UNITED STATES COURT OF APPEALS
EIGHTH CIRCUIT

GEORGE G. FAGG
UNITED STATES CIRCUIT JUDGE
455 U. S. COURTHOUSE ANNEX
110 E. COURT AVENUE
DES MOINES, IOWA 50309-2051

August 9, 2004

Committee on Financial Disclosure
Administrative Office of the
United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544

Re: Financial Disclosure Report–2003

In response to your July 19, 2004 letter, I enclose an amended and substituted Part VII of my disclosure report dated May 4, 2004, showing a partial disposal of my FMC Technologies holdings (page 1 of 2, line 6) and an entire disposal of [redacted] common stock holdings in Kellogg Co. (page 1 of 2, line 13) and AT&T (page 2 of 2, line 22). I also enclose an amended and substituted Part VIII explaining [redacted] continuing ownership of the annuities shown in Part VII (page 2 of 2, lines 23-24).

Very truly yours,

[redacted]

GGF:glm

Enclosures
Original and three copies

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AUG 16 10 45 AM '04
FINANCIAL
DISCLOSURE OFFICE

AMENDED AND SUBSTITUTED
FINANCIAL DISCLOSURE REPORT

Name of Person Reporting	Date of Report
FAGG, GEORGE G	8/9/2004

VII. INVESTMENTS and TRUSTS – income, value, transactions (includes those of the spouse and dependent children. See pp. 34-57 of filing instructions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g. div. rent. or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g. buy, sell, merger, redemption)	D. If not exempt from disclosure (2) Date: Month-Day	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	D. (5) Identity of buyer/seller (if private transaction)
☐ NONE (No reportable income, assets, or transactions)									
1. Alliant Energy Corp. Common Stock	A	Dividend	J	T					
2. Alliant Energy Corp. Common Stock	A	Dividend	J	T					
3. IMC Global Common Stock	A	Dividend	J	T					
4. Freeport-McMoran Copper & Gold Common Stock	A	Dividend	J	T	Purchase	4/9	J		
5. American Electric Power Co. Common Stock	A	Dividend	J	T					
6. FMC Technologies	A	Dividend	J	T	Sale (Partial)	7/15	J	B	
7. Corn Products, Inc. Common Stock	A	Dividend	J	T					
8. BP AMOCO Common Stock	A	Dividend	J	T					
9. Ford Motor Common Stock	A	Dividend	J	T					
10. Colgate Palmolive Common Stock	A	Dividend	J	T					
11. Pharmacia Common Stock	A	Dividend	J	T	Merger	4/16	J	A	Pfizer Inc
12. HB Fuller Co. Common Stock	A	Dividend	J	T					
13. Kellogg Co. Common Stock	A	Dividend		T	Sale (Entire)	5/27	J	B	
14. Wells Fargo Common Stock	A	Dividend	J	T					
15. Qwest Communications Common Stock	A	Dividend (None)	J	T					
16. McDonalds Corp. Common Stock	A	Dividend	J	T					
17. Diebold, Inc. Common Stock	A	Dividend	J	T					
18. RPM Common Stock	A	Dividend	J	T					

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FINANCIAL DISCLOSURE UNIT

1. Income/Gain Codes: A = $1,000 or less; B = $1,001-$2,500; C = $2,501-$5,000; D = $5,001-$15,000; E = $15,001-$50,000
(See Columns B1 and D4) F = $50,001-$100,000; G = $100,001-$1,000,000; H1 = $1,000,001-$5,000,000; H2 = More than $5,000,000

2. Value Codes: J = $15,000 or less; K = $15,001-$50,000; L = $50,001-$100,000; M = $100,001-$250,000
(See Columns C1 and D3) N = $250,001-$500,000; O = $500,001-$1,000,000; P1 = $1,000,001-$5,000,000; P2 = $5,000,001-$25,000,000
P3 = $25,000,001-$50,000,000; P4 = More than $50,000,000

3. Value Method Codes: Q = Appraisal; R = Cost (Real Estate Only); S = Assessment; T = Cash Market
(See Column C2) U = Book Value; V = Other; W = Estimated

Name of Person Reporting: FAGG, GEORGE G

Date of Report: 8/9/2004

VII. INVESTMENTS and TRUSTS -- income, value, transactions (includes those of the spouse and dependent children. See pp. 34-57 of filing instructions.)

