

CHAMBERS OF
M. JAMES LORENZ
DISTRICT JUDGE



PHONE: (619) 557-7669


UNITED STATES DISTRICT COURT
SOUTHERN DISTRICT OF CALIFORNIA
EDWARD J. SCHWARTZ U.S. COURTHOUSE
940 FRONT STREET, ROOM 5145
SAN DIEGO, CALIFORNIA 92101-8911

August 6, 2008

Honorable Ortrie D. Smith
Chair, Committee on Financial Disclosure
Administrative Office of the United States Courts
One Columbus Circle, N.E.
Washington, D.C. 20544

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DISCLOSURE OFFICE

Dear Judge Smith,

Pursuant to the notification of errors to my Financial Disclosure Report, enclosed is the Amended Financial Disclosure Report as well as three additional copies of the report and this letter.

As an explanation to the response request for "Part VII, Page 4, Line 10, and Page 5, Line 32," the report does list "American Inv Co of America" on page 5, line 20. It is listed at a different page location than my prior report.

As to "MS Liquid Asset Fund," this fund was terminated in 2007 and changed to "Banks Deposit Program." I have reflected this change on my amendment as I overlooked this change.

I have also taken note of the omissions on pages 4 and 5 at lines 6, 14, 16, 21, 24, 25, 26; and I have made the appropriate corrections in the Amended Financial Disclosure Report.

Thank you for your consideration.



M. JAMES LORENZ
District Judge

MJL:seg
Enclosures (as stated)

**FINANCIAL DISCLOSURE REPORT
FOR CALENDAR YEAR 2007**

*Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)*

1. Person Reporting (last name, first, middle initial) LORENZ, MYRON J	2. Court or Organization U.S. DISTRICT COURT, CASD	3. Date of Report 5/12/2008
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. DISTRICT JUDGE, ACTIVE	5a. Report Type (check appropriate type) ☐ Nomination, ☐ Date ☐ Initial ☒ Annual ☐ Final	6. Reporting Period 01/01/2007 to 12/31/2007
	5b. ☒ Amended Report	
7. Chambers or Office Address U.S. DISTRICT COURT 940 FRONT STREET RM 5145 SAN DIEGO CA 92101-8911	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	
IMPORTANT NOTES: <i>The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.</i>		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. TRUSTEE	TRUST
2. MEMBER	BOARD OF ADVISORS OF MARINE CORPS RECRUIT DEPOT MUSEUM & HISTORIAL SOCIETY
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

FINANCIAL DISCLOSURE REPORT

Page 2 of 7

Name of Person Reporting

LORENZ, MYRON J

Date of Report

5/12/2008

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☒ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☒ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	

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IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

FINANCIAL DISCLOSURE REPORT

Page 3 of 7

Name of Person Reporting

LORENZ, MYRON J

Date of Report

5/12/2008

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.		
2.		
3.		
4.		
5.		

FINANCIAL DISCLOSURE REPORT

Page 4 of 7

Name of Person Reporting

LORENZ, MYRON J

Date of Report

5/12/2008

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Parcel # 1, San Diego County, California		None	O	W					
2. WELLS FARGO BANK		None	J	T					
3. HIGHLAND FLOATING RTC	D	Dividend	L	T					
4. UNIT VAN KAMPEN UNIT TRUSTS	A	Dividend	L	T					
5. MS ACTIVE ASSETS TAX FREE TRUST	A	Dividend	K	T					
6. CA STWD DEV-C BE	D	Interest	M	T					
7. MURIETTA VY CALIF SCH DIST. CTFS PARTN CALLABLE	B	Interest	K	T					
8. UNIVERSITY OF CAL. REVS	C	Interest	L	T					
9. CALIFORNIA EDL FACS AUTH REV	D	Interest	M	T					
10. CALIFORNIA EDL FACS	B	Interest	K	T	BUY	8/17	K		
11. METROPOLITAN WTR DISTRICT	B	Interest	K	T					
12. PRIME FUND	A	Dividend	J	T					
13. AMERICAN CAP WRLD GR & INC	C	Dividend	L	T					
14. EQUALLY WEIGHTED S&P 500		None			SELL	1/4	J	A	
15. MS LIQUID ASSET FUND	A	Interest	J	T					
16. PUTNAM NEW OPPORTUNITY B		None			SELL	1/4	K		
17. OPPENHEIMER GLOBAL FD CL B	C	Dividend	L	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	
3. Value Method Codes (See Column C2)					

