

UNITED STATES DISTRICT COURT
SOUTHERN DISTRICT OF NEW YORK
UNITED STATES COURTHOUSE
500 PEARL STREET
NEW YORK, NEW YORK 10007-1312

CHAMBERS OF
P. KEVIN CASTEL
UNITED STATES DISTRICT JUDGE

August 19, 2009

Honorable Bobby R. Baldock, Chair
Judicial Conference of the United States
Committee on Financial Disclosure
One Columbus Circle, N.E.
Washington, D.C. 20544

Re: Calendar Year 2008 Filing

Dear Judge Baldock:

RECEIVED
2009 AUG 24 A 11: 29
FINANCIAL
DISCLOSURE OFFICE

I am writing to respond to your letter of July 24. Please consider this letter (three copies of which are enclosed) as an amendment to my filing.

1. In Part VII, page 9, line 76, in my prior report, I listed "Brk Act #2 Bethlehem Ny Cent Sch Dt 5.125/14", but did not list this asset in my current report. It was redeemed on November 15, 2007 at Value Code (K) and Gain Code (A).

2. In Part VII, page 18, line 231 in my prior report I listed "Brk Act #10 CBF", but did not list this asset in my current report. It was sold on March 23, 2007 at Value Code (K) and Gain Code (A).

Please contact me if you have further questions.



P. Kevin Castel

Castel, P. Kevin

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2008

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Castel, P. Kevin	2. Court or Organization S.D.N.Y.	3. Date of Report 07/01/2009
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. District Judge (active)	5a. Report Type (check appropriate type) ☐ Nomination, Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2008 to 12/31/2008
7. Chambers or Office Address United States Courthouse 500 Pearl Street New York, NY 10007	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1. Director (ex officer director as former president)

St. John's School of Law, Alumni Association (uncompensated)

2. Trustee (ex officio as former president)

Federal Bar Council (uncompensated)

3.

4.

5.

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2009 JUL -7 A 11:16
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DISCLOSURE OFFICE

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☐ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1. 10/27/03

Cahill Gordon & Reindel LLP agreement in connection with withdrawal from firm. (Copy of agreement filed with 2006 FDR and those of prior years.)

2.

3.

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☒ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☒ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS *- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. Federal Bar Council	1/27/08-2/2/08	Nevis, St Kitts and Nevis	Moderator of panel	Travel, lodging and meals
2. New York State Bar Association, Commercial and Federal Litigation Section	5/2/08-5/4/08	Manchester, VT	Speaker/honoree	Lodging and meals
3. George Mason University Law & Economics	7/7/08-7/9/08	Sedona, AZ	Attendee at seminar	Travel, lodging and meals
4. Federal Bar Council	10/24/08-10/26/08	Lenox, MA	Panelist	Lodging and meals
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1.

2.

3.

4.

5.

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1.

2.

3.

4.

5.

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

1. Brk Act #1 Fidelity Worldwide [FWWFX]	B	Dividend	L	T					
2. Brk Act #1 Fidelity Capital Apprec'n Mutual Fd [FDCAX]	C	Dividend	N	T					
3. Brk Act #1 Fidelity Cash Reserves [FDRXX]	E	Dividend	P1	T					
4. Brk Act #1 Fidelity Asset Manager Mutual Fd [FASGX]	D	Dividend	N	T					
5. Brk Act #1 Fidelity Aggressive Growth Mutual Fd [FAMRX]	C	Dividend	M	T					
6. Brk Act #1 Fidelity Balanced [FBALX]	D	Dividend	N	T					
7. Fidelity Export & Multinat'l [FEXPX]	A	Dividend	L	T					
8. Brk Act #2 SB Muni Mny Mkt Port CL A	D	Dividend			Sold	10/27	N	A	
9. Brk Act #2 First Eagle Overseas Fund Class A (Pt VIII)	A	Dividend	K	T	Sold (part)	12/18	K	C	
10. Brk Act #2 LM [SB] Appreciation Fd CL A (Part VIII)	C	Dividend	M	T	Sold (part)	6/19	J	D	
11. Brk Act #2 LM [SB] Large Cap Grwth FD CL A		None	L	T					
12. Brk Act #2 Citi Inst Reserves Tax Free	D	Dividend	N	T	Buy	10/28	N		
13. Brk Act #2 VFH	A	Dividend	J	T	Buy	12/15	J		
14. Brk Act #2 SCD (Part VIII)	D	Dividend	L	T	Sold (part)	12/19	J	B	
15. Brk Act #2 NYS Dorm Au REVS M Filmor e Hosp 5.125/08	B	Interest			Redeemed	2/1	K	A	
16. Brk Act #2 NYC Gen Oblig Ser H 4.5/08	B	Interest			Redeemed	3/17	K	A	
17. Brk Act #2 NY NY SER M AMBAC 5/08 (/07)(Part VIII)	B	Interest			Redeemed	6/2	K	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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☐ NONE (No reportable income, assets, or transactions.)

