

# FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2006

Report Required by the Ethics  
in Government Act of 1978  
(5 U.S.C. app. §§ 101-111)

|                                                                                                                                                                                                                   |                                                                                                                                                                                                                                            |                                                       |
|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------------------|
| 1. Person Reporting (last name, first, middle initial)<br>CAUTHRON, ROBIN J                                                                                                                                       | 2. Court or Organization<br>WESTERN DISTRICT OF OKLAHOMA                                                                                                                                                                                   | 3. Date of Report<br>04/20/2007                       |
| 4. Title (Article III judges indicate active or senior status;<br>magistrate judges indicate full- or part-time)<br>U. S. DISTRICT JUDGE (ACTIVE)                                                                 | 5a. Report Type (check appropriate type)<br><input type="checkbox"/> Nomination, <input type="checkbox"/> Initial <input checked="" type="checkbox"/> Annual <input type="checkbox"/> Final<br>5b. <input type="checkbox"/> Amended Report | 6. Reporting Period<br>01/01/2006<br>to<br>12/31/2006 |
| 7. Chambers or Office Address<br>3301 U.S. COURTHOUSE<br>200 N.W. 4TH STREET<br>OKLAHOMA CITY, OK 73102                                                                                                           | 8. On the basis of the information contained in this Report and any<br>modifications pertaining thereto, it is, in my opinion, in compliance<br>with applicable laws and regulations.<br><br>Reviewing Officer _____ Date _____            |                                                       |
| <p><b>IMPORTANT NOTES:</b> The instructions accompanying this form must be followed. Complete all parts,<br/>checking the NONE box for each part where you have no reportable information. Sign on last page.</p> |                                                                                                                                                                                                                                            |                                                       |

## I. POSITIONS. (Reporting individual only; see pp. 9-13 of instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

|    |  |
|----|--|
| 1. |  |
| 2. |  |
| 3. |  |
| 4. |  |
| 5. |  |

## II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

|    |  |
|----|--|
| 1. |  |
| 2. |  |
| 3. |  |

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2007 APR 25 A 10:00  
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DISCLOSURE OFFICE

**FINANCIAL DISCLOSURE REPORT**

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**III. NON-INVESTMENT INCOME.** *(Reporting individual and spouse; see pp. 17-24 of instructions.)***A. Filer's Non-Investment Income**☒ NONE *(No reportable non-investment income.)*

| <u>DATE</u> | <u>SOURCE AND TYPE</u> | <u>INCOME</u><br>(yours, not spouse's) |
|-------------|------------------------|----------------------------------------|
| 1.          |                        |                                        |
| 2.          |                        |                                        |
| 3.          |                        |                                        |
| 4.          |                        |                                        |
| 5.          |                        |                                        |

**B. Spouse's Non-Investment Income -** *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

| <u>DATE</u> | <u>SOURCE AND TYPE</u> |
|-------------|------------------------|
| 1. 2006     | Self-employed lawyer   |
| 2.          |                        |
| 3.          |                        |
| 4.          |                        |
| 5.          |                        |

**IV. REIMBURSEMENTS** -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children. See pp. 25-27 of instructions.)*☐ NONE *(No reportable reimbursements.)*

| <u>SOURCE</u>                                     | <u>DESCRIPTION</u>                                                                              |
|---------------------------------------------------|-------------------------------------------------------------------------------------------------|
| 1. Federal Bar Ass'n & U.S. Sentencing Commission | 6/1-6/4/06 - 15th Annual National Seminar on the Federal Sentencing Guidelines, Miami Beach, FL |
| 2.                                                |                                                                                                 |
| 3.                                                |                                                                                                 |
| 4.                                                |                                                                                                 |
| 5.                                                |                                                                                                 |

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**V. GIFTS.** *(Includes those to spouse and dependent children. See pp. 28-31 of instructions.)*☒ NONE *(No reportable gifts.)*

|    | <u>SOURCE</u> | <u>DESCRIPTION</u> | <u>VALUE</u> |
|----|---------------|--------------------|--------------|
| 1. |               |                    |              |
| 2. |               |                    |              |
| 3. |               |                    |              |
| 4. |               |                    |              |
| 5. |               |                    |              |

**VI. LIABILITIES.** *(Includes those of spouse and dependent children. See pp. 32-33 of instructions.)*☐ NONE *(No reportable liabilities.)*

|    | <u>CREDITOR</u>     | <u>DESCRIPTION</u> | <u>VALUE CODE</u> |
|----|---------------------|--------------------|-------------------|
| 1. | Stock Exchange Bank | Business loan      | L                 |
| 2. |                     |                    |                   |
| 3. |                     |                    |                   |
| 4. |                     |                    |                   |
| 5. |                     |                    |                   |

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## VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of the spouse and dependent children. See pp. 34-60 of filing instructions.)



