

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2004

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (Last name, First name, Middle initial) Kaplan, Lewis A.	2. Court or Organization U.S. District Court, S.D.N.Y.	3. Date of Report 7/14/2005
4. Title (Article III Judges indicate active or senior status; magistrate judges indicate full- or part-time) United States District Judge	5. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final	6. Reporting Period 1/1/2004 to 12/31/2004
7. Chambers or Office Address U.S. Courthouse 500 Pearl Street New York, NY 10007	8. On the basis of the information contained in this Report and any conditions pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	

IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☐ **NONE** - (No reportable positions.)

POSITION	NAME OF ORGANIZATION/ENTITY
1. Partner	Handy Place Investment Partnership
2. Trustee	Trust w/w/o deceased relative
3.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☒ **NONE** - (No reportable agreements.)

DATE	PARTIES AND TERMS
1.	

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FINANCIAL DISCLOSURE REPORT

Name of Person Reporting

Kaplan, Lewis A

Date of Report

7/14/2005

III. NON-INVESTMENT INCOME. (Reporting individual and spouse; see pp. 17-24 of filing instructions)**A. Filer's Non-Investment Income**☒ **NONE** - (No reportable non-investment income.)DATESOURCE AND TYPEGROSS INCOME

(yours, not spouse's)

1.

B. Spouse's Non-Investment Income - (If you were married during any portion of the reporting year, please complete this section. Dollar amount not required except for honoraria.)☐ **NONE** - (No reportable non-investment income.)DATESOURCE AND TYPE

1. Various

Pension and retirement plan prmts - Random House, Inc.

2. 6-16-04

Jury allowance

IV. REIMBURSEMENTS - transportation, lodging, food, entertainment.

(Includes those to spouse and dependent children. See pp. 25-27 of instructions.)

☐ **NONE** - (No such reportable reimbursements.)SOURCEDESCRIPTION

1. George Mason University Foundation, Inc.

9/30-10/4/04 - Ja Jolla, CA, educational seminar (Transportation, room and board)

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V. GIFTS. (Includes those to spouse and dependent children. See pp. 28-31 of instructions.)

☐ NONE - (No such reportable gifts.)

	SOURCE	DESCRIPTION	VALUE
1.	Minna Schrag & David Goldblatt	Engagement gift - pastry server	\$50
2.	Diane Zimmerman & Cavin Leeman	Engagement gift - vase	\$50
3.	Mr. & Mrs. David Spurr	Engagement gift - wine	\$25
4.	Hon. Kimba Wood & Frank Richardson	Engagement gift	\$50
5.	Mr. & Mrs. Michael Cook	Engagement gift - pair of glasses	\$120
6.	Trudi Schutz	Wedding gift - serving dish	\$500
7.	Mr. & Mrs. Peter Richard	Wedding gift - porcelain bowl and photo album	\$1,650
8.	Hon. Loretta Preska & Tom Kavalier	Wedding gift - picture frame	\$450
9.	Minna Schrag & David Goldblatt	Wedding gift - candlesticks	\$125
10.	Zack Richard	Wedding gift - photograph	\$25
11.	Mr. & Mrs. Arthur Leary	Wedding gift - vase	\$200
12.	Mr. & Mrs. Peter Felcher	Wedding gift - pair of glasses	\$300
13.	Prof. & Mrs. Charles Peskin	Wedding gift - gift certificate	\$250
14.	Hon. Paul Borman	Wedding gift - gift certificate	\$100
15.	Hon. & Mrs. Daniel Fitzgerald	Wedding gift - six glasses	\$100
16.	Moses Silverman & Betty Robbins	Wedding gift - platter	\$250
17.	Mr. & Mrs. Toby Meyerson	Wedding gift - vase	\$100
18.	Marcia Chambers & Stanton Wheeler	Wedding gift - salad bowls	\$125
19.	Mr. & Mrs. Martin London	Wedding gift - candlesticks	\$150
20.	David Burnham & Joann Omang	Wedding gift - books	\$100
21.	Mr. & Mrs. Alma Edelson	Wedding gift - floral arrangement	\$100
22.	Mr. & Mrs. Jay Topkis	Wedding gift - wine	\$100
23.	Kate Woodman & Walter P. Loughlin	Wedding gift - tablecloth	\$250
24.	Diane Zimmerman & Cavin Leeman	Wedding gift - table linens	\$200
25.	Mr. & Mrs. Matthew Nimitz	Wedding gift - plates and bowls	\$100
26.	Hon. & Mrs. Lester B. Sand	Wedding gift - coasters	\$25
27.	Dr. & Mrs. Sanders Borisoff	Wedding gift - picture frame	\$125
28.	Mr. & Mrs. Jack Auspitz	Wedding gift - place mats	\$100
29.	Rena Zurofsky	Wedding gift - bowl and platter	\$125

