



United States District Court

Northern District of Illinois

219 South Dearborn Street

Chicago, Illinois 60604

Chambers of
Robert W. Gettleman
Judge

August 13, 2004

(312) 435-5543

Hon. Mary M. Lisi
Chair, Judicial Conference of the United
States, Committee on Financial Disclosure
One Columbus Circle, N.E.
Washington, DC 20544

FINANCIAL
DISCLOSURE OFFICE

AUG 18 10 52 AM '04

RECEIVED

Re: Calendar Years 2003 Financial Disclosure Report Filing

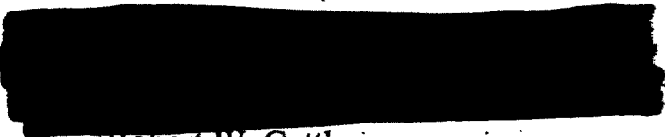
Dear Judge Lisi:

Thank you for your letter of August 2, 2004. With respect to the matter addressed on page two of your letter, concerning the Morgan Stanley Natural Resources Fund listed at line 39, page 3, Part VII of my 2002 Report, please be advised that I did in fact list the asset at line 7, page 1 of Part VII of my 2003 Report, because there was a name change in this fund from MSDW (Morgan Stanley Dean Witter) Midcap Growth Fund to MS (Morgan Stanley) Natural Resources. However, I note there is an error in item 7; the value should be listed at level "J" instead of "K."

With respect to your advice regarding Part II, line 1-3, I listed those partnership interests there because these are general partnerships, not limited partnerships. I mistakenly believed these were appropriately listed in Part II because they are governed by general partnership agreements. I will omit them in Part II in future filings.

If there are any other questions, please let me know. I hope and trust that this will complete my 2003 filing.

Very truly yours,


Robert W. Gettleman
Judge, U.S. District Court

RWG:mg

FINANCIAL DISCLOSURE REPORT

Calendar Year 2003

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (Last name, First name, Middle initial) Gettleman, Robert W	2. Court or Organization U. S. District, N.D. Illinois	3. Date of Report 5/13/2004
4. Title (Article III Judges indicate active or senior status; magistrate judges indicate full- or part-time) U. S. District Judge (active)	5. Report Type (check appropriate type) ☐ Nomination, Date ☐ Initial ☒ Annual ☐ Final	6. Reporting Period 1/1/2003 to 12/31/2003
7. Chambers or Office Address 219 S. Dearborn St. Chambers 1788 Chicago, IL 60604	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	

IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☒ NONE - (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☐ NONE - (No reportable agreements.)

DATE

PARTIES AND TERMS

- | | |
|----|--|
| 1. | Bolar & Co., Evanston, IL (Passive investments with [REDACTED] in limited partnerships & securities (listed in Part VII). Cont. in Part VIII) |
| 2. | General Partner: Bob Jo Investments (passive investment partnership with Joel Rubin in single real estate venture; we each own 50% Cont. in Part VIII) |
| 3. | General Partner: DP Partners (general passive investment partnership that owns condominium units in Philadelphia; [REDACTED] and I own Cont. in Part VIII) |

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III. NON-INVESTMENT INCOME. (Reporting individual and spouse; see pp. 17-24 of filing instructions)**A. Filer's Non-Investment Income**☐ **NONE** - (No reportable non-investment income.)

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>GROSS INCOME</u> (yours, not spouse's)
1. 2003	Northwestern University School of Law; taught courses	4000.00

B. Spouse's Non-Investment Income - (If you were married during any portion of the reporting year, please complete this section. (dollar amount not required except for honoraria)☐ **NONE** - (No reportable non-investment income.)

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2003	LCSW, Private Social Work Practice

IV. REIMBURSEMENTS -- transportation, lodging, food, entertainment.

(Includes those to spouse and dependent children. See pp. 25-27 of instructions.)

☐ **NONE** - (No such reportable reimbursements.)

<u>SOURCE</u>	<u>DESCRIPTION</u>
1. American Bar Association, Section of Litigation	January 30 - February 1, 2003, Palm Beach, FL, (Transportation, meals and room)
2. LA Intellectual Property Law Association	June 5-8, 2003, La Jolla, CA (Transportation, meals and room)
3. American Bar Association, Section of Litigation	June 18-22, 2003, Park City, UT (Transportation, meals and room)

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V. GIFTS. (Includes those to spouse and dependent children. See pp. 28-31 of instructions.)

☒ NONE - (No such reportable gifts.)

SOURCE

DESCRIPTION

VALUE

1. _____

VI. LIABILITIES. (Includes those of spouse and dependent children. See pp. 32-34 of instructions.)

☒ NONE - (No reportable liabilities.)

