

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2006

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) BABCOCK, LEWIS T	2. Court or Organization U.S. DISTRICT COURT - COLORADO	3. Date of Report 04/04/2007
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) CHIEF JUDGE - ACTIVE	5a. Report Type (check appropriate type) ☐ Nomination, Date ☐ Initial ☒ Annual ☐ Final	6. Reporting Period 01/01/2006 to 12/31/2006
7. Chambers or Office Address 901 - 19TH STREET, A273 DENVER, CO 80294	5b. ☐ Amended Report	
8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of instructions.)

☒ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. _____	_____
2. _____	_____
3. _____	_____
4. _____	_____
5. _____	_____

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. _____	_____
2. _____	_____
3. _____	_____

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FINANCIAL DISCLOSURE REPORT

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of instructions.)***A. Filer's Non-Investment Income**☒ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		
5.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2006	Self-employed (Artist)
2. 2006	Boat Anchors, Inc.
3.	
4.	
5.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children. See pp. 25-27 of instructions.)*☒ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>
1.	
2.	
3.	
4.	
5.	

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V. GIFTS. *(Includes those to spouse and dependent children. See pp. 28-31 of instructions.)*☒ NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children. See pp. 32-33 of instructions.)*☒ NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of the spouse and dependent children. See pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g. div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Double Eagle petroleum Mining Co (Com.)		None	J	T					
2. Prime Energy Corp (Com)		None	J	T					
3. jhi, Inc. (Com		None	J	T					
4. Colorado Public Employees Retirement Association		None	M	T					
5. Brokeridge Account #1 (IRA)									
6. a) AIM Value Fund C1B, nka AIM Premier Equity C1B	A	Dividend	J	T					
7. b) Hartford Fund C1 B	A	Dividend	J	T					
8. Brokeridge Account #2 (IRA)									
9. a) AIM Value Fund C1B, nka AIM Premier Equity C1B	A	Dividend	J	T					
10. Brokerage Account #2									
11. a) AIMEquity Fund Class A	A	Dividend	J	T					
12. Brokeridge Account #3									
13. a) Citibank NA	A	Interest	J	T					
14. b) AT&T Corp (Com) nka AT&T Inc	A	Dividend	J	T					
15. d) Weyth (Com)	A	Dividend	K	T					
16. e) Avaya, Inc. (Com)		None	J	T					
17. f) Lucent Tech, Inc. (Com) nka	A	None	J	T	Merger	12/1/	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000 Q = Appraisal U = Book Value	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000 R = Cost (Real Estate Only) V = Other	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000 S = Assessment W = Estimated	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000 T = Cash Market	E = \$15,001 - \$50,000
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Alcatel0Lucent ADR									
18. g) Viacom B (Com)	A	Dividend	K	T					
19. h) AIM Blue Chip B		None	J	T	Sold	1/19	J	B	
20. i) AIM New Tech Fund f/k/a Invesco		None	J	T					
21. j) AIM Value Fund C1B		None	K	T					
22. k) GNMA (9/86)	A	Interest	J	T					
23. l) GNMA (12/86)	A	Interest	J	T					
24. m) AGRA (Com)		None	J	T					
25. n) AGRB (Com)		None	J	T					
26. o) Comcast Corp (Com)		None	J	T					
27. p) AIM Premier Equity Fund Class A	A	Distribution	K	T					
28. q) Capital World Growth & Income B	A	Dividend	K	T					
29. AIM Invesco Growth Fund		None	K	T					
30. AIM Diversified Div Fund	A	Dividend	K	T					
31. AIM Invesco Free Bond Fund	C	Int./Div.	L	T					
32. Oppenheimer Main St. and Growth	A	Dividend	J	T	Sold	11/29	J	A	
33. Valic (IRA)	A	Interest	K	T					

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2. Value Codes (See Columns C1 and D3)					
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value				

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NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
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34. Waddell & Reed Tot. Ret. Fund (IRA)	A	Dividend	J	T					
35. U.S. Consolidated Federal Credit Union									
36. a) CD	A	Interest	J	T					
37. b) Account #1	A	Interest	J	T					
38. USBancorp Account #1	A	Interest	J	T					
39. USBancorp Account #2	A	Interest	J	T					
40. Lot Riverside County, Calif (Line 20, 2000 Report)		None	J	W					
41. .333 Interest in Sec. 15, TWP 10 S, Rng. 14E, SBBAM	D	Rent	L	W					
42. Brokeridge Account #1 (IRA)									
43. a) Capital World Growth Income B	A	Dividend	K	T					
44. b) Capital Income Building Fund B	A	Dividend	K	T					
45. American Funds IICA	A	Dividend	K	T					
46. Brokeridge Account #3	A	Dividend	J	T	Buy	1/19	J		

1. Income Gain Codes:

(See Columns B1 and D4)

2. Value Codes

(See Columns C1 and D3)

3. Value Method Codes

(See Column C2)

A = \$1,000 or less

F = \$50,001 - \$100,000

J = \$15,000 or less

N = \$250,001 - \$500,000

P3 = \$25,000,001 - \$50,000,000

Q = Appraisal

U = Book Value

B = \$1,001 - \$2,500

G = \$100,001 - \$1,000,000

K = \$15,001 - \$50,000

O = \$500,001 - \$1,000,000

R = Cost (Real Estate Only)

V = Other

C = \$2,501 - \$5,000

H1 = \$1,000,001 - \$5,000,000

L = \$50,001 - \$100,000

P1 = \$1,000,001 - \$5,000,000

P4 = More than \$50,000,000

S = Assessment

W = Estimated

D = \$5,001 - \$15,000

H2 = More than \$5,000,000

M = \$100,001 - \$250,000

P2 = \$5,000,001 - \$25,000,000

T = Cash Market

E = \$15,001 - \$50,000

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of Report.)*

None

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I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature

Date _____

NOTE: ANY INDIVIDUAL WHO KNOWINGLY PROVIDES FALSE INFORMATION OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544