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DISTR

		Interest			Redeemed	7/1	L	A	
		Interest			Redeemed	9/2	K	A	
		Interest			Redeemed	9/4	K	A	
		Interest			Redeemed	11/17	K	A	
		Interest	M	T					
		Interest	M	T					
		Interest	K	T	Redeemed (part)	8/1	J	A	
		Interest	L	T					
28. Brk Act#2 NYC G/O Ser G 3.125/10 [4/10]	C	Interest	L	T					
29. Brk Act #2 Nassau Co Ser C 5.25/10	C	Interest	M	T					
30. Brk Act #2 NYS Dorm AU Staten Isl U Ho sp 4.9/10	B	Interest	L	T					
31. Brk Act #2 NYC G/O SER C 4.375/11	C	Interest	M	T					
32. Brk Act #2 NYC G/O SER D 5.4/11 (07)(P art VIII)	D	Interest			Redeemed	5/15	J	A	
Brk Act #2 NYS ENV FACS 5/11	A	Interest	K	T					
Brk Act #2 NYNY Ser F 5.125/11	B	Interest	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000 S = Assessment W = Estimated	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other		T = Cash Market	
3. Value Method Codes (See Column C2)					

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35. Brk Act #2 NYC Transitional Auth 4.6/11	B	Interest	K	T					
36. Brk Act #2 LIPA 5.125/11 [08]	C	Interest			Redeemed	4/1	L	A	
37. Brk Act #2 Eire Cnty NY Pub Impt Ser A 5/11 (Part VIII)	B	Interest			Redeemed	8/15	J		
38. Brk Act #2 NY NY Ser J 4/12	C	Interest	M	T					
39. Brk Act #2 NYS Dorm Auth City Univ 5.75/12 (09)	C	Interest	L	T					
40. Brk Act #2 NYC RFDG Ser F 5.25/12	A	Interest			Redeemed	2/1	J	A	
41. Brk Act #2 NYC G/O Ser H 5.25/13 (09)	A	Interest	K	T					
42. Brk Act #2 NY NY Ser J FGIC-TCRS 5.3/5/12	B	Interest	J	T	Redeemed (part)	8/1	K	A	
43. same as above					Redeemed (part)	11/3	K	A	
44. Brk Act #2 NYS Dorm Auth Upstate Comm Coll Rev B 5/13 [10]	B	Interest	L	T					
45. Brk Act #2 NY NY RFDG Ser B-FGIC TCRS 5.75/13	C	Interest	L	T					
46. Brk Act #2 Medina NY Cent Sc Dist 4.75/13	B	Interest	K	T					
47. Brk Act #2 LIPA 5/13 [08]	B	Interest			Redeemed	4/1	M	A	
48. Brk Act #2 NYS Dorm AU RFDG SECD HOSP NYDTWN 5.2/014	C	Interest	L	T					
49. Brk Act #2 NYS Dorm Auth Revs Ithaca Co II 5/13	B	Interest	K	T	Redeemed (part)	7/1	K	A	
50. Brk Act #2 MTA NY 4.75/13	A	Interest			Redeemed	7/1	K	A	
51. Brk Act #2 NYS Dorm AU Revs Third Gen Res 5.25/13	C	Interest	L	T					

