

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2007

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Dennis, James L	2. Court or Organization U.S. 5th Cir. Court of Appeals	3. Date of Report 04/30/2008
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. Circuit Judge, Active	5a. Report Type (check appropriate type) ☐ Nomination, ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2007 to 12/31/2007
7. Chambers or Office Address John Minor Wisdom Building 600 Camp Street, Room 219 New Orleans, LA 70130	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Member, Advisory Committee	Geo. W. & Jean H. Pugh Institute for Justice, a fund held by the L.S.U. Foundation for the benefit of L.S.U. Law School
2.	
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☐ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. 10/02/95	LA State Employees' Retirement System. No control
2.	Fully vested 10/31/94. See La.R.S. 11:551
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2007	LA State Employees' Retirement System - Pension	\$ 58,000.00
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2007	Jefferson Parish Public School system - wages
2.	
3.	
4.	

IV. REIMBURSEMENTS - *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. American Constitution Society, Stanford Branch	4/4/07-4/5/07	Stanford University	Speaking engagement	Transportation and lodging
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*☒ NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*☒ NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.			
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)



NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Alliance Worldwide Privatization Fund, Class A	A	Dividend	K	T					
2. Hospitality Properties	B	Dividend	K	T					
3. Municipal Mortgage & Equity	B	Dividend	K	T					
4. Charter Communications	A	Dividend	J	T					
5. Shering-Plough Corp	A	Dividend			sell	4/27	J	C	
6. Sprint Corp	A	Dividend			sell	11/30	J	A	
7. Teppco Partners L.P.	B	Dividend	K	T					
8. American Cap Strategies	B	Dividend	K	T					
9. Qualcomm, Inc.	A	Dividend	J	T					
10. Centennial Money Market	A	Interest	K	T					
11. First Trust Value Line	A	Dividend	K	T					
12. Unum Group (formerly Unumprovident Corp)	A	Dividend			sell	5/3	J	D	
13. Rayonier, Inc.	A	Dividend	J	T					
14. Novertis Ag	A	Dividend	J	T					
15. Inergy LP	A	Dividend	J	T					
16. Anchor Nat'l Life Polaris Choice	A	Dividend	M	T					
17. Crosstex Energy L.P.	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and L3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
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18. Energy Transfer Equity	B	Dividend	K	T					
19. Hiland Holdings	A	Dividend	K	T					
20. Regency Energy Partners	A	Dividend	J	T					
21. Missouri 3.65 Ser. A Bond	B	Int./Div.	K	T					
22. Alpine Global Premier	E	Dividend	K	T	buy	4/25	K		
23. Alpine Total Dynamic	E	Dividend	K	T	buy	1/25	K		
24. Amgen	D	Dividend	J	T	buy	4/24	J		
25. Amgen	C	Dividend	J	T	buy	5/23	J		
26. Appollo Inv. Corp	C	Dividend	J	T	buy	12/12	J		
27. Encore Energy Partners	C	Dividend	J	T	buy	9/11	J		
28. Energy Transfer Partners	D	Dividend	J	T	buy	12/13	J		
29. Enterprise Prods partners	D	Dividend	J	T	buy	10/19	J		
30. Illinois 5% Ambac	A	Interest	K	T	buy	9/28	J		
31. Kinder Morgan	D	Dividend	J	T	buy	10/19	J		
32. Penn West Energy Trust	C	Dividend	J	T	buy	12/12	J		
33. Plains All American Pipeline	D	Dividend	J	T	buy	10/19	J		
34. Quest Energy Partners	D	Dividend	J	T	buy	11/08	J		

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2. Value Codes (See Columns C1 and D3)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other		T = Cash Market	
3. Value Method Codes (See Column C2)					

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35. Targa Resources Partners	D	Dividend	J	T	buy	10/18	J		
36. Travel Centers of America	B	Dividend	J	T	buy	1/31	J		
37. Vanguard Natural Resource	D	Dividend	J	T	buy	10/23	J		
38. Euroseas Ltd	D	Dividend	J	T	buy	11/06	J		
39. Teekay Tankers	C	Dividend	J	T	buy	12/12	J		
40. First Trust/Gallatin Spc	A	Dividend			buy	5/25	K		
41. First Trust/Gallatin Spc	A	Dividend			sell	12/12	J	A	

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of Report.)*

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature

A large black rectangular redaction box covering the signature area.

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544