \$50,000,000 S = Assessment W = Estimated	T = Cash Market	

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g. div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, merger, redemption)	If not exempt from disclosure			
						(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
256. -Central Garden & Pet Co					buy	12/27	J		
257. -Citigroup Inc									
258. -Cisco Systems Inc					sell	10/27	J	A	
259. -Coca Cola Co					sell	12/09	J		
260. -EMC Corp-Mass					sell	01/25	J		
261. -Exxon Mobil Corp					partial sale	03/11	J	B	
262. -Fiserv Inc					buy	01/25	J		
263. -Fortune Brands Inc					buy	03/11	J		
264. -General Electric									
265. -Ishares Inc MSCI Japan Index Fd symbol EWJ									
266. -Ishares S&P Small Cap 600 Barra Index symbol IJS					buy	03/11	J		
267. -Ishares Trust Midcap 400 Index Fd symbol IJH									
268. -Ishares Trust Midcap 400 Index Fd symbol IJH					buy	03/11	K		
269. -Ishares Trust Midcap 400 Index Fd symbol IJH					buy	12/26	J		
270. -Ishares Inc MSCI Pacific ex-Japan Index Fd symbol EPP					buy	10/21	J		
271. -Ishares Trust MSCI Emerging Markets EEM					buy	10/26	J		
272. -Ishares Tr Sel Div Index Fd symbol DVY									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	P3 = \$25,000,001 - \$50,000,000 Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	P4 = More than \$50,000,000 S = Assessment W = Estimated	T = Cash Market	

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Herndon, David R

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05/11/2006

VII. INVESTMENTS and TRUSTS -- income, value, transactions (includes those of the spouse and dependent children. See pp. 34-57 of filing instructions)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g. div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, merger, redemption)	If not exempt from disclosure			
						(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
273. -Ishares Tr Sel Div Index Fd symbol DVY					buy	03/11	K		
274. -Ishares Tr Sel Div Index Fd symbol DVY					buy	10/26	J		
275. -Home Depot Inc									
276. -Intel Corp					partial sale	03/11	J		
277. -Kinder Morgan Energy Partners LTD Partnership					partial sale	03/11	J	B	
278. -Linear Technology					buy	06/08	J		
279. -MBNA Corp					sell	07/20	J	A	
280. -Metlife Inc									
281. -Microsoft Corp									
282. -Morgan Stanley					buy	07/20	J		
283. -Office Depot					partial sale	03/11	J	A	
284. -Office Depot					sell	09/16	J	C	
285. -Pfizer Inc					sell	10/21	J		
286. -Select Sector SPDR Amex Utilities Index XLU									
287. -Select SPDR Trust Energy symbol XLE					buy	03/11	J		
288. -Select Sector SPDR Fund Health Care symbol XLV					buy	03/11	J		
289. -Select Sector SPDR Fund Materials symbol XLB					buy	03/11	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	P3 = \$25,000,001 - \$50,000,000 Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	P4 = More than \$50,000,000 S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (includes those of the spouse and dependent children. See pp. 34-57 of filing instructions)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g. div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, merger, redemption)	If not exempt from disclosure			
						(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
290. -Symantec Corporation					buy	10/27	J		
291. -Target Corp					buy	10/26	J		
292. -United Technologies Corp									
293. -Walgreens Co					partial sale	03/11	J	A	
294. TRUST #4 Bear Sterns Brokerage Account	B	Dividend	M	T					assets detailed below
295. -Dreyfus General Money Market Fund B									
296. -Ishares Inc MSCI Japan Index Fd symbol EWJ					buy	10/26	J		
297. -Ishares Trust S&P Smallcap 600 Barra Value IJS					buy	03/11	J		
298. -Ishares Trust S&P Midcap 400 Barra Value index IJJ					buy	03/11	J		
299. -Ishares Trust S&P Midcap 400 Barra Growth Index IJK					buy	03/11	J		
300. -Ishares Trust US Telecomm Sector Index FD IYZ					buy	03/11	J		
301. -Ishares Inc MSCI Ex Japan Index Fd EPP					buy	10/26	J		
302. -Ishares Trust MSCI Emerging Markets Index EEM					buy	10/26	J		
303. -Ishares Tr Sel Div Index Fd-DVY					buy	03/11	J		
304. -Standard & Poors Depository Receipts (SPDRS)					sell	03/11	K	C	
305. -Standard & Poors Midcap 400 Dep Rcpts (midcap SPDRS)					sell	03/11	J	B	
306. -Select Sector SPDR Amex Utilities Index symbol XLU					partial sale	03/11	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	P3 =\$25,000,001 - \$50,000,000 Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	P4 =More than \$50,000,000 S =Assessment W =Estimated	T =Cash Market	