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52. Brk Act #2 NYS Dorm AU Revs Lutherem Med 5/13	C	Interest	L	T					
53. Brk Act #2 NYC GO Ser J 4/13	C	Interest	M	T					
54. Brk Act #2 Buffalo NY Ser B 5.375/13 [12]	B	Interest	K	T					
55. Brk Act #2 Nassau Cty IFA Ser A 5/13	B	Interest	K	T					
56. Brk Act #2 NYC GO Ser A 4/13	C	Interest	M	T					
57. Brk Act #2 Camden NY Cent Sch DIST S BR A 4.5/14	B	Interest	L	T					
58. Brk Act #2NYC MUN WTR FIN 00/14	A	Interest	K	T					
59. Brk Act #2 NYS DORM AU Iona Coll 4.3 /14	B	Interest	K	T					
60. Brk Act #2 LI Pwr Au [LIPA] 5/14[08]	B	Interest			Redeemed	4/1	K	A	
61. Brk Act #2 NYC Ser J 5.25/14 (/09)	B	Interest	K	T					
62. Brk Act #2 Sharon Springs MunWtr 4.8/14	B	Interest	K	T					
63. Brk Act #2 NYS Dorm Au Colgate 4.625/14	B	Interest	K	T					
64. Brk Act #2 NYC Ser A G/O 4.3/14	C	Interest	L	T					
65. Brk Act #2 LI Pwr Au [LIPA] 5/14	B	Interest			Redeemed	6/2	K	A	
66. Brk Act #2 NYS Dorm Au Lease Rev Mun Hlth 5.5/14	B	Interest	K	T					
67. Brk Act #2 Buffalo NY Gen ImpSer A 4.7/ 14 [11]	C	Interest	M	T					
68. Brk Act #2 NYC GO Ser I 4.5/14[13]	B	Interest	K	T					

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69. Brk Act #2 West Seneca Cent Sch 4.125/14	C	Interest	M	T					
70. Brk Act #2 NYC GO Ser G 4/14	C	Interest	M	T					
71. Brk Act #2 LI NY Pwr Au Elec SYS [LIPA] 5/15[08]	B	Interest			Redeemed	6/2	M	A	
72. Brk Act #2 No Babylon Sch Dt 5.25/15	B	Interest	K	T					
73. Brk Act #2 NYS TWY AU 5/15 (/09)	D	Interest	M	T					
74. Brk Act #2 NY,NY Ser I 5.25/15 (/09)	C	Interest	L	T					
75. Brk Act #2 NYC REF Ser B G/O 5.75/15	C	Interest	L	T					
76. Brk Act #2 NY,NY RFDG Ser G 5/15	B	Interest	K	T	Redeemed (part)	11/5	J	A	
77. Brk Act #2 LI Pwr Au[LIPA] 4/15	B	Interest	K	T					
78. Brk Act #2 Carle Place 5.4/15	B	Interest			Redeemed	10/1	K	A	
79. Brk Act #2 NYNY Ser A 5.25/15	B	Interest	K	T					
80. Brk Act #2 MTA 5.25/15	C	Interest	L	T					
81. Brk Act #2 NYC Trans Fin 4/15	C	Interest	M	T					
82. Brk Act #2 NYS Dorm Pres 4.2/15[14]	B	Interest	L	T					
83. Brk Act #2 NYC Ser C 5.25/15[14]	B	Interest	K	T					
84. Brk Act #2 NYC Health & Hosp 4.55/16	C	Interest	M	T					
85. Brk Act #2 Nassau Co GenImpt Ser Y 5/16	B	Interest			Redeemed	8/7	K	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
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86. Brk Act # 2 NYC G/O Ser H 5.25/16	C	Interest	L	T					
87. Brk Act #2 NYS Dorm Au St Johns U 5/16	B	Interest	K	T					
88. Brk Act #2 NY, NY Trans Fin Au 4.75/16	B	Interest	K	T					
89. Brk Act #2 LIPA 5.125/16	B	Interest			Redeemed	6/2	L	A	
90. Brk Act #2 NY NY Ser J 5.25/16	D	Interest	M	T					
91. Brk Act #2 NYS Dorm Au RVS City U 5/16	C	Interest			Redeemed (part)	8/1	K	A	
92. same as above					Redeemed	10/2	K	A	
93. Brk act #2 NYS Env Fac Corp St Clean Wtr 5/17	B	Interest	L	T					
94. Brk Act #2 NY NY RFDG Ser J 5/17	A	Interest	K	T					
95. Brk Act #2 NYC Ser G G/O 5/17	C	Interest	M	T					
96. Brk Act #2 NYC GO Ser O 5/17[15]	C	Interest	M	T					
97. Brk Act #2 NYS Dorm AU City U 5/18 (08)	C	Interest			Redeemed (part)	7/1	K	A	
98. same as above					Redeemed	10/2	K	A	
99. Brk Act #2 NYC SER B Gen Obl 5.375/18 [08]	C	Interest	K	T					
100. Brk Act #2 LI NYPWR AU [LIPA] 5/18	C	Interest			Redeemed	6/2	M	A	
101. Brk Act #2 NYS Dorm Au St Josephs H 5.25/18	A	Interest	J	T					
102. Brk Act #2 Binghamtom 4.25/18[16]	D	Interest	L	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	
3. Value Method Codes (See Column C2)					