	A. Description of Assets (including trust assets). Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g. div. rent. or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g. buy, sell, merger, redemption)	D. if not exempt from disclosure (2) Date: Month - Day	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	D. (5) Identity of buyer/seller (if private transaction)
19.	Intel Common Stock	A	Dividend	J	T					
20.	Lucent Technologies Common Stock	A	Dividend (None)	J	T					
21.	Cisco, Inc.	A	Dividend (None)	J	T					
22.	AT&T Common Stock	A	Dividend		T	Sale (Entire)	9/11	J	A	
23.	ITT Hartford (Annuity)	A	Interest (None)	J	T	See explanation in Part VIII about the status of annuities shown on lines 23 and 24.				
24.	Equitable Life Ins. (Annuity)	A	Interest (None)	K	T					
25.	Paladin Energy Partners Denver, Colorado	B	Royalty	J	W					
26.	Wells Fargo Bank, Johnston, IA Savings-Checking-CDs	D	Interest	M	T					
27.	Bank of America, Urbandale, IA Checking--CDs	B	Interest	K	T					
28.	Charter Bank, Johnston, IA CDs	B	Interest	L	T					
29.	Owner-Farm-Hardin County, IA 100% Tax Assessment-$66,005	D	Crop	L	S					
30.	Chicago Ill. Midway Airport Revenue Bond	A	Interest	J	T					
31.	Duke Realty Co.	A	Dividend	J	T					
32.	CLECO Power LLC	A	Interest	J	T					
33.	Discover Bank C.D Greenwood, Del.	A	Interest	J	T					

1. Income/Gain Codes: (See Columns B1 and D4)	A = $1,000 or less	B = $1,001-$2,500	C = $2,501-$5,000	D = $5,001-$15,000	E = $15,001-$50,000	
	F = $50,001-$100,000	G = $100,001-$1,000,000	H1 = $1,000,001-$5,000,000	H2 = More than $5,000,000		
2. Value Codes (See Columns B1 and D3)	J = $15,000 or less	K = $15,001-$50,000	L = $50,001-$100,000	M = $100,001-$250,000		
	N = $250,001-$500,000	O = $500,001-$1,000,000	P1 = $1,000,001-$5,000,000	P2 = $5,000,001-$25,000,000		
	P3 = $25,000,001-$50,000,000		P4 = Over $50,000,000			
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market		
	U = Book Value	V = Other	W = Estimated			

AMENDED AND SUBSTITUTED
FINANCIAL DISCLOSURE REPORT

Name of Person Reporting	Date of Report
FAGG, GEORGE G	8/9/2004

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS (Indicate part of Report.)

The sales of [redacted] annuities shown on page 2 of 2 Part VII lines 23 and 24 of my May 4, 2004 report are deleted. I misunderstood her explanation about the status of these annuities. There was no change in her ownership interest in these annuitities during calednar year 2004.

AO-10
Rev. 1/2004

FINANCIAL DISCLOSURE REPORT

Calendar Year 2003

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 101-111)

1. Person Reporting (Last name, First name, Middle initial)	2. Court or Organization	3. Date of Report
FAGG, GEORGE G	COURT OF APPEALS, 8TH CIRCUIT	5/4/2004
4. Title (Article III Judges indicate active or senior status; magistrate judges indicate full- or part-time) CIRCUIT JUDGE (SENIOR)	5. ReportType (check appropriate type) ○ Nomination, Date ○ Initial ◉ Annual ○ Final	6. Reporting Period 1/1/2003 to 12/31/2003
7. Chambers or Office Address 455 U.S. Courthouse Annex 110 East Court Avenue Des Moines, Iowa 50309-2051	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer_____ Date_____	

IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☑ NONE - (No reportable positions.)

POSITION	NAME OF ORGANIZATION/ENTITY
1.	

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II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☑ NONE - (No reportable agreements.)

DATE	PARTIES AND TERMS
1.	

FINANCIAL DISCLOSURE REPORT	Name of Person Reporting FAGG, GEORGE G	Date of Report 5/4/2004

III. NON-INVESTMENT INCOME. (Reporting individual and spouse; see pp. 17-24 of filing instructions)

A. Filer's Non-Investment Income

☐ NONE - (No reportable non-investment income.)

	DATE	SOURCE AND TYPE	GROSS INCOME (yours, not spouse's)
1.		Effective May 1999, at age 65, I receive a retirement pension from the Iowa judicial retirement system based on service as an Iowa trial judge.	
2.		In calendar year 2003, I received $14,947.80.	

B. Spouse's Non-Investment Income - (If you were married during any portion of the reporting year, please complete this section. (dollar amount not required except for honoraria)

☑ NONE - (No reportable non-investment income.)

	DATE	SOURCE AND TYPE
1.		

IV. REIMBURSEMENTS – transportation, lodging, food, entertainment.

(Includes those to spouse and dependent children. See pp. 25-27 of instructions.)

