

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2010

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) WOOD, DIANE P.	2. Court or Organization U.S. Court of Appeals, 7th Cir	3. Date of Report 05/05/2011
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. Circuit Judge (Active)	5a. Report Type (check appropriate type) ☐ Nomination, ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2010 to 12/31/2010
7. Chambers or Office Address 2688 U.S. Courthouse 219 S. Dearborn Street Chicago, IL 60604-1818	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Senior Lecturer in Law	The University of Chicago Law School
2. Trust and Council Member	American Academy of Arts & Sciences
3. Board Member	Constitutional Rights Foundation Chicago
4. Council Member	American Law Institute
5. Board Member (President 2011)	Texas Law Review Association

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2010	University of Chicago Salary (Total)	\$26,955.00
2. 2010	West Services, Inc. (Book Royalties)	\$5,347.65
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2010	Northwestern Feinberg School of Medicine - Professor of Neurology - Salary
2. 2010	Self Employed Health Care Consultant - Expert Neurologist
3.	
4.	

IV. REIMBURSEMENTS – *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. Texas Attorney General's Office	1/29/10-1/31/10	Austin, TX	Texas AG Distinguished Speaker Series	T,F
2. ABA Employment Law Section	2/4/10-2/5/10	San Antonio, TX	ABA Employment Law Midwinter Meeting	T,L
3. UC-Berkeley School of Law	3/4/10-3/5/10	Berkeley, CA	Moot Court Judge	T, L, F
4. Pepperdine University Law School	3/6/10-3/7/10	Malibu, CA	Moot Court Judge	T, L, F
5. British Institute of Int'l and Comparative Law (BIICL)	3/15/10-3/17/10	London, United Kingdom	2010 Grotius Lecture	T, L, F

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6.	American Constitution Society	3/23/10	Washington, D.C.	Luncheon Presentation	F
7.	American Society of Int'l Law (ASIL) and University of Chicago Law School	3/23/10-3/24/10	Washington, D.C.	American Journal of International Law Board Meeting	T, L, F
8.	University of Texas Law School Alumni Association	4/23/10	Austin, TX	Recipient - University of Texas Law School Outstanding Alumnus Award	F
9.	University of Texas Law Review Association	4/24/10	Austin, TX	Law Review Assn. Annual Meeting	T, L, F
10.	Renaissance Weekend	7/1/10-7/5/10	Hilton Head Island, S.C.	Renaissance Weekend Program	L, F
11.	Phi Alpha Delta Law Fraternity, Int'l	8/4/10-8/5/10	Tampa, Florida	Recipient - Phi Alpha Delta Barbara Jordan Public Service Award	T, L
12.	Federal Bar Association of Oklahoma City	9/27/10-9/28/10	Oklahoma City, OK	Oklahoma City Federal Bar Association William J. Holloway Lecture	T, L, F
13.	American Enterprise Institute (AEI)	9/29/10-10/3/10	Washington, D.C.	AEI Transatlantic Law Forum	T, L, F
14.	Federal Bar Association Southern Texas Chapter	10/7/10-10/8/10	Houston, Texas	Keynote Speaker - Southern District of Texas Federal Bar Association Dinner	T, L, F
15.	American Academy of Arts & Sciences (AAAS)	10/8/10-10/10/10	Cambridge, MA	American Academy of Arts & Sciences 2010 Induction Weekend	T, L, F
16.	American Law Institute (ALI)	10/20/10-10/21/10	New York, NY	ALI Council Meeting and ALI Nominating Committee Meeting	T, L, F
17.	Texas Exes	10/21/10-10/24/10	Austin, TX	Recipient - Texas Exes Distinguished Alumna Award	T, F, E
18.	American Law Institute (ALI)	12/2/10-12/4/10	Santa Fe, NM	ALI Executive Committee Meeting and Retreat	T, L, F
19.					
20.					
21.					
22.					
23.					
24.					
25.					

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e.g., div., rent, or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g., buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
1. Teachers Insurance & Annuity Assn. (TIAA)		None	N	T					
2. College Retirement Equities Fund (CREF)		None	O	T					
3. Harris Bank of Chicago	A	Interest	J	T					
4. RBS Citizens, N.A.	A	Interest	J	T					
5. Chase Bank	A	Interest	M	T					
6. ADC Telecommunications	A	Dividend			Sold	12/13/10	J	A	N/A
7. Ameriprise 403(b)		None	M	T					
8. Avanir Pharmaceuticals	A	Dividend	J	T					
9. Baird Insured Deposit	A	Interest	K	T					
10. Bristol Myers	A	Dividend	J	T					
11. Fastenal	B	Dividend	M	T					
12. Fidelity NMFF 457(b)		None	L	T					
13. Fidelity Northwestern 403(b)		None	O	T					
14. FRDXX Fidelity Cash Reserves	C	Interest	L	T					
15. CLSPX Columbia Mid Cap Growth Cl Z		None	M	T					
16. FDVLX Fidelity Value		None	M	T					
17. OBFVX Old Mutual Focused Fund CLZ, fka OBHGX Old Mutual Grow		None	L	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. RC2 Corporation	A	Dividend	J	T					
19. FLPSX Fidelity Low Priced Stock Fund		None	L	T					
20. GE	A	Dividend	J	T					
21. Lake County, IL School District Tax-Exempt Bond		None	J	T					
22. Maricopa, AZ Tax-Exempt Bond		None	J	T					
23. M & I (Marshall & Ilsley) Bank	A	Interest	K	T					
24. Multilink Telecommunications (Y)									
25. Silverado Gold Fields (Y)									
26. Sun Microsystems	A	Dividend			Sold	01/28/10	J	A	N/A
27. W & T Offshore	A	Dividend	J	T					
28. Walmart de Mexico	A	Dividend	K	T					
29. Wells Fargo	C	Int./Div.	N	T					
30. Whole Foods	A	Dividend	M	T					
31. Zimmer Medical Holdings	A	Dividend	J	T					
32. Rental House, Madison, Dane County, Wisconsin-See Part VIII	E	Rent	N	S					
33. Rental House, Travis Co., Texas- See Part VIII	B	Rent	M	S					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000 R = Cost (Real Estate Only) V = Other	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000 S = Assessment W = Estimated	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000 T = Cash Market	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)					
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value				

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

PART VII. Investments and Trusts.

NOTE: The TIAA and CREF accounts reported in Part VII are not income-producing. The value of accumulations in each either increases or decreases depending on the market and the amount of premiums paid in.

Line 34. Rental house, Madison, Dane County, Wisconsin. Assessed value for 2010: \$282,300.00

Line 35 Rental house, Travis County, Texas. Assessed value for 2010: \$216,733

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **DIANE P. WOOD**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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