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Name of Person Reporting

Castel, P. Kevin

Date of Report

07/01/2009

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

103. Brk Act #2 NYS Thru H & B 5/18[15]	C	Interest	M	T					
104. Brk Act #2 NYC GO Ser A1 5/18[17]	D	Interest	M	T					
105. Brk Act #2 NY NY RFDG Ser I 5/18[14]	C	Interest	M	T					
106. Brk Act #2 NYC TFA FUT TAX FGIC S ECD Ser E 5.25/18		None	M	T	Buy	9/22	M		
107. Brk Act #2 New York State Dorm Auth St Pers Income Tax 4/18	B	Interest	M	T	Buy	6/25	M		
108. Brk Act #2 New York NY City HSG Dev Corp MultiFam 5.35/18		None	M	T	Buy	12/18	M		
109. Brk Act #2 City MWF AU WKS Sys Rev S er D 0/18		None	M	T	Buy	12/18	M		
110. Brk Act #2 LIPA NY Elec Sys Ser E 5/18		None	M	T	Buy	9/12	M		
111. Brk Act #2 NYS Thruway Au Gen Rev Ser H 5/19	B	Interest	M	T	Buy	3/3	M		
112. Brk Act #2 Buffalo NY Mun Wtr Fin Au 5 /19	B	Interest			Redeemed	7/1	K	A	
113. Brk Act #2 Buffalo Ser C 5/19	C	Interest	L	T					
114. Brk Act #2 LIPA 5.3/19	B	Interest			Redeemed	6/2	L	A	
115. Brk Act #2 MTA Ser A5.5/19[12]	D	Interest	M	T					
116. Brk Act #2 NYC TFA Ser A1 5/19[16]	C	Interest	M	T					
117. Brk Act #2 Middletown NY 4.125/19[16](P art VIII)	C	Interest	M	T					
118. Brk Act #2 NYS Env FACS Corp St Clean WTR & Drinking 5.25/20	D	Int./Div.	M	T	Buy	11/26	N		
119. Brk Act #2 Metro T SPTN Au NY TSPN R EV SER A A5/20	B	Interest	M	T	Buy	8/14	L		

