



**UNITED STATES DISTRICT COURT
District of New Mexico**

United States Courthouse
333 Lomas Boulevard N.W., Suite 610
Albuquerque, New Mexico 87102

William P. Johnson
District Judge

October 4, 2004

Telephone: (505)348-2330
Facsimile: (505)348-2335

FINANCIAL
DISCLOSURE OFFICE

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RECEIVED

Honorable Mary M. Lisi, Chair
Committee on Financial Disclosure
One Columbus Circle, N.E.
Washington, D.C. 20544

Re: *Calendar Year 2003 Financial Disclosure Report*

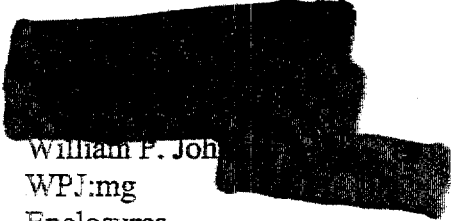
Dear Judge Lisi:

This letter and the enclosed three copies constitute my response to the Committee's letter dated August 3, 2004 concerning my calendar year 2003 Financial Disclosure Report.

In the third paragraph of the August 3, 2004 letter, you made inquiry about "At Home (ATHM) common stock" and "Agere Systems, Inc, common stock". With respect to the At Home common stock, the company filed for bankruptcy protection and according to a Notice I received in November of 2002, the confirmed Chapter 11 plan of reorganization provided that no funds were available for distribution to security holders and all stock certificates were canceled and deemed valueless. As for the Agere Systems, Inc. common stock, those shares were sold in April of 2003; however, the sales proceeds were less than the minimum threshold reporting requirement established by Section 102(a)(5).

In the second paragraph of the August 3, 2004 letter, reference is made to a number of assets listed in the 2002 report but not listed in the 2003 report. With the exception of At Home and Agere discussed above, all other assets referenced in the second paragraph were disclosed in the 2003 Financial Disclosure Report.

Please let me know if the committee needs any additional information or if there is anything further I need to do.


William P. Johnson
WPJ:mg
Enclosures

FINANCIAL DISCLOSURE REPORT

Calendar Year 2003

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (Last name, First name, Middle initial) Johnson, William P	2. Court or Organization District Court - New Mexico	3. Date of Report 3/14/2004
4. Title (Article III Judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. District Judge	5. Report Type (check appropriate type) ☐ Nomination Date ☐ Initial ☒ Annual ☐ Final	6. Reporting Period 1/1/2003 to 12/31/2003
7. Chambers or Office Address U.S. District Court 333 Lomas Blvd. NW, #610 Albuquerque, NM 87102	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	

IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☒ NONE - (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.

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II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☐ NONE - (No reportable agreements.)

DATE

PARTIES AND TERMS

1. 2003

NM State Employees Pension Fund; pension at age 64; no control

COPY

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Name of Person Reporting

Johnson, William P

Date of Report

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III. NON-INVESTMENT INCOME. (Reporting individual and spouse; see pp. 17-24 of filing instructions)**A. Filer's Non-Investment Income**☒ **NONE** - (No reportable non-investment income.)

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>GROSS INCOME</u> (yours, not spouse's)
1.		
2.		

B. Spouse's Non-Investment Income - (If you were married during any portion of the reporting year, please complete this section. (dollar amount not required except for honoraria)☐ **NONE** - (No reportable non-investment income.)

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2003	State of New Mexico

IV. REIMBURSEMENTS -- transportation, lodging, food, entertainment.

(Includes those to spouse and dependent children. See pp. 25-27 of instructions.)

☒ **NONE** - (No such reportable reimbursements.)

<u>SOURCE</u>	<u>DESCRIPTION</u>
1.	

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Johnson, William P

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V. GIFTS. (Includes those to spouse and dependent children. See pp. 28-31 of instructions.)

☒ NONE - (No such reportable gifts.)

SOURCE

DESCRIPTION

VALUE

1.

VI. LIABILITIES. (Includes those of spouse and dependent children. See pp. 32-34 of instructions.)

☐ NONE - (No reportable liabilities.)

