

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2007

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) MCAULIFFE, STEVEN J	2. Court or Organization U.S. DISTRICT COURT - N.H.	3. Date of Report 05/12/2008
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. DISTRICT JUDGE (ACTIVE)	5a. Report Type (check appropriate type) ☐ Nomination, Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2007 to 12/31/2007
7. Chambers or Office Address WARREN B. RUDMAN COURTHOUSE 55 PLEASANT STREET, ROOM 416 CONCORD, N.H. 03301	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the <i>NONE</i> box for each part where you have no reportable information. Sign on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Fellow	New Hampshire Bar Foundation
2. Director	Challenger Center for Space Science Education
3. Trustee	Trust #1
4. Shareholder (50 percent)	Pleasant Lake Air, Inc., LLC
5. Partner	Pinchers' Investment Group (Neighborhood Investment Club)

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☒ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☒ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*☒ NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*☐ NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1. Citibank	Credit Card	J
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

1. Note Rec. R. Jobin		None	K	T					
2. NOW Acct Bow Mills Bank (Acquired 4/30 by Merr. Cty. Sav Bk)	A	Interest	J	T					
3. IRA Inv Co Amer Mut Fund	B	Dividend	L	T					
4. IRA Wash Mut Inv Fund	A	Dividend	J	T					
5. Exxon Mobil Corp.	A	Dividend	J	T	Partial Sale	1/8	J	D	Mkt
6. Bow Mills Bank Stock (D)		None			Sold	4/30	N	G	Merr. Cty. Sav. Bk.
7. UBS Retirement Money Fund	A	Interest	J	T					
8. Citigroup, Inc.	A	Dividend	J	T					
9. IRA Franklin Templeton For. Fund	A	Dividend	L	T					
10. IRA PA PACE Large Comp. Value Fund	A	Dividend			Sold	5/10	K	A	Mkt
11. Medtronic Inc.	A	Dividend			Sold	2/9	J	A	Mkt
12. Pinchers' Investment Group Ptnshp Share	A	Dividend	J	T					
13. -BCR	A	Dividend			Sold	3/16	J	B	Mkt
14. -MDI	A	Dividend			Sold	9/17	J	A	Mkt
15. -MSFT	A	Dividend	J	T					
16. -PFE	A	Dividend			Sold	1/12	J	A	Mkt
17. -Dentsply Int. Inc.	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A=\$1,000 or less F=\$50,001 - \$100,000	B=\$1,001 - \$2,500 G=\$100,001 - \$1,000,000	C=\$2,501 - \$5,000 H1=\$1,000,001 - \$5,000,000	D=\$5,001 - \$15,000 H2=More than \$5,000,000	E=\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J=\$15,000 or less N=\$250,001 - \$500,000 P3=\$25,000,001 - \$50,000,000	K=\$15,001 - \$50,000 O=\$500,001 - \$1,000,000	L=\$50,001 - \$100,000 P1=\$1,000,001 - \$5,000,000 P4=More than \$5,000,000	M=\$100,001 - \$250,000 P2=\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q=Appraisal U=Book Value	R=Cost (Real Estate Only) V=Other	S=Assessment W=Estimated	T=Cash Market	

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☐ NONE (No reportable income, assets, or transactions.)

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18. -BAC	A	Dividend	J	T					
19. -Air Products & Chem.	A	Dividend	J	T					
20. -Oceaneering Intl Inc.	A	Dividend	J	T					
21. -Whiting Petroleum Corp.	A	Dividend	J	T					
22. -Diageo (DEO)	A	Dividend	J	T					
23. -Energy Ptnrs Ltd. (EPL)	A	Dividend			Sold	7/19	J	A	Mkt
24. -Johnson & Johnson (JNJ)	A	Dividend			Sold	11/16	J	A	Mkt
25. -Tata Mtrs. (TMT)	A	Dividend	J	T					
26. -BHP Billiton Ltd ADR	A	Dividend			Buy	3/28	J		Mkt
27. -Gilead Sciences Inc.	A	Dividend			Buy	9/17	J		Mkt
28. -MEMC Electr. Matls.	A	Dividend			Buy	10/12	J		Mkt
29. -Quest Diagnostics	A	Dividend			Buy	2/9	J		Mkt
30. -Suntech Power Hldgs.	A	Dividend			Buy	7/19	J		Mkt
31. -Unilever NV	A	Dividend			Buy	3/28	J		Mkt
32. -USG Corp.	A	Dividend			Buy	1/12	J		Mkt
33. -Zebra Technologies	A	Dividend			Buy	4/13	J		Mkt
34. Bank of America Corp.	A	Dividend	J	T	Partial Sale	12/27	J	A	Mkt

