

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2007

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Davis, Andre M	2. Court or Organization District Court of Maryland	3. Date of Report 06/30/2008
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) District Judge	5a. Report Type (check appropriate type) ☐ Nomination, Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2007 to 12/31/2007
7. Chambers or Office Address U S Courthouse 101 W. Lombard Street Baltimore, MD 21201	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	

IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts,
checking the NONE box for each part where you have no reportable information. Sign on last page.

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Member	University of MD School of Law Board of Visitors
2. Instructor	University of MD School of Law
3. Instructor	National Judicial College
4. Lecturer	Pass the Marland Bar, Inc.
5. Director	Open Society Institute-Baltimore
6. Member of the Board	Baltimore Urban Debate League, Inc.
7. Member of the Board	Community Law in Action, Inc.

RECEIVED
2008 JUN - 7 A 10:55
FINANCIAL
DISCLOSURE OFFICE

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

FINANCIAL DISCLOSURE REPORT

Page 2 of 16

Name of Person Reporting

Davis, Andre M

Date of Report

06/30/2008

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 12/24/2007	State of MD	\$ 3,750.00
2. 5/1/2007	Pass the Maryland Bar, Inc.	\$ 1,000.00
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 12/31/2007	Fusion Partnerships, Inc.
2.	
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

FINANCIAL DISCLOSURE REPORT

Page 3 of 16

Name of Person Reporting

Davis, Andre M

Date of Report

06/30/2008

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*☒ NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*☒ NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.		
2.		
3.		
4.		
5.		

FINANCIAL DISCLOSURE REPORT

Page 4 of 16

Name of Person Reporting

Davis, Andre M

Date of Report

06/30/2008

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Citibank Accounts	B	Interest	K	T					
2. Capitol One Accounts	A	Interest	J	T					
3. Bank of America Accounts (X)	D	Interest	L	T					
4. Superior Bank of N.E. (X)	B	Interest	J	T					
5. Western Asset Money Market (X)	C	Distribution	K						
6. Smith Barney Money Market Account (X)	A	Distribution	L	T					
7. Comcast Corp. Preferred Stock (X)	A	Interest			Sell	11/19	K	A	
8. Amazon Common Stock (X)		None			Sell	10/11	K	A	
9. Appollo Investment Common Stock (X)	A	Dividend			Sell	5/1	K	A	
10. Aqua American Common Stock (X)	A	Dividend			Sell	11/5	K	A	
11. Bank of America Common Stock (X)		None			Sell	1/4	K	A	
12. Berkshire Hathaway Class B Stock (X)		None	L	T	Buy	5/1	K		
13. --		None			Buy	5/10	K		
14. --		None			Buy	8/20	K		
15. China Mobile, Ltd. Common Stock (X)	A	Dividend			Buy	3/26	J		
16. --					Buy	4/25	J		
17. --					Buy	4/26	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market
3. Value Method Codes (See Column C2)					

FINANCIAL DISCLOSURE REPORT

Page 5 of 16

Name of Person Reporting

Davis, Andre M

Date of Report

06/30/2008

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. --					Buy	6/18	J		
19. --					Sell	7/10	K	D	
20. CountryWide Fin. Common Stock (X)	A	Dividend	K	T	Buy	10/19	J		
21. --					Buy	10.23	J		
22. --					Buy	10/25	J		
23. --					Buy	10/31	J		
24. --					Buy	11/1	J		
25. --					Buy	11/30	J		
26. --					Buy	12/4	J		
27. --					Sell	12/26	J		
28. Ebay Common Stock (X)		None	K	T	Buy	1/4	J		
29. --					Buy	5/21	K		
30. --					Buy	9/24	J		
31. --					Buy	9/27	J		
32. --					Buy	12/26	J		
33. --					Sell	2/9	K	C	
34. Franklin STR PPTYS Corp. Common Stock (X)	A	Dividend			Buy	5/23	K		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 6 of 16

