

United States District Court
Northern District of New York

Gary L. Sharpe
District Court Judge

James T. Foley Courthouse
445 Broadway
Albany, New York 12207
(518) 257-1870
[REDACTED]

June 17, 2009

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544

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FINANCIAL
DISCLOSURE OFFICE

Dear Sir or Madam:

I am responding to your June 11, 2009 letter concerning an error in my 2008 report regarding the Part VII asset, "Aegean Marine." Please be advised that as I checked the records relative to this asset, I found another Part VII error regarding "McDermott Intl Inc" that I wish to correct in order to avoid a similar problem in next year's report. I address each asset separately.

Aegean Marine, Part VII, page 6, line 51 (2007 Report)

Aegean Marine should have been listed, and accompanied by a Part VIII note as follows: The 2007 report listed a "partial sale" of this asset and correctly identified the date and value and gain codes. However, the sale was not partial, but total. Therefore, the 2007 report should reflect a total sale, and it should not appear as an asset on the 2008 report.

McDermott Intl Inc Common Stock, Part VII, page 6, line 48 (2007 Report), Part VII, page 10, line 103 (2008 Report) and "Unknown page and line number (2006 Report)"

Unfortunately, I do not have a copy of my 2006 Report, and must

Sharpe_Gary_L

verbally trace this asset for you. Ultimately, it was purchased and totally disposed of in calendar year 2006, all of which should have been reflected on the 2006 Report. Therefore, the Part VII, page 6, line 48 2007 Report and the Part VII, page 10, line 103 2008 Report essentially reflect that it is a currently held asset which is incorrect.

The 2006 Report should have reflected a purchase on 2-27-2006, and a Value Code of J. The 2006 Report should have shown a total sale on 9-14-2006, a Value Code of J, and a Gain Code of B. As I said, I do not have my 2006 Report, and presume I missed the total disposition of this asset in that calendar year. The asset was then incorrectly carried forward as an existing asset on both the 2007 and 2008 Reports. It is my intention to delete the asset on next year's report and supply a note in Part VIII that references this letter.

I am enclosing the original and three (3) copies of this letter. As always, I appreciate the Committee's work, and I hope I have not caused extraordinary pain and suffering.

Very truly yours,


Cory L. Sharpe

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2008

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Sharpe, Gary L.	2. Court or Organization U.S. District Court, NDNY	3. Date of Report 05/06/2009
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) District Court Judge, Active	5a. Report Type (check appropriate type) ☐ Nomination, Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2008 to 12/31/2008
7. Chambers or Office Address James T. Foley Courthouse 445 Broadway Albany, New York 12207	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. _____	_____
2. _____	_____
3. _____	_____
4. _____	_____
5. _____	_____

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. _____	_____
2. _____	_____
3. _____	_____

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2008	Realty USA - Independent Contractor - Commissions
2. 2008	Real Estate Professional - Rental Property
3.	
4.	

IV. REIMBURSEMENTS *- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1. Merrill Lynch	Mortgage on income property	N
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Merrill Lynch (IRA #1)	A	Dividend	K	T					
2. -Oppenheimer Developing Mkts CI A									
3. -Thomburg International Value Fund A					Sold (part)	10-6	J	A	
4.					Buy (add'l)	11-5	J		
5. -Aim Energy Fd CI A									
6. -Oppenheimer Gold & Special Metals Fund									
7. -Jennison Natural Resources Fd CI Z					Sold	10-6	J	A	
8. -Blackrock All Cap Global Resources CI I					Sold	10-6	J	A	
9. -Eaton Vance Greater India Fund CI A					Sold	10-6	J	A	
10. -Blackrock Latin America Fd					Sold	10-16	J	A	
11. -Delaware Emerging Mkts Fd					Sold	10-16	J	A	
12. -Ing Russia Fund CI A					Sold (part)	10-6	J	A	
13.					Buy (add'l)	11-5	J		
14. -AllianceBernstein Greater China 97 Fd					Sold	10-6	J	A	
15. Merrill Lynch (IRA #2)	A	Dividend	M	T					
16. -Oppenheimer International Bond Fd CI A									
17. -DWS Drem Hgh Ret Eq Inst					Sold	1-24	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. -Dreyfus Premier Intl Vlaue CI A					Sold	10-6	J	A	
19. -Lord Abbett Research Sm Cap CI A					Sold	10-6	J	A	
20. -Oppenheimer Developing Mkts CI A									
21. -Thornburg International Value Fund A					Sold	10-6	J	A	
22. -Vankampen Comstock Fund CI A					Sold	10-6	K	A	
23. -Cohen & Steers Special Equity Fund					Sold	1-24	J	A	
24. -Matthews Asian Funds Japan Funds					Sold	4-25	J	A	
25. -Aim Energy Fd CI A					Sold	10-6	K	A	
26. -Nationwide Global Natural Resources Fd CI A ... See Note					Sold (part)	1-15	J	A	
27.					Buy (add'l)	1-24	K		
28. -Oppenheimer Gold & Special Metals Fund									
29. -Alger Health Sciences A									
30. -Blackrock Nat Resource I					Sold	10-6	K	A	
31. -Columbia Technology Fd CI A					Buy	10-22	J		
32.					Buy (add'l)	10-24	J		
33.					Buy (add'l)	11-3	J		
34.					Buy (add'l)	11-5	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	
3. Value Method Codes (See Column C2)					