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 I = More than \$5,000,000	E = \$15,001 - \$50,000 J = More than \$50,000,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P2 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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35. Lowes Cos, Inc.	A	Dividend			Sold	3/7	J	A	Mkt
36. CVS Caremark Corp.	A	Dividend	K	T					
37. Motorola, Inc.	A	Dividend			Sold	1/11	J	B	Mkt
38. Petroleo Brasileiro	A	Dividend	K	T	Partial Sale	1/16	K	E	Mkt
39. Praxair, Inc.	A	Dividend	K	T					
40. Staples	A	Dividend			Sold	5/10	K	D	Mkt
41. LM Ericsson Telefon Co.	A	Dividend			Sold	12/11	J	A	Mkt
42. IRA J Shares Russell Fund	A	Dividend	K	T					
43. IRA Midcap SPDR TR	A	Dividend	K	T					
44. Fed. Prime MM Fund	A	Dividend	J	T					
45. BMB NOW Acct. (2)(Acquired by Merr. Cty. Sav. Bk. 4/30)	B	Interest	M	T					
46. Cemex S.A.	A	Dividend	J	T					
47. Ishares Trust S&P Tele	A	Dividend	J	T					
48. Internet Holders Trust	A	Dividend			Sold	5/10	J	A	Mkt
49. Aus & NZ Bkg Grp	A	Dividend			Sold	5/10	J	C	Mkt
50. Diageo (DEO)		None	J	T					
51. Franklin Res. Inc.		None	J	T					

1. Income Gain Codes: (See Columns E1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	
3. Value Method Codes (See Column C2)					

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52. Therme Fisher Sci. (TMO)		None	J	T					
53. Am Cap Str. Ltg.	A	Dividend			Sold	1/8	J	B	Mkt
54. NFJ DVD Int & Pr FD	A	Dividend	K	T	Partial Sale	6/13	J	A	Mkt
55. United Health Group Inc.	A	Dividend			Sold	2/9	J	A	Mkt
56. Wellpoint, Inc.	A	Dividend	J	T					
57. Enterprise Prods. Ptnrs.	A	Dividend	J	T					
58. MFS Intl Divers. FD	A	Dividend	J	T					
59. Agree Realty Corp.	A	Dividend			Sold	4/2	J	A	Mkt
60. Suncor Energy Inc.	A	Dividend			Sold	9/25	J	C	Mkt
61. Chesapeake Energy Corp.	A	Dividend	J	T					
62. Joy Global Inc.	A	Dividend	K	T					
63. Citizens Commo. Co.	A	Dividend	J	T					
64. Cohen & Steers Prem. Inc. Realty Fund	A	Dividend			Sold	1/17	J	A	Mkt
65. Kinder Morgan Energy LP	A	Dividend	J	T					
66. Alaska Com Sys Grp Inc.	A	Dividend	J	T					
67. Penn VA Resource Pt. LP	A	Dividend	J	T	Addl. Buy	10/2	J		Mkt
68. Cohen & Steers Select Util Fd	A	Dividend			Sold	8/17	J	A	Mkt

