

AMENDED
FINANCIAL DISCLOSURE REPORT
FOR CALENDAR YEAR 2006

Report Required by the Ethics
in Government Act of 1978,
(5 U.S.C. app. §§101-111)

1. Person Reporting (Last name, first, middle initial) Keenan, John F.		2. Court or Organization United States District Court Southern District of New York		3. Date of Report 6/26/07 nunc pro tunc 5/3/07	
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Senior United States District Judge Southern District of New York		5a. Report Type (check appropriate type) ____ Nomination, Date _____ ____ Initial ☒ Annual ____ Final		6. Reporting Period 1/1/06 - 12/31/06	
		5b. ____ Amended Report			
7. Chambers or Office Address Daniel Patrick Moynihan United States Courthouse 500 Pearl Street, Room 1930 New York, New York 10007-1112		8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____			

IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts.
Check the NONE box for each part where you have no reportable information. Sign on last page.

I. POSITIONS. (Reporting individual only; see pp. 9-13 of Instructions.)

POSITION	NAME OF ORGANIZATION/ENTITY
☒ NONE (No reportable positions.)	
1	
2	
3	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of Instructions.)

DATE	PARTIES AND TERMS
☒ NONE (No reportable agreements.)	
1	
2	

III. NON-INVESTMENT INCOME. (Reporting individual and spouse; see pp. 17-24 of Instructions.)

DATE SOURCE AND TYPE INCOME

A. Filer's Non-Investment Income

☐ NONE (No reportable non-investment income.)			
1	2006	New York City Pension--Retired New York City Employee	\$35,000
2			\$
3			\$

B. Spouse's Non-Investment Income - If you were married during any portion of the reporting year, please complete this section. (dollar amount not required except for honoraria)

☐ NONE (No reportable non-investment income.)			
1	2006	Archdiocese of New York, Pension as Retired Parochial School Teacher	
2			

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FINANCIAL
DISCLOSURE OFFICE

FINANCIAL DISCLOSURE REPORT

Name of Person Reporting
Keenan, John F.

Date of Report
6/26/07 nunc
pro tunc 5/3/07

IV. REIMBURSEMENTS — transportation, lodging, food, entertainment. (Includes those to spouse and dependent children. See pp. 25-27 of Instructions.)

	SOURCE	DESCRIPTION
☒	NONE (No such reportable reimbursements.)	
1		
2		
3		
4		
5		
6		
7		

V. GIFTS. (Includes those to spouse and dependent children. See pp. 28-31 of Instructions.)

	SOURCE	DESCRIPTION	VALUE
☒	NONE (No such reportable gifts.)		
1			\$
2			\$
3			\$
4			\$

VI. LIABILITIES. (Includes those of spouse and dependent children. See pp. 32-33 of Instructions.)

	CREDITOR	DESCRIPTION	VALUE CODE*
☒	NONE (No reportable liabilities.)		
1			
2			
3			
4			
5			

Value Codes: N=\$15,000; M=\$15,001-\$50,000; P=\$50,001-\$100,000; R=\$100,001-\$250,000; S=\$250,001-\$500,000; T=\$500,001-\$1,000,000; U=\$1,000,001-\$5,000,000; V=\$5,000,001-\$25,000,000; W=\$25,000,001-\$50,000,000; X=\$50,000,001-\$100,000,000; Y=\$100,000,001 or more.

FINANCIAL DISCLOSURE REPORT

Name of Person Reporting
Keenan, John F.

Date of Report
6/26/07 nunc
pro tunc 5/3/07

VII. Page 1 INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children. See pp. 34-60 of Instructions.)

Description of Assets (including trust assets) <i>Indicate 100% after each asset is exempt from prior disclosure.</i>	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	If not exempt from disclosure				
	Asset Code 1 (A-10)	Type Code 2 (B-10)	Value Code 1 (C-1)	Value Method Code 2 (C-2)	Type Code 3 (D-1) Buy, Sell, or Redemption	Date Month (D-2)	Value Code 2 (D-3)	Type Code 4 (D-4)	Type Code 5 (D-5) Recipient of Private Transaction
☐ NONE (No reportable income, assets, or transactions.)									
1 Apple Savings Bank	C	Int.	M	T					
2 Chase Bank (Savings Account)	C	Int.	M	T					
3 Country Bank	C	Int.	M	T					
4 Wachovia Bank	C	Int.	L	T					
5 U.S. Savings Bonds	C	Int.	K	T	Buy	Each Month			
6 HSBC Bank	C	Int.	L	T		Payroll Deduction			
7 Ford Motor Common Stock	A	Div.	J	T					
8 Con Ed Common Stock	A	Div.	J	T					
9 Citicorp Common Stock (X)	A	Div.	J	T					
10									
11									
12									
13									
14									
15									
16									
17									

1. Income/Gain Codes: A = \$1,000 or less (Sec. 671, 131, 134) B = \$1,001 - \$2,500 C = \$2,501 - \$5,000 D = \$5,001 - \$15,000 E = \$15,001 - \$50,000 F = \$50,001 - \$100,000 G = \$100,001 - \$1,000,000 H = \$1,000,001 - \$5,000,000 I = \$5,000,001 - \$10,000,000 J = More than \$10,000,000	2. Value Codes: K = \$15,000 or less (Sec. 671, 131, 134) L = \$15,001 - \$50,000 M = \$50,001 - \$100,000 N = \$100,001 - \$250,000 O = \$250,001 - \$500,000 P = \$500,001 - \$1,000,000 Q = \$1,000,001 - \$5,000,000 R = More than \$5,000,000	3. Value Method Codes: U = Appraisal (Sec. 671, 131, 134) V = Book Value W = Other X = Assessment Y = Cash/Market Z = Estimated
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FINANCIAL DISCLOSURE REPORT

Name of Person Reporting

Keenan, John F.