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30.	Dan Dwyer & Jim Montenari	Wedding gift - brandy snifters	\$175
31.	Mr. & Mrs. David Haab	Wedding gift - plants	\$100
32.	Phylliss Toner	Wedding gift - glass bowl	\$100
33.	Mr. & Mrs. Jay Greenfield	Wedding gift - orchid	\$50
34.	Jane W. Parver	Wedding gift - picture frame	\$125
35.	Martin Flumenbaum & Ruth Hochberger	Wedding gift - wine	\$300
36.	Mr. & Mrs. Steven Dignam	Wedding gift - wine	\$40
37.	Mr. & Mrs. Mark Berger	Wedding gift - napkin rings	\$100
38.	Melissa Davis & Peter Richmond	Wedding gift - brass pitcher and flowers	\$75
39.	Joseph Lohvold	Wedding gift - bowl	\$195
40.	Patricia Hynes & Roy Reardon	Wedding gift - glass bowl	\$290
41.	Mr. & Mrs. George Felleman	Wedding gift - glass bowl	\$125
42.	Sara Moss	Wedding gift - wine glasses	\$225
43.	Mr. & Mrs. David Spurr	Wedding gift - picture frame	\$50
44.	Hon. Naomi Buchwald & Don Buchwald	Wedding gift - picture frame	\$75
45.	Helen Hershkoff & Stephen Loffredo	Wedding gift - cake knife and server	\$250
46.	Mr. & Mrs. Rainald Roesch	Wedding gift - serving implements	\$150
47.	Mr. & Mrs. Bruce Brown	Wedding gift - bowl	\$40
48.	Clare de Zengotita	Wedding gift - carved bone plaque	\$50
49.	Hon. Denise Cote & Howard Maltby	Wedding gift - glasses	\$100
50.	Mr. & Mrs. Henry F. White, Jr.	Wedding gift - planter	\$100
51.	Mr. & Mrs. Earl Menard	Wedding gift - vase	\$150

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VI. LIABILITIES. (Includes those of spouse and dependent children. See pp. 32-34 of instructions.)

☐ NONE - (No reportable liabilities.)

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1. Citibank	Revolving credit agreement	None
2. Merrill Lynch	Loan	None

ANNUAL DISCLOSURE REPORT

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Name of Person Reporting
Kaplan, Lewis A

Date of Report
7/15/2005

VII. INVESTMENTS and TRUSTS -- income, value, transactions (includes those of the spouse and dependent children. See pp. 34-57 of filing instructions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g. div, rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, merger, redemption)	If not exempt from disclosure			
						(2) Date: Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
☐ NONE (No reportable income, assets, or transactions)									
1. Citibank accounts	A	Interest	J	T					
2. NYS MCFFA 5.375 04	A	Interest			Redemptio n	2-17	K	A	
3. Albany Co 5.0 04	B	Interest			Redemptio n	10-1	K	B	
4. NYS Mtg Ser 29A 4.7 05 (E)	A	Interest			Redemptio n	2-2	K	A	
5. Port Auth NY&NJ 4.8 05 (E)	A	Interest			Redemptio n	3-1	K	A	
6. Port Auth NY&NJ 4.8 05	A	Interest			Redemptio n	3-1	K	B	
7. NYS HFA U Con 6.5 06 (E)	A	Interest			Redemptio n	11-1	J	A	
8. Kinderhook CSD 6 07 (E)	B	Interest	K	T	None				
9. NYS Pwr A Ser D 5.875 10 (E)	A	Interest	J	T	None				
10. NYS Pwr A GP 5.375 10	B	Interest	K	T	None				
11. NYS Pwr A Ser GP 5.5 10(E)	A	Interest	J	T	None				
12. NYS Pwr A Ser F 5.5 10	B	Interest			Redemptio n	1-2	J	A	
13. ML CMA Tax Exempt Fund	A	Dividend			Closed	1-29			
14. Mun Inv Trust Ser A NY	A	Interest	J	T	Pt Redempt	2-25	J	A	
15.					Pt Redempt	4-26	J	A	
16.					Pt Redempt	5-25	J	A	
17.					Pt Redempt	10-26	J	A	
18.					Pt Redempt	12-27	J	A	

1. Income/Gain Codes: A = \$1,000 or less B = \$1,001-\$2,500 C = \$2,501-\$5,000 D = \$5,001-\$15,000 E = \$15,001-\$50,000
(See Columns B1 and B4) F = \$50,001-\$100,000 G = \$100,001-\$1,000,000 H1 = \$1,000,001-\$5,000,000 H2 = More than \$5,000,000