☑ NONE - (No such reportable reimbursements.)

	SOURCE	DESCRIPTION
1.		

FINANCIAL DISCLOSURE REPORT	Name of Person Reporting	Date of Report
	FAGG, GEORGE G	5/4/2004

V. GIFTS. (Includes those to spouse and dependent children. See pp. 28-31 of instructions.)

☑ NONE - (No such reportable gifts.)

	SOURCE	DESCRIPTION	VALUE
1.			

VI. LIABILITIES. (Includes those of spouse and dependent children. See pp. 32-34 of instructions.)

☑ NONE - (No reportable liabilities.)

	CREDITOR	DESCRIPTION	VALUE CODE
1.			

FINANCIAL DISCLOSURE REPORT

Name of Person Reporting	Date of Report
FAGG, GEORGE G	5/4/2004

VII. INVESTMENTS and TRUSTS – income, value, transactions (includes those of the spouse and dependent children. See pp. 34-57 of filing instructions.)

A. Description of Assets (including trust assets). Place "(K)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g. div. rent. or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g. buy, sell, merger, redemption)	D. If not exempt from disclosure (2) Date: Month - Day	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	D. (5) Identity of buyer/seller (if private transaction)
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2. Alliant Energy Corp. Common Stock	A	Dividend	J	T					
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5. American Electric Power Co. Common Stock	A	Dividend	J	T					
6. FMC Technologies	A	Dividend	J	T	Sale	7/15	J	B	
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10. Colgate Palmolive Common Stock	A	Dividend	J	T					
11. Pharmacia Common Stock	A	Dividend	J	T	Merger	4/16	J	A	Pfizer Inc
12. HB Fuller Co. Common Stock	A	Dividend	J	T					
13. Kellogg Co. Common Stock	A	Dividend	J	T	Sale	5/27	J	A	
14. Wells Fargo Common Stock	A	Dividend	J	T					
15. Qwest Commications Common Stock	A	Dividend (None)	J	T					
16. McDonalds Corp. Common Stock	A	Dividend	J	T					
17. Diebold, Inc. Common Stock	A	Dividend	J	T					
18. RPM Common Stock	A	Dividend	J	T					

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Name of Person Reporting	Date of Report
FAGG, GEORGE G	5/4/2004

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20. Lucent Technologies Common Stock	A	Dividend (None)	J	T					
21. Cisco, Inc.	A	Dividend (None)	J	T					
22. AT&T Common Stock	A	Dividend	J	T	Sale	9/11	J	A	
23. ITT Hartford (Annuity)	A	Interest (None)	J	T	Sale	12/31	J		
24. Equitable Life Ins. (Annuity)	A	Interest (None)	K	T	Sale	12/31	J		
25. Paladin Energy Partners Denver, Colorado	B	Royalty	J	W					
26. Wells Fargo Bank, Johnston, IA Savings-Checking-CDs	D	Interest	M	T					
27. Bank of America, Urbandale, IA Checking--CDs	B	Interest	K	T					
28. Charter Bank, Johnston, IA CDs	B	Interest	L	T					
29. Owner-Fann-Hardin County, IA 100% Tax Assessment-$66,005	D	Crop	L	S					
30. Chicago Ill. Midway Airport Revenue Bond	A	Interest	J	T					
31. Duke Realty Co.	A	Dividend	J	T					
32. CLECO Power LLC	A	Interest	J	T					
33. Discover Bank C.D Greenwood, Del.	A	Interest	J	T					

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FINANCIAL DISCLOSURE REPORT	Name of Person Reporting	Date of Report
	FAGG, GEORGE G	5/4/2004

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS (Indicate part of Report.)

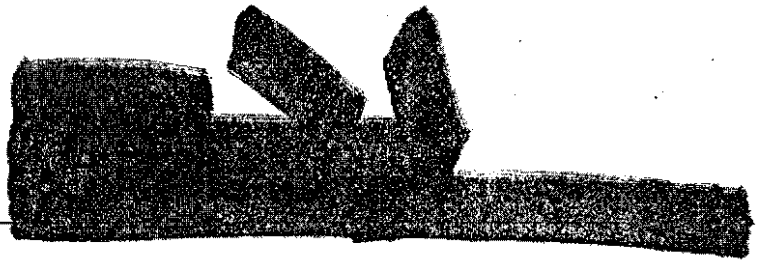
None

FINANCIAL DISCLOSURE REPORT	Name of Person Reporting	Date of Report
	FAGG, GEORGE G	5/4/2004

X. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature  Date May 4, 2004

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544