

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2008

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Oliver Jr., Solomon	2. Court or Organization U.S. District Court	3. Date of Report 6/11/2009
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. District Judge	5a. Report Type (check appropriate type) ☐ Nomination, Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2008 to 12/31/2008
7. Chambers or Office Address Carl B. Stokes US Court House 801 West Superior Avenue Cleveland, OH 44113-1838	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Trustee	College of Wooster
2. Committee Member	National Conference of Bar Examiners, Multi-State Bar Exam Evidence Drafting Committee
3.	
4.	
5.	

RECEIVED
 2009 JUN 16 A 11:16
 FINANCIAL
 DISCLOSURE OFFICE

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☐ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. 2007	Future Ohio State Teacher's Retirement System pension based on 12 years of service at age 60 for an estimated \$23,000, if I do not withdraw my contributions
2.	
3.	

Oliver Jr, Solomon

FINANCIAL DISCLOSURE REPORT

Page 2 of 8

Name of Person Reporting

Oliver Jr., Solomon

Date of Report

6/11/2009

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 5/1/08	Evidence Drafting Committee Multi-State Bar Examination Meeting	\$3,000.00
2. 10/16/08	Evidence Drafting Committee Multi-State Bar Examination Meeting	\$3,000.00
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2008	Heights Parent Center
2.	
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children, see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. National Conference of Bar Examiners	5/1-5/4	Park City, UT	Meeting	Travel, lodging, food in conjunction with meeting
2. National Conference of Bar Examiners	10/16-10/19	Burlington, VT	Meeting	Travel, lodging, food in conjunction with meeting
3. Government	3/10-3/12	Redondo Beach, CA	FJC educational program	Travel, lodging, food in conjunction with program
4. Just the Beginning Foundation	9/25-9/28	Washington, DC	Activity of prof org	Travel, lodging, food in conjunction with program
5.				

FINANCIAL DISCLOSURE REPORT

Page 3 of 8

Name of Person Reporting

Oliver Jr., Solomon

Date of Report

6/11/2009

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*☒ NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*☒ NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.		
2.		
3.		
4.		
5.		

FINANCIAL DISCLOSURE REPORT

Page 4 of 8

Name of Person Reporting

Oliver Jr., Solomon

Date of Report

6/11/2009

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. AT&T common	A	Dividend	J	T					
2. Bristol Meyers common	A	Dividend	K	T					
3. Coca Cola common	A	Dividend	K	T					
4. Morgan Stanley Dean Witter common	A	Dividend	K	T					
5. Ford common	A	Dividend	J	T					
6. General Electric common	A	Dividend	K	T					
7. BF Goodrich common	A	Dividend	J	T					
8. Goodyear common	A	Dividend	J	T					
9. Heinz common	A	Dividend	J	T					
10. Home Depot common	A	Dividend	K	T					
11. Federated Department Stores common	A	Dividend	J	T					
12. Merck common	A	Dividend	K	T					
13. Nike common	A	Dividend	K	T					
14. Liberty Media Corporation Class A		None	J	T					
15. WalMart common	A	Dividend	K	T					
16. Putnam Global Equity	A	Dividend	K	T					
17. Fidelity Ohio Tax Free	A	Interest	K	T					

1. Income Gain Codes

(See Columns B1 and D4)

2. Value Codes

(See Columns C1 and D3)

3. Value Method Codes

(See Column C2)

A = \$1,000 or less

F = \$50,001 - \$100,000

J = \$15,000 or less

N = \$250,001 - \$500,000

P3 = \$25,000,001 - \$50,000,000

Q = Appraisal

U = Book Value

B = \$1,001 - \$2,500

G = \$100,001 - \$1,000,000

K = \$15,001 - \$50,000

O = \$500,001 - \$1,000,000

R = Cost (Real Estate Only)