2. Value Codes: J = \$15,000 or less K = \$15,001-\$50,000 L = \$50,001-\$100,000 M = \$100,001-\$250,000
(See Columns C1 and C3) N = \$250,001-\$500,000 O = \$500,001-\$1,000,000 P1 = \$1,000,001-\$5,000,000 P2 = \$5,000,001-\$25,000,000
P3 = \$25,000,001-\$50,000,000 P4 = \$50,000,001-\$100,000,000 P5 = \$100,000,001-\$500,000,000 P6 = \$500,000,001-\$1,000,000,000 P7 = \$1,000,000,001-\$5,000,000,000 P8 = \$5,000,000,001-\$25,000,000,000 P9 = \$25,000,000,001-\$50,000,000,000 P10 = \$50,000,000,001-\$100,000,000,000 P11 = \$100,000,000,001-\$500,000,000,000 P12 = \$500,000,000,001-\$1,000,000,000,000 P13 = \$1,000,000,000,001-\$5,000,000,000,000 P14 = \$5,000,000,000,001-\$25,000,000,000,000 P15 = \$25,000,000,000,001-\$50,000,000,000,000 P16 = \$50,000,000,000,001-\$100,000,000,000,000 P17 = \$100,000,000,000,001-\$500,000,000,000,000 P18 = \$500,000,000,000,001-\$1,000,000,000,000,000 P19 = \$1,000,000,000,000,001-\$5,000,000,000,000,000 P20 = \$5,000,000,000,000,001-\$25,000,000,000,000,000 P21 = \$25,000,000,000,000,001-\$50,000,000,000,000,000 P22 = \$50,000,000,000,000,001-\$100,000,000,000,000,000 P23 = \$100,000,000,000,000,001-\$500,000,000,000,000,000 P24 = \$500,000,000,000,000,001-\$1,000,000,000,000,000,000 P25 = \$1,000,000,000,000,000,001-\$5,000,000,000,000,000,000 P26 = \$5,000,000,000,000,000,001-\$25,000,000,000,000,000,000 P27 = \$25,000,000,000,000,000,001-\$50,000,000,000,000,000,000 P28 = \$50,000,000,000,000,000,001-\$100,000,000,000,000,000,000 P29 = \$100,000,000,000,000,000,001-\$500,000,000,000,000,000,000 P30 = \$500,000,000,000,000,000,001-\$1,000,000,000,000,000,000,000 P31 = \$1,000,000,000,000,000,000,001-\$5,000,000,000,000,000,000,000 P32 = \$5,000,000,000,000,000,000,001-\$25,000,000,000,000,000,000,000 P33 = \$25,000,000,000,000,000,000,001-\$50,000,000,000,000,000,000,000 P34 = \$50,000,000,000,000,000,000,001-\$100,000,000,000,000,000,000,000 P35 = \$100,000,000,000,000,000,000,001-\$500,000,000,000,000,000,000,000 P36 = \$500,000,000,000,000,000,000,001-\$1,000,000,000,000,000,000,000,000 P37 = \$1,000,000,000,000,000,000,000,001-\$5,000,000,000,000,000,000,000,000 P38 = \$5,000,000,000,000,000,000,000,001-\$25,000,000,000,000,000,000,000,000 P39 = \$25,000,000,000,000,000,000,000,001-\$50,000,000,000,000,000,000,000,000 P40 = \$50,000,000,000,000,000,000,000,001-\$100,000,000,000,000,000,000,000,000 P41 = \$100,000,000,000,000,000,000,000,001-\$500,000,000,000,000,000,000,000,000 P42 = \$500,000,000,000,000,000,000,000,001-\$1,000,000,000,000,000,000,000,000,000 P43 = \$1,000,000,000,000,000,000,000,000,001-\$5,000,000,000,000,000,000,000,000,000 P44 = \$5,000,000,000,000,000,000,000,000,001-\$25,000,000,000,000,000,000,000,000,000 P45 = \$25,000,000,000,000,000,000,000,000,001-\$50,000,000,000,000,000,000,000,000,000 P46 = \$50,000,000,000,000,000,000,000,000,001-\$100,000,000,000,000,000,000,000,000,000 P47 = \$100,000,000,000,000,000,000,000,000,001-\$500,000,000,000,000,000,000,000,000,000 P48 = \$500,000,000,000,000,000,000,000,000,001-\$1,000,000,000,000,000,000,000,000,000,000 P49 = \$1,000,000,000,000,000,000,000,000,000,001-\$5,000,000,000,000,000,000,000,000,000,000 P50 = \$5,000,000,000,000,000,000,000,000,000,001-\$25,000,000,000,000,000,000,000,000,000,000 P51 = \$25,000,000,000,000,000,000,000,000,000,001-\$50,000,000,000,000,000,000,000,000,000,000 P52 = \$50,000,000,000,000,000,000,000,000,000,001-\$100,000,000,000,000,000,000,000,000,000,000 P53 = \$100,000,000,000,000,000,000,000,000,000,001-\$500,000,000,000,000,000,000,000,000,000,000 P54 = \$500,000,000,000,000,000,000,000,000,000,001-\$1,000,000,000,000,000,000,000,000,000,000,000 P55 = \$1,000,000,000,000,000,000,000,000,000,000,001-\$5,000,000,000,000,000,000,000,000,000,000,000 P56 = \$5,000,000,000,000,000,000,000,000,000,000,001-\$25,000,000,000,000,000,000,000,000,000,000,000 P57 = \$25,000,000,000,000,000,000,000,000,000,000,001-\$50,000,000,000,000,000,000,000,000,000,000,000 P58 = \$50,000,000,000,000,000,000,000,000,000,000,001-\$100,000,000,000,000,000,000,000,000,000,000,000 P59 = \$100,000,000,000,000,000,000,000,000,000,000,001-\$500,000,000,000,000,000,000,000,000,000,000,000 P60 = \$500,000,000,000,000,000,000,000,000,000,000,001-\$1,000,000,000,000,000,000,000,000,000,000,000,000 P61 = \$1,000,000,000,000,000,000,000,000,000,000,000,001-\$5,000,000,000,000,000,000,000,000,000,000,000,000 P62 = \$5,000,000,000,000,000,000,000,000,000,000,000,001-\$25,000,000,000,000,000,000,000,000,000,000,000,000 P63 = \$25,000,000,000,000,000,000,000,000,000,000,000,001-\$50,000,000,000,000,000,000,000,000,000,000,000,000 P64 = \$50,000,000,000,000,000,000,000,000,000,000,000,001-\$100,000,000,000,000,000,000,000,000,000,000,000,000 P65 = \$100,000,000,000,000,000,000,000,000,000,000,000,001-\$500,000,000,000,000,000,000,000,000,000,000,000,000 P66 = \$500,000,000,000,000,000,000,000,000,000,000,000,001-\$1,000,000,000,000,000,000,000,000,000,000,000,000,000 P67 = \$1,000,000,000,000,000,000,000,000,000,000,000,000,001-\$5,000,000,000,000,000,000,000,000,000,000,000,000,000 P68 = \$5,000,000,000,000,000,000,000,000,000,000,000,000,001-\$25,000,000,000,000,000,000,000,000,000,000,000,000,000 P69 = \$25,000,000,000,000,000,000,000,000,000,000,000,000,001-\$50,000,000,000,000,000,000,000,000,000,000,000,000,000 P70 = \$50,000,000,000,000,000,000,000,000,000,000,000,000,001-\$100,000,000,000,000,000,000,000,000,000,000,000,000,000 P71 = \$100,000,000,000,000,000,000,000,000,000,000,000,000,001-\$500,000,000,000,000,000,000,000,000,000,000,000,000,000 P72 = \$500,000,000,000,000,000,000,000,000,000,000,000,000,001-\$1,000,000,000,000,000,000,000,000,000,000,000,000,000,000 P73 = \$1,000,000,000,000,000,000,000,000,000,000,000,000,000,001-\$5,000,000,000,000,000,000,000,000,000,000,000,000,000,000 P74 = \$5,000,000,000,000,000,000,000,000,000,000,000,000,000,001-\$25,000,000,000,000,000,000,000,000,000,000,000,000,000,000 P75 = \$25,000,000,000,000,000,000,000,000,000,000,000,000,000,001-\$50,000,000,000,000,000,000,000,000,000,000,000,000,000,000 P76 = \$50,000,000,000,000,000,000,000,000,000,000,000,000,000,001-\$100,000,000,000,000,000,000,000,000,000,000,000,000,000,000 P77 = \$100,000,000,000,000,000,000,000,000,000,000,000,000,000,001-\$500,000,000,000,000,000,000,000,000,000,000,000,000,000,000 P78 = \$500,000,000,000,000,000,000,000,000,000,000,000,000,000,001-\$1,000,000,000,000,000,000,000,000,000,000,000,000,000,000,000 P79 = \$1,000,000,000,000,000,000,000,000,000,000,000,000,000,000,001-\$5,000,000,000,000,000,000,000,000,000,000,000,000,000,000,000 P80 = \$5,000,000,000,000,000,000,000,000,000,000,000,000,000,000,001-\$25,000,000,000,000,000,000,000,000,000,000,000,000,000,000,000 P81 = \$25,000,000,000,000,000,000,000,000,000,000,000,000,000,000,001-\$50,000,000,000,000,000,000,000,000,000,000,000,000,000,000,000 P82 = \$50,000,000,000,000,000,000,000,000,000,000,000,000,000,000,001-\$100,000,000,000,000,000,000,000,000,000,000,000,000,000,000,000 P83 = \$100,000,000,000,000,000,000,000,000,000,000,000,000,000,000,001-\$500,000,000,000,000,000,000,000,000,000,000,000,000,000,000,000 P84 = \$500,000,000,000,000,000,000,000,000,000,000,000,000,000,000,001-\$1,000,000,000,000,000,000,000,000,000,000,000,000,000,000,000,000 P85 = \$1,000,000,000,000,000,000,000,000,000,000,000,000,000,000,000,001-\$5,000,000,000,000,000,000,000,000,000,000,000,000,000,000,000,000 P86 = \$5,000,000,000,000,000,000,000,000,000,000,000,000,000,000,000,001-\$25,000,000,000,000,000,000,000,000,000,000,000,000,000,000,000,000 P87 = \$25,000,000,000,000,000,000,000,000,000,000,000,000,000,000,000,001-\$50,000,000,000,000,000,000,000,000,000,000,000,000,000,000,000,000 P88 = \$50,000,000,000,000,000,000,000,000,000,000,000,000,000,000,000,001-\$100,000,000,000,000,000,000,000,000,000,000,000,000,000,000,000,000 P89 = \$100,000,000,000,000,000,000,000,000,000,000,000,000,000,000,000,001-\$500,000,000,000,000,000,000,000,000,000,000,000,000,000,000,000,000 P90 = \$500,000,000,000,000,000,000,000,000,000,000,000,000,000,000,000,001-\$1,000,000,000,000,000,000,000,000,000,000,000,000,000,000,000,000,000 P91 = \$1,000,000,000,000,000,000,000,000,000,000,000,000,000,000,000,000,001-\$5,000,000,000,000,000,000,000,000,000,000,000,000,000,000,000,000,000 P92 = \$5,000,000,000,000,000,000,000,000,000,000,000,000,000,000,000,000,001-\$25,000,000,000,000,000,000,000,000,000,000,000,000,000,000,000,000,000 P93 = \$25,000,000,000,000,000,000,000,000,000,000,000,000,000,000,000,000,001-\$50,000,000,000,000,000,000,000,000,000,000,000,000,000,000,000,000,000 P94 = \$50,000,000,000,000,000,000,000,000,000,000,000,000,000,000,000,000,001-\$100,000,000,000,000,000,000,000,000,000,000,000,000,000,000,000,000,000 P95 = \$100,000,000,000,000,000,000,000,000,000,000,000,000,000,000,000,000,001-\$500,000,000,000,000,000,000,000,000,000,000,000,000,000,000,000,000,000 P96 = \$500,000,000,000,000,000,000,000,000,000,000,000,000,000,000,000,000,001-\$1,000,000,000,000,000,000,000,000,000,000,000,000,000,000,000,000,000,000 P97 = \$1,000,000,000,000,000,000,000,000,000,000,000,000,000,000,000,000,000,001-\$5,000,000,000,000,000,000,000,000,000,000,000,000,000,000,000,000,000,000 P98 = \$5,000,000,000,000,000,000,000,000,000,000,000,000,000,000,000,000,000,001-\$25,000,000,000,000,000,000,000,000,000,000,000,000,000,000,000,000,000,000 P99 = \$25,000,000,000,000,000,000,000,000,000,000,000,000,000,000,000,000,000,001-\$50,000,000,000,000,000,000,000,000,000,000,000,000,000,000,000,000,000,000 P100 = \$50,000,000,000,000,000,000,000,000,000,000,000,000,000,000,000,000,000,001-\$100,000,000,000,000,000,000,000,000,000,000,000,000,000,000,000,000,000,000