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35.					Buy (add'l)	11-6	J		
36.					Buy (add'l)	11-14	J		
37. -Delaware Investments Diversified Income CI A					Buy	10-22	J		
38.					Buy (add'l)	10-24	J		
39.					Buy (add'l)	11-3	J		
40.					Buy (add'l)	11-5	J		
41.					Buy (add'l)	11-6	J		
42.					Buy (add'l)	11-14	J		
43. -Baron Partners Fund NLD					Buy	10-22	J		
44.					Buy (add'l)	10-24	J		
45.					Buy (add'l)	11-5	J		
46.					Buy (add'l)	11-6	J		
47.					Buy (add'l)	11-14	J		
48. -John Hancock Large Cap Equity Fd CI I					Buy	10-22	J		
49.					Buy (add'l)	10-24	J		
50.					Buy (add'l)	11-3	J		
51.					Buy (add'l)	11-5	J		

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52.					Buy (add'l)	11-6	J		
53.					Buy (add'l)	11-14	J		
54. -Pimco Total Return Fd Cl A					Buy	10-22	J		
55.					Buy (add'l)	10-24	J		
56.					Buy (add'l)	11-3	J		
57.					Buy (add'l)	11-5	J		
58.					Buy (add'l)	11-6	J		
59.					Buy (add'l)	11-14	J		
60. -Hartford Capital Appreciation Cl A					Buy	10-22	J		
61.					Buy (add'l)	10-24	J		
62.					Buy (add'l)	11-3	J		
63.					Buy (add'l)	11-5	J		
64.					Buy (add'l)	11-6	J		
65.					Buy (add'l)	11-14	J		
66. -Eaton Vance Tax-MG Small Cap Cl A					Buy	10-22	J		
67.					Buy (add'l)	10-24	J		
68.					Buy (add'l)	11-3	J		

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69.					Buy (add'l)	11-5	J		
70.					Buy (add'l)	11-6	J		
71.					Buy (add'l)	11-14	J		
72. -RS Value Fund CI A					Buy	11-3	J		
73. New York College Tuition Savings # 1 (5 29)	A	Dividend	J	T	Buy	1-1	J		
74.					Buy (add'l)	2-1	J		
75.					Buy (add'l)	3-1	J		
76.					Buy (add'l)	4-1	J		
77.					Buy (add'l)	5-1	J		
78.					Buy (add'l)	6-1	J		
79.					Buy (add'l)	7-1	J		
80.					Buy (add'l)	8-1	J		
81.					Buy (add'l)	9-1	J		
82.					Buy (add'l)	10-1	J		
83.					Buy (add'l)	11-1	J		
84.					Buy (add'l)	12-1	J		
85. New York College Tuition Savings #2 (529)	A	Dividend	J	T	Buy	1-1	J		

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103. -McDermott Intl inc Common Stock									
104. -Apple Computer Inc Common									
105. -China Life Ins Co Common Stock					Closed				See note in Part VIII
106. -Dicks Sporting Goods Common Stock					Sold	12-11	J	A	
107. -Hana Biosciences Common Stock									
108. -Research in Motion LTD Common Stock									
109. -Wachovia Corp Common Stock					Sold	12-11	J	A	
110. -Southwest Energy Common Stock					Buy	5-9	J		
111.					Buy (add'l)	5-15	J		
112. -Altria Group Inc ("MO") Common Stock					Buy	6-13	J		
113.					Sold	8-15	J	A	
114. -China Medical Technologies SPON ADR ("CMED") Common Stock					Buy	6-13	J		
115.					Sold	10-10	J	A	
116. -Freddie Mac Common Stock					Buy	7-23	J		
117. -JP Morgan Chase & Co Common Stock					Buy	7-23	J		
118.					Sold	10-10	J	A	
119. -Humna Inc Common Stock					Buy	8-15	J		

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[illegible]