1. Income Gain Codes:
(See Columns B1 and D4)

A=\$1,000 or less
F=\$50,001 - \$100,000

B=\$1,001 - \$2,500
G=\$100,001 - \$1,000,000

C=\$2,501 - \$5,000
H1=\$1,000,001 - \$5,000,000

D=\$5,001 - \$15,000
H2=More than \$5,000,000

E=\$15,001 - \$50,000

2. Value Codes
(See Columns C1 and D3)

J=\$15,000 or less
N=\$250,001 - \$500,000
P3=\$25,000,001 - \$50,000,000

K=\$15,001 - \$50,000
O=\$500,001 - \$1,000,000

L=\$50,001 - \$100,000
P1=\$1,000,001 - \$5,000,000
P4=More than \$50,000,000

M=\$100,001 - \$250,000
P2=\$5,000,001 - \$25,000,000

3. Value Method Codes
(See Column C2)

Q=Appraisal
U=Book Value

R=Cost (Real Estate Only)
V=Other

S=Assessment
W=Estimated

T=Cash Market

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Name of Person Reporting

Castel, P .Kevin

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120. Brk Act #2 NYC GO Ser A 5/20[16]	D	Interest	M	T					
121. Brk Act#2 LIPA Ser E 5/20 [16]	C	Interest	L	T					
122. Brk Act #2 NY Gen Oblig BDS-G1 5.5/21		None	M	T	Buy	12/11	K		
123. Brk Act #2 NYC Trans F/A Rev 5.25/21	C	Interest	L	T					
124. Brk Act #2 NYS Pers Inc Tax 5/21[16]	C	Interest	M	T					
125. Brk Act #2 New York NY Ser A CFIG TC RS 5/21	C	Interest	M	T	Buy	3/31	M		
126. Brk Act #2 Metro Tras Au NY Rev RFDG Ser A 5:125/21	D	Interest	L	T	Buy	3/19	M		
127. Brk Act #2 NY Gen Oblig BDS-G1 5.5/21		None	M	T	Buy	12/11	K		
128. Brk Act #2 New York City G/O Ser A 5/22	B	Interest	L	T	Buy	1/29	M		
129. Brk Act #2 NY Gen Oblig BDS E 6/23		None	M	T	Buy	10/17	L		
130. Brk Act #2 Triborough Bridge & T Au Ser D 5/23	B	Interest	M	T	Buy	7/30	M		
131. Brk Act # 2 New York St Dorm Au Revs Ho sp FHA Insd A 6.45/24	C	Interest	M	T	Buy	4/17	M		
132. Brk Act #2 Triborough B & T AU 5/24	C	Interest	M	T					
133. Triborough Bridge & Tunnel Au Var 2032 (Part VIII)	E	Interest			Buy (add'l)	3/31	M		
134. same as above					Sold (part)	1/2	K	A	
135. same as above					Sold (part)	1/30	L	A	
136. same as above					Sold (part)	3/18	P1	A	