3. Value Method Codes: Q = A: principal R = Cost (Real Estate Only) S = Assessment T = Cash/Market
(See Column C2) U = Book Value V = Other W = Estimated

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting
Kaplan, Lewis A

Date of Report
7/19/2003

VII. INVESTMENTS and TRUSTS — income, value, transactions (includes those of the spouse and dependent children. See pp. 34-57 of filing instructions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g. div. rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, merger, redemption)	If not exempt from disclosure			
						(2) Date: Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
19. PW 1982 EES lim ptn		None	J	W	None				
20. PW 1986 EES lim ptn		None	J	W	None				
21. Handy Place Invest Part		None	J	W	None				
22. 20th Cent Ultra F		None	M	T	None				
23. Met Tran NY 5.9 08	B	Interest			Redemptio n	7-1	K	A	
24. MTA NY 5.9 08 (E)	B	Interest			Redemptio n	7-1	K	A	
25. Nassau Co. 5.7 09	C	Interest			Redemptio n	8-2	L	A	
26. NYS MCFFA 5.55 06	C	Interest			Redemptio n	8-16	K	B	
27. Westchester Co 5.6 07	B	Interest	K	T	None				
28. NYS Env Fac 4.65 04	B	Interest			Redemptio n	11-15	K	C	
29. Clarkstown 5.6 08	D	Interest	M	T	None				
30. Suffolk Co 5.875 08	B	Interest			Redemptio n	4-1	L	A	
31. NYC Mun Wtr 5.375 07	A	Interest	J	T	Pt Redempt	6-15	K	A	
32. NYC Mun Wtr 5.375 07 (E)	B	Interest	K	T	Pt Redempt	6-15	J	A	
33. NYS MCFFA 5.125 12	C	Interest	L	T	None				
34. NYS Twy 5.0 08	B	Interest	L	T	None				
35. NYS MCFFA 5.375 14 (E)	A	Interest			Redemptio n	2-17	K	A	
36. Oncida 6.4 06		None	K	T	None				

