

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2009

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) PATEL, MARILYN H.	2. Court or Organization Northern District California	3. Date of Report 05/11/2010
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Active Judge USDC	5a. Report Type (check appropriate type) ☐ Nomination, ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2009 to 12/31/2009
7. Chambers or Office Address United States District Court P.O. Box 36060 San Francisco, CA 94102	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	

IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. _____	_____
2. _____	_____
3. _____	_____
4. _____	_____
5. _____	_____

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. _____	_____
2. _____	_____
3. _____	_____

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☒ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☒ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

	<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.	ALI-ABA, Litigating Employment Discrimination	March 5-7, 2009	Scottsdale, AZ	Lecture/presentation	meals, lodging and transportation
2.	ABTL	March 27-30, 2009	Ojai, CA	Conference	meals, lodging and transportation
3.					
4.					
5.					

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*☒ NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1. _____	_____	_____
2. _____	_____	_____
3. _____	_____	_____
4. _____	_____	_____
5. _____	_____	_____

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*☒ NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1. _____	_____	_____
2. _____	_____	_____
3. _____	_____	_____
4. _____	_____	_____
5. _____	_____	_____

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code I (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Adobe Sys. Inc		None	L	T					
2. Aegean Marine Petro Inc.	A	Dividend	L	T	Buy	9/14/09	L		
3. Anadigics Inc.		None			Sold	8/21/09	K		
4. Banco Bradesco	B	Dividend	L	T					
5. Bank of America	A	Dividend	N	T					
6. BB&T Corp.	A	Dividend	L	T	Buy	9/14/09	L		
7. BE Aerospace Inc.		None	K	T					
8. Boeing	C	Dividend	M	T					
9. Chesapeake Energy Corp.	A	Dividend	L	T	Buy	9/30/09	L		
10. China Medical Tech ADS	B	Dividend	K	T					
11. China Sec & Surveillance		None			Sold	9/30/09	J		
12. CIA Vale Do Rio Doce	A	Dividend	K	T					
13. Ciena Corp.		None			Sold	8/21/09	K		
14. Citigroup Inc.	B	Dividend	L	T					
15. Citizens Rep. Bank Co.		None	J	T					
16. Cliffs Natural Res Inc.	A	Dividend	M	T	Buy	9/30/09	L		
17. Cognizant Tech Sol. Cl.		None	M	T	Buy	8/21/09	M		

1. Income/Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	
3. Value Method Codes (See Column C2)					

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. Colonial Bank Group		None			Sold	8/21/09	J		
19. Corning Inc.	A	Dividend			Sold	9/30/09	K		
20. Countrywide Cap		None	L	T					
21. Dow Chemical Co.	A	Dividend	M	T	Buy	8/21/09	M		
22. Electric Arts Inc.		None			Buy	8/21/09	L		
23. Electric Arts Inc.		None			Sold	11/13/09	L		
24. Embraer Empresa Bras DDADS		None			Sold	11/13/09	K		
25. EMC Corp.		None	K	T					
26. Emerson Electric Co.	C	Dividend	L	T					
27. Freeport McMoran		None	M	T					
28. Fuel Systems Solutions		None	M	T	Buy	9/30/09	M		
29. Fushi Copperweld Inc.		None			Sold	6/27/09	J		
30. G Market Inc.		None			Sold	6/17/09	K		
31. Gafisa SA	A	Dividend	L	T					
32. Genco Shipping & Trading Ltd.		None	L	T	Buy	9/14/09	L		
33. General Electric Co.	A	Dividend	M	T	Buy	9/30/09	M		
34. Harris Stratex Net		None	K	T	Buy	9/17/09	K		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000 R = Cost (Real Estate Only) V = Other	C = \$2,501 - \$5,000 H = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000 S = Assessment W = Estimated	D = \$5,001 - \$15,000 I12 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000 T = Cash Market	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)					
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value				

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35. Hartford Fnl. Services Grp.	A	Dividend	L	T	Buy	9/14/09	L		
36. Hologic Inc.		None			Sold	8/21/09	L		
37. Honeywell Int'l	D	Dividend	M	T					
38. HSBC Hldgs PLC	B	Dividend	M	T	Buy	8/21/09	M		
39. Huntington Bancshs Inc.	A	Dividend	K	T	Buy	6/12/09	K		
40. ICICI Bank LTD		None	M	T	Buy	8/21/09	M		
41. ING Groep NV		None			Buy	9/14/09	L		
42. ING Groep NV		None			Sold	12/23/09	L	D	
43. Intel Corp.	B	Dividend	K	T					
44. JP Morgan Chase	B	Dividend	O	T	Buy	6/12/09	N		Add'l purchase
45. Johnson Controls Inc.	A	Dividend	M	T	Buy	8/21/09	M		
46. Key Corp. Inc.	A	Dividend	M	T	Buy	6/12/09	M		
47. Kimco Realty Corp.	A	Dividend	L	T	Buy	9/30/09	L		
48. Marshall & Ilsley Corp.	A	Dividend	K	T	Buy	8/21/09	L		
49. Mechel OAO		None	L	T	Buy	9/30/09	L		
50. Mitsubishi FNL	A	Dividend			Sold	9/30/09	K		
51. Morgan Stanley	A	Dividend	M	T					

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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52. Mylan Inc.		None	K	T					
53. Nighthawk Radiology Inc.		None			Sold	9/30/09	J		
54. Noble Int'l Ltd		None			Sold	8/21/09	J		
55. Nokia C.P.	B	Dividend			Sold	9/30/09	K		
56. Raytheon Co.	A	Dividend			Sold	9/30/09	K	D	
57. Regions Financial Corp.	A	Dividend	L	T	Buy	6/12/09	M		
58. Satyams Computer Svc		None	K	T	Buy	8/21/09	L		
59. Schwab Cash Res.	C	Dividend	M	T					
60. Sterlite Inds Ltd	A	Dividend	L	T					
61. Sun Power Corp.		None			Sold	8/21/09	K		
62. Suntech Power Hldgo.		None	K	T	Buy	6/12/09	L		
63. Unimproved Lot (See note in Section VIII)		None	L	W					Part VIII, #1
64. U.S. Bancorp.	A	Dividend	L	T	Buy	8/21/09	M		
65. Vale SA	A	Dividend	L	T	Buy	6/12/09	K		
66. Vimble Communications	B	Dividend	L	T					
67. Wachovia (formerly World Savings)	A	Interest	K	T					World Savings Sold to Wachovia
68. Webster Financial Corp.	A	Dividend	L	T	Buy	9/30/09	L		

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69. Zoltek Co.		None			Sold	8/21/09	K		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of Report.)*

1. Section VII (Investments and Trusts), No. 63: [REDACTED] owned this lot since 1970. The value ascribed to it by the code reflects what appears to be values for other lots in the area that have gone on the market recently. It has not been appraised and the "current value" is only an approximation based on comparables.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT WILL BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544