

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2009

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Prost, Sharon	2. Court or Organization Federal Circuit	3. Date of Report 05/12/2010
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Circuit Judge - Active	5a. Report Type (check appropriate type) ☐ Nomination, ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2009 to 12/31/2009
7. Chambers or Office Address U.S. Court of Appeals, Fed Cir 717 Madison Pl., NW Washington, DC 20439	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. _____	_____
2. _____	_____
3. _____	_____
4. _____	_____
5. _____	_____

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II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. _____	_____
2. _____	_____
3. _____	_____

FINANCIAL DISCLOSURE REPORT

Page 2 of 9

Name of Person Reporting

Prost, Sharon

Date of Report

05/12/2010

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - If you were married during any portion of the reporting year, complete this section.*(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

	<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.	New York Intellectual Property Law Association	3/26/2009-3/28/2009	New York City, New York	Panelist and Dinner in Honor of Federal Judiciary	Transportation, food, lodging
2.	Federal Circuit Bar Association	6/18/2009-6/20/2009	White Sulphur Springs, West Virginia	Conference panelist	Transportation, food, lodging
3.	Intellectual Property Law Association of Chicago	9/25/2009-9/26/2009	Chicago, Illinois	Symposium panelist	Transportation, food, lodging
4.	Intellectual Property Law Section of the State Bar of Georgia	11/11/2009-11/15/2009	Punta Cana, Dominican Republic	Conference panelist	Transportation, food, lodging
5.					

FINANCIAL DISCLOSURE REPORT
Page 3 of 9

Name of Person Reporting
Prost, Sharon

Date of Report
05/12/2010

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☒ NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☒ NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.			
2.			
3.			
4.			
5.			

FINANCIAL DISCLOSURE REPORT

Page 4 of 9

Name of Person Reporting

Prost, Sharon

Date of Report

05/12/2010

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A.		B.		C.		D.				
Description of Assets (including trust assets)		Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure		(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1.	Municipal Bond, Chicago, IL Class RFDG	A	Interest			Sold	01/02/09	M	A	
2.	Municipal Bond, Oklahoma City, OK MIA WTR	A	Interest			Sold	03/10/09	M	A	
3.	ML Fundamental Growth Mutual Fund		None	J	T					
4.	Payless Shoes Inc.(See Part VIII)									
5.	Venture Stores Inc.(See Part VIII)									
6.	CMA Tax-Exempt Fund	A	Interest	J	T					
7.	Reserve Prime Money Market	A	Dividend	J	T					
8.	General Electric Company	A	Dividend	J	T					
9.	Pioneer - Pioneer Value Fund CIA	A	Dividend	K	T					
10.	American Funds - SmallCap World Fund Inc. CIA		None	J	T					
11.	WGL Holdings Inc.	D	Dividend	K	T					
12.	American Funds - Capital World Growth Income Fund CIB		None	K	T					
13.	American Funds - Growth Fund America Inc. CIB		None			Sold	10/15/09	J	A	
14.	Rental Property, Silver Spring, MD	D	Rent	M	W					
15.	United States Senate FCU - Account	B	Interest	L	T					
16.	United States Senate - ROTH IRA	A	Interest	J	T					
17.	Pioneer - Pioneer Mid-Cap Growth Fund - IRA	A	Dividend	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P1 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000 R = Cost (Real Estate Only) V = Other	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 I = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000 S = Assessment W = Estimated	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000 T = Cash Market	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)					
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value				

FINANCIAL DISCLOSURE REPORT

Page 5 of 9

Name of Person Reporting

Prost, Sharon

Date of Report

05/12/2010

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. M&T Bank - IRA	A	Interest	J	T					
19. PNC Bank - IRA (formerly known as Riggs National Bank)	A	Interest	J	T					
20. U.S. Government Fund - The Reserve Fund - IRA	A	Dividend	J	T					
21. Merrill Lynch Life Insurance Cash Surrender Value	A	Dividend	L	T					
22. PNC Bank - CDs (formerly known as Riggs National Bank)	A	Interest	K	T					
23. American Funds - Euro Pac Growth	A	Dividend	J	T					
24. Goldman Sachs TR Mid-Cap Value Fund		None	J	T					
25. Pentair Inc.	A	Dividend	J	T					
26. American Century New Opportunities		None	J	T					
27. Eaton Vance Large Cap Value	A	Dividend	J	T					
28.					Buy (add'l)	10/15/09	J		
29. Hartford Growth Opportunities		None	J	T					
30.					Buy (add'l)	10/15/09	J		
31. Artio Global Investment Funds International Equity II I	A	Dividend	J	T					
32. MFS Value Fund	A	Dividend			Sold	10/15/09	J	A	
33. Oppenheimer Value Fund	A	Dividend			Sold	02/03/09	J	A	
34. Royce Pennsylvania Mutual Fund	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	H = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 6 of 9