T=Cash Market

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137.					Sold (part)	7-29	J	A	
138.					Sold (part)	8-25	J	A	
139. -Alger Health Sciences A					Sold (part)	1-28	J	A	
140.					Sold (part)	2-29	J	A	
141.					Sold (part)	3-24	J	A	
142.					Sold (part)	4-25	J	A	
143.					Sold (part)	5-28	J	A	
144.					Sold (part)	7-1	J	A	
145.					Sold (part)	7-29	J	A	
146.					Sold (part)	8-25	J	A	
147. -Aberdeen Small Cap Fund CI A					Sold (part)	1-29	J	A	See note in Part VIII
148.					Sold (part)	2-29	J	A	
149.					Sold (part)	3-24	J	A	
150.					Sold (part)	4-25	J	A	
151.					Sold (part)	5-28	J	A	
152.					Sold (part)	7-1	J	A	
153.					Sold (part)	7-29	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	
3. Value Method Codes (See Column C2)					

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Name of Person Reporting

Sharpe, Gary L.

Date of Report

05/06/2009

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets; or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
154.					Sold (part)	8-25	J	A	
155. -Baron partners Fund NLD					Sold (part)	1-28	J	A	
156.					Sold (part)	2-29	J	A	
157.					Sold (part)	3-24	J	A	
158.					Sold (part)	4-25	J	A	
159.					Sold (part)	5-28	J	A	
160.					Sold (part)	7-29	J	A	
161.					Sold (part)	8-25	J	A	
162.					Sold (part)	10-6	J	A	
163.					Buy (add'l)	10-22	J		
164.					Buy (add'l)	11-5	J		
165.					Buy (add'l)	11-6	J		
166.					Buy (add'l)	11-14	J		
167. -Aberdeen Natural Resources Fund CI A					Sold (part)	1-29	J	A	See note in part VIII
168.					Sold (part)	2-29	J	A	
169.					Sold (part)	3-24	J	A	
170.					Sold (part)	4-25	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000 R = Cost (Real Estate Only) V = Other	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000 S = Assessment W = Estimated	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000 T = Cash Market	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)					
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value				

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Name of Person Reporting

Sharpe, Gary L.

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
171.					Sold (part)	5-28	J	A	
172.					Sold (part)	7-29	J	A	
173.					Sold (part)	8-25	J	A	
174.					Sold	10-6	K	A	
175. -Blackrock All cap Global Resources Portfo lio CII					Sold (part)	1-28	J	A	
176.					Sold (part)	2-29	J	A	
177.					Sold (part)	3-24	J	A	
178.					Sold (part)	4-25	J	A	
179.					Sold (part)	5-28	J	A	
180.					Sold (part)	7-1	J	A	
181.					Sold (part)	7-29	J	A	
182.					Sold (part)	8-25	J	A	
183.					Sold	10-6	K	A	
184. -Blackrock Latin America Fd CII					Sold (part)	1-29	J	A	See note in Part VIII
185.					Sold (part)	2-29	J	A	
186.					Sold (part)	3-24	J	A	
187.					Sold (part)	4-25	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000 R = Cost (Real Estate Only) V = Other	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000 S = Assessment W = Estimated	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000 T = Cash Market	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)					
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value				

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Name of Person Reporting

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
188.					Sold (part)	5-28	J	A	
189.					Sold (part)	7-1	J	A	
190.					Sold (part)	7-29	J	A	
191.					Sold (part)	8-25	J	A	
192.					Sold	10-6	J	A	
193. -Oppenheimer International Bond Fd Cl A					Sold (part)	1-29	J	A	
194.					Sold (part)	2-29	J	A	
195.					Sold (part)	3-24	J	A	
196.					Sold (part)	4-25	J	A	
197.					Sold (part)	5-28	J	A	
198.					Sold (part)	7-1	J	A	
199.					Sold (part)	7-29	J	A	
200.					Sold (part)	8-25	J	A	
201. -Oppenheimer Developing Markets Fd Cl					Sold (part)	1-25	J	A	
202.					Sold (part)	1-29	J	A	
203.					Sold (part)	2-29	J	A	
204.					Sold (part)	3-24	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	
3. Value Method Codes (See Column C2)					

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Sharpe, Gary L.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
205.					Sold (part)	4-15	J	A	
206.					Sold (part)	4-25	J	A	
207.					Sold (part)	5-28	J	A	
208.					Sold (part)	7-1	J	A	
209.					Sold (part)	7-15	J	A	
210.					Sold (part)	7-29	J	A	
211.					Sold (part)	8-25	J	A	
212. -Loomis Sayles Bond Fd Instl Cl					Sold	1-24	J	A	
213. -Hartford Capital Appreciation Cl A					Sold (part)	1-29	J	A	
214.					Sold (part)	2-29	J	A	
215.					Sold (part)	3-24	J	A	
216.					Sold (part)	4-25	J	A	
217.					Sold (part)	5-28	J	A	
218.					Sold (part)	7-1	J	A	
219.					Sold (part)	7-29	J	A	
220.					Sold (part)	8-25	J	A	
221.					Sold	10-6	K	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