Name of Person Reporting

Davis, Andre M

Date of Report

06/30/2008

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
35. --					Buy	6/25	J		
36. --					Sell	8/20	K	A	
37. Google Common Stock (X)		None	L	T	Buy	3/6	J		
38. --					Buy	5/23	K		
39. --					Sell	10/11	K	D	
40. IAC Interactive Corp. Common Stock (X)		None			Sell	4/30	K	D	
41. Legg Mason Common Stock (X)	A	Dividend	K	T	Buy	5/10	J		
42. --					Buy	5/21	J		
43. --					Buy	5/23	K		
44. --					Buy	6/25	J		
45. --					Buy	8/3	J		
46. --					Buy	8/17	K		
47. --					Buy	10/17	K		
48. --					Buy	10/23	J		
49. --					Buy	10/31	J		
50. --					Buy	12/17	J		
51. --					Sell	9/10	K	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	
3. Value Method Codes (See Column C2)					

FINANCIAL DISCLOSURE REPORT

Page 7 of 16

Name of Person Reporting

Davis, Andre M

Date of Report

06/30/2008

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
52. --					Sell	11/16	K	A	
53. --					Sell	12/26	J	A	
54. Level 3 Communications Common Stock (X)		None	K	T	Buy	1/11	J		
55. --					Buy	5/21	J		
56. --					Buy	5/23	J		
57. --					Buy	8/20	J		
58. --					Buy	12/26	J		
59. --					Sell	9/24	J	A	
60. --					Sell	10/10	J	A	
61. --					Sell	10/23	J	A	
62. --					Sell	11/5	J	A	
63. MBIA Common Stock (X)		None	J	T	Buy	12/26	K		
64. Oracle Common Stock (X)		None			Buy	2/26	K		
65. --					Sell	5/8	K	B	
66. Roper Indus. Common Stock (X)	A	Dividend			Sell	7/24	K	D	
67. Sanderson Farms Common Stock (X)	A	Dividend			Buy	1/11	J		
68. --					Buy	2/7	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 8 of 16

Name of Person Reporting

Davis, Andre M

Date of Report

06/30/2008

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
69. --					Buy	2/26	J		
70. --					Buy	8/17	K		
71. --					Sell	5/1	K	C	
72. --					Sell	5/24	J	C	
73. --					Sell	9/18	K	D	
74. Sirius Sat. Radio Common Stock (X)		None	K	T	Buy	1/8	J		
75. --					Buy	2/7	J		
76. --					Buy	5/24	J		
77. --					Buy	6/12	J		
78. --					Buy	8/23	J		
79. --					Buy	10/17	J		
80. --					Sell	4/23	J	A	
81. --					Sell	4/30	J	A	
82. Southwest Water Co. Common Stock (X)	A	Dividend			Buy	6/13	J		
83. --					Sell	8/20	K	C	
84. Sprint Nextel Common Stock (X)		None			Buy	12/26	K		
85. Stifel Financial Common Stock (X)		None			Buy	3/6	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000 R = Cost (Real Estate Only) V = Other	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000 S = Assessment W = Estimated	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000 T = Cash Market	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)					
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value				

FINANCIAL DISCLOSURE REPORT

Page 9 of 16

Name of Person Reporting

Davis, Andre M

Date of Report

06/30/2008

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
86. --					Buy	3/26	J		
87. --					Buy	5/3	J		
88. --					Buy	5/21	J		
89. --					Sell	6/1	K	C	
90. --					Sell	6/7	K	D	
91. Sun Microsystems Common Stock (X)		None	K	T	Buy	3/6	K		
92. --					Buy	8/3	K		
93. --					Buy	9/4	J		
94. --					Buy	11/20	J		
95. --					Sell	4/26	J	A	
96. --					Sell	12/26	K	A	
97. Suntech Power Hldgs Common Stock (X)		None			Buy	3/21	J		
98. --					Sell	2/5	K	D	
99. --					Sell	5/24	K	C	
100. United Health Group Common Stock (X)	A	Dividend			Sell	4/11	K	A	
101. Wells Fargo Common Stock (X)	A	Dividend			Sell	7/9	K	A	
102. Whole Foods Common Stock (X)	A	Dividend			Buy	6/13	K		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	
3. Value Method Codes (See Column C2)					