1. Income/Gain Codes:	A = \$1,000 or less (See Columns B1 and D4)	B = \$1,001-\$2,500	C = \$2,501-\$5,000	D = \$5,001-\$15,000	E = \$15,001-\$50,000
2. Value Codes:	F = \$50,001-\$100,000	G = \$100,001-\$1,000,000	H1 = \$1,000,001-\$5,000,000	H2 = More than \$5,000,000	
(See Columns C1 and D3)	J = \$15,000 or less	K = \$15,001-\$50,000	L = \$50,001-\$100,000	M = \$100,001-\$250,000	
	N = \$250,000-\$500,000	O = \$500,001-\$1,000,000	P1 = \$1,000,001-\$5,000,000	P2 = \$5,000,001-\$25,000,000	
	P3 = \$25,000,001-\$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes:	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash/Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Kaplan, Lewis A

Date of Report

7/14/2005

VII. INVESTMENTS and TRUSTS

-- income, value, transactions (includes those of the spouse and dependent children. See pp. 34-57 of filing instructions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-I)	(2) Type (e.g. div. rent. or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, merger, redemption)	If not exempt from disclosure			
						(2) Date: Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
37. Kinderhook CSD 6 07	A	Interest	J	T	None				
38. Ramapo 5.6 08	A	Interest	J	T	None				
39. NYS UDC 5.4 06	B	Interest	K	T	None				
40. Nassau Co 5.125 13	C	Interest	L	T	None				
41. NYS HFA 6.4 07	A	Interest			Redemptio n	5-3	J	A	
42. NYS Mtg Agy 4.7 05	A	Interest			Redemptio n	2-2	J	B	
43. NYS Dorm 5.7 10	A	Interest	J	T	None				
44. Chicago Pub Hsg 5.0 04		None			Redemptio n	6-1	J	A	
45. NYS MCFFA 5.0 13	B	Interest			Pt Redempt	9-2	K	A	
46.					Redemptio n	11-1	J	A	
47. NYC Mun Wtr 5.5 12	B	Interest			Redemptio n	6-15	L	A	
48. NYS LGAC 5.375 14	B	Interest			Redemptio n	4-1	K	B	
49. Cpn Treas Rec 0% 09		None			Sale	5-4	M	F	
50. US Treas Strips 0% 10		None			Sale	5-4	L	E	
51. NYS Dorm 5.375 14	C	Interest	L	T	None				
52. US Treas strips 0% 11		None			Sale	5-4	L	E	
53. NYS Dorm 5.125 08	D	Interest	M	T	None				
54. NYS Dorm 5.25 13	C	Interest	L	T	None				

1. Income/Gain Codes:	A = \$1,000 or less	B = \$1,001-\$2,500	C = \$2,501-\$5,000	D = \$5,001-\$15,000	E = \$15,001-\$50,000
(See Columns B) and D4)	F = \$50,001-\$100,000	G = \$100,001-\$1,000,000	H1 = \$1,000,001-\$5,000,000	H2 = More than \$5,000,000	
2. Value Codes:	J = \$15,000 or less	K = \$15,001-\$50,000	L = \$50,001-\$100,000	M = \$100,001-\$250,000	
(See Columns C) and D3)	N = \$250,001-\$500,000	O = \$500,001-\$1,000,000	P1 = \$1,000,001-\$5,000,000	P2 = \$5,000,001-\$25,000,000	
	P3 = \$25,000,001-\$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash/Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