NONE (No reportable income, assets, or transactions.)

| A.<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B.<br>Income during<br>reporting period |                                              | C.<br>Gross value at end of<br>reporting period |                                           | D.<br>Transactions during reporting period     |                               |                                 |                                |                                                                   |
|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|----------------------------------------------|-------------------------------------------------|-------------------------------------------|------------------------------------------------|-------------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                             | (1)<br>Amount<br>Code 1<br>(A-H)        | (2)<br>Type (e.g.<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.<br>buy, sell,<br>redemption) | (2)<br>Date<br>Month -<br>Day | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 1. Federal Employees Credit Union Oklahoma City, OK                                                                         | A                                       | Interest                                     | J                                               | T                                         |                                                |                               |                                 |                                |                                                                   |
| 2. Smith Barney, Inc. - Appreciation Fund                                                                                   | A                                       | Dividend                                     | J                                               | T                                         |                                                |                               |                                 |                                |                                                                   |
| 3. John Powell, Ltd. Partnership Tulsa County, OK real est.                                                                 |                                         | None                                         | K                                               | R                                         |                                                |                               |                                 |                                | See VIII, Explanations                                            |
| 4. Winston Oil Co. 1981-1982 Ltd. Partnership, Marion, OH                                                                   |                                         | None                                         | J                                               | W                                         |                                                |                               |                                 |                                |                                                                   |
| 5. Dage Oil Co., Ltd. Partnership Oklahoma City, OK                                                                         |                                         | None                                         | J                                               | W                                         |                                                |                               |                                 |                                |                                                                   |
| 6. Mineral interest in Woods County, OK                                                                                     | A                                       | Royalty                                      | J                                               | W                                         |                                                |                               |                                 |                                |                                                                   |
| 7. Persuasive Strategies, LLC                                                                                               |                                         |                                              | K                                               | T                                         | buy                                            | 2005                          | K                               |                                | See VIII, Explanations                                            |
| 8.                                                                                                                          |                                         |                                              | K                                               | T                                         | buy                                            | 2005                          | K                               |                                | See VIII, Explanations                                            |
| 9. BROKERAGE ACCOUNT #1                                                                                                     |                                         |                                              |                                                 |                                           |                                                |                               |                                 |                                |                                                                   |
| 10. -American Balanced Fund C (mutual fund)                                                                                 | A                                       | Dividend                                     | J                                               | T                                         |                                                |                               |                                 |                                |                                                                   |
| 11. -Capital Income Builder Fund (mutual fund)                                                                              | A                                       | Dividend                                     | J                                               | T                                         |                                                |                               |                                 |                                |                                                                   |
| 12. -Capital World Growth & Income Fund C (mutual fund)                                                                     | A                                       | Dividend                                     | J                                               | T                                         |                                                |                               |                                 |                                |                                                                   |
| 13. -Income Fund of America C (mutual fund)                                                                                 | A                                       | Dividend                                     | J                                               | T                                         |                                                |                               |                                 |                                |                                                                   |
| 14. New York Life Ins. (whole life)                                                                                         | A                                       | Interest                                     | J                                               | T                                         |                                                |                               |                                 |                                |                                                                   |
| 15. IRA ACCOUNT #1                                                                                                          |                                         |                                              |                                                 |                                           |                                                |                               |                                 |                                |                                                                   |
| 16. -Growth Fund of America (mutual fund)                                                                                   | A                                       | Dividend                                     | J                                               | T                                         |                                                |                               |                                 |                                |                                                                   |
| 17. -Investment Co. of America (mutual fund)                                                                                | A                                       | Dividend                                     | K                                               | T                                         |                                                |                               |                                 |                                |                                                                   |

|                                                  |                                                                                       |                                                        |                                                                                           |                                                              |                         |
|--------------------------------------------------|---------------------------------------------------------------------------------------|--------------------------------------------------------|-------------------------------------------------------------------------------------------|--------------------------------------------------------------|-------------------------|
| 1. Income Gain Codes:<br>(See Columns B1 and D4) | A = \$1,000 or less<br>F = \$50,001 - \$100,000                                       | B = \$1,001 - \$2,500<br>G = \$100,001 - \$1,000,000   | C = \$2,501 - \$5,000<br>H1 = \$1,000,001 - \$5,000,000                                   | D = \$5,001 - \$15,000<br>H2 = More than \$5,000,000         | E = \$15,001 - \$50,000 |
| 2. Value Codes<br>(See Columns C1 and D3)        | J = \$15,000 or less<br>N = \$250,001 - \$500,000<br>P3 = \$25,000,001 - \$50,000,000 | K = \$15,001 - \$50,000<br>O = \$500,001 - \$1,000,000 | L = \$50,001 - \$100,000<br>P1 = \$1,000,001 - \$5,000,000<br>P4 = More than \$50,000,000 | M = \$100,001 - \$250,000<br>P2 = \$5,000,001 - \$25,000,000 |                         |
| 3. Value Method Codes<br>(See Column C2)         | Q = Appraisal<br>U = Book Value                                                       | R = Cost (Real Estate Only)<br>V = Other               | S = Assessment<br>W = Estimated                                                           | T = Cash Market                                              |                         |

