

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2009

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Pregerson, Harry	2. Court or Organization United States Court of Appeals for Ninth Circuit	3. Date of Report 05/18/2010
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) United States Circuit Court Judge	5a. Report Type (check appropriate type) ☐ Nomination, ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2009 to 12/31/2009
7. Chambers or Office Address 21800 Oxnard Street Suite 1140 Woodland Hills, Ca 91367	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	

IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts,
checking the NONE box for each part where you have no reportable information. Sign on last page.

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

POSITION	NAME OF ORGANIZATION/ENTITY
1. Member	Marine Corps Reserve Officers Association
2. Member	Advisory Board, Childhelp USA
3. Member	Advisory Board of Directors, Metropolitan Division, The Salvation Army
4. Member	Board of Trustees, Devil Pups, Inc
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE	PARTIES AND TERMS
1.	
2.	
3.	

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Pregerson, Harry

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2009	State of California Judge's Retirement System	\$17,387.00
2. 2009	GGWB LLC	\$18,000.00
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2009	Los Angeles Community College District - Salary
2.	
3.	
4.	

IV. REIMBURSEMENTS - *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☒ NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1. _____	_____	_____
2. _____	_____	_____
3. _____	_____	_____
4. _____	_____	_____
5. _____	_____	_____

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☒ NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1. _____	_____	_____
2. _____	_____	_____
3. _____	_____	_____
4. _____	_____	_____
5. _____	_____	_____