Date of Report

6/26/07 nunc
pro tunc 5/3/07

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS (Indicate part of Report.)

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353 and Judicial Conference regulations.

Signature

6/26/07 nunc
Date pro tunc 5/3/07

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104.)

FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the
United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544

FINANCIAL DISCLOSURE REPORT

FOR CALENDAR YEAR 2006

Report Required by the Ethics
in Government Act of 1978,
(5 U.S.C. app. §§101-111)

1. Person Reporting (Last name, first, middle initial) Keenan, John F.		2. Court or Organization United States District Court Southern District of New York		3. Date of Report 5/3/07	
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Senior United States District Judge Southern District of New York		5a. Report Type (check appropriate type) ___ Nomination, Date _____ ___ Initial ☒ Annual ___ Final		6. Reporting Period 1/1/06 - 12/31/06	
		5b. ___ Amended Report			
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POSITION	NAME OF ORGANIZATION/ENTITY
☒ NONE (No reportable positions.)	
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3	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of Instructions.)

DATE	PARTIES AND TERMS
☒ NONE (No reportable agreements.)	
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III. NON-INVESTMENT INCOME. (Reporting individual and spouse; see pp. 17-24 of Instructions.)

DATE	SOURCE AND TYPE	INCOME
A. Filer's Non-Investment Income		
☐ NONE (No reportable non-investment income.)		
1 2006	New York City Pension--Retired New York City Employee	\$35,000
2		\$
3		\$
B. Spouse's Non-Investment Income - If you were married during any portion of the reporting year, please complete this section. (dollar amount not required except for honoraria)		
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1 2006	Archdiocese of New York, Pension as Retired Parochial School Teacher	
2		

FINANCIAL DISCLOSURE REPORTName of Person Reporting
Keenan, John F.Date of Report
5/3/07**IV. REIMBURSEMENTS** -- transportation, lodging, food, entertainment.*(Includes those to spouse and dependent children. See pp. 25-27 of Instructions.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>
☒	NONE (No such reportable reimbursements.)	
1		
2		
3		
4		
5		
6		
7		

V. GIFTS. *(Includes those to spouse and dependent children. See pp. 28-31 of Instructions.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
☒	NONE (No such reportable gifts.)		
1			\$
2			\$
3			\$
4			\$

VI. LIABILITIES. *(Includes those of spouse and dependent children. See pp. 32-33 of Instructions.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE*</u>
☒	NONE (No reportable liabilities.)		
1			
2			
3			
4			
5			

*Value Codes:

J=\$15,000 or less

K=\$15,001-\$50,000

L=\$50,001-\$100,000

M=\$100,001-\$250,000

N=\$250,001-\$500,000

O=\$500,001-\$1,000,000

P1=\$1,000,001-\$5,000,000

P2=\$5,000,001-\$25,000,000

P3=\$25,000,001-\$50,000,000

P4=\$50,000,001 or more

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VII. Page 1 INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children. See pp. 34-60 of Instructions.)

A Description of Assets (including trust assets) <i>Place (X) after each asset exempt from prior disclosure</i>	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amt. Code1 (A-H)	(2) Type (C, D, div, or int)	(1) Value Code2 (J-P)	(2) Value Method Code3 (Q-W)	(1) Type (Buy, sell, margr, or redemption)	(2) Date Month- Day	(3) Value Code2 (J-P)	(4) Gain Code1 (A-H)	(5) Identity of buyer/seller (if private transaction)
☐ NONE (No reportable income, assets, or transactions.)									
1 Apple Savings Bank	C	Int.	M	T					
2 Chase Bank (Savings Account)	C	Int.	M	T					
3 Country Bank	C	Int.	M	T					
4 Wachovia Bank	C	Int.	L	T					
5 U.S. Savings Bonds	C	Int.	K	T	Buy	Each Month Payroll Deduction			
6 HSBC Bank	C	Int.	L	T					
7 Ford Motor Common Stock	A	Div.	J	T					
8 Con Ed Common Stock	A	Div.	J	T					
9 Citicorp Common Stock	A	Div.	J	T					
10									
11									
12									
13									
14									
15									
16									
17									

1. Income/Gain Codes:	A=\$1,000 or less (See Col. B1, D4)	B=\$1,001-\$2,500 P=\$50,001-\$100,000	C=\$2,501-\$5,000 G=\$100,001-\$1,000,000	D=\$5,001-\$15,000 H1=\$1,000,001-\$5,000,000	E=\$15,001-\$50,000 H2=More than \$5,000,000
2. Value Codes:	J=\$15,000 or less (See Col. C1, D3)	K=\$15,001-\$50,000 N=\$250,001-\$500,000 P3=\$25,000,001-\$50,000,000	L=\$50,001-\$100,000 O=\$500,001-\$1,000,000	M=\$100,001-\$250,000 P1=\$1,000,001-\$5,000,000	P2=\$5,000,001-\$25,000,000 P4=More than \$50,000,000
3. Value Method Codes:	Q=Appraisal (See Col. C2)	R=Cdn. (real estate only) U=Book value	S=Assessment V=Other	T=Cash/Market W=Estimated	

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Signature

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Washington, D.C. 20544