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| A.<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B.<br>Income during<br>reporting period |                                              | C.<br>Gross value at end of<br>reporting period |                                           | D.<br>Transactions during reporting period     |                               |                                 |                                |                                                                   |
|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|----------------------------------------------|-------------------------------------------------|-------------------------------------------|------------------------------------------------|-------------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                             | (1)<br>Amount<br>Code 1<br>(A-H)        | (2)<br>Type (e.g.<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.<br>buy, sell,<br>redemption) | (2)<br>Date<br>Month -<br>Day | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 18. -McData Corp. (common stock)                                                                                            | A                                       | Dividend                                     | J                                               | T                                         |                                                |                               |                                 |                                |                                                                   |
| 19. -OG&E                                                                                                                   | A                                       | Dividend                                     | J                                               | T                                         |                                                |                               |                                 |                                |                                                                   |
| 20. -Langston University bonds                                                                                              | A                                       | Interest                                     | J                                               | T                                         |                                                |                               |                                 |                                |                                                                   |
| 21. -Oklahoma City Municipal Bonds                                                                                          | A                                       | Interest                                     | J                                               | T                                         | part. redemp                                   | 11/1                          | J                               |                                |                                                                   |
| 22. -Merck (common stock)                                                                                                   | A                                       | Dividend                                     | J                                               | T                                         |                                                |                               |                                 |                                |                                                                   |
| 23. -Pfizer (common stock)                                                                                                  | A                                       | Dividend                                     | J                                               | T                                         |                                                |                               |                                 |                                |                                                                   |
| 24. -Devon Energy (common stock)                                                                                            | A                                       | Dividend                                     | J                                               | T                                         |                                                |                               |                                 |                                |                                                                   |
| 25. Stock Exchange Bank, Woodward, OK                                                                                       | C                                       | Interest                                     | M                                               | T                                         |                                                |                               |                                 |                                |                                                                   |
| 26. Union Bank, Ok City Acct                                                                                                | C                                       | Interest                                     | M                                               | T                                         |                                                |                               |                                 |                                |                                                                   |
| 27. BROKERAGE ACCT #2                                                                                                       |                                         |                                              |                                                 |                                           |                                                |                               |                                 |                                |                                                                   |
| 28. -Berkshire Hathaway A                                                                                                   | A                                       | Dividend                                     | L                                               | T                                         |                                                |                               |                                 |                                |                                                                   |
| 29. -Langston University bonds                                                                                              | D                                       | Interest                                     | L                                               | T                                         |                                                |                               |                                 |                                |                                                                   |
| 30. -Bank of America Corp.                                                                                                  | A                                       | Dividend                                     | J                                               | T                                         |                                                |                               |                                 |                                |                                                                   |
| 31. -Oklahoma City Municipal Bonds                                                                                          | A                                       | Interest                                     | J                                               | T                                         | part. redemp                                   | 11/1                          | J                               |                                |                                                                   |
| 32. -St. Louis, Mo. Land Clearance Municipal Bond                                                                           | A                                       | Interest                                     | J                                               | T                                         | part. redemp                                   | 9/1                           | J                               |                                |                                                                   |

|                                                  |                                                                                                                                          |                                                                                                                                                            |                                                                                                                                                                                         |                                                                                                                                         |                         |
|--------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------|-------------------------|
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| 2. Value Codes<br>(See Columns C1 and D3)        |                                                                                                                                          |                                                                                                                                                            |                                                                                                                                                                                         |                                                                                                                                         |                         |
| 3. Value Method Codes<br>(See Column C2)         | Q = Appraisal<br>U = Book Value                                                                                                          |                                                                                                                                                            |                                                                                                                                                                                         |                                                                                                                                         |                         |

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**VIII. ADDITIONAL INFORMATION OR EXPLANATIONS.** *(Indicate part of Report.)*

## PART VII. Investments and Trusts

Line 3 - John Powell Limited Partnership was purchased in 1973 for \$26,000.

Line 7 - D(2) - 3/15/2005; Line 8 - D(2) - 12/1/2005. [REDACTED] inadvertently failed to tell me until this year of this passive partnership investment made in 2005.

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**IX. CERTIFICATION.**

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature

Date

4/20/07

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

**FILING INSTRUCTIONS**

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure  
Administrative Office of the United States Courts  
Suite 2-301  
One Columbus Circle, N.E.  
Washington, D.C. 20544