

# FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2010

Report Required by the Ethics  
in Government Act of 1978  
(5 U.S.C. app. §§ 101-111)

|                                                                                                                                                                                                                              |                                                                                                                                                                                                                                                                       |                                                                  |
|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------|
| <b>1. Person Reporting (last name, first, middle initial)</b><br><br>BLACK, BRUCE D.                                                                                                                                         | <b>2. Court or Organization</b><br><br>USDC, District of New Mexico                                                                                                                                                                                                   | <b>3. Date of Report</b><br><br>05/16/2011                       |
| <b>4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)</b><br><br>U. S. District Judge (active)                                                                    | <b>5a. Report Type (check appropriate type)</b><br><input type="checkbox"/> Nomination,      Date<br><input type="checkbox"/> Initial <input checked="" type="checkbox"/> Annual <input type="checkbox"/> Final<br><b>5b.</b> <input type="checkbox"/> Amended Report | <b>6. Reporting Period</b><br><br>01/01/2010<br>to<br>12/31/2010 |
| <b>7. Chambers or Office Address</b><br><br>106 South Federal Place<br>Santa Fe, New Mexico 87501                                                                                                                            | <b>8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations.</b><br><br>Reviewing Officer _____ Date _____                                      |                                                                  |
| <p align="center"><b>IMPORTANT NOTES:</b> The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.</p> |                                                                                                                                                                                                                                                                       |                                                                  |

## I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

| <u>POSITION</u>               | <u>NAME OF ORGANIZATION/ENTITY</u> |
|-------------------------------|------------------------------------|
| 1. Member, Board of Directors | El Rancho de las Golondrinas       |
| 2.                            |                                    |
| 3.                            |                                    |
| 4.                            |                                    |
| 5.                            |                                    |

## II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

| <u>DATE</u> | <u>PARTIES AND TERMS</u> |
|-------------|--------------------------|
| 1.          |                          |
| 2.          |                          |
| 3.          |                          |

Black, Bruce D.

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**III. NON-INVESTMENT INCOME.** *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

| <u>DATE</u> | <u>SOURCE AND TYPE</u> | <u>INCOME</u><br>(yours, not spouse's) |
|-------------|------------------------|----------------------------------------|
| 1.          |                        |                                        |
| 2.          |                        |                                        |
| 3.          |                        |                                        |
| 4.          |                        |                                        |

**B. Spouse's Non-Investment Income -** *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

| <u>DATE</u> | <u>SOURCE AND TYPE</u>                       |
|-------------|----------------------------------------------|
| 1. 2010     | Santa Fe Public Schools - Substitute Teacher |
| 2.          |                                              |
| 3.          |                                              |
| 4.          |                                              |

**IV. REIMBURSEMENTS** *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

|    | <u>SOURCE</u>                  | <u>DATES</u> | <u>LOCATION</u> | <u>PURPOSE</u> | <u>ITEMS PAID OR PROVIDED</u> |
|----|--------------------------------|--------------|-----------------|----------------|-------------------------------|
| 1. | Stanford University Law School | 04/11-13/11  | Stanford, CA    | Lecture        | Airfare, lodging              |
| 2. |                                |              |                 |                |                               |
| 3. |                                |              |                 |                |                               |
| 4. |                                |              |                 |                |                               |
| 5. |                                |              |                 |                |                               |

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**V. GIFTS.** *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1.

2.

3.

4.

5.

**VI. LIABILITIES.** *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1.

2.

3.

4.

5.

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## VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

