

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2009

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Bartle III, Harvey	2. Court or Organization U.S. District Court, E.D. Pa.	3. Date of Report 05/12/2010
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Chief U.S. District Judge	5a. Report Type (check appropriate type) ☐ Nomination, ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2009 to 12/31/2009
7. Chambers or Office Address 16614 U.S. Courthouse 601 Market Street Philadelphia, PA 19106	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

POSITION	NAME OF ORGANIZATION/ENTITY
1. _____	_____
2. Co-Trustee	Trusts under Will
3. Co-Executor	Estate
4. _____	_____
5. _____	_____

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE	PARTIES AND TERMS
1. _____	_____
2. _____	_____
3. _____	_____

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2009	Co-Executor Fee	\$18,000.00
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2009	Texas Teachers Retirement System - Pension
2. 2009	***** - Salary
3.	
4.	

IV. REIMBURSEMENTS - *transportation, lodging, food, entertainment**(Includes those to spouse and dependent children, see pp. 25-27 of filing instructions.)*☒ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☐ NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1. The Philadelphia Bar Association	Membership (extended to all judges)	\$383.00
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☒ NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Eastman Kodak (Com.)		None	J	T					
2. General Motors		None			Sold	04/08/09	J	A	
3. IBM (Com.)	A	Dividend	K	T					
4. Xerox (Com.)	A	Dividend	J	T					
5. Johnson & Johnson	A	Dividend	J	T	Buy	05/14/09	J		
6. Delphi Automotive Systems (Com.)		None	J	T					
7. TD Banknorth (previously Banknorth)	A	Interest	L	T					
8. Vanguard-Windsor II (IRA rollover)		None	M	T					
9. Merrill Lynch (Mercury U.S. Large Cap Fund Class B)		None	J	T					
10. TIAA/CREF (Retirement Fund)	C	Dividend	N	T					
11. Vanguard (Wellington Fund)	B	Dividend	L	T					
12. Vanguard (Wellington Fund Admr)	D	Dividend	M	T					
13. Vanguard (Pa. Long Term Tax Exempt Fund)	A	Interest	J	T					
14. American Mut. Fd. Inc. A (X)	B	Dividend	L	T	Buy	04/08/09	L		
15. Capital World Growth & Inc. Fd. Class A (X)	B	Dividend	L	T	Buy	04/08/09	L		
16. European Growth Fd. Class A (X)	B	Dividend	L	T	Buy	04/08/09	L		
17. Franklin Pa. Tax Free Inc. Fd. (X)	D	Dividend	M	T	Buy	05/14/09	M		

1. Income Gain Codes
(See Columns B1 and D4)

2. Value Codes
(See Columns C1 and D1)

3. Value Method Codes
(See Column C2)

A = \$1,000 or less
F = \$50,001 - \$100,000
J = \$15,000 or less
N = \$250,001 - \$500,000
P3 = \$25,000,001 - \$50,000,000

Q = Appraisal
U = Book Value

B = \$1,001 - \$2,500
G = \$100,001 - \$1,000,000
K = \$15,001 - \$50,000
O = \$500,001 - \$1,000,000

R = Cost (Real Estate Only)
V = Other

C = \$2,501 - \$5,000
H1 = \$1,000,001 - \$5,000,000
L = \$50,001 - \$100,000
P1 = \$1,000,001 - \$5,000,000
P4 = More than \$50,000,000

S = Assessment
W = Estimated

D = \$5,001 - \$15,000
H2 = More than \$5,000,000
M = \$100,001 - \$250,000
P2 = \$5,000,001 - \$25,000,000

T = Cash Market

E = \$15,001 - \$50,000

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. Franklin Pa. Tax Free Inc. Fd. (X)	D	Dividend	M	T	Buy	12/23/09	J		
19. Fundamental Invs. Inc. Class A (X)	A	Dividend	L	T	Buy	04/08/09	L		
20. Growth Fund America Class A (X)	A	Dividend	L	T	Buy	04/08/09	L		
21. Evergreen Pa. Muni Money Mkt. Fd. (X)	A	Interest	J	T					
22. Eaton Vance Pa. Mun. Income Trust	A	Interest			Sold	04/08/09	J	A	
23. VanKemper Am. Capital Trust	A	Interest			Sold	04/08/09	J	A	
24. Trusts under Will									
25. - Abbott Laboratories*	A	Dividend			Distributed	03/16/09	K		
26.					Sold	03/20/09	J	A	
27. - Altria Group*	A	Dividend			Distributed	03/16/09	K		
28.					Sold	03/20/09	J	B	
29. - Aqua America	A	Dividend			Sold	02/26/09	L	E	
30. - Bristol Myers Squibb*	B	Dividend			Sold (part)	02/26/09	K	A	
31.					Distributed	03/16/09	M		
32.					Sold	03/20/09	K	A	
33. - Capital World Growth & Inc. Fd.		None			Sold	01/08/09	J	A	
34. - Cisco Systems*		None			Distributed	03/16/09	J		

1. Income Gain Codes (See Columns D1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	H = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$5,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and C3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

* A portion was distributed to other beneficiaries. Any sales after distribution reference those of the undersigned.