CREDITOR

DESCRIPTION

VALUE CODE

1. MBNA

credit card

J

2. Pioneer Bank

1st and 2nd mortgage on Rental Prop. #1, Roswell, NM

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Name of Person Reporting

Johnson, William P

Date of Report

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VII. INVESTMENTS and TRUSTS – income, value, transactions (includes those of the spouse and dependent children. See pp. 34-57 of filing instructions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	If not exempt from disclosure			
	Amount Code 1 (A-H)	Type (e.g. div. rent. or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g. buy, sell, merger, redemption)	(2) Date: Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
☐ NONE (No reportable income, assets, or transactions)									
1. Rental Prop. #1, Roswell, NM (appraisal: February 1996)	D	Rent	M	Q					
2. Brokerage Account									
3. IBM common stock	A	Dividend	J	T					
4. Micron Tech., com stock		None	J	T	buy	11/03	J		
5. Merrill Lynch Banking Advantage	A	Dividend	J	T					
6. Merrill Lynch IRA									
7. Merrill Lynch Bank USA	A	Dividend	J	T					
8. Amazon, Inc. common stock		None	J	T					
9. AOL Time Warner, com stock		None	J	T					
10. AT&T Wireless, com stock		None	J	T					
11. AT&T Corp., com stock	A	Dividend	J	T	sell	4/03	J		
12. Atmel Corp., com stock		None	J	T	sell	4/03	J		
13. Agere Systems, com stock		None	J	T	sell	4/03	J		
14. Apache Corp. common stock	A	Dividend	J	T	buy	6/03	J		
15. Biogen Inc., com stock		None	J	T	sell	8/03	J		
16. Comcast Corp. common stock		None	J	T	buy	8/03	J		
17. Conocophillips common stock	A	Dividend	J	T					
18. Cisco Systems common stock		None	J	T					

1. Income/Gain Codes:	A = \$1,000 or less	B = \$1,001-\$2,500	C = \$2,501-\$5,000	D = \$5,001-\$15,000	E = \$15,001-\$50,000
(See Columns B1 and D4)	F = \$50,001-\$100,000	G = \$100,001-\$1,000,000	H1 = \$1,000,001-\$5,000,000	H2 = More than \$5,000,000	
2. Value Codes:	J = \$15,000 or less	K = \$15,001-\$50,000	L = \$50,001-\$100,000	M = \$100,001-\$250,000	
(See Columns C1 and D3)	N = \$250,000-\$500,000	O = \$500,001-\$1,000,000	P1 = \$1,000,001-\$5,000,000	P2 = \$5,000,001-\$25,000,000	
	P3 = \$25,000,001-\$50,000,000		P4 = \$More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash/Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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Name of Person Reporting

Johnson, William P

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19. Dell Computer common stock		None	J	T	sell	4/03	J		
20. EMC Corp. common stock		None	J	T					
21. El Paso Corp. common stock		None	J	T	sell	12/03	J		
22. Exxon Mob., com stock	A	Dividend	J	T	buy	8/23	J		
23. Intel Corp. common stock	A	Dividend	J	T					
24. Gen. Electric, com stock	A	Dividend	J	T	buy	5/16	J		
25. Merrill Lynch common stock	A	Dividend	J	T	sell	4/03	J		
26. Microsoft Corp. common stock		None	J	T					
27. Motorola common stock		None	J	T					
28. Network Assoc. common stock		None	J	T	sell	4/03	J		
29. Nokia Corp. common stock		None	J	T					
30. Oracle Corp. common stock		None	J	T	buy	12/03	J		
31. Pfizer Corp., com stock	A	Dividend	J	T	buy	4/03	J		
32. Sun Microsystems com stock		None	J	T					
33. Teradyne Inc. common stock		None	J	T	sell	8/03	J		
34. Walmart common stock	A	Dividend	J	T					
35. Williams Companies com stock	A	Dividend	J	T					
36. Nuveen Ritten Growth Fund	A	Dividend	J	T	sell	12/03	J		

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2. Value Codes:	J = \$15,000 or less	K = \$15,001-\$50,000	L = \$50,001-\$100,000	M = \$100,001-\$250,000	
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37. Pacific Cent. (PCWKF) foreign stock		None	J	T	sell	4/03	J		
38. LM Ericsson Tel. American Depository Receipt		None	J	T	sell	2002	J		
39. Custodial Account #1									
40. American Century Select Fund	A	Dividend	J	T					
41. Custodial Account #2									
42. American Century Select Fund	A	Dividend	J	T					
43. Custodial Account #3									
44. Paine Webber zero coup. Bond		None	J	T					

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS (Indicate part of Report.)

Comments concern stocks held in Merrill Lynch IRA, Part VII, Investments and Trusts.

Apache Corp.: Apache stock was owned prior to 1/1/03 and additional Apache stock was bought in 2003.

Comcast Corp.: Comcast stock was owned prior to 1/1/03 and additional Comcast stock was bought in 2003.

Dell Computer: Dell stock was owned prior to 1/1/03 and some of the Dell stock was sold in 2003.

Oracle Corp.: Oracle stock was owned prior to 1/1/03 and additional Oracle stock was bought in 2003.

LM Erickson: LM Erickson stock was sold in second half of 2002, although sales transaction slip cannot be located. The sale of the LM Erickson stock was accidentally omitted from the FDR form for calendar year 2002 dated 5/28/03.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature

Date

5/14/04

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544