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Lot [redacted] Oxnard Shoes, CA (1964)		None	O	W					
2. Lot [redacted] Oxnard Shoes, CA (1964)		None	O	W					
3. Part of Lot [redacted] Woodland Hills, CA (1963)		None	O	W					
4. Part of Lot [redacted] Woodland Hills, CA (1979)		None	N	W					
5. Part of Lot [redacted] Woodland Hills, CA (1984)		None	N	W					
6. Limited Partnership Interest in Penn Cal Investors, Cal LTD	G	Rent	O	U					
7. CGM403(B) Custodian UIPL LA Community College									
8. - CG Capital Markets Lg Capital Value Investments	A	Dividend			Sold	02/23/09	M	A	
9. - CG Capital Markets Sm Capital Value Investments	A	Dividend			Sold	02/23/09	K	A	
10. - CG Capital Markets Emerging Markets Investments	A	Dividend			Sold	02/23/09	L	A	
11. - CG Capital Markets International Equity Investments	A	Dividend			Sold	02/23/09	L	A	
12. - CG Capital Markets Lg Cap Growth Investment	A	Dividend			Sold	02/23/09	M	A	
13. - CG Capital Markets Sm Capital Growth Investments	A	Dividend			Sold	02/23/09	K	A	
14. - CG Capital Markets Core Fixed Income Fund	A	Dividend			Sold	02/23/09	K	A	
15. - CG Capital Markets International Fixed Income Investments	A	Dividend			Sold	02/23/09	J	A	
16. - CG Capital Markets Money Market Fund	A	Dividend	J	T					
17. - CG Capital Markets Core Fixed Income Fund	A	Dividend	K	T	Buy	06/12/09	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes: (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P1 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 Q = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes: (See Column C2)	O = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18.					Buy (add'l)	07/15/09	J		
19.					Buy (add'l)	08/14/09	J		
20.					Buy (add'l)	09/15/09	J		
21.					Buy (add'l)	10/15/09	J		
22.					Buy (add'l)	11/13/09	J		
23. - CG Capital Markets Lg Capital Value Investments	B	Dividend	L	T	Buy	06/12/09	J		
24.					Buy (add'l)	07/15/09	J		
25.					Buy (add'l)	08/14/09	J		
26.					Buy (add'l)	09/15/09	J		
27.					Buy (add'l)	10/15/09	J		
28.					Buy (add'l)	11/13/09	J		
29. - CG Capital Markets Sm Capital Value Investments	A	Dividend	K	T	Buy	06/12/09	J		
30.					Buy (add'l)	07/15/09	J		
31.					Buy (add'l)	08/15/09	J		
32.					Buy (add'l)	08/21/09	J		
33.					Buy (add'l)	09/15/09	J		
34.					Buy (add'l)	10/15/09	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS — income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
35.					Buy (add'l)	11/13/09	J		
36. - CG Capital Markets Emerging Markets Investments	A	Dividend	L	T	Buy	06/12/09	L		
37.					Buy (add'l)	07/15/09	J		
38.					Buy (add'l)	08/14/09	J		
39.					Buy (add'l)	08/21/09	J		
40.					Buy (add'l)	09/15/09	J		
41.					Buy (add'l)	10/15/09	J		
42.					Buy (add'l)	11/13/09	J		
43. - CG Capital Markets International Fixed Income Investments	A	Dividend	J	T	Buy	06/12/09	J		
44. - CG Capital Markets International Equity Investments	A	Dividend	K	T	Buy	06/12/09	J		
45.					Buy (add'l)	07/15/09	J		
46.					Buy (add'l)	08/14/09	J		
47.					Buy (add'l)	09/15/09	J		
48.					Buy (add'l)	10/15/09	J		
49.					Buy (add'l)	11/13/09	J		
50. - CG Capital Markets Lg Cap Growth Investment	A	Dividend	L	T	Buy	06/12/09	J		
51.					Buy (add'l)	07/15/09	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P1 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ **NONE** (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "EX" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
52.					Buy (add'l)	08/14/09	J		
53.					Buy (add'l)	09/15/09	J		
54.					Buy (add'l)	10/15/09	J		
55.					Buy (add'l)	11/13/09	J		
56. - CG Capital Markets Sm Capital Growth Investments	A	Dividend	K	T	Buy	06/12/09	J		
57.					Buy (add'l)	07/15/09	J		
58.					Buy (add'l)	08/14/09	J		
59.					Buy (add'l)	08/21/09	J		
60.					Buy (add'l)	09/15/09	J		
61.					Buy (add'l)	10/15/09	J		
62.					Buy (add'l)	11/13/09	J		
63. Chase	C	Interest	L	T					
64. Wachovia Bank CD	B	Interest			Matured	06/30/09			
65. Wachovia Bank CD	C	Interest			Matured	06/30/09			
66. Wachovia Bank CD	B	Interest			Matured	06/30/09			
67. Wachovia Bank CD	B	Interest			Matured	06/30/09			
68. Wachovia Bank CD	B	Interest	L	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
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69. Wachovia Bank	B	Interest	M	T					
70. MorganStanley Smith Barney									
71. - CG Capital Markets Core Fixed Income Fund	A	Dividend			Sold	02/06/09	J	A	
72. - CG Capital Markets Lg Capital Value Investments	A	Dividend			Sold	02/06/09	L	A	
73. - CG Capital Markets Sm Capital Value Investments	A	Dividend			Sold	02/06/09	J	A	
74. - CG Capital Markets Emerging Markets Investments	A	Dividend			Sold	02/06/09	K	A	
75. - CG Capital Markets International Fixed Income Investments	A	Dividend			Sold	02/06/09	J	A	
76. - CG Capital Markets International Equity Investments	A	Dividend	K	T	Sold (part)	02/06/09	K	A	
77.					Buy (add'l)	06/12/09	J		
78.					Buy (add'l)	08/10/09	K		
79.					Sold (part)	08/21/09	J	A	
80. - CG Capital Markets Lg Cap Growth Investment	A	Dividend	L	T	Sold (part)	02/06/09	L	A	
81.					Buy (add'l)	06/12/09	K		
82.					Buy (add'l)	08/10/09	K		
83.					Sold (part)	08/21/09	K	A	
84. - CG Capital Markets Sm Capital Growth Investments	A	Dividend			Sold	02/06/09	J	A	
85. - Eurobank CD	C	Interest			Matured	01/02/09	L		