Sharpe, Gary L.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
222.					Buy	10-22	J		
223.					Buy (add'l)	11-5	J		
224.					Buy (add'l)	11-6	J		
225.					Buy (add'l)	11-14	J		
226. -Columbia Marisco Focused equity CI A					Sold (part)	1-29	J	A	
227.					Sold (part)	2-29	J	A	
228.					Sold (part)	3-24	J	A	
229.					Sold (part)	4-25	J	A	
230.					Sold (part)	5-28	J	A	
231.					Sold (part)	7-1	J	A	
232.					Sold (part)	7-29	J	A	
233.					Sold (part)	8-25	J	A	
234.					Sold	10-6	J	A	
235. -Thornburg International Value Fd I					Sold (part)	1-29	J	A	
236.					Sold (part)	2-29	J	A	
237.					Sold (part)	3-24	J	A	
238.					Sold (part)	4-25	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000 S = Assessment W = Estimated	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000 T = Cash Market	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other			
3. Value Method Codes (See Column C2)					

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
239.					Sold (part)	5-28	J	A	
240.					Sold (part)	7-1	J	A	
241.					Sold (part)	7-29	J	A	
242.					Sold (part)	8-25	J	A	
243.					Sold	10-6	K	A	
244. -American Cap Inc BLDR FD SBI Cl A					Sold (part)	1-24	J	A	
245.					Sold (part)	2-29	J	A	
246.					Sold (part)	3-24	J	A	
247.					Sold (part)	4-25	J	A	
248.					Sold (part)	5-28	J	A	
249.					Sold (part)	7-1	J	A	
250.					Sold (part)	7-29	J	A	
251.					Sold (part)	8-25	J	A	
252.					Sold	10-6	J	A	
253. -Ultra Financials Proshares Trust ("UYG")					Buy	1-24	J		
254. -Columbia Technology Fd Cl A ("CTCAX")					Buy	10-22	J		
255.					Buy (add'l)	11-5	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	
3. Value Method Codes (See Column C2)					

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
256.					Buy (add'l)	11-6	J		
257.					Buy (add'l)	11-14	J		
258. -Delaware Investments Diversified Income CI A ("DPDFX")					Buy	10-22	J		
259.					Buy (add'l)	11-5	J		
260.					Buy (add'l)	11-6	J		
261.					Buy (add'l)	11-14	J		
262. -John Hancock Large Cap Equity Fd CI I ("J LVIX")					Buy	10-22	J		
263.					Buy (add'l)	11-5	J		
264.					Buy (add'l)	11-6	J		
265.					Buy (add'l)	11-14	J		
266. -Pimco Total Return Fd CI A ("PTTAX")					Buy	10-22	J		
267.					Buy (add'l)	11-5	J		
268.					Buy (add'l)	11-6	J		
269.					Buy (add'l)	11-14	J		
270. -Eaton Vance Tax-MG Small Cap CI A ("ET MGX")					Buy	10-22	J		
271.					Buy (add'l)	11-5	J		
272.					Buy (add'l)	11-6	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	
3. Value Method Codes (See Column C2)					

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Sharpe, Gary L.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
273.					Buy (add'l)	11-14	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000 R = Cost (Real Estate Only) V = Other	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000 S = Assessment W = Estimated	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000 T = Cash Market	E = \$15,001 - \$50,000
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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of Report.)*

Part VIIA: L. 105: Last year's report should have reflected a "sale" of this asset on February 14, 2007 with a value code "J" and a gain code "A". Accordingly, I marked the asset "closed."

L. 131: This asset is now described as "Gabelli Enterprise Metgers and Acquisitions CI A". It is the identical asset listed on last year's report at L. 65 as "AXA Enterpsie Mergers & Acquisitions CI A". I don't know why there was a name change, but it is the same asset.

L. 147: This asset is now described as "Aberdeen Small Cap Fund CI A". It is the identical asset listed on last year's report at L. 67 as "Gartmore Small Cap Fund CI A". I don't know why there was a name change, but it is the same asset.

L. 167: This asset is now described as "Aberdeen Natural Resources Fund CI A". It is the identical asset listed on last year's report at L. 69 as "Nationwide Global Natural Resources Fund CI A". I don't know why there was a name change, but it is the same asset.

L. 184: This asset is now described as "Blackrock Latin America Fd CI I". It is the identical asset listed on last year's report at L. 71 as "ML Latin America Fd CI I". I don't know why there was a name change, but it is the same asset.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544