FINANCIAL DISCLOSURE REPORT

Page 5 of 7

Name of Person Reporting

LORENZ, MYRON J

Date of Report

5/12/2008

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. DAVIS NEW YORK VENTURE	A	Dividend	L	T					
19. MS MPS S&P 500 INDEX		None	L	T					
20. AMERICAN INV CO OF AMER	D	Dividend	M	T					
21. GLOBAL DIVIDEND GROWTH		None			SELL	1/4	K	B	
22. FOCUS GROWTH FUND B (in IRA) (name chg fr MS Amer Opp Fund)		None			SELL	1/4	K		
23. AIM BASIC VALUE B		None			SELL	1/4	K	C	
24. VAN KAPEN INDEX STRAT	B	Dividend			SELL	7/27	K	A	
25. VAN KAPEN EAFE SELECT	B	Dividend			SELL	7/27	K	C	
26. VAN KAPEN UNIT TRUSTS	B	Dividend	M	T	BUY	7/27	M		
27. MS LIQUID ASSET FUND NAME CHANGE TO BANK DEPOSIT PROGRAM	A	Interest	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A=\$1,000 or less F=\$50,001 - \$100,000 J=\$15,000 or less N=\$250,001 - \$500,000 P3=\$25,000,001 - \$50,000,000	B=\$1,001 - \$2,500 G=\$100,001 - \$1,000,000 K=\$15,001 - \$50,000 O=\$500,001 - \$1,000,000	C=\$2,501 - \$5,000 H1=\$1,000,001 - \$5,000,000 L=\$50,001 - \$100,000 P1=\$1,000,001 - \$5,000,000 P4=More than \$50,000,000	D=\$5,001 - \$15,000 H2=More than \$5,000,000 M=\$100,001 - \$250,000 P2=\$5,000,001 - \$25,000,000	E=\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	Q=Appraisal U=Book Value	R=Cost (Real Estate Only) V=Other	S=Assessment W=Estimated	T=Cash Market	
3. Value Method Codes (See Column C2)					

FINANCIAL DISCLOSURE REPORT

Page 6 of 7

Name of Person Reporting

LORENZ, MYRON J

Date of Report

5/12/2008

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of Report.)*

FINANCIAL DISCLOSURE REPORT

Page 7 of 7

Name of Person Reporting

LORENZ, MYRON J

Date of Report

5/12/2008

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2007

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) LORENZ, MYRON J	2. Court or Organization U.S. DISTRICT COURT, CASD	3. Date of Report 05/12/2008
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. DISTRICT JUDGE, ACTIVE	5a. Report Type (check appropriate type) ☐ Nomination, Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2007 to 12/31/2007
7. Chambers or Office Address U.S. DISTRICT COURT 940 FRONT STREET RM 5145 SAN DIEGO CA 92101-8911	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. TRUSTEE	TRUST
2. MEMBER	BOARD OF ADVISORS OF MARINE CORPS RECRUIT DEPOT MUSEUM & HISTORIAL SOCIETY
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

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FINANCIAL DISCLOSURE REPORT

Page 2 of 7

Name of Person Reporting

LORENZ, MYRON J

Date of Report

05/12/2008

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☒ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. _____	_____	_____
2. _____	_____	_____
3. _____	_____	_____
4. _____	_____	_____

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☒ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. _____	_____
2. _____	_____
3. _____	_____
4. _____	_____

IV. REIMBURSEMENTS – *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. _____	_____	_____	_____	_____
2. _____	_____	_____	_____	_____
3. _____	_____	_____	_____	_____
4. _____	_____	_____	_____	_____
5. _____	_____	_____	_____	_____

FINANCIAL DISCLOSURE REPORT

Page 3 of 7

Name of Person Reporting

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*☒ NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*☒ NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.		
2.		
3.		
4.		
5.		

FINANCIAL DISCLOSURE REPORT

Page 4 of 7

Name of Person Reporting

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
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1. Parcel # 1, San Diego County, California		None	O	W					
2. WELLS FARGO BANK		None	J	T					
3. HIGHLAND FLOATING RTC	D	Dividend	L	T					
4. UNIT VAN KAMPEN UNIT TRUSTS	A	Dividend	L	T					
5. MS ACTIVE ASSETS TAX FREE TRUST	A	Dividend	K	T					
6. CA STWD DEV-C BE	D	Interest	M						
7. MURIETTA VY CALIF SCH DIST. CTFS PARTN CALLABLE	B	Interest	K	T					
8. UNIVERSITY OF CAL. REVS	C	Interest	L	T					
9. CALIFORNIA EDL FACS AUTH REV	D	Interest	M	T					
10. CALIFORNIA EDL FACS	B	Interest	K	T	BUY	8/17	K		
11. METROPOLITAN WTR DISTRICT SO	B	Interest	K	T					
12. PRIME FUND	A	Dividend	J	T					
13. AMERICAN CAP WRLD GR & INC	C	Dividend	L	T					
14. EQUALLY WEIGHTED S&P 500		None		T	SELL	1/4	J	A	
15. MS LIQUID ASSET FUND	A	Interest	J	T					
16. PUTNAM NEW OPPORTUNITY B		None		T	SELL	1/4	K		
17. OPPENHEIMER GLOBAL FD CL B	C	Dividend	L	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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18. DAVIS NEW YORK VENTURE	A	Dividend	L	T					
19. MS MPS S&P 500 INDEX		None	L	T					
20. AMERICAN INV CO OF AMER	D	Dividend	M	T					
21. GLOBAL DIVIDEND GROWTH		None		T	SELL	1/4	K	B	
22. FOCUS GROWTH FUND B (in IRA) (name chg fr MS Amer Opp Fund)		None			SELL	1/4	K		
23. AIM BASIC VALUE B		None			SELL	1/4	K	C	
24. VAN KAPEN INDEX STRAT	B	Dividend		T	SELL	7/27	K	A	
25. VAN KAPEN EAFE SELECT	B	Dividend		T	SELL	7/27	K	C	
26. VAN KAPEN UNIT TRUSTS	B	Dividend		T	BUY	7/27	M		

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Name of Person Reporting LORENZ, MYRON J	Date of Report 05/12/2008
--	---

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of Report.)*

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

LORENZ, MYRON J

Date of Report

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature

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One Columbus Circle, N.E.
Washington, D.C. 20544