1. Income Gain Codes:
(See Columns B1 and D4)

A=\$1,000 or less
F=\$50,001 - \$100,000

B=\$1,001 - \$2,500
G=\$100,001 - \$1,000,000

C=\$2,501 - \$5,000
H1=\$1,000,001 - \$5,000,000

D=\$5,001 - \$15,000
H2=More than \$5,000,000

E=\$15,001 - \$50,000

2. Value Codes
(See Columns C1 and D3)

J=\$15,000 or less
N=\$250,001 - \$500,000
P3=\$250,000,001 - \$50,000,000

K=\$15,001 - \$50,000
O=\$500,001 - \$1,000,000

L=\$50,001 - \$100,000
P1=\$1,000,001 - \$5,000,000
P4=More than \$50,000,000

M=\$100,001 - \$250,000
P2=\$5,000,001 - \$25,000,000

3. Value Method Codes
(See Column C2)

Q=Appraisal
U=Book Value

R=Cost (Real Estate Only)
V=Other

S=Assessment
W=Estimated

T=Cash Market

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Name of Person Reporting

Castel, P .Kevin

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

137. same as above					Sold (part)	3/18	M	A	
138. same as above					Sold (part)	6/12	M	A	
139. Brk Act #2 Ishares TR Dow Jones Select Div Index Fund	B	Dividend	K	T					
140. Brk Act #2 XLF	B	Dividend	K	T					
141. Brk Act #4 NYS Dorm Au City U 4.625/07	B	Interest			redemption	7/2	K	A	
142. Brk Act #4 Port Auth 119th Ser 5/07	A	Interest			redemption	2/1	K	A	
143. Brk Act #4 NYS Dorm Mtg Hosp 4.95/08	B	Interest	K	T					
144. Brk Act #4 NYNY Ser H MBIA 5/09	B	Interest	L	T					
145. Brk Act #4 NYS Thrwy 5.1/10	C	Interest	L	T					
146. Brk Act #4 NYC G/O Ser C 4.35/11	B	Interest	L	T					
147. Brk Act #4 NYC RFDG Ser H, subser H6 fl oat/12	C	Interest	L	T					
148. Brk Act #4 MTA FRDG Ser A 4.3/13	B	Interest	L	T					
149. Brk Act #4 NYS Dorm Columbia U. 5.25/13	B	Interest	K	T					
150. Brk Act #4 New York City G/O Ser E 5/14 (Part VIII)	B	Interest	L	T					
151. Brk Act #4 New Rochelle Sch Dist Ser A FS A 5/14 (Part VIII)	B	Interest	K	T					
152. (Brk Act #4 New Rochelle NY School 5.25 /14	B	Interest	K	T					
153. Brk Act #4 NYC Ref Ser B G/O 5.75/15	B	Interest	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	
3. Value Method Codes (See Column C2)					

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

154. Brk Act #4 NYC Health & Hosp 4.5[4.55]/16	A	Interest	K	T					
155. Brk Act #4 Hudson Falls NY Cent Sch 4.6 25/16	B	Interest	K	T					
156. Brk Act #4 New York G/O BD B/E Ser J 4/16	B	Interest	M	T	Buy	3/12	M		
157. Brk Act #4 NYC G/O Ser E 5/16	B	Interest	L	T					
158. Brk Act #4 NYC G/O Ser B 5.25/18	C	Interest	L	T					
159. Brk Act #4 New York NY RFDG Ser H 5/16 (Part VIII)	B	Interest	L	T					
160. Brk Act #4 Port Auth 125th Ser 5/18	B	Interest	K	T					
161. Brk Act # 4 MTA 4.5/18	B	Interest	K	T					
162. Brk Act #4 NYS Dorm Au Rev Kaleida Hlth 4.25/18	B	Interest	K	T					
163. Brk Act #4 Binghamton NY 4.25/18[16]	C	Interest	L	T					
164. Brk Act #4 New York City G/O Ser A Subs er A-1 5/19	B	Interest	M	T	Buy	6/13	M		
165. Brk Act #4 NYS Twy Rev A 5/20[15]	B	Interest	L	T					
166. Brk Act #4 Western Asset Mkt FD (Part VI II)	B	Dividend	K	T					
167. Brk Act #4 LM[SB] Large Cap Growth Fund CL A		None	L	T					
168. Brk Act #6 SB Money Funds Cash Port CLA	A	Dividend	J	T					
169. Brk Act #6 SB Muni NY Mkt Port CL A	A	Dividend	J	T					
170. Brk Act #6 ADP	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A=\$1,000 or less F=\$50,001 - \$100,000 J=\$15,000 or less N=\$250,001 - \$500,000 P3=\$25,000,001 - \$50,000,000	B=\$1,001 - \$2,500 G=\$100,001 - \$1,000,000 K=\$15,001 - \$50,000 O=\$500,001 - \$1,000,000	C=\$2,501 - \$5,000 H1=\$1,000,001 - \$5,000,000 L=\$50,001 - \$100,000 P1=\$1,000,001 - \$5,000,000 P4=More than \$50,000,000	D=\$5,001 - \$15,000 H2=More than \$5,000,000 M=\$100,001 - \$250,000 P2=\$5,000,001 - \$25,000,000	E=\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	Q=Appraisal U=Book Value	R=Cost (Real Estate Only) V=Other	S=Assessment W=Estimated	T=Cash Market	
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Name of Person Reporting

