

AO-10
Rev. 1/2004

FINANCIAL DISCLOSURE REPORT
FOR CALENDAR YEAR 2004

COPY

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (Last name, First name, Middle initial) PAEZ, RICHARD A	2. Court or Organization U.S. COURT OF APPEALS, 9TH CIR	3. Date of Report 5/19/2005
4. Title (Article III Judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. CIRCUIT JUDGE (ACTIVE)	5. Report Type (check appropriate type) ☐ Nomination, ☐ Date ☐ Initial ☒ Annual ☐ Final	6. Reporting Period 1/1/2004 to 12/31/2004
7. Chambers or Office Address 125 S. GRAND AVENUE Suite 300 PASADENA, CA 91105	8. On the basis of the information contained in this Report and any verifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☒ **NONE** - (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☐ **NONE** - (No reportable agreements.)

DATE

PARTIES AND TERMS

1. **9/94**

**Los Angeles County Tax Deferred Compensation Program. Deferred Salary payments - 4 annual payments
beginning 2002**

2. **9/98**

California Judges Retirement System - Deferred Retirement

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III. NON-INVESTMENT INCOME (Reporting individual and spouse; see pp. 17-24 of filing instructions)**A. Filer's Non-Investment Income**☐ **NONE** - (No reportable non-investment income.)DATESOURCE AND TYPEGROSS INCOME

(yours, not spouse's)

1. 7/1/04

Great West Life (Los Angeles County Deferred Comp)

17,409

B. Spouse's Non-Investment Income (If you were married during any portion of the reporting year, please complete this section. Dollar amount not required except for bonuses.)☐ **NONE** - (No reportable non-investment income.)DATESOURCE AND TYPE

1. 2003

Pacific Oaks College

IV. REIMBURSEMENTS - ~~transportation~~ lodging, food, ~~entertainment~~

(Includes those to spouse and dependent children. See pp. 25-27 of instructions.)

☐ **NONE** - (No such reportable reimbursements.)SOURCEDESCRIPTION

1. Harvard Law School

Moot Court (Travel & Lodging)

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V. GIFTS. (Includes those to spouse and dependent children. See pp. 28-31 of instructions.)☒ **NONE** - (No such reportable gifts.)SOURCEDESCRIPTIONVALUE

1.

VI. LIABILITIES. (Includes those of spouse and dependent children. See pp. 32-34 of instructions.)☐ **NONE** - (No reportable liabilities.)CREDITORDESCRIPTIONVALUE CODE

1. Washington Mutual Savings

Mortgage Loan

M

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VII. INVESTMENTS and TRUSTS — income, value, transactions (includes those of the spouse and dependent children. See pp. 34-57 of filing instructions.)

A. Description of Assets (including trust assets) Place "X" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	If not exempt from disclosure			
	Account Code 1 (A-H)	Type (e.g. div. rent. or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g. buy, sell, merger, redemption)	(2) Date: Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A- H)	(5) Identity of buyer/seller (if private transaction)
☐ NONE (No reportable income, assets, or transactions)									
1. Fidelity Magellan	A	Dividend	J	T					
2. Fidelity Cash Reserves	A	Dividend	K	T	Sell	1/2	K	A	
3. Fidelity Short Term Bond	A	Dividend	L	T					
4. Fidelity Ginnie Mae	A	Dividend	L	T					
5. Fidelity Govt. Income	A	Dividend	L	T					
6. Fidelity Govt. Income	A	Dividend	K	T					
7. Condominium, Whatcom, Wa.			M	T	Sell	10/1	M		Daniel Eisses - See Part VII
8. Los Angeles Co. Deferred Comp									See Part VIII
9. IBM 401K Plan	A	Dividend	N	T					

1. Income-Gain Codes:

(See Columns B1 and D4)

A = \$1,000 or less

F = \$90,001-\$100,000

J = \$15,000 or less

N = \$250,000-\$300,000

P3 = \$250,000.01-\$500,000.000

Q = Appraisal

U = Book Value

B = \$1,001-\$2,500

G = \$100,001-\$1,000,000

K = \$15,001-\$60,000

O = \$900,001-\$1,000,000

R = Cost (Real Estate Only)

V = Other

C = \$2,501-\$5,000

H1 = \$1,000,001-\$5,000,000

L = \$50,001-\$100,000

P1 = \$1,000,001-\$5,000,000

P4 = \$5,000,001-\$25,000,000

S = Assessment

W = Estimated

D = \$5,001-\$15,000

H2 = More than \$5,000,000

M = \$100,001-\$250,000

P2 = \$5,000,001-\$25,000,000

T = Cash/Market

E = \$15,001-\$60,000

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS

(Indicate part of Report.)

(1) Part VII: [REDACTED] and I were co-owners of a condominium in Whatcom County, Wa. We sold the condo in October 2004. All gains were retained by our co-owners. [REDACTED] and I did not receive any proceeds from the sale.

(2) Part VII: I previously have reported my participation in Los Angeles County's deferred compensation program in Section II and VII. I received my third payment on 7/1/2003 and it is reported in Section III.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature

Date

5/19/05

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544