



FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2008

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) GWIN, JAMES S.	2. Court or Organization N.D. OHIO	3. Date of Report 05/13/2009
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) JUDGE, ACTIVE	5a. Report Type (check appropriate type) ☐ Nomination, Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2008 to 12/31/2008
7. Chambers or Office Address CARL B. STOKES US COURT HOUSE 801 WEST SUPERIOR AVENUE CLEVELAND, OH 44113	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. _____	_____
2. _____	_____
3. _____	_____
4. _____	_____
5. _____	_____

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II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☐ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. At retirem	STATE OF OHIO PUBLIC EMPLOYEE RETIREMENT SYSTEM. RIGHT TO RETIREMENT BENEFITS
2. _____	_____
3. _____	_____

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III. NON-INVESTMENT INCOME. (Reporting individual and spouse; see pp. 17-24 of filing instructions.)**A. Filer's Non-Investment Income**☒ NONE (No reportable non-investment income.)

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - If you were married during any portion of the reporting year, complete this section.

(Dollar amount not required except for honoraria.)

☐ NONE (No reportable non-investment income.)

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. Monthly	INTERNATIONAL BUSINESS MACHINES, INC., pension
2. Monthly	salary, bonus, restricted stock
3.	
4.	

IV. REIMBURSEMENTS — transportation, lodging, food, entertainment.

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

☐ NONE (No reportable reimbursements.)

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. George Mason University	5/15 - 5/18	New Haven Connecticut	Educational program	Travel, lodging, food.
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*☒ NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1. _____	_____	_____
2. _____	_____	_____
3. _____	_____	_____
4. _____	_____	_____
5. _____	_____	_____

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*☒ NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1. _____	_____	_____
2. _____	_____	_____
3. _____	_____	_____
4. _____	_____	_____
5. _____	_____	_____

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VII. INVESTMENTS and TRUSTS — income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

1. IBM common stock (IBM)	B	Dividend	M	T					
2. Galaxy mutual funds	A	Dividend	J	T					
3. Berkshire Hathaway common stock (BRKA)	A	Dividend	M	T					
4. Vanguard Total Stock Market Index Fund, tax deferred	A	Dividend	M	T	Various purch		J		
5. Merrill Lynch money market fund	A	Dividend	K	T					
6. T.Rowe Price Mid-Cap Mutual Fund (RP MGX)	A	Dividend	K	T					
7. [REDACTED], common stock,	D	Dividend	O	T	Buy (add'l)	3/3	M		
8. [REDACTED], common stock,				T	Buy (add'l)	3/10	P1		
9. Fidelity Contra Fund, mutual fund (FCNTX)	A	Dividend	M	T					
10. Lord Abbett MidCap Fund, mutual fund (L AVSZ)	B	Dividend	L	T					
11. Davis New York Venture Fund, mutual fund (NYVTX)	A	Dividend	K	T					
12. General Electric, common stock (GE)	A	Dividend	J	T					
13. Exxon (XOM)	A	Dividend	K	T					
14. Camco Financial Corp., common stock (CA FI)	A	Dividend	J	T					
15. Heinz, common stock (HNZ)	A	Dividend	J	T					
16. Pfizer, common stock (PFE)	A	Dividend	J	T					
17. Bristol Myers, common stock (BMY)	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	
3. Value Method Codes (See Column C2)					

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18. Cisco, common stock (CSCO)	A	Dividend	J	T					
19. Walt Disney Co., common stock (DIS)	A	Dividend	J	T					
20. Microsoft Corp., common stock (MSFT)	A	Dividend	J	T					
21. Farmers National Bank, common stock (FM NB)	A	Dividend	J	T					
22. Intel Corporation, common stock (INTC)	A	Dividend	J	T					
23. Schwab Money Market Fund	E	Dividend	P1	T					
24. BB & T Corp., common stock (BBT)	A	Dividend	J	T					
25. Citigroup, common stock (C)	A	Dividend	J	T					
26. Chevron Texaco Co., common stock (CVX)	A	Dividend	K	T					
27. iShares Russell 1000 Value (IWD)		None			Buy	1/25	M		
28. iShares Russell 1000 Value (IWD)		None			Sold	9/23	M		
29. iShares MSCI EAFE Value Index (EFV)	A	Dividend			Sold	9/26	M		
30. iShares Morningstar Large Value Index (JKF)		None			Sell	9/26	K		
31. iShares Russell 2000 Growth (IWO)		None			Buy	6/18	L		
32. iShares Russell 2000 Growth (IWO)		None			Sold	9/26	K		
33. iShares Russell 3000 Value		None			Sold	9/26	K		
34. Conoco Phillips	A	Dividend	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	P3 = \$25,000,001 - \$50,000,000 Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	P4 = More than \$50,000,000 S = Assessment W = Estimated	T = Cash Market	

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35. Templeton Dragon Fund	A	Dividend	J	T					
36. Fpa Capital Fund (FPPTX)	A	Dividend	K	T					
37. Morgan Stanley Asia Fund (APF)	A	Dividend	J	T					
38. JPMorgan Chase & Co. (JPM)	A	Dividend	K	T					

1. Income Gain Codes:
(See Columns B1 and D4)A=\$1,000 or less
F=\$50,001 - \$100,0002. Value Codes
(See Columns C1 and D3)J=\$15,000 or less
N=\$250,001 - \$500,000
P3=\$25,000,001 - \$50,000,0003. Value Method Codes
(See Column C2)Q=Appraisal
U=Book Value

B=\$1,001 - \$2,500

G=\$100,001 - \$1,000,000

K=\$15,001 - \$50,000

O=\$500,001 - \$1,000,000

R=Cost (Real Estate Only)

V=Other

C=\$2,501 - \$5,000

H1=\$1,000,001 - \$5,000,000

L=\$50,001 - \$100,000

P1=\$1,000,001 - \$5,000,000

P4=More than \$50,000,000

S=Assessment

W=Estimated

D=\$5,001 - \$15,000

H2=More than \$5,000,000

M=\$100,001 - \$250,000

P2=\$5,000,001 - \$25,000,000

T=Cash Market

E=\$15,001 - \$50,000

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of Report.)*

Regarding the investment in the Vanguard Fund, this investment is made through an employer 401 plan sponsored at [REDACTED] employer. The yearly statutory maximum is met in the first quarter although we do not know the exact dates of purchase.

Regarding the Thrift Savings Plan Common Stock Index Fund, this investment is made through an employer 401 plan sponsored by the U.S. Courts. Deductions of \$1,375 are made monthly to fund this investment.

Regarding Schwab Money Market Fund (Part VII, 24) this investment is a money market fund to which investments and withdrawal are made relatively frequently although withdrawals (checks paid) are relatively infrequent.

Regarding the purchases of [REDACTED] stock in March, (Part VII, 17-8), this involved common stock restricted stock vesting from [REDACTED] employer.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544