

AO-10
Rev. 1/2004

A M E N D E D
FINANCIAL DISCLOSURE REPORT

Calendar Year 2003

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (Last name, First name, Middle initial) Patel, Marilyn H	2. Court or Organization Northern District California	3. Date of Report 8/11/2004
4. Title (Article III Judges indicate active or senior status; magistrate judges indicate full- or part-time) Active Chief US District Court	5. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final	6. Reporting Period 1/1/2003 to 12/31/2003
7. Chambers or Office Address US District Court PO Box 36060 San Francisco, CA 94102	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	

IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☒ **NONE** - (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1. _____

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☒ **NONE** - (No reportable agreements.)

DATE

PARTIES AND TERMS

1. _____

RECEIVED
AUG 17 11 20 AM '04
FINANCIAL
DISCLOSURE OFFICE

FINANCIAL DISCLOSURE REPORT

Name of Person Reporting

Patel, Marilyn H

Date of Report

8/11/2004

III. NON-INVESTMENT INCOME. (Reporting individual and spouse; see pp. 17-24 of filing instructions)**A. Filer's Non-Investment Income**☒ **NONE** - (No reportable non-investment income.)DATESOURCE AND TYPEGROSS INCOME

(yours, not spouse's)

1. _____

B. Spouse's Non-Investment Income - (If you were married during any portion of the reporting year, please complete this section. (dollar amount not required except for honoraria)☒ **NONE** - (No reportable non-investment income.)DATESOURCE AND TYPE

1. _____

IV. REIMBURSEMENTS -- transportation, lodging, food, entertainment.

(Includes those to spouse and dependent children. See pp. 25-27 of instructions.)

☐ **NONE** - (No such reportable reimbursements.)SOURCEDESCRIPTION

1. Univ. of Michigan

March 25-26 - Ann Arbor, MI - Brown Bag Seminar (Transportation, Lodging)

2. OPLA/WSPLA Joint Conference

Sept. 19-21 - Gleneden Beach, OR - IP Conference (Transportation, Lodging)

FINANCIAL DISCLOSURE REPORT

Name of Person Reporting

Patel, Marilyn H

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8/11/2004

V. GIFTS. (Includes those to spouse and dependent children. See pp. 28-31 of instructions.)

☒ **NONE** - (No such reportable gifts.)

SOURCE

DESCRIPTION

VALUE

1.

VI. LIABILITIES. (Includes those of spouse and dependent children. See pp. 32-34 of instructions.)

☒ **NONE** - (No reportable liabilities.)

CREDITOR

DESCRIPTION

VALUE CODE

1.

FINANCIAL DISCLOSURE REPORT

Page 1 of 3

Name of Person Reporting

Patel, Marilyn H

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VII. INVESTMENTS and TRUSTS – income, value, transactions (includes those of the spouse and dependent children. See pp. 34-57 of filing instructions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	If not exempt from disclosure			
	Amount Code 1 (A-H)	Type (e.g. div. rent or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g. buy, sell, merger, redemption)	(2) Date: Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A- W)	(5) Identity of buyer/seller (if private transaction)
☐ NONE (No reportable income, assets, or transactions)									
1. Amkor Techs Inc.		None	L	T					
2. Applied Micro Circuits		None	J	T					
3. Arqule		None	J	T					
4. Auspex		None	J	T					
5. Avigen		None	K	T					
6. Bank of America	A	Dividend	L	T	Buy	10/29	L		
7. Avanex		None	J	T					
8. Brazil Rep. Cap.	E	Interest	N	T					
9. Broadcom Inc.		None	K	T					
10. Brocade Communications		None	J	T					
11. Citrix Systems		None	K	T					
12. Conexant Systems		None	J	T					
13. Corvis Corp.		None	J	T					
14. Digital River		None			Sell	5/9	L	F	
15. Emulex		None	L	T					
16. Extreme Networks		None	K	T					
17. BEA Systems		None	J	T					
18. Fmisar Corp.		None	J	T					