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69. Nordic Am. Tanker Shipping Ltd	A	Dividend			Sold	11/30	J	A	Mkt
70. GMH CMNTYS TR	A	Dividend			Sold	4/2	J	A	Mkt
71. American Intl Grp	A	Dividend			Sold	8/6	J	A	Mkt
72. Bayer AG Spon ADR	A	Dividend			Buy	9/5	K		Mkt
73. Bayer AG Spon ADR	A	Dividend			Sold	10/24	K	B	Mkt
74. Adobe Systems, Inc.	A	Dividend	J	T	Buy	10/29	J		Mkt
75. Cisco Systems, Inc.	A	Dividend	J	T	Buy	10/29	J		Mkt
76. Goodyear Corp.	A	Dividend	J	T	Buy	5/10	J		Mkt
77. Myriad Genetics, Inc.	A	Dividend	K	T	Buy	9/5	K		Mkt
78. Shire PLC ADR	A	Dividend	J	T	Buy	5/10	J		Mkt
79. Williams Cos., Inc.	A	Dividend	J	T	Buy	10/29	J		Mkt
80. Ishares TR Russell 2000 Index Fund	A	Dividend	J	T	Buy	5/10	J		Mkt
81. Ishares TR Russell Midcap Val. Index Fund	A	Dividend	J	T	Buy	5/10	K		Mkt
82. Allied Capital Corp.	A	Dividend			Buy	1/25	J		Mkt
83. Allied Capital Corp.	A	Dividend			Sold	11/30	J	A	Mkt
84. Apollo Inv. Corp.	A	Dividend			Buy	3/16	J		Mkt
85. Apollo Inv. Corp.	A	Dividend			Sold	8/6	J	A	Mkt

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86. Blackrock Cap & Income	A	Dividend			Buy	11/8	J		Mkt
87. Blackrock Cap & Income	A	Dividend			Sold	12/20	J	A	Mkt
88. Cohen & Steers	A	Dividend			Buy	4/18	J		Mkt
89. Cohen & Steers	A	Dividend			Sold	8/17	J	A	Mkt
90. Cons Communications	A	Dividend			Buy	8/1	J		Mkt
91. Cons Communications	A	Dividend			Sold	4/30	J	A	Mkt
92. DWS RREEF	A	Dividend			Buy	1/11	J		Mkt
93. DWS RREEF	A	Dividend			Sold	4/3	J	A	Mkt
94. Nuveen Equity	A	Dividend			Buy	9/6	J		Mkt
95. Nuveen Equity	A	Dividend			Sold	12/20	J	A	Mkt
96. Paragon Shipping	A	Dividend			Buy	9/19	J		Mkt
97. Paragon Shipping	A	Dividend	J	T	Partial Sale	11/13	J	B	Mkt
98. Diana Shipping	A	Dividend			Buy	4/4	J		Mkt
99. Diana Shipping	A	Dividend	K	T	Partial Sale	11/13	J	B	Mkt
100. Norfolk South. Corp.	A	Dividend	J	T	Buy	10/2	J		Mkt
101. Kraft Foods	A	Dividend	J	T	Buy	4/9	J		Mkt
102. American Eagle Outfitters	A	Dividend	J	T	Buy	12/11	J		Mkt

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103. Altria Group	A	Dividend	J	T	Buy	3/7	J		Mkt
104. Lloyds TSB Group	A	Dividend	J	T	Buy	11/30	J		Mkt
105. Blackrock ENH Div Tr Com	A	Dividend	J	T	Buy	11/14	J		Mkt
106. Eaton Vance Tax Mng Buywrite Inc.	A	Dividend	J	T	Buy	12/20	J		Mkt
107. Eaton Vance Tax Mng.	A	Dividend	J	T	Buy	12/20	J		Mkt
108. Nicholas-Applegate Intl & Prem.	A	Dividend	J	T	Buy	12/27	J		Mkt
109. Alpine Dyn. Div. Fund	A	Dividend	J	T	Buy	1/30	J		Mkt
110. Blackrock Real Asset Tr	A	Dividend	J	T	Buy	7/13	J		Mkt
111. Reliance Steel & Al.	A	Dividend			Buy	5/10	J		Mkt
112. Reliance Steel & Al.	A	Dividend			Sold	9/5	J	A	Mkt
113. IRA Ishares Russell Mid-cap	A	Dividend	K	T	Buy	5/10	K		Mkt
114. IRA Saytem Comp. Svcs.	A	Dividend	J	T	Buy	5/10	J		Mkt
115. Bow Mills Bank Stock [C]		None			Sold	4/30	L	F	Merr. Cty. Sav. Bk.
116. Bank of Am. Corp. [C]	A	Dividend	K	T					
117. Lowes Cos., Inc. [C]	A	Dividend	J	T					
118. BP PLC ADR [C]	A	Dividend	K	T					
119. Fed. Prime Obig. MM Fund [C]	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