Castel, P .Kevin

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07/01/2009

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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171. Brk Act # 6 BR (Part VIII)	A	Dividend	J	T					
172. Brk Act #6 BBY	A	Dividend	J	T					
173. Brk Act #6 Citigroup	A	Dividend	J	T					
174. Brk Act #6 GE	A	Dividend	J	T					
175. Brk Act #6 IBM	A	Dividend	J	T					
176. Brk Act #6 KMB	A	Dividend	J	T					
177. Brk Act #6 KSS		None	J	T					
178. Brk Act #6 MDT	A	Dividend	K	T					
179. Brk Act #6 MSFT	A	Dividend	J	T					
180. Brk Act #6 PWC	A	Dividend	K	T					
181. Brk Act #6 WMT	A	Dividend	J	T					
182. Brk Act #6 WAG	A	Dividend	J	T					
183. Brk Act #6 WFC	A	Dividend	J	T					
184. Brk Act #7 Citibank NA Bank Dep Program	B	Interest	L	T					
185. Brk Act #7 Safra Nat Bank C/D	A	Interest			Redeemed	4/12	K	A	
186. Brk Act #7 Lehman Bros 4.150/15	A	Interest	K	T	Redeemed (part)	4/20	K	A	
187. Brk Act # 7 PWC	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

Castel, P. Kevin

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188. Brk Act #7 RIT	A	Dividend	J	T					
189. Brk Act #9 SB MUNI NY MNY MKT POR T CL A	B	Interest	M	T					
190. Brk Act #9 EEM	A	Dividend	J	T					
191. Brk Act #9 PWC	A	Dividend	L	T					
192. Brk Act #9 PRF	B	Dividend	L	T					
193. Brk Act #9 PZI	A	Dividend	J	T					
194. Brk Act #9 MFS Intl New Disc Fund C	A	Dividend	K	T	Sold (part)	12/17	J	B	
195. Brk Act #9 NYC Muni Water SWR 0/14[09]	B	Interest	K	T					
196. Brk Act #9 New York City MWF Au W & S Ser D O CPN 0/18	A	Interest	K	T	Buy	10/10	K		
197. Brk Act #9 NYS Energy R&D Rock/Oran ge 3.51/14	A	Interest			Redeemed	2/12	L	A	
198. Brk Act #9 PR Comm H & T 0/20	A	Interest	K	T					
199. Brk Act #9 XLF	A	Dividend	J	T					
200. Brk Act #10 SB MUNI NY MNY PORT CL A(Part VIII)	D	Dividend	L	T					
201. Brk Act #10 EEM	A	Dividend	J	T					
202. Brk Act #10 PWC	A	Dividend	L	T					
203. Brk Act #10 PRF	B	Dividend	L	T					
204. Brk Act #10 PZI	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	
3. Value Method Codes (See Column C2)					