1. Income/Gain Codes: A = \$1,000 or less B = \$1,001-\$2,500 C = \$2,501-\$5,000 D = \$5,001-\$15,000 E = \$15,001-\$50,000
 (See Columns B1 and D4) F = \$50,001-\$100,000 G = \$100,001-\$1,000,000 H1 = \$1,000,001-\$5,000,000 H2 = More than \$5,000,000
 2. Value Codes: J = \$15,000 or less K = \$15,001-\$50,000 L = \$50,001-\$100,000 M = \$100,001-\$250,000
 (See Columns C1 and D3) N = \$250,000-\$500,000 O = \$500,001-\$1,000,000 P1 = \$1,000,001-\$5,000,000 P2 = \$5,000,001-\$25,000,000
 P3 = \$25,000,001-\$50,000,000 P4 = \$50,000,001-\$100,000,000
 3. Value Method Codes Q = Appraisal R = Cost (Real Estate Only) S = Assessment T = Cash/Market
 W = Estimated

FINANCIAL DISCLOSURE REPORT

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19. FleetBoston	A	Dividend			Buy	8/28	K		
20. FleetBoston					Sell	10/29	K	D	
21. Foundry Network		None	K	T					
22. Highmark California Tax Free	B	Dividend	M	T					
23. Infuratica Corp.		None	K	T					
24. Infospace Inc.		None	J	T					
25. Intktom		None			Sell*	3/21	J		*cash merger
26. Innodata Isogen		None	K	T	Buy	11/12	K		
27. Interplay Entertainment		None	J	T					
28. Interwoven Inc.		None	J	T					
29. Interwoven Inc.			K	T	Buy	11/14	K		
30. I2 Tech Inc.		None	J	T					
31. JP Morgan Chase	A	Dividend	K	T	Buy	8/28	K		
32. Kopin Corp.		None	J	T					
33. Newport Corp.		None			Sell	9/8	K		
34. PeopleSoft Inc.		None	K	T	Buy	8/28	K		
35. Procom Tech.		None	J	T					
36. Providian Financial		None	K	T					

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FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting
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	Amount Code 1 (A-H)	Type (e.g. div. rent. or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)		(2) Date: Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
37. Rational Software		None			Sell*	2/25	J		*cash merger
38. RF Micro Devices		None	J	T					
39. Riverstone Networks		None	J	T					
40. Schwab, Charles Corp.	A	Dividend	L*	T	Buy**	9/4	K		Part VIII, #2
41. Skyworks Solutions	A	Dividend	K	T					
42. Sycamore Networks		None	J	T					
43. Tibco Software Inc.		None	K	T					
44. Transmeta Corp.		None	J	T					
45. Triquint Semiconductor		None	J	T					
46. Verity		None	L	T					
47. Vignette Corp.		None	J	T					
48. Vitria Tech.		None	J	T					
49. World Savings	A	Interest	K	T					
50. Xilinx Inc.		None	K	T					
51. Unimproved Lot (See note in Section VIII)		None	L	W					Part VIII, #3

1. Income/Gain Codes	A = \$1,000 or less	B = \$1,001-\$2,500	C = \$2,501-\$5,000	D = \$5,001-\$15,000	E = \$15,001-\$50,000
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			W = Estimated		

FINANCIAL DISCLOSURE REPORT

Name of Person Reporting

Patel, Marilyn H

Date of Report

8/11/2004

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS

(Indicate part of Report.)

1. All assets are held [REDACTED] unless otherwise noted.
2. Section VII (Investments and Trusts), No. 38: Schwab — *total year end value, **additional shares purchased.
3. Section VII (Investments and Trusts), No. 50: We have owned this lot since 1970. The value ascribed to it by the code reflects what appears to be values for other lots in the area that have gone on the market recently. It has not been appraised and the "current value" is only an approximation based on comparables.

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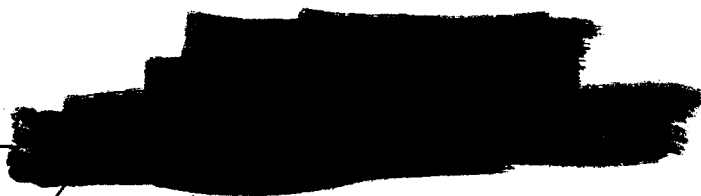
8/11/2004