FINANCIAL DISCLOSURE REPORT

Page 10 of 16

Name of Person Reporting

Davis, Andre M

Date of Report

06/30/2008

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
103. --					Sell	7/16	K	A	
104. Yahoo Common Stock (X)		None	K	T	Buy	9/24	K		
105. --					Buy	10/17	J		
106. --					Buy	12/26	K		
107. --					Sell	11/6	K	C	
108. Lines 109 to 173 report CLOSED-END FUNDS									
109. Adams Express Co. (X)	A	Dividend	K	T	Buy	5/23	K		
110. --	B	Distribution			Sell	9/12	J	A	
111. Blackrock Cap. & Inc. Stratgies Fund (X)	A	Dividend			Sell	8/3	K	C	
112. Blackrock Enhanced Div. Achievers Trust (X)	A	Dividend			Sell	2/27	J	A	
113. Central Securities (X)		None			Sell	3/26	K	A	
114. Clough Global Allocation Fund (X)	B	Dividend			Buy	4/25	K		
115. --					Buy	6/14	K		
116. --					Sell	11/5	K	A	
117. Clough Global Opportunties Fund (X)	A	Dividend			Sell	4/25	K	A	
118. Credit Suisse Asset Management (X)	A	Dividend			Sell	1/3	K	B	
119. DWS RREEF Real Estate Fund II (X)	A	Dividend	K	T	Buy	10/19	K		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	
3. Value Method Codes (See Column C2)					

FINANCIAL DISCLOSURE REPORT

Page 11 of 16

Name of Person Reporting

Davis, Andre M

Date of Report

06/30/2008

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120. --					Buy	11/16	J		
121. --					Buy	11/28	J		
122. --					Buy	12/4	J		
123. DWS RREEE Real Estate Fund, Inc. (X)	B	Dividend			Buy	8/20	K		
124. --					Sell	11/20	K	A	
125. DWS Dreman Value Income Edge Fund (X)	A	Dividend			Buy	2/7	K		
126. --					Buy	5/24	J		
127. --					Buy	6/13	J		
128. --					Sell	5/31	J	A	
129. --					Sell	8/1	K	A	
130. Eaton Vance Tax Advantaged Global Oppty Fund (X)	A	Dividend	K	T	Buy	8/20	K		
131. First Tr Exchange Traded Fund Biotechnology (X)		None	K	T	Buy	11/19	K		
132. --					Buy	12/26	K		
133. Gabelli Global Gold & Nat. Res., Inc. (X)	B	Dividend			Buy	7/5	J		
134. --					Buy	7/10	K		
135. --					Buy	7/17	K		
136. --					Sell	11/2	L	C	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	
3. Value Method Codes (See Column C2)					

FINANCIAL DISCLOSURE REPORT

Page 12 of 16

Name of Person Reporting

Davis, Andre M

Date of Report

06/30/2008

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137. H & Q Life Sciences (X)	A	Dividend			Sell	3/30	J	A	
138. India Fund (X)	A	Distribution			Buy	5/23	K		
139. --					Buy	5/25	J		
140. --					Buy	11/2	J		
141. --					Buy	11/20	J		
142. --					Sell	10/19	K	D	
143. iShares Silver Trust (X)		None	L	T	Buy	4/26	J		
144. --					Buy	5/21	J		
145. --					Buy	10/19	J		
146. --					Buy	10/31	J		
147. --					Buy	11/2	J		
148. --					Buy	11/20	J		
149. --					Sell	6/29	K	A	
150. iShares KLD Select Social Index Fund (X)	A	Dividend			Buy	2/5	J		
151. --					Buy	2/7	K		
152. --					Buy	5/23	K		
153. --					Sell	6/6	L	B	