V = Other

C = \$2,501 - \$5,000

H1 = \$1,000,001 - \$5,000,000

L = \$50,001 - \$100,000

P1 = \$1,000,001 - \$5,000,000

P4 = More than \$50,000,000

S = Assessment

W = Estimated

D = \$5,001 - \$15,000

H2 = More than \$5,000,000

M = \$100,001 - \$250,000

P2 = \$5,000,001 - \$25,000,000

T = Cash Market

E = \$15,001 - \$50,000

FINANCIAL DISCLOSURE REPORT

Page 5 of 8

Name of Person Reporting

Oliver Jr., Solomon

Date of Report

6/11/2009

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. CREF stock-403(b)	A	Dividend	O	T					
19. TIAA Annuity-403(b)	A	Interest	M	T					
20. Ohio Savings Bank Accounts	B	Interest	L	T					
21. DWS Schudder International Fund	A	Dividend	J	T					
22. Vodaphone	A	Dividend	J	T					
23. Pepsico	A	Dividend	L	T					
24. Allstate	A	Dividend	K	T					
25. Lucent Technology	A	Dividend	J	T					
26. Tricontinental Global	A	Dividend	J	T					
27. Citicorp	A	Dividend	J	T					
28. Resource Careers, Inc 401K Vanguard 500	A	Dividend	J	T					
29. Cisco		None	J	T					
30. Zimmer		None	J	T					
31. Lowes	A	Dividend	J	T					
32. Pfizer	A	Dividend	J	T					
33. Medco Health	A	Interest	J	T					
34. Comcast	A	Interest	J	T					

1 Income Gain Codes
(See Columns B1 and D4)

2. Value Codes
(See Columns C1 and D3)

3 Value Method Codes
(See Column C2)

A = \$1,000 or less

F = \$50,001 - \$100,000

J = \$15,000 or less

N = \$250,001 - \$500,000

P3 = \$25,000,001 - \$50,000,000

Q = Appraisal

U = Book Value

B = \$1,001 - \$2,500

G = \$100,001 - \$1,000,000

K = \$15,001 - \$50,000

O = \$500,001 - \$1,000,000

R = Cost (Real Estate Only)

V = Other

C = \$2,501 - \$5,000

H1 = \$1,000,001 - \$5,000,000

L = \$50,001 - \$100,000

P1 = \$1,000,001 - \$5,000,000

P4 = More than \$50,000,000

S = Assessment

W = Estimated

D = \$5,001 - \$15,000

H2 = More than \$5,000,000

M = \$100,001 - \$250,000

P2 = \$5,000,001 - \$25,000,000

T = Cash Market

E = \$15,001 - \$50,000

FINANCIAL DISCLOSURE REPORT

Page 6 of 8

Name of Person Reporting

Oliver Jr., Solomon

Date of Report

6/11/2009

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div, rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
35 Vanguard Intermediate Term Tax-Exempt Fund	A	Interest	K	T					
36 Vanguard 500 Index	A	Dividend	K	T					
37 Vanguard Small Cap Index	A	Dividend	K	T					
38 Fidelity Balance	A	Dividend	K	T					
39 Fidelity Capital Appreciation	A	Dividend	K	T					
40 T. Rowe Price Equity Income	B	Dividend	K	T					
41 T. Rowe Price Retirement 20/20	B	Dividend	K	T					
42 Vanguard Total International Stock Fund	A	Dividend	K	T					
43 Countrywide Bank	B	Interest			Redeemed	11/19	L	B	
44 Nexity Bank	B	Interest			Redeemed	3/17	L	B	
45 Third Federal	B	Interest	L	T	Buy	9/2	L		

1. Income Gain Codes

(See Columns B1 and D4)

A = \$1,000 or less

F = \$50,001 - \$100,000

J = \$15,000 or less

N = \$250,001 - \$500,000

P3 = \$25,000,001 - \$50,000,000

2. Value Codes

(See Columns C1 and D3)

Q = Appraisal

U = Book Value

B = \$1,001 - \$2,500

G = \$100,001 - \$1,000,000

K = \$15,001 - \$50,000

O = \$500,001 - \$1,000,000

R = Cost (Real Estate Only)

V = Other

C = \$2,501 - \$5,000

H1 = \$1,000,001 - \$5,000,000

L = \$50,001 - \$100,000

P1 = \$1,000,001 - \$5,000,000

P4 = More than \$50,000,000

S = Assessment

W = Estimated

D = \$5,001 - \$15,000

H2 = More than \$5,000,000

M = \$100,001 - \$250,000

P2 = \$5,000,001 - \$25,000,000

T = Cash Market

E = \$15,001 - \$50,000

FINANCIAL DISCLOSURE REPORT

Page 7 of 8

Name of Person Reporting

Oliver Jr., Solomon

Date of Report

6/11/2009

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of Report.)*

4

FINANCIAL DISCLOSURE REPORT

Page 8 of 8

Name of Person Reporting

Oliver Jr., Solomon

Date of Report

6/11/2009

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544