120. Allied Capital Corp [C]	A	Dividend			Sold	11/30	J	A	Mkt
121. Wellpoint, Inc. [C]	A	Dividend	K	T					
122. Kinder Morgan Energy Ptnrs. LP [C]	A	Dividend	J	T					
123. CVS Caremark Corp. [C]	A	Dividend	K	T					
124. United Health Group [C]	A	Dividend			Sold	2/9	J	A	Mkt
125. Cemex S.A. [C]	A	Dividend	K	T					
126. Suncor Energy [C]	A	Dividend			Sold	1/8	J	A	Mkt
127. Citigroup Inc. [C]	A	Dividend	J	T					
128. American Cap Str Ltd. [C]	A	Dividend			Sold	8/6	J	A	Mkt
129. NFJ Dvd Int & PR FD [C]	A	Dividend	K	T					
130. Enterprise Prod Pt [C]	A	Dividend	K	T					
131. Medtronic Inc [C]	A	Dividend			Sold	3/6	J	A	Mkt
132. Ing Global Eq [C]	A	Dividend			Sold	6/13	J	A	Mkt
133. United Health Group [C]	A	Dividend			Sold	2/9	J	A	Mkt
134. Am. Intl Grp Inc. [C]	A	Dividend			Sold	8/6	J	A	Mkt
135. Blackrock En. Div. Tr. [C]	A	Dividend			Sold	6/13	J	A	Mkt
136. MFS Intl Div FD [C]	A	Dividend	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 E2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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MCAULIFFE, STEVEN J

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137. Agree Realty [C]	A	Dividend			Sold	4/2	J	A	Mkt
138. Chesapeake Energy [C]	A	Dividend	K	T					
139. Citizens Commo [C]	A	Dividend	K	T					
140. Joy Global [C]	A	Dividend	K	T					
141. Cohen & Steers Prem Rlty FD [C]	A	Dividend			Sold	8/17	J	A	Mkt
142. Cohen & Steers Select Util Fund Inc. [C]	A	Dividend			Sold	8/17	J	A	Mkt
143. Penn VA Res. Ptnrs. [C]	A	Dividend	J	T					
144. Alaska Comm. Sys [C]	A	Dividend	J	T					
145. Nordic Am Tanker Ltd [C]	A	Dividend			Sold	1/8	J	A	Mkt
146. GMH CMNTYS Tr [C]	A	Dividend			Sold	4/2	J	A	Mkt
147. Alpine Ser Tr [C]	A	Dividend	K	T					
148. Alliance Resource Ptnrs [C]	A	Dividend	K	T	Buy	10/16	K		Mkt
149. Altria Group [C]	A	Dividend	J	T	Buy	3/7	J		Mkt
150. Kraft Foods, Inc. [C]	A	Dividend	J	T	Buy	4/2	J		Mkt
151. Paragon Shipping [C]	A	Dividend	J	T	Buy	12/18	J		
152. Diana Shipping Inc. [C]	A	Dividend	J	T	Buy	3/19	J		Mkt
153. Lloyd's TSB Grp [C]	A	Dividend	K	T	Buy	11/30	K		Mkt

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000 R = Cost (Real Estate Only) V = Other	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000 S = Assessment W = Estimated	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000 T = Cash Market	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)					
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value				