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Herndon, David R

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (includes those of the spouse and dependent children. See pp. 34-57 of filing instructions)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g. div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, merger, redemption)	If not exempt from disclosure			
						(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
307. -Sector SPDR Trust-Industrial-symbol XLI									
308. -Sector SPDR Trust Industrial symbol XLI					buy	03/11	J		
309. -Sector SPDR Trust-Technology-XLK					partial sale	03/11	J	A	
310. -Sector SPDR Trust-Financial-XLF					partial sale	03/16	J	A	
311. -Sector SPDR Trust-Energy-XLE					partial sale	03/11	J	A	
312. -Select Sector SPDR Fund-Consumer Discretionary-XLY					partial sale	03/11	J	A	
313. -Select Sector SPDR Fund - Health Care - XLV									
314. -Select Sector SPDR Fund - Health Care - XLV					buy	03/11	J		
315. -Sector SPDR Trust-Consumer Staples-XLP fka Select Spdr Trst					partial sale	03/11	J	A	
316. -Select Sector SPDR Fd Int Materials XLB					buy	03/11	J		
317. TRUST #5 Bear Stearns Brokerage Account	A	Dividend	L	T					Assets detailed below.
318. -Dreyfus General Money Market Account									
319. -Ishares Inc MSCI Japan Index fd EWJ					buy	10/26	J		
320. -Ishares Trust S&P Small cap 600 Barra Value IJS					buy	03/11	J		
321. -Ishares Trust S&P Midcap 400 Barra Value IJJ					buy	03/11	J		
322. -Ishares Trust S&P Midcap 400 Barra Growth IJK					buy	03/11	J		
323. -Ishares Trust Dow Jones US Telecomm Sector IYZ					buy	03/11	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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3. Value Method Codes (See Column C2)	P3 = \$25,000,001 - \$50,000,000 Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	P4 = More than \$50,000,000 S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

Herndon, David R

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05/11/2006

VII. INVESTMENTS and TRUSTS -- income, value, transactions (includes those of the spouse and dependent children. See pp. 34-57 of filing instructions)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g. div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, merger, redemption)	If not exempt from disclosure			
						(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
324. -Ishares Inc MSCI Pacific Ex-Japan Index EPP					buy	10/26	J		
325. -Ishares Trustr MSCI Emerging Markets Index fd EEM					buy	10/26	J		
326. -Ishares Tr Dow Jones Sel Div Index Fd DVY					buy	03/11	J		
327. -Select Sector SPDR Amex Utilities XLU					buy	03/11	J		
328. -Sector SPDR Trust Shs ben int Industrial XLI					buy	03/11	J		
329. -Sector SPDR Trust Shs ben int Technology XLK					buy	03/11	J		
330. -Sector SPDR Trust shs ben int Financial XLF					buy	03/11	J		
331. -Sector SPDR TRust shs ben int Energy XLE					buy	03/11	J		
332. -Select Sector SPDR Fund shs ben Consumer Discretionary XLY					buy	03/11	J		
333. -Select Sector SPDR Fund Health Care XLV					buy	03/11	J		
334. -Sector SPDR Trust Consumer Staples XLP					buy	03/11	J		
335. -Select Sector SPDR fd Int Materials XLB					buy	03/11	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of Report.)*

Line 171 Mariner Advisors Fund was inadvertently omitted from the 2004 Financial Disclosure Report. It should have been reported in Trust #1. It was actually sold on 12/31/2004 but funds were not settled until 2005.

Lines 52-55 fractional shares of Kinder Morgan Mgmt: Instead of paying cash dividends, Kinder Morgan issued fractional shares of stock. The fractional shares have no monetary value and no market until they accumulate to equal a full share.

Lines 105, 110, 116, 191, 245, and 295, Dreyfus General Money Market Fund was used as a vehicle to hold cash equivalent assets for purchase of other investments and to place sale proceeds pending other investments. Consequently, money moved in and out of these funds with great frequency and no attempt was made to distinguish each transaction unless specifically requested.

All trust assets are accounted for herein. According to your instructions, the aggregate income and values were reported. Identification of the trusts was omitted for security purposes, but will be provided if requested. Naturally the records of each individual trust are available for the committee's inspection upon request.

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Name of Person Reporting

Herndon, David R

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05/11/2006

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature _____

Date _____

5-12-2006

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544