| A.<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B.<br>Income during<br>reporting period |                                        | C.<br>Gross value at end<br>of reporting period |                                    | D.<br>Transactions during reporting period |                  |                          |                         |                                                            |
|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|----------------------------------------|-------------------------------------------------|------------------------------------|--------------------------------------------|------------------|--------------------------|-------------------------|------------------------------------------------------------|
|                                                                                                                             | (1)                                     | (2)                                    | (1)                                             | (2)                                | (1)                                        | (2)              | (3)                      | (4)                     | (5)                                                        |
|                                                                                                                             | Amount<br>Code 1<br>(A-H)               | Type (e.g.,<br>div., rent,<br>or int.) | Value<br>Code 2<br>(J-P)                        | Value<br>Method<br>Code 3<br>(Q-W) | Type (e.g.,<br>buy, sell,<br>redemption)   | Date<br>mm/dd/yy | Value<br>Code 2<br>(J-P) | Gain<br>Code 1<br>(A-H) | Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 1. NW Mutual Life Ins.                                                                                                      | B                                       | Dividend                               | L                                               | T                                  |                                            |                  |                          |                         |                                                            |
| 2. NM Public Empl. Defer. Comp.                                                                                             | D                                       | Dividend                               | M                                               | T                                  |                                            |                  |                          |                         |                                                            |
| 3. --American Funds - Europacific Growth Fd. R6                                                                             |                                         |                                        |                                                 |                                    | Sold                                       | 08/19/10         | K                        |                         |                                                            |
| 4. --Dodgecox Stock Fd                                                                                                      |                                         |                                        |                                                 |                                    | Sold                                       | 08/19/10         | K                        |                         |                                                            |
| 5. --Vngrd Ttl Bd Mkt Index Inst.                                                                                           |                                         |                                        |                                                 |                                    | Buy                                        | 08/19/10         | L                        |                         |                                                            |
| 6. --OK Mrk Eq. Inc. 1                                                                                                      |                                         |                                        |                                                 |                                    |                                            |                  |                          |                         |                                                            |
| 7. Wellfargo IRA                                                                                                            | C                                       | Dividend                               | M                                               | T                                  |                                            |                  |                          |                         |                                                            |
| 8. --Davis NY Venture-A Fund                                                                                                |                                         |                                        |                                                 |                                    |                                            |                  |                          |                         |                                                            |
| 9. --Davis Growth - A Fund                                                                                                  |                                         |                                        |                                                 |                                    | Sold                                       | 01/04/10         | J                        |                         |                                                            |
| 10. --Novelos Therapeutics Inc.                                                                                             |                                         |                                        |                                                 |                                    | Buy                                        | 01/04/10         | J                        |                         |                                                            |
| 11.                                                                                                                         |                                         |                                        |                                                 |                                    | Sold                                       | 02/24/10         | J                        |                         |                                                            |
| 12. --FPA New Income Inc.                                                                                                   |                                         |                                        |                                                 |                                    |                                            |                  |                          |                         |                                                            |
| 13. --Tweedy Browne Global                                                                                                  |                                         |                                        |                                                 |                                    |                                            |                  |                          |                         |                                                            |
| 14. WF Advantage Money Market Fund                                                                                          | A                                       | Interest                               | J                                               | T                                  |                                            |                  |                          |                         | **see Part VIII                                            |
| 15. Oak Assoc. WHT Oak Growth                                                                                               |                                         | None                                   | J                                               | T                                  |                                            |                  |                          |                         |                                                            |
| 16. Wells Fargo Bank Accounts                                                                                               | A                                       | Interest                               | K                                               | T                                  |                                            |                  |                          |                         |                                                            |
| 17. Rental #1, Santa Fe, NM (6/5/04 \$212,000)                                                                              | D                                       | Rent                                   | M                                               | R                                  |                                            |                  |                          |                         |                                                            |

### 1. Income Gain Codes:

(See Columns B1 and D4)

### 2. Value Codes

(See Columns C1 and D3)

### 3. Value Method Codes

(See Column C2)

A = \$1,000 or less

F = \$50,001 - \$100,000

J = \$15,000 or less

N = \$250,001 - \$500,000

P3 = \$25,000,001 - \$50,000,000

Q = Appraisal

U = Book Value

B = \$1,001 - \$2,500

G = \$100,001 - \$1,000,000

K = \$15,001 - \$50,000

O = \$500,001 - \$1,000,000

R = Cost (Real Estate Only)