FINANCIAL DISCLOSURE REPORT

Page 4 of 7

Name of Person Reporting
Kaplan, Lewis A

Date of Report
7/10/2005

VII. INVESTMENTS and TRUSTS — income, value, transactions (includes those of the spouse and dependent children. See pp. 34-57 of filing instructions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-R)	(2) Type (e.g. div. rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, merger, redemption)	If not exempt from disclosure			
						(2) Date: Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
55. Fidelity Fund	D	Distribution	N	T	None				
56. Fidelity Large Cap Fund	C	Distribution	N	T	None				
57. Triboro BTA 4.5 13 (E)	B	Interest	K	T	None				
58. Puerto Rico MFA 5.5 09 (E)	B	Interest	K	T	None				
59. NYS Dorm 5.125 11 (E)	C	Interest	L	T	None				
60. Triboro BTA 4.3 11	A	Interest	K	T	None				
61. Triboro BTA 4.75 16	A	Interest	K	T	None				
62. NYC Mun Wtr 4.9 13	B	Interest	L	T	None				
63. Commack UFSD 4.375 13	D	Interest	M	T	None				
64. NYS Mtg Agy 5.45 12	D	Interest	M	T	None				
65. NYS Twy 5.125 13	C	Interest	M	T	None				
66. So Glens Falls CSD 5.25 14	C	Interest	L	T	None				
67. NY Tran at MTA 5.2 10	D	Interest	M	T	None				
68. NYS Env Fac 5.125 11	C	Interest	L	T	None				
69. NYS Dorm 5.125 11	D	Interest	M	T	None				
70. NYS Dorm 5.1 12 (E)	B	Interest	K	T	None				
71. NYS Env Fac 4.55 13	B	Interest	L	T	None				
72. NYS Env Fac 4.95 17	C	Interest	L	T	None				

1. Income/Gain Codes:	A = \$1,000 or less	B = \$1,001-\$2,500	C = \$2,501-\$5,000	D = \$5,001-\$15,000	E = \$15,001-\$50,000
(See Columns B1 and B4)	F = \$50,001-\$100,000	G = \$100,001-\$1,000,000	H1 = \$1,000,001-\$5,000,000	H2 = More than \$5,000,000	
2. Value Codes:	J = \$15,000 or less	K = \$15,001-\$50,000	L = \$50,001-\$100,000	M = \$100,001-\$250,000	
(See Columns C1 and C3)	N = \$250,001-\$500,000	Q = \$500,001-\$1,000,000	P1 = \$1,000,001-\$6,000,000	P2 = \$6,000,001-\$25,000,000	
	P3 = \$25,000,001-\$50,000,000		P4 = \$50,000,001-\$100,000,000		
3. Value Method Codes:	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash/Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Kaplan, Lewis A

Date of Report

7/19/2005

VII. INVESTMENTS and TRUSTS — income, value, transactions (includes those of the spouse and dependent children. See pp. 34-57 of filing instructions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g. div. rend. or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, merger, redemption)	If not exempt from disclosure			
						(2) Date: Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
73. ML Bk/B&T RASP	A	Interest	J	T					
74. NYC Trans 5.0 19	C	Interest	M	T	None				
75. Greece CSD 4.5 17	C	Interest	M	T	None				
76. NYS Env Fac 4.2 12	C	Interest	M	T	None				
77. MTA 4.0 12	C	Interest	M	T	None				
78. MTA 4.0 13	C	Interest	M	T	None				
79. NYC 4.375 11 (E)	C	Interest	M	T	None				
80. FNMA 5.0 12	D	Interest	M	T	None				
81. NM Bank Amer 6.5 17	D	Interest	M	T	None				
82. ML Bank (E)	A	Interest	K	T					
83. Triboro BTA 3.75 13	C	Interest	M	T	None				
84. William Floyd UFSD 4.125 15	C	Interest	M	T	None				
85. MTA 5.0 23	C	Interest	M	T	None				
86. GECC 4.75 13	D	Interest	M	T	None				
87. Pacific Innov Select annuity		None	M	T	None				
88. Provident Bank 5.0 15	C	Interest	L	T	None				
89. FHLMC multi % 18	D	Interest	M	T	None				
90. Hudson United Bk 5.05 13	C	Interest	K	T	None				

1. Income/Gain Codes:	A = \$1,000 or less (See Columns B1 and D4)	B = \$1,001-\$2,500 G = \$100,001-\$1,000,000	C = \$2,501-\$5,000 H1 = \$1,000,001-\$5,000,000	D = \$5,001-\$15,000 H2 = More than \$5,000,000	E = \$15,001-\$50,000
2. Value Codes:	F = \$50,001-\$100,000 (See Columns C1 and D3)	J = \$15,000 or less N = \$250,000-\$500,000 P3 = \$25,000,001-\$50,000,000	K = \$15,001-\$50,000 O = \$500,001-\$1,000,000	L = \$50,001-\$100,000 P1 = \$1,000,001-\$3,000,000 P4 = More than \$50,000,000	M = \$100,001-\$250,000 P2 = \$5,000,001-\$25,000,000
3. Value Method Codes:	Q = Appraisal (See Column C2)	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Credit Market	

FINANCIAL DISCLOSURE REPORT

Page 6 of 7

Name of Person Reporting
Kaplan, Lewis A

Date of Report
7/14/2005

VII. INVESTMENTS and TRUSTS -- income, value, transactions (includes those of the spouse and dependent children. See pp. 34-57 of filing instructions.)