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
154. Blackrock Real Asset [C]	A	Dividend	K	T	Buy	4/16	K		Mkt
155. Blackrock Cap & Inc Str [C]	A	Dividend	J	T	Buy	4/16	J		Mkt
156. Eaton Vance Tax Mng Buywrite Inc. [C]	A	Dividend	K	T	Buy	12/11	K		Mkt
157. Eaton Vance Tax Mng [C]	A	Dividend	K	T	Buy	12/20	K		Mkt
158. Nicholas-Applegate Intl. & Prem. Str. [C]	A	Dividend	K	T	Buy	12/27	K		Mkt
159. Advent Claymore G&I [C]	A	Dividend			Buy	3/22	J		Mkt
160. Advent Claymore G&I [C]	A	Dividend			Sold	9/18	J	A	Mkt
161. Allied Capital [C]	A	Dividend			Buy	9/27	J		Mkt
162. Allied Capital [C]	A	Dividend			Sold	11/30	J	A	Mkt
163. CEF Apollo [C]	A	Dividend			Buy	1/31	K		Mkt
164. CEF Apollo [C]	A	Dividend			Sold	8/6	K	A	Mkt
165. Blackrock ENH Div [C]	A	Dividend			Buy	10/23	J		Mkt
166. Blackrock ENH Div [C]	A	Dividend			Sold	12/11	J	A	Mkt
167. DWS RREEF [C]	A	Dividend			Buy	1/12	K		Mkt
168. DWS RREEF [C]	A	Dividend			Sold	4/2	K	A	Mkt
169. Canadian Coal [C]	A	Dividend			Buy	4/4	K		Mkt
170. Canadian Coal [C]	A	Dividend			Sold	5/25	K	A	Mkt

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
171. ING [C]	A	Dividend			Buy	6/6	K		Mkt
172. ING [C]	A	Dividend			Sold	9/26	K	A	Mkt
173. Nuveen Equity [C]	A	Dividend			Buy	9/6	K		Mkt
174. Nuveen Equity [C]	A	Dividend			Sold	11/8	K	A	Mkt
175. Thornburg Mtg [C]	A	Dividend			Buy	9/6	J		Mkt
176. Thornburg Mtg [C]	A	Dividend			Sold	11/15	J	A	Mkt
177. Nordic Am. Tanker [C]	A	Dividend			Buy	8/6	K		Mkt
178. Nordic Am Tanker [C]	A	Dividend			Sold	12/12	K	A	Mkt
179. RMA Tax-Free Fund [K]	A	Interest	K	T	Buy	6/25	K		Mkt
180. UBS Pace MM Inv Fund Class P [K]	A	Interest	J	T	Buy	6/25	J		Mkt
181. Am Funds Growth Fund of Am Class F [K]	A	Dividend	J	T	Buy	6/25	J		Mkt
182. John Hancock Classic Val Fund Class A [K]	A	Dividend	J	T	Buy	6/25	J		Mkt
183. Oppenheimer Main St. Small Cap Fd A [K]	A	Dividend	J	T	Buy	6/25	J		Mkt
184. RS Value Fund Class A [K]	A	Dividend	J	T	Buy	6/25	J		Mkt
185. Thornburg Intl Value Fund Class A [K]	A	Dividend	J	T	Buy	6/25	J		Mkt
186. DWS Core Fixed Income Fund Class A [K]	A	Dividend	J	T	Buy	6/25	J		Mkt
187. FT Templeton Global Bond A [K]	A	Dividend	J	T	Buy	6/25	J		Mkt

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
188. Manager's Bond Fund [K]	A	Dividend	J	T	Buy	6/25	J		Mkt

1. Income Gain Codes. (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and C3)					
3. Value Method Codes (See Column C2)	● = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of Report.)*

PART I. POSITIONS.

Pleasant Lake Air, Inc., LLC, is a corporation formed to own a Cessna 182 Skylane single engine private airplane. I own a one-half interest in the corporation (and thus the airplane).

PART VI. LIABILITIES.

I own a summer cottage with a former law partner, Edward E. Shumaker, III, as tenants in common, which property is mortgaged in part. That liability is not disclosed since it is secured by real property which is a personal residence.

PART VII. INVESTMENTS AND TRUSTS.

Trust #1 assets are listed in Part VII, identified by the designation "[c]."

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MCAULIFFE, STEVEN J

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.



NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY PROVIDES FALSE INFORMATION MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544