V = Other

C = \$2,501 - \$5,000

H1 = \$1,000,001 - \$5,000,000

L = \$50,001 - \$100,000

P1 = \$1,000,001 - \$5,000,000

P4 = More than \$50,000,000

S = Assessment

W = Estimated

D = \$5,001 - \$15,000

H2 = More than \$5,000,000

M = \$100,001 - \$250,000

P2 = \$5,000,001 - \$25,000,000

T = Cash Market

E = \$15,001 - \$50,000

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## VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

| A.<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B.<br>Income during<br>reporting period |                                               | C.<br>Gross value at end<br>of reporting period |                 | D.<br>Transactions during reporting period      |                         |                 |                 |                                                                   |
|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|-----------------------------------------------|-------------------------------------------------|-----------------|-------------------------------------------------|-------------------------|-----------------|-----------------|-------------------------------------------------------------------|
|                                                                                                                             | (1)<br>Amount                           | (2)<br>Type (e.g.,<br>div., rent,<br>or int.) | (1)<br>Value                                    | (2)<br>Value    | (1)<br>Type (e.g.,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value    | (4)<br>Gain     | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
|                                                                                                                             | Code 1<br>(A-H)                         |                                               | Code 2<br>(J-P)                                 | Code 3<br>(Q-W) |                                                 |                         | Code 2<br>(J-P) | Code 1<br>(A-H) |                                                                   |
| 18. Del Norte Credit Union                                                                                                  | A                                       | Interest                                      | K                                               | T               |                                                 |                         |                 |                 |                                                                   |
| 19. Sears Roebuck Accept. Notes                                                                                             | B                                       | Interest                                      | K                                               | T               |                                                 |                         |                 |                 |                                                                   |
| 20. University NM Revenue Bond                                                                                              | A                                       | Interest                                      | J                                               | T               |                                                 |                         |                 |                 |                                                                   |
| 21. DWS Money Mkt Prime Ser.                                                                                                | A                                       | Dividend                                      | J                                               | T               |                                                 |                         |                 |                 |                                                                   |
| 22. Bristol Myers Squibb Co.                                                                                                | A                                       | Dividend                                      | J                                               | T               |                                                 |                         |                 |                 |                                                                   |
| 23. Powershrs Financial Preferred Portfolio                                                                                 | A                                       | Dividend                                      | J                                               | T               |                                                 |                         |                 |                 |                                                                   |
| 24. Mountain American Credit Union                                                                                          | A                                       | Interest                                      | K                                               | T               |                                                 |                         |                 |                 |                                                                   |
| 25. Land #2, Santa Fe, NM (4/14/09 \$300,000)<br>(Y)                                                                        |                                         |                                               |                                                 |                 |                                                 |                         |                 |                 |                                                                   |

### 1. Income Gain Codes:

(See Columns B1 and D4)

A = \$1,000 or less

F = \$50,001 - \$100,000

B = \$1,001 - \$2,500

G = \$100,001 - \$1,000,000

C = \$2,501 - \$5,000

H1 = \$1,000,001 - \$5,000,000

D = \$5,001 - \$15,000

H2 = More than \$5,000,000

E = \$15,001 - \$50,000

### 2. Value Codes

(See Columns C1 and D3)

J = \$15,000 or less

N = \$250,001 - \$500,000

K = \$15,001 - \$50,000

O = \$500,001 - \$1,000,000

L = \$50,001 - \$100,000

P1 = \$1,000,001 - \$5,000,000

M = \$100,001 - \$250,000

P2 = \$5,000,001 - \$25,000,000

P3 = \$25,000,001 - \$50,000,000

P4 = More than \$50,000,000

### 3. Value Method Codes

(See Column C2)

Q = Appraisal

U = Book Value

R = Cost (Real Estate Only)

V = Other

S = Assessment

W = Estimated

T = Cash Market

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**VIII. ADDITIONAL INFORMATION OR EXPLANATIONS.** *(Indicate part of report.)*

Part VII - line 14 - WF Advantage Municipal Money Market Fund - Evergreen Municipal Money Market transferred on 7/12/10 to Wells Fargo Advantage Municipal Money Market

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**IX. CERTIFICATION.**

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **BRUCE D. BLACK**

**NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)**

Committee on Financial Disclosure  
Administrative Office of the United States Courts  
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Washington, D.C. 20544