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A Description of Assets (including trust assets) Place "(X)" after each asset except from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
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86. - Capital One Bank CD	C	Interest			Matured	04/02/09	L		
87. - Capital One NA CD	C	Interest			Matured	04/02/09	L		
88. - Compass Bank CD	A	Interest			Buy	02/11/09	J		
89.					Matured	08/11/09	J		
90. - Countrywide Bank CD	B	Interest			Matured	04/02/09	L		
91. - Silverton Bank CD	C	Interest			Matured	04/02/09	L		
92. - Western Asset Class A CG Capital Mks Money Fund (Y)	B	Interest	N	T					
93. - RBS Citizens, NA CD	A	Interest			Buy	02/11/09	J		
94.					Matured	08/11/09	J		
95. - Citibank NA BDP	A	Interest	M	T	Open	02/06/09	M		
96. - Citicorp Trust Bank	A	Interest	M	T	Open	02/06/09	M		
97. - Citibank NA South Dakota BDP	A	Interest	M	T	Open	02/06/09	M		
98. - CG Capital Markets - Money Market	A	Interest	J	T	Open	02/06/09	M		
99. - CG Capital Markets Core Fixed Income Fund	A	Dividend	K	T	Buy	06/12/09	J		
100.					Buy (add'l)	08/10/09	J		
101. - CG Capital Markets Lg Capital Value Investments	B	Dividend	L	T	Buy	06/12/09	K		
102.					Buy (add'l)	08/10/09	K		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P1 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
103. - CG Capital Markets Sm Capital Value Investments	A	Dividend	K	T	Buy	06/12/09	J		
104.					Buy (add'l)	08/10/09	J		
105.					Buy (add'l)	08/21/09	J		
106. - CG Capital Markets Emerging Markets Investments	A	Dividend	L	T	Buy	06/12/09	K		
107.					Buy (add'l)	08/10/09	K		
108.					Buy (add'l)	08/21/09	J		
109. - CG Capital Markets International Fixed Income Investments	A	Dividend	J	T	Buy	06/12/09	J		
110.					Buy (add'l)	08/10/09	J		
111. - CG Capital Markets Sm Capital Growth Investments	A	Dividend	K	T	Buy	06/12/09	J		
112.					Buy (add'l)	08/10/09	J		
113.					Buy (add'l)	08/21/09	J		
114. Northern Trust									
115. - MFO American Centy CA Tax-Free Bd Fd Inv	D	Dividend	N	T					
116. - MFB NTHN CA Mun Money Mkt	A	Interest	M	T					
117. Raymond James									
118. - Citigroup CHP XVII	A	Interest			Sold	03/04/09	J		
119. - US Treasury Bills 08/15/23	B	Interest	L	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P1 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 ● = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	
3. Value Method Codes (See Column C2)					

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Name of Person Reporting Pregerson, Harry	Date of Report 05/18/2010
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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120. - US Treasury Bills 08/15/21	B	Interest	K	T					
121. - US Treasury Bills 08/15/20	B	Interest	K	T					
122. - US Treasury Bills 08/15/22	B	Interest	K	T					
123. - US Treasury Bills 08/15/24	A	Interest	K	T					
124. - US Treasury Bills 08/15/26	A	Interest	K	T					
125. - JP Morgan Chase CO Notes	A	Dividend	K	T					
126. - Raymond James Money Market	A	Interest	J	T					
127. - Schlumberger LTD Stock	A	Dividend	K	T					
128. - Microsoft Corporation Stock	A	Dividend	J	T					
129. - Nuveen Preferred & Conv Income Fund 2	B	Dividend	K	T					
130. - Community Bank & Trust CD	A	Interest			Redeemed	01/30/09	K		
131. - Cascade Bank	A	Interest	L	T	Buy	05/29/09	L		
132. - Compass Bank	A	Interest	L	T	Buy	06/05/09	L		
133. - US Ameribank	A	Interest			Buy	01/30/09	L		
134.					Redeemed	05/06/09	L		
135. Raymond James IRA #1: Microsoft Corporation Stock	A	Dividend	K	T					
136. Raymond James IRA #2: Community Bank & Trust CD	A	Interest	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P1 = \$250,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

Pregerson, Harry

Date of Report

05/18/2010

VII. INVESTMENTS and TRUSTS — income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137. Harry Pregerson 1996 Irrevocable Trust									
138. - CalNational Bank	A	Interest	J	T					
139. - Janus Fund	A	Dividend	J	T					
140. Ing Direct									
141. - Orange Savings	B	Interest	K	T					
142. - Orange CD	B	Interest	K	T					
143. - Orange CD	B	Interest	K	T					
144. Citi National									
145. - CDs	C	Interest	N	T	Open	06/30/09	N		
146. - Checking Accts	A	Interest	M	T	Open	06/30/09	M		
147. Janus Money Market Fund D (X)	A	Int./Div.	N	T					
148.									

1. Income Gain Codes (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

Pregerson, Harry

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of Report.)*

Asset #147 Janus Money Market reported under asset #28 with (Y) on 2008 Financial Disclosure Report due to the missing information for this investment at that time.

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Name of Person Reporting

Pregerson, Harry

Date of Report

05/18/2010

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544