A. Description of Assets (including trust assets) Please "(X)" after cash asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g. div. rent. or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, merger, redemption)	If not exempt from disclosure			
						(2) Date: Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
91. NYC Ser J 4.625 18	B	Interest	M	T	Buy	5-14	L		
92. NYS Dorm 5.0 19	C	Interest	M	T	Buy	5-18	M		
93. MTA 4.75 26	D	Interest	N	T	Buy	5-14	N		
94. Long Island Pwr 4.75 24		None	M	T	Buy	8-11	M		
95. NYS Dorm 4.75 25		None	M	T	Buy	11-30	M		
96. Western Bank Puerto Rico	A	Interest	K	T	Buy	2-23	K		
97. FNMA 5.55 14	D	Interest	N	T	Buy	5-3	N		
98. NM Bank Amer 4.65 12	A	Interest	K	T	Buy	9-3	K		
99. Capitol One Bk 4.75 10	C	Interest	L	T	None				
100. ML Bank	D	Interest	P1	T					
101. Port Auth NY&NJ 4.2 19 (E)	B	Interest	M	T	Buy	1-22	M		
102. NYS Dorm 4.625 18 (E)		None	M	T	Buy	6-14	L		
103. Prudential Ins Co guar inv acct	E	Interest	N	T					
104. Prudential Fin Inc stock	A	Dividend	J	T	None				
105. Fidelity Eq Income Fund	B	Distribution	L	T	None				
106. Fidelity US Govt Reserves Fund	A	Distribution	K	T	None				
107. ML Bank USA RASP	A	Dividend	K	T	Open	4-15	K		
108. Schwab Money Mkt	A	Dividend	J	T					

1. Income/Gain Codes: A = \$1,000 or less B = \$1,001-\$2,500 C = \$2,501-\$5,000 D = \$5,001-\$15,000 E = \$15,001-\$50,000
(See Columns B1 and D4) F = \$50,001-\$100,000 G = \$100,001-\$1,000,000 H1 = \$1,000,001-\$5,000,000 H2 = More than \$5,000,000
2. Value Codes: J = \$15,000 or less K = \$15,001-\$50,000 L = \$50,001-\$100,000 M = \$100,001-\$250,000
(See Columns C1 and B3) N = \$250,000-\$500,000 O = \$500,001-\$1,000,000 P1 = \$1,000,001-\$5,000,000 P2 = \$5,000,001-\$25,000,000
P3 = \$25,000,001-\$50,000,000 P4 = More than \$50,000,000
3. Value Method Codes: Q = Appraisal R = C (Real Estate Only) S = Assessment T = Cash/Market
(See Column C2) U = Book Value V = Other W = Estimated

FINANCIAL DISCLOSURE REPORT

Page 7 of 7

Name of Person Reporting
Kaplan, Lewis A

Date of Report
7/15/2005

VII. INVESTMENTS and TRUSTS – income, value, transactions (includes those of the spouse and dependent children. See pp. 34-57 of filing instructions.)

A. Description of Assets (including trust assets) Place "XX" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A -)	(2) Type (e.g. div. rent. or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, merger, redemption)	If not exempt from disclosure			
						(2) Date: Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
109. Schwab Money Mkt (IRA)	A	Dividend	K	T					
110. JP Morgan Chase acct	D	Interest	O	T					
111. NY Life whole life policies	B	Dividend	F	T	None				

1. Income/Gain Codes:	A = \$1,000 or less	B = \$1,001-\$2,500	C = \$2,501-\$5,000	D = \$5,001-\$15,000	E = \$15,001-\$50,000
(See Columns B1 and D1)	F = \$50,001-\$100,000	G = \$100,001-\$1,000,000	H1 = \$1,000,001-\$5,000,000	H2 = More than \$5,000,000	
2. Value Codes:	J = \$15,000 or less	K = \$15,001-\$50,000	L = \$50,001-\$100,000	M = \$100,001-\$250,000	
(See Columns C1 and D3)	N = \$250,000-\$500,000	O = \$500,001-\$1,000,000	P1 = \$1,000,001-\$5,000,000	P2 = \$5,000,001-\$25,000,000	
	P3 = \$25,000,001-\$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes:	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessed	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

FINANCIAL DISCLOSURE REPORT

Name of Person Reporting

Kaplan, Lewis A

Date of Report

7/13/2005

VII. ADDITIONAL INFORMATION OR EXPLANATIONS

(Indicate part of Report.)

1. I was married during 2004. Assets listed in Part VII, lines 103 through 110 belong to my spouse and appear in my report for the first time.
2. (E) designates assets held as trustee w/w/o deceased relative
3. Values listed in Part V are estimates.

FINANCIAL DISCLOSURE REPORT

Name of Person Reporting

Kaplan, Lewis A

Date of Report

7/14/2005

EX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature



Date

July 14, 2005

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544