Name of Person Reporting

Prost, Sharon

Date of Report

05/12/2010

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period	Transactions during reporting period				(5) Identity of buyer/seller (if private transaction)
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)		(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	
35. Thornburg International Value		None			Sold		10/15/09	J	A	
36. Wells Fargo Advantage Small Cap		None			Sold		10/15/09	J	A	
37. Westcore Small Cap Value Fund	A	Dividend	J	T						
38. Transamerica Equity Fund		None			Sold		09/25/09	J	A	
39. Delaware Value Fund		None			Buy		02/03/09	J		
40.					Sold		09/25/09	J	A	
41. Municipal Bond Lubbock, TX	A	Interest			Buy		04/03/09	K		
42.					Sold		09/04/09	K	A	
43. iShares Russell 1000 Growth Index Fund	A	Dividend	J	T	Buy		09/25/09	J		
44.					Buy (add'l)		10/15/09	J		
45. iShares Russell 1000 Value Index Fund	A	Dividend	J	T	Buy		09/25/09	J		
46.					Buy (add'l)		10/15/09	J		
47. Municipal Bond Ohio	A	Interest	K	T	Buy		09/14/09	K		
48. Municipal Bond Jefferson County, CO	A	Interest	K	T	Buy		04/17/09	K		
49. Municipal Bond Purdue Univ.	A	Interest	K	T	Buy		04/06/09	K		
50. Municipal Bond Cuyahoga OH Comm. Coll.	A	Interest	K	T	Buy		03/30/09	K		
51. Municipal Bond Oregon St. Dpt. Admin.	A	Interest	K	T	Buy		03/30/09	K		

1. Income/Gain Codes:
(See Columns B1 and D1)

A = \$1,000 or less
F = \$50,001 - \$100,000

2. Value Codes:
(See Columns C1 and D3)

J = \$15,001 or less
N = \$250,001 - \$500,000
P = \$25,000,001 - \$50,000,000

3. Value Method Codes:
(See Column C2)

Q = Appraisal
U = Book Value

B = \$1,001 - \$2,500
G = \$100,001 - \$1,000,000
K = \$15,001 - \$50,000
O = \$500,001 - \$1,000,000

R = Cost (Real Estate Only)
V = Other

C = \$2,501 - \$5,000
H1 = \$1,000,001 - \$5,000,000
L = \$50,001 - \$100,000
P1 = \$1,000,001 - \$5,000,000
P4 = More than \$50,000,000
S = Assessment
W = Estimated

D = \$5,001 - \$15,000
H2 = More than \$5,000,000
M = \$100,001 - \$250,000
P2 = \$5,000,001 - \$25,000,000

T = Cash Market

FINANCIAL DISCLOSURE REPORT

Page 7 of 9

Name of Person Reporting

Prost, Sharon

Date of Report

05/12/2010

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets)	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e.g., div., rent, or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g., buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
52. Municipal Bond Spring Branch, TX Indpt. School Dist.	A	Interest	K	T	Buy	03/19/09	K		
53. Municipal Bond Oregon St Brd. Ed.	A	Interest	K	T	Buy	03/13/09	K		
54. Municipal Bond San Antonio, TX Elec. & Gas Rev.	A	Interest	K	T	Buy	03/09/09	K		
55. Municipal Bond New York, NY Trans. Fin. Auth.	A	Interest	K	T	Buy	03/11/09	K		
56. Municipal Bond University Tex.	A	Interest	K	T	Buy	03/10/09	K		
57. Municipal Bond Energy Northwest Wa. Elec.	A	Interest	K	T	Buy	03/03/09	K		
58. Municipal Bond Met. Nsh-Dvd TN Elec. Rev.	A	Interest	K	T	Buy	03/04/09	K		
59. Municipal Bond Georgia	A	Interest	K	T	Buy	02/27/09	K		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 Q = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 8 of 9

Name of Person Reporting

Prost, Sharon

Date of Report

05/12/2010

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of Report.)*

Part VII Line 4 - Payless Shoes Inc - This stock was sold 7/28/2008 but was inadvertently not listed as sold on last years financial disclosure.

Part VII Line 5 - Venture Stores Inc - This stock was sold 7/28/2008 but was inadvertently not listed as sold on last years financial disclosure.

FINANCIAL DISCLOSURE REPORT

Page 9 of 9

Name of Person Reporting

Prost, Sharon

Date of Report

05/12/2010

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544