CREDITOR

DESCRIPTION

VALUE CODE

1. _____

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Name of Person Reporting
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VII. INVESTMENTS and TRUSTS — income, value, transactions (includes those of the spouse and dependent children. See pp. 34-57 of filing instructions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	If not exempt from disclosure			
	Amount Code 1 (A-H)	Type (e.g. div. rent. or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g. buy, sell, merger, redemption)	(2) Date: Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
☐ NONE (No reportable income, assets, or transactions)									
1. D'Ancona 222 Associates (Limited Partnership)	A	Dividend	J	U					
2. KeyBonus Deferred Annuity	C	Interest	L	T					
3. Citibank (Chicago)	A	Interest	J	T					
4. Bob Jo Investments (Real Estate)		None	J	U					
5. Morgan Stanley Liq. Asset Fund	A	Interest	J	T					
6. Canadian So. Pet. (Common)	A	None	J	T					
7. MSDW Mid-Cap Growth Fund n/k/a MS Natural Resources	A	Dividend	K	T					
8. DP Partners	A	Dividend	J	U					
9. Morgan Stanley Active Assets Money Trust	C	Interest	L	T					
10. Niles IL WTR Rev. (Bond)	A	Interest			redemption	11/3	J	A	
11. Compania De Minas Buenaventura	A	Dividend	J	T	buy	10/27	J		
12. Ill. St. Civic CTR REF-SPL (Bond)	A	Interest	J	T					
13. American Superconductor	A	Dividend	J	T					
14. Regional Trans Auth. Ill. (Bond)	A	Interest	J	T					
15. Illinois St. Gen. Oblig. (Bond)	A	Interest	J	T					
16. Ill. Health Facs. Auth. (Bond)	A	Interest	J	T					
17. Honeywell International Inc	A	Dividend	J	T					
18. Newmont Mining	A	Dividend	J	T					

1. Income/Gain Codes: A = \$1,000 or less B = \$1,001-\$2,500 C = \$2,501-\$5,000 D = \$5,001-\$15,000 E = \$15,001-\$50,000
(See Columns B1 and D4) F = \$50,001-\$100,000 G = \$100,001-\$1,000,000 H1 = \$1,000,001-\$5,000,000 H2 = More than \$5,000,000
2. Value Codes: J = \$15,000 or less K = \$15,001-\$50,000 L = \$50,001-\$100,000 M = \$100,001-\$250,000
(See Columns C1 and D3) N = \$250,000-\$500,000 O = \$500,001-\$1,000,000 P1 = \$1,000,001-\$5,000,000 P2 = \$5,000,001-\$25,000,000
P3 = \$25,000,001-\$50,000,000 P4 = More than \$50,000,000
3. Value Method Codes: Q = Appraisal R = Cost (Real Estate Only) S = Assessment T = Cash/Market
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Name of Person Reporting
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VII. INVESTMENTS and TRUSTS – income, value, transactions (includes those of the spouse and dependent children. See pp. 34-57 of filing instructions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g. div. rent. or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, merger, redemption)	If not exempt from disclosure			
						(2) Date: Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
19. Catellus Dev. Corp.	A	Dividend	J	T					
20. *Items 21 through 49 are Bolar investments.									
21. Morgan Stanley Active Asset Account (see part VIII)	E	Dividend	M	T					
22. Bravo Restaurants, Inc.		None	K	W					
23. Naperville Washington Venture		None	M	U					
24. D'Ancona Citation Associates	C	Dividend	K	U					
25. Morgan Stanley Liq. Asset Fund	A	Dividend	J	T					
26. Morgan Stanley Active Asset Trust	A	Dividend	J	T					
27. Morgan Stanley Dev. Growth Fund	A	Dividend	J	T					
28. Morgan Stanley Div. Growth Fund	A	Dividend/Cap	J	T					
29. CIFRA n/k/a Wal Mart De Mex	A	Dividend	J	T	partial sale	5/14	J		
30. Hawaiian Holdings f/n/a Hawaiian Airlines	A	Dividend			sell	12/11	J	A	
31. Abbott Labs	A	Dividend	J	T					
32. Price Enterprises Preferred n/k/a Price Legacy Corp.	A	Dividend	J	T					
33. Hewlett Packard	A	Dividend			sell	12/11	J	A	
34. American Superconductor	A	Dividend	J	T					
35. Microvision	A	Dividend	J	T	partial sale	3/5	J		
36. Occidental Petroleum	A	Dividend	J	T					

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37. MS Aggressive Equity Fund B	A	Dividend	J	T					
38. Goodyear Tire & Rubber	A	Dividend	J	T	buy	1/9	J		
39. Agilent Technologies	A	Dividend	J	T					
40. Barrick Gold Corp.	A	Dividend	J	T					
41. Gold Corp	A	Dividend	J	T					
42. Homestake Mining now known as Barrick Gold	A	Dividend	J	T					
43. Gold Fields LTD SP ADR	A	Dividend			sell	12/11	J	A	
44. New Park Res NEW	A	Dividend	J	T	buy	12/8	J		
45. Placer Dome Inc.	A	Dividend	J	T					
46. Tejon Ranch Co.	A	Dividend	J	T					
47. Serivce Corp	A	Dividend	J	A					
48. Stewart Enterprises A	A	Dividend	J	T	buy	6/2	J		
49. Ultra Petroleum Corp	A	Dividend	J	T	buy	12/11	J		
50. ** Items 51 through 104 are Morgan Stanley IRA investments									
51. American Mutual Fund	C	Dividend	K	T					
52. Amer. FDS Cap. Bldrs.	C	Dividend	K	T					
53. BICO Inc.	A	Dividend	J	T					
54. Growth Fund of Amer.	C	Dividend	K	T					