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Name of Person Reporting

Castel, P. Kevin

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
205. Brk Act #10 MFS Intl New Disc Fund C	A	Dividend	K	T	Sold (part)	12/17	J	B	
206. Brk Act #10 NYC Muni Water SWR 0/14[09]	B	Interest	K	T					
207. Brk Act #10 NYS Enerrgy R&D Rock/Ora nge 3.51/14	A	Interest			Sold	12/12	L	A	
208. Brk Act #10 New York City MWF Au W/S Sys Ser D O CPN 0/18	A	Interest	K	T	Buy	10/10	K		
209. Brk Act #10 P R Comm H & T 0/20	B	Interest	K	T					
210. Brk Act #12 PHX Oakhurst Strat Alloc FD A	A	Dividend	J	T					
211. Brk Act #12 PHX Oakhurst Inc&Growth FD A	A	Dividend	J	T					
212. Brk Act #13 Fidelity Divers Intl [FDIVX]	D	Dividend	M	T	buy	10/2	J		
213. Brk Act #13 Fidelity Equity Inc[FEQIX]	F	Dividend	O	T					
214. Brk Act #13 Fidelity Inter Bond [FTHRXX]	E	Interest	N	T					
215. Brk Act #13 Fidelity Export & Multinat'l [FEXPX]	B	Dividend	L	T	buy	10/2	J		
216. Brk Act #13 Fidelity Disciplined Equity[FD EQX]	D	Dividend	L	T	buy	10/2	J		
217. Brk Act # 13 Fidelity Value [FDVLX]	D	Dividend	L	T	buy	10/2	J		
218. Brk Act #13 Fidelity Capital Apprec [FD CAX]	C	Dividend	L	T	buy	10/2	J		
219. same as above					buy	12/14	K		
220. Brk Act #13 Fidelity Retire Mmkt[Cash R eserves] [FCRXX]	D	Interest	M	T					
221. Bank Act #14 Chase Accounts		None	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A=\$1,000 or less F=\$50,001 - \$100,000	B=\$1,001 - \$2,500 G=\$100,001 - \$1,000,000	C=\$2,501 - \$5,000 H1=\$1,000,001 - \$5,000,000	D=\$5,001 - \$15,000 H2=More than \$5,000,000	E=\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J=\$15,000 or less N=\$250,001 - \$500,000 P3=\$25,000,001 - \$50,000,000	K=\$15,001 - \$50,000 O=\$500,001 - \$1,000,000	L=\$50,001 - \$100,000 P1=\$1,000,001 - \$5,000,000 P4=More than \$50,000,000	M=\$100,001 - \$250,000 P2=\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q=Appraisal U=Book Value	R=Cost (Real Estate Only) V=Other	S=Assessment W=Estimated	T=Cash Market	

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Name of Person Reporting

Castel, P .Kevin

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of Report.)*

By reason of the applicability of section 102(e)(2), Brk Act #5, 8 and 11 which were included on prior Financial Disclosure Reports ("FDRs") are no longer required to be reported and are omitted from the 2008 FDR..

PartVII: INVESTMENTS AND TRUSTS

Page 4, line 9 of FDR for 2008. Brk Act #2 First Eagle Overseas Fund Class A. The sale on 12/18/07 reported on FDR 2007 was a partial sale at value code J and gain code C with a year end value code of M by value method T.

Page 4, line 10 of FDR for 2008. Brk Act #2 LM [SB] Appreciation Fd CL A. The sale on 12/15/07 reported on FDR 2007 was a partial sale at value code K and gain code E with a year end value code of K by value method T.

Page 4, line 14 of FDR for 2008. Brk Act #2 SCD. The redemption on 6/1/07 reported on FDR 2007 was a partial redemption with a value code K and gain code E with a year end value code of K by value method T.

Page 4, line 17 of FDR for 2008. Brk Act #2 NY NY SER M AMBAC 5/08(/07). The redemption on 6/1/07 reported on FDR 2007 was a partial redemption with a value code K and gain code A with a year end value code of K by value method T.

Page 5, line 20 of FDR for 2008. Brk Act #2. Nassau Cty COMB SWR DISTR SER Y 5/08 The redemption on 9/4/07 reported on FDR 2007 was a partial redemption with a value code K and gain code A with a year end value code of K by value method T.

Page 32, line 32 of FDR for 2008. Brk Act #2. NYC G/O SER D 5.4/11. The redemption on 10/1/07 reported on FDR 2007 was a partial redemption with a value code J and gain code A with a year end value code of L by value method T.

Page 6, line 37 of FDR for 2008. Brk Act #2. Eire Cnty NY Pub Imp Ser A The redemption on 8/15/07 reported on FDR 2007 was a partial redemption with a value code J and gain code A with a year end value code of K by value method T.

Page 14, line 172 of FDR for 2008. Brk Act #6. BR The sell on 4/9/07 reported on FDR 2007 was a partial sell at value code J and Gain Code A with a year end value code J by value vethod T.

Page 15, line 200 of FDR for 2008. Brk Act #10. The sell on 3/23/07 reported on FDR 2007 was a oartial sell at value code K and gain code A with a year end value vode K by value method T.

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Name of Person Reporting

Castel, P. Kevin

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.



Signature _____

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544