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature



Date

August 11, 2004

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544

AO-10

Rev. 1/2004

FINANCIAL DISCLOSURE REPORT

Calendar Year 2003

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (Last name, First name, Middle initial) Patel, Marilyn H	2. Court or Organization Northern District California	3. Date of Report 5/12/2004
4. Title (Article III Judges indicate active or senior status; magistrate judges indicate full- or part-time) Active Chief US District Court	5. ReportType (check appropriate type) ☐ Nomination, Date ☐ Initial ☒ Annual ☐ Final	6. Reporting Period 1/1/2003 to 12/31/2003
7. Chambers or Office Address US District Court PO Box 36060 San Francisco, CA 94102	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	

IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)☒ **NONE** - (No reportable positions.)POSITIONNAME OF ORGANIZATION/ENTITY

1. _____

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)☒ **NONE** - (No reportable agreements.)DATEPARTIES AND TERMS

1. _____

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Name of Person Reporting

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5/12/2004

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(yours, not spouse's)

1.

B. Spouse's Non-Investment Income - (If you were married during any portion of the reporting year, please complete this section. (dollar amount not required except for honoraria)☒ **NONE** - (No reportable non-investment income.)DATESOURCE AND TYPE

1.

IV. REIMBURSEMENTS -- transportation, lodging, food, entertainment.

(Includes those to spouse and dependent children. See pp. 25-27 of instructions.)

☐ **NONE** - (No such reportable reimbursements.)SOURCEDESCRIPTION

1. Univ. of Michigan

March 25-26 - Ann Arbor, MI - Brown Bag Seminar (Transportation, Lodging)

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Sept. 19-21 - Gleneden Beach, OR - IP Conference (Transportation, Lodging)

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V. GIFTS. (Includes those to spouse and dependent children. See pp. 28-31 of instructions.)

☒ **NONE** - (No such reportable gifts.)

SOURCE

DESCRIPTION

VALUE

1. _____

VI. LIABILITIES. (Includes those of spouse and dependent children. See pp. 32-34 of instructions.)

☒ **NONE** - (No reportable liabilities.)

CREDITOR

DESCRIPTION

VALUE CODE

1. _____

FINANCIAL DISCLOSURE REPORT

Page 1 of 3

Name of Person Reporting

Patel, Marilyn H

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VII. INVESTMENTS and TRUSTS — income, value, transactions (includes those of the spouse and dependent children. See pp. 34-57 of filing instructions.)

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☐ NONE (No reportable income, assets, or transactions).									
1. Amkor Techs Inc.		None	L	T					
2. Applied Micro Circuits		None	J	T					
3. Arqule		None	J	T					
4. Auspex		None	J	T					
5. Avigen		None	K	T					
6. Bank of America	A	Dividend	L	T	Buy	10/29	L		
7. Brazil Rep. Cap.	E	Interest	N	T					
8. Broadcom Inc.		None	K	T					
9. Brocade Communications		None	J	T					
10. Citrix Systems		None	K	T					
11. Conexant Systems		None	J	T					
12. Corvis Corp.		None	J	T					
13. Digital River					Sell	5/9	L	F	
14. Emulex		None	L	T					
15. Extreme Networks		None	K	T					
16. Fimisar Corp.		None	J	T					
17. FleetBoston	A	Dividend			Buy	8/28	K		
18. FleetBoston					Sell	10/29	K	D	

1. Income/Gain Codes: A = \$1,000 or less B = \$1,001-\$2,500 C = \$2,501-\$5,000 D = \$5,001-\$15,000 E = \$15,001-\$50,000
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19. Foundry Network		None	K	T					
20. Highmark California Tax Free	B	Dividend	M	T					
21. Inforatica Corp.		None	K	T					
22. Infospace Inc.		None	J	T					
23. Intktomi					Sell*	3/21	J	loss	*cash merger
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25. Interplay Entertainment		None	J	T					
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45. Vignette Corp.		None	J	T					
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47. World Savings	A	Interest	K	T					
48. Xilinx Inc.		None	K	T					
49. Unimproved Lot (See note in Section VIII)		None	L	W					Part VIII, #3

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3. Section VII (Investments and Trusts), No. 50: We have owned this lot since 1970. The value ascribed to it by the code reflects what appears to be values for other lots in the area that have gone on the market recently. It has not been appraised and the "current value" is only an approximation based on comparables.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature



Date

May 12, 2004

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544