1. Income Gam Codes: (See Columns B1 and B4)	A=\$1,000 or less F=\$50,001 - \$100,000 J=\$15,000 or less N=\$250,001 - \$500,000 P3=\$25,000,001 - \$50,000,000	B=\$1,001 - \$2,500 G=\$100,001 - \$1,000,000 K=\$15,001 - \$50,000 O=\$500,001 - \$1,000,000	C=\$2,501 - \$5,000 H1=\$1,000,001 - \$5,000,000 L=\$50,001 - \$100,000 P1=\$1,000,001 - \$5,000,000 P4=More than \$50,000,000	D=\$5,001 - \$15,000 H2=More than \$5,000,000 M=\$100,001 - \$250,000 P2=\$5,000,001 - \$25,000,000	E=\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)					
3. Value Method Codes (See Column C2)	●=Appraisal U=Book Value	R=Cost (Real Estate Only) V=Other	S=Assessment W=Estimated	T=Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 13 of 16

Name of Person Reporting

Davis, Andre M

Date of Report

06/30/2008

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
154. IShares MSCI Japan Index Fund (X)		None	K	T	Buy	5/23	K		
155. --					Buy	7/17	J		
156. --					Buy	9/4	K		
157. --					Buy	11/19	J		
158. --					Buy	12/26	J		
159. --					Sell	7/18	K	A	
160. Lazard Global Total Return & Inc. (X)	A	Dividend			Sell	2/9	K	C	
161. MQuarie First Global Infrs. Utils.Div. & Inc. Fund (X)	A	Dividend	K	T	Buy	11/19	K		
162. --	C	Distribution			Buy	11/28	K		
163. Nuveen Equity Pre, & Growth (X)	A	Dividend			Sell	3/6	K	A	
164. Powershares Ex Traded FD Dynamic Clean Tech Port Trust (X)		None			Buy	10/23	K		
165. --					Buy	11/13	J		
166. --					Buy	11/20	J		
167. --					Sell	11/19	K	A	
168. Powershares Golden Dragon Halter USX China Portfolio (X)		None	K	T	Buy	11/2	J		
169. --					Buy	11/20	J		
170. Royce Micro Cap Trust (X)	A	Dividend	K	T	Buy	11/13	K		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$250,001 - \$500,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 14 of 16

Name of Person Reporting

Davis, Andre M

Date of Report

06/30/2008

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
171. --					Buy	11/20	J		
172. Sun America Focused Alpha Large Cap Fund (X)	C	Dividend	K	T	Buy	2/26	K		
173. --					Buy	5/24	K		
174. Lines 175 to 181 report MUTUAL FUNDS									
175. --Europacific Growth Fund Class A (X)	C	Distribution	K	T	Buy	11/21	K		
176. -- Legg Mason Opportunity Trust (X)	C	Distribution	L	T					
177. --Legg Mason Value Trust (X)	C	Distribution	K	T					
178. -- Rydex Ser Trust Biotechnology Investor Class (X)		None			Buy	7/10	J		
179. ---					Buy	8/1	K		
180. ---					Sell	10/31	L	D	
181. ---									
182. Rental Property--Eastham, MA (X)	E	Rent	P1	W					
183. Trust No. 1 (X)	E	Distribution	P1	W					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	
3. Value Method Codes (See Column C2)					

FINANCIAL DISCLOSURE REPORT

Page 15 of 16

Name of Person Reporting

Davis, Andre M

Date of Report

06/30/2008

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of Report.)*

FINANCIAL DISCLOSURE REPORT

Page 16 of 16

Name of Person Reporting

Davis, Andre M

Date of Report

06/30/2008

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature _____

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544