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55. U.S. Treas. Bonds	A	Interest	L	T					
56. Morgan Stanley U.S. Govt. Sec.	C	Dividend	M	T					
57. Morgan Stanley Liq. Asset Fund	B	Dividend	K	T					
58. Morgan Stanley European Growth	A	Dividend	K	T					
59. Morgan Stanley Japan Fund B	A	Dividend	J	T	sell	7/17	J	A	
60. Morgan Stanley Pacific Growth B	A	Dividend	J	T					
61. American FDS US Govt Sec	A	Dividend	J	T					
62. Catellus Dev. Corp	A	Dividend	J	T					
63. Apple	A	Dividend	J	T					
64. Financing Corp CPN Strips Ser 1 INT PMT 10.000 2018	A	Dividend	K	T					
65. LA Research FD SM CP SER B now know as Lord Abbott	A	Dividend	K	T					
66. Los Angeles CO CA Pension OBLIG CAP APPREC_C IBC	E	Dividend	K	T					
67. Maytag Corp	A	Dividend	J	T					
68. Microvision Inc (Wash)	A	Dividend	J	T	partial sale	3/5	J		
69. PG&E Corporation	A	Dividend	J	T	buy	1/9	J		
70. PG&E Corporation	A	Dividend	J	T	partial sale	7/22	J		
71. Putnam Health Science Trust	A	Dividend	J	T					
72. Sacramento Cnty Calif Go Pens FDG-A Taxable OY 7.53 BE	A	Dividend	J	T					

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73. Tenn VY Auth 6.75% 6-1-28 SR D	A	Dividend	J	T					
74. Transamer Quibs	A	Dividend			redemption	11/3	J	A	
75. UNISYS Corp	A	Dividend	J	T	buy	1029	J		
76. Unit MSDW Equity Trust	A	Dividend			redemption	1/9	J	A	
77. Barrick Gold Corp	A	Dividend	J	T					
78. Gold Corp	A	Dividend	J	T	buy	9/24	J		
79. Houston Lighting & Power Company	A	Dividend			redemption	7/1	J	A	
80. Humana, Inc.	A	Dividend		T	sell	10/22	J	A	
81. Meridian Gold Corp	A	Dividend			sell	3/25	J	A	
82. Newmont Mining Corp	A	Dividend	J	T					
83. Placer Dome, Inc.	A	Dividend	J	T	buy	1/2	J		
84. Teck Corp Class B	A	Dividend	J	T					
85. Schering Plough	A	Dividend	J	T					
86. Bristol Myers Squibb Co.	A	Dividend	J	A	buy	11/28	J		
87. Bunge Ltd	A	Dividend	J	A	buy	4/30	J		
88. Coeur D. Alene Mns Cp	A	Dividend	J	A	buy	11/3	J		
89. Compania De Minas Buenaventura	A	Dividend	J	A	buy	8/11	J		
90. Compania De Minas Buenaventura	A	Dividend	J	A	buy	10/24	J		

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						(2) Date: Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
91. Edison International	A	Dividend	J	A	buy	5/9	J		
92. Glamis Gold Limited	A	Dividend	J	A	buy	8/14	J		
93. Halliburton Co. Hldg Co.	A	Dividend	J	A	buy	5/9	J		
94. Halliburton Co. Hldg. Co.	A	Dividend	J	A	partial sale	10/27	J		
95. Long View Fibre Co. Wash	A	Dividend	J	A	buy	3/28	J		
96. Long View Fibre Co. Wash	A	Dividend	J	A	partial sale	10/22	J		
97. NiSource, Inc.	A	Dividend	J	A	buy	9/9	J		
98. Novell Inc.	A	Dividend	J	A	buy	11/28	J		
99. Randgold Resources Ltd. ADS	A	Dividend	J	A	buy	5/19	J		
100. Randgold Resources Ltd. ADS	A	Dividend	J	A	partial sale	9/29	J		
101. Sun Microsystems, Inc.	A	Dividend	J	A	buy	11/28	J		
102. SLM Corp Ser CPI/Floater	A	Dividend	J	A	buy	11/21	J		
103. Tenet Healthcare Corp	A	Dividend	J	A	buy	6/2	J		
104. World Finl Network Natl Bank Gahanna Oh CD	A	Dividend	J	A	buy	6/4	J		

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS

(Indicate part of Report.)

Part II Agreements.

No.1: We each own 50% of the partnership which owns passive limited partnership interest and a Morgan Stanley Active Assets account. Current value of Bolar & Co. is no more than \$250,000.

No. 2: of one limited partnership interest).

No. 3: a 4.44% interest and have no role in management).

Part VII Investments and Trusts. Line 21: All Bolar holdings from items 21 through 49 are or were held in a Morgan Stanley "Active Assets Account." In future years this item will be omitted as redundant.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature

Date

May 13, 2004

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544