

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2007

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Haight, Charles S	2. Court or Organization U.S.D.C. - S.D.N.Y.	3. Date of Report 06/30/2008
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Senior U.S. District Judge	5a. Report Type (check appropriate type) ☐ Nomination, Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2007 to 12/31/2007
7. Chambers or Office Address 500 Pearl Street, Room 1940 New York, NY 10007	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Advisory Trustee	American-Scandinavian Foundation
2. Board of Directors	Kennedy Child Study Center
3. Board of Managers	Havens Relief Fund Society
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☒ NONE *(No reportable non-investment income.)*DATESOURCE AND TYPEINCOME
(yours, not spouse's)

1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☒ NONE *(No reportable non-investment income.)*DATESOURCE AND TYPE

1.		
2.		
3.		
4.		

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒ NONE *(No reportable reimbursements.)*SOURCEDATESLOCATIONPURPOSEITEMS PAID OR PROVIDED

1.				
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*☒ NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*☒ NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.			
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

1. Heritage Municipal Cash Trust	A	Interest	J	T					
2. CVS Caremark Corp.	A	Dividend	J	T					
3. Walt Disney Co.	A	Dividend	J	T					
4. Home Depot Inc.	A	Dividend	J	T					
5. Johnson & Johnson	A	Dividend	J	T					
6. Microsoft Corp.	A	Dividend	J	T	part	10/09	J	C	
7. Pfizer Inc.	A	Dividend	J	T					
8. Quest Diagnostics Inc.	A	Dividend	J	T					
9. Target Corp.	A	Dividend	J	T					
10. UnitedHealth Group Inc.	A	Dividend	J	T	buy	10/09	J		
11. 3M Company		None			sell	01/29	J		
12. United Parcel Service	A	Dividend			sell	12/17	J		
13. Baron Growth Fund		None	K	T					
14. Columbia Marsico Focused Equities Fund Class A		None	K	T					
15. Heritage Mid Cap Stock Fund Class A		None	K	T					
16. New York NY Genl Oblig Bonds	B	Interest	K	T					
17. Citadel Broadcasting Corp.		None			sell	10/09	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
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18. Manulife Financial	E	Dividend	L	T					
19. Heritage Municipal Cash Trust	A	Interest	K	T					
20. Berkshire Hathaway Inc. Class B		None	K	T					
21. Cisco Systems Inc.		None	J	T					
22. Citigroup Inc.	A	Dividend	J	T					
23. Comcast Corp		None	J	T	part	10/10	J	C	
24. Exxon Mobil Corp.	A	Dividend	J	T					
25. General Electric Co.	A	Dividend	J	T					
26. JPMorgan Chase	A	Dividend	J	T					
27. Procter & Gamble Co.	A	Dividend	J	T	buy	10/10	J		
28. Starbucks Corp.		None	J	T	buy	10/09	J		
29. Stryker Corp.	A	Dividend	J	T					
30. Time Warner Inc.	A	Dividend	J	T	part	10/09	J	A	
31. Yahoo Inc.		None	J	T					
32. Baron Fifth Avenue Growth Fund		None	K	T					
33. Oakmark Fund Class 1	A	Dividend	K	T					
34. Oakmark International Fund Class 1	A	Dividend	K	T					

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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35. Loomis Sayles Bond Fund Retail Class	A	Dividend	J	T	buy	10/09	J		
36. Master Select International Fund	A	Dividend	K	T					
37. PimcoTotal Return Fund	B	Interest	K	T	buy	10/10	J		
38. T. Rowe Price International Bond Fund	A	Interest	J	T	buy	10/09	J		
39. Royce Premier Fund	A	Dividend	K	T					
40. Vanguard Windsor II Fund	A	Dividend	J	T	buy	10/10	J		
41. IShares TR MSCI	A	Dividend	K	T					
42. IShares TR S&P Midcap400	A	Dividend	J	T					
43. IShares TR DJ US Real Estate	A	Dividend	J	T	buy	10/10	J		
44. New York NY Genl Oblig Bonds Ser. 1999-H	B	Interest	K	T					
45. New York St Twy Auth Hwy & Brg Tr. Fd.	B	Interest	K	T					
46. New York St. Dorm Auth	B	Interest	K	T					
47. Niagara Falls NY City School Dist.	B	Interest	K	T					
48. New York NY Genl Oblig Bonds Ser. 1998 G	B	Interest	K	T					
49. New York St Genl Oblig Bonds Ser. 1998 F	B	Interest	K	T					
50. Conoco Phillips	A	Dividend			sell	02/27	J	A	
51. Washington Mutual CD	A	Interest			matured	04/19	K		

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3. Value Method Codes (See Column C2)					

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52. New York NY Genl Oblig Bonds Ser. 1998B	A	Interest			redeemed	10/01	K	A	
53. IShares DJ SEI	A	Interest			part	10/10	J	B	
54. IShares DJ Sel	A	Interest			sell	11/09	J	A	
55. Washington Mutual Corp.	A	Dividend			sell	12/04	J		
56. Medtronic Inc	A	Dividend			sell	12/20	J		
57. Vanguard Windsor II Fund	B	Dividend	J	T					
58. HSBC C/D	A	Interest	J	T					
59. Vanguard Inflation Protection Fund	A	Int./Div.	J	T					
60. Vanguard Money Market Fund	A	Interest	K	T					
61. ING Direct Savings Bank	B	Interest	L	T					

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of Report.)*

The assets numbered 1 through 18 are owned by the Person Reporting. The assets numbered 19 through 58 are owned by the Reporting Person [REDACTED]. The assets numbered 59 through 61 are owned [REDACTED]. The asset numbered 18 "Manulife Financial," was created when life insurance policies issued by John Hancock were changed from policies issued by a mutual company to policies issued by a corporation.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature



NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544