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☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
35.					Sold	03/20/09	J	A	
36. - Europacific Growth Fd. Cl. A		None			Sold	01/08/09	J	A	
37. - Exxon Mobil*	A	Dividend			Sold (part)	01/09/09	J	D	
38.					Sold (part)	02/26/09	J	C	
39.					Distributed	03/16/09	K		
40.					Sold	03/20/09	J	C	
41. - Federal Home Loan Mfg. Corp.*		None			Distributed	03/16/09	K		
42.					Sold	03/20/09	J	A	
43. - General Electric*	B	Dividend			Sold (part)	02/26/09	K	A	
44.					Distributed	03/16/09	M		
45. - Hanesbrand*		None			Distributed	03/16/09	J		
46.					Sold	03/20/09	J	A	
47. - Hospira*		None			Distributed	03/16/09	J		
48.					Sold	03/20/09	J	A	
49. - IBM*	A	Dividend			Distributed	03/16/09	J		
50. - Kraft Foods*	A	Dividend			Distributed	03/16/09	K		
51.					Sold	03/20/09	J	C	

1. Income Gain Codes

(See Columns B1 and D4)

2. Value Codes

(See Columns C1 and D3)

3. Value Method Codes

(See Column C2)

A = \$1,000 or less

F = \$50,001 - \$100,000

J = \$15,000 or less

N = \$250,001 - \$500,000

P3 = \$25,000,001 - \$50,000,000

Q = Appraisal

U = Book Value

B = \$1,001 - \$2,500

G = \$100,001 - \$1,000,000

K = \$15,001 - \$50,000

O = \$500,001 - \$1,000,000

R = Cost (Real Estate Only)

V = Other

C = \$2,501 - \$5,000

H1 = \$1,000,001 - \$5,000,000

L = \$50,001 - \$100,000

P1 = \$1,000,001 - \$5,000,000

P4 = More than \$50,000,000

S = Assessment

W = Estimated

D = \$5,001 - \$15,000

H2 = More than \$5,000,000

M = \$100,001 - \$250,000

P2 = \$5,000,001 - \$25,000,000

T = Cash Market

E = \$15,001 - \$50,000

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52. - MBIA*		None			Distributed	03/16/09	K		
53.					Sold	03/20/09	J	A	
54. - Medco Health Solutions*		None			Distributed	03/16/09	J		
55.					Sold	03/20/09	J	A	
56. - Merck & Co.*	A	Dividend			Distributed	03/16/09	J		
57.					Sold	03/20/09	J	A	
58. - PNC Corp.*	A	Dividend			Sold (part)	02/26/09	J	A	
59.					Distributed	03/16/09	K		
60.					Sold	03/20/09	J	A	
61. - PPL Corp.	A	Dividend			Sold	02/26/09	L	D	
62. - Philip Morris International*	B	Dividend			Distributed	03/16/09	L		
63.					Sold	03/20/09	K	D	
64. - PPG Industries*	A	Dividend			Distributed	03/16/09	L		
65.					Sold	03/20/09	K	A	
66. - Sara Lee*	A	Dividend			Distributed	03/16/09	L		
67.					Sold	03/20/09	J	A	
68. - Verizon Communications	A	Dividend			Sold	02/26/09	L	A	

1. Income Gain Codes (See Columns B1 and D4)	A = \$1,000 or less F = \$30,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$300,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D1)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	
3. Value Method Codes (See Column C2)					

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VII. INVESTMENTS and TRUSTS – Income, value, transactions (Includes those of spouse and dependent children: see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
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69. - PA Insd Mun Inc Tr 1st Ser		None			Sold	01/08/09	J	A	
70. - South East PA Transit 5%**	A	Ex Int			Distributed	03/03/09	K		
71. - PA Int Govt 5%	A	Ex Int			Distributed	03/03/09	K		
72.					Sold	05/14/09	J	A	
73. - Wachovia Command Mon Mkt	A	Dividend	J	T	Distributed (part)	01/05/09	K		
74. - Bank of Holland CD 4%*	A	Interest			Distributed	03/03/09	K		
75.					Redeemed	12/29/09	J	A	
76. - Discover Bank CD 4.2%	A	Interest			Distributed (part)	03/03/09	J		
77.					Redeemed	06/29/09	J	A	
78. Estate									
79. - Wachovia Command Money Mkt	A	Dividend	J	T					
80. - World Savings Bank CD 4.7%*	A	Interest			Distributed	03/19/09	K		
81. - World Savings Bank CD 4.85%*	A	Interest			Distributed	03/05/09	K		

1. Income Gain Codes (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$100,000 K = \$15,001 - \$50,000 U = \$500,001 - \$1,000,000 R = Cost (Real Estate Only) V = Other	C = \$2,501 - \$5,000 H = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000 S = Assessment W = Estimated	D = \$5,001 - \$15,000 I12 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000 T = Cash Marker	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)					
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value				

** Distributed to another beneficiary.

